

Metabolic Brain Disease

Brain edema: a valid endpoint for measuring hepatic encephalopathy?

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Abstract:	Hepatic encephalopathy (HE) is a major complication of liver failure/disease which frequently develops during the progression of end-stage liver disease. This metabolic neuropsychiatric syndrome involves a spectrum of symptoms, including cognition impairment, attention deficits and motor dysfunction which eventually can progress to coma and death. Pathologically, HE is characterized by swelling of the astrocytes which consequently leads to brain edema, a common feature found in patients with acute liver failure (ALF) as well as in cirrhotic patients suffering from HE. The pathogenic factors involved in the onset of astrocyte swelling and brain edema in HE are unresolved. However, the role of astrocyte swelling/brain edema in the development of HE remains ambiguous and therefore measuring brain edema as an endpoint to evaluate HE is questioned. The following review will determine the effect of astrocyte swelling and brain edema on neurological function, discuss the various possible techniques to measure brain edema and lastly to propose a number of neurobehavioral tests to evaluate HE.

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Brain edema: a valid endpoint for measuring hepatic encephalopathy?

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List of abbreviations:

HE: hepatic encephalopathy; ALF : Acute Liver Failure; GFAP: glial fibrillary acid protein;

CSF: cerebrospinal fluid; RVD: regulatory volume decrease; MRI: magnetic resonance imaging;

DWI: diffusion weighted imaging; FLAIR: fast fluid-attenuated inversion recovery

Keywords:

Brain edema; Hepatic encephalopathy; astrocyte; magnetic resonance imaging;

neurobehavior

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4 **Abstract**

5 Hepatic encephalopathy (HE) is a major complication of liver failure/disease
6 which frequently develops during the progression of end-stage liver disease. This
7 metabolic neuropsychiatric syndrome involves a spectrum of symptoms, including
8 cognition impairment, attention deficits and motor dysfunction which eventually can
9 progress to coma and death. Pathologically, HE is characterized by swelling of the
10 astrocytes which consequently leads to brain edema, a common feature found in patients
11 with acute liver failure (ALF) as well as in cirrhotic patients suffering from HE. The
12 pathogenic factors involved in the onset of astrocyte swelling and brain edema in HE are
13 unresolved. However, the role of astrocyte swelling/brain edema in the development of
14 HE remains ambiguous and therefore measuring brain edema as an endpoint to evaluate
15 HE is questioned. The following review will determine the effect of astrocyte swelling
16 and brain edema on neurological function, discuss the various possible techniques to
17 measure brain edema and lastly to propose a number of neurobehavioral tests to evaluate
18 HE.
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24 **Astrocyte swelling and brain dysfunction**

25 *Astrocytes and disease*

26 Astrocyte swelling has been implicated in a range of neurological disorders,
27 involving stroke, migraine, epilepsy, and metabolic encephalopathies (including HE)
28 (Felipo, 2013; Papadopoulos and Verkman, 2013; Thrane et al., 2014). Selective genetic
29 (e.g. a mutation in glial fibrillary acid protein (GFAP) resulting in Alexander disease) or
30 chemical impairment (fluorocitrate or fluoroacetate) of astrocyte function can also cause
31 severe neurological impairment ranging from lethargy, stupor, ataxia, seizures to coma
32 and death (Swanson and Graham, 1994; Messing et al., 2012). The cellular mechanisms
33 causing these phenotypic manifestations can best be understood by first examining the
34 physiological roles of astroglia.
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38 *Fundamental role of astrocytes in the central nervous system*

39 Astrocytes are multifunctional glial cells responsible for key brain homeostatic
40 functions such as regulating ion gradients, cerebral blood flow, blood-brain barrier (BBB)
41 integrity, scar formation (reactive gliosis) and cellular metabolism (Ransom et al., 2003).
42 They are electrically inactive, but signal with intracellular calcium and other secondary
43 messengers (including adenosine triphosphate (ATP) and cyclic guanosine
44 monophosphate (cGMP)) (Cotrina et al., 2000; Sun et al., 2013). The importance of
45 astrocytes for more complex nervous systems is highlighted by the fact that evolution has
46 selectively increased the size, relative abundance and complexity of these cells, whilst
47 leaving neurons relatively unchanged (Oberheim et al., 2006). Collectively, neuroglia
48 make up approximately 41% of the volume fraction of human cortex, compared to 27%
49 by neurons, and 12% by interstitial fluid, with the remainder comprising blood (10%) and
50 cerebrospinal fluid (CSF; 10%) (Syková and Nicholson, 2008). Although astrocytes
51 were initially seen as simple star shaped structural cells on silver-chromate and
52 cytoskeletal (GFAP) staining, cytoplasmic labeling studies have revealed a more complex
53 'bush-like' morphology (Oberheim et al., 2008). Individual astrocytes are linked together
54 into a syncytium by gap junctions, which have been suggested to facilitate faster ion
55 movement, metabolic trafficking and neurovascular coupling (Rose and Ransom, 1997;
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4 Rouach et al., 2008). Astrocytes have an intricate subcellular anatomy, with thousands of
5 specialized processes that express a different subset of transporters depending on whether
6 they abut blood vessels (peri-vascular end-feet), CSF (sub-ependymal end-feet) or
7 synapses (peri-synaptic processes). Peri-vascular processes, for instance, are selectively
8 endowed with ion and water transport mechanisms (including $\text{Na}^+\text{-K}^+\text{-2Cl}^-$ cotransporter
9 isoform 1 (NKCC1), inwardly rectifying potassium channel Kir4.1, glutamate transporter-
10 1 (GLT1), glucose transporter (GLUT), $\text{Na}^+\text{-K}^+\text{-ATPase}$ (NKA), system N transporter
11 (SN1)), including the main brain water channel, aquaporin 4 (AQP4) (Figure 1).
12 Metabolically, astrocytes are estimated to be responsible for about 30% of cerebral
13 metabolism, and are amongst the most glycolytically active cells in the brain (Kasischke
14 et al., 2004; Pellerin et al., 2007). Finally, a large body of evidence indicates that
15 astrocytes play an active role in synaptic transmission (tripartite synapse), for instance by
16 regulating neurotransmitter turnover and perhaps gliotransmission, along with fine-tuning
17 the structural and electrochemical synaptic environment (Araque et al., 1999; Nedergaard
18 and Verkhratsky, 2012). Therefore, astrocytes are critical cells which play a pivotal role
19 in health and disease (Rose et al., 2013).
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25 *Astrocytes: susceptible to swelling*

26 Astrocyte responses to osmotic stress have been extensively studied *in vitro* and
27 *in situ*. Astrocytes are thought to be able to cope with brief exposures (30-90 min) to mild
28 or moderate amounts of swelling by offloading osmolytes, including potentially
29 excitotoxic neurotransmitters, a mechanism known as regulatory volume decrease (RVD)
30 (Kimmelberg, 1987; Ordaz et al., 2004; Thrane et al., 2011; Anderova et al., 2014).
31 Conversely, hyper-osmotic stress may be able to induce a regulatory volume increase
32 (RVI) by uptake of osmolytes and water (Evanko et al., 2004; Risher et al., 2009). The
33 molecular mechanisms underlying this response are incompletely understood, and
34 thought to involve osmo- or stretch-sensitive intracellular signaling cascades involving
35 $[\text{Ca}^{2+}]_i$ transients, AQP4 and volume-regulated anion channels (VRACs) (Mulligan and
36 MacVicar, 2006; Thrane et al., 2011; Qiu et al., 2014; Voss et al., 2014). The high
37 expression of water transporting membrane proteins has also led many authors to suggest
38 that astrocytes are more susceptible to swelling than neurons when the capacity for RVD
39 is exhausted (Häussinger, 2000; Bosoi and Rose, 2013; Papadopoulos and Verkman,
40 2013; Thrane et al., 2015).
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46 *Astrocyte swelling and brain edema*

47 On a larger scale, brain edema is believed to preferentially enter via astrocyte
48 membranes by virtue of their strategic perivascular location and high water permeability
49 (Papadopoulos and Verkman, 2013). A range of studies have shown that deleting or
50 inhibiting AQP4 reduces the amount of brain edema following many types of insults,
51 including hypoosmotic stress, stroke, traumatic brain injury, hepatic (but not
52 hyperammonemic) encephalopathy and meningitis (Manley et al., 2000; Amiry-
53 Moghaddam et al., 2003; Papadopoulos and Verkman, 2005; Fukuda et al., 2013;
54 Rangroo Thrane et al., 2013; Rao et al., 2014). Conversely, AQP4 deletion can also slow
55 edema resorption, and this mechanism likely explains why AQP4^{-/-} animals display worse
56 vasogenic edema compared to AQP4^{+/+} animals in the context of tumors, abscesses and
57 following subarachnoid hemorrhage (Papadopoulos et al., 2004; Bloch et al., 2005; Tait
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4 et al., 2010). Astrocyte swelling is accompanied by a shift of fluid from either interstitial
5 or intravascular to the intracellular (astrocytic) compartment (Thrane et al., 2014). This
6 fluid shift can have several detrimental effects. Net fluid entry to the brain from the
7 vascular compartment (*vasogenic or osmotic edema*) increases the brain volume, raising
8 intracranial pressure, and causing potentially fatal brainstem compression; complications
9 which develop in 25% of patients with ALF (Lee, 2012). However, astrocyte swelling
10 can hypothetically also occur if there is an isolated fluid shift from the interstitial to the
11 intracellular (cytosol) compartment, with no net fluid entry into the brain. This is termed
12 *cytotoxic edema*, and when seen in isolation it does by definition not lead to raised
13 intracranial pressure (Simard et al., 2007). However, this definition of cytotoxic edema is
14 perhaps controversial, as cytotoxic swelling would arguably always be accompanied by
15 some degree of net brain edema through other mechanisms (e.g. osmotic gradient across
16 the BBB). Moreover, cytotoxic edema has several direct detrimental effects, such as
17 increasing the concentration of ions and neurotransmitters in the now shrunken interstitial
18 space. This can potentially lower the seizure threshold (increased $[K^+]_o$) and cause
19 excitotoxicity (increased $[Glutamate]_o$ and consequent NMDA receptor activation).
20 Additionally, cytotoxic edema can dilute intracellular ion and metabolite concentrations
21 and thereby impair cellular metabolism. Generally, any form of tissue edema will also
22 increase the distance for oxygen and metabolite diffusion, exposing micro-watershed
23 areas to hypoxia (Takano et al., 2007; Thrane et al., 2013).
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30 *Brain edema and the 'glymphatic' system*

31 Brain edema can also develop by net salt and water entry into the parenchyma in
32 the presence of an intact BBB, termed *ionic edema* by some authors, to distinguish it
33 from isolated (cytotoxic) extra-to-intra-cellular redistribution (Simard et al., 2007; Iliff et
34 al., 2012; Thrane et al., 2014). One recent hypothesis that might explain this apparent
35 paradoxical observation, proposes that physiological interstitial fluid turnover in the brain
36 parenchyma is facilitated by continuous influx of peri-arterial CSF and efflux via the
37 perivascular space of a subset of large veins (Iliff et al., 2012) or the recently discovered
38 brain glymphatic vessels (Louveau et al., 2015). This pathway, termed the glymphatic
39 system, might also explain the preponderance of astrocytes for swelling (Manley et al.,
40 2000; Amiry-Moghaddam et al., 2003) and the extensive molecular machinery astrocytes
41 express for volume regulation (Iliff et al., 2012; Nedergaard, 2013). This hypothesis is
42 also particularly interesting in the context of astrocyte swelling, because the main
43 gateway for net water entry into the brain parenchyma is thought to be via the AQP4-
44 enriched perivascular membranes of astrocytes (Nielsen et al., 1997; Nagelhus and
45 Ottersen, 2013; Papadopoulos and Verkman, 2013). Moreover, a derangement of the
46 polarized perivascular expression of salt and water transporters along with decreased
47 glymphatic interstitial fluid turnover appears to be a consistent feature of traumatized,
48 infarcted, aged and even sleep-deprived brain tissue, which might make it more prone to
49 astrocyte swelling and edema formation (Iliff et al., 2012; Wang et al., 2012; Ren et al.,
50 2013; Xie et al., 2013). Taken together, recent studies therefore highlight how astrocyte
51 water transport, and consequently volume change, is likely to be linked to vascular
52 perfusion and highly compartmentalized, with most of it happening in the small
53 perivascular and perisynaptic processes, rather than astrocyte cell bodies. Future studies
54 should therefore aim to use experimental models that best recapitulate the intimate neuro-
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4 glio-vascular interplay seen in living brain tissue extrapolating findings from cell culture
5 or even brain slice studies may sometimes lead to false conclusions.
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7 *Astrocyte swelling results in brain dysfunction*

8 Astrocyte swelling can lead to neurological dysfunction in several ways.
9 Prolonged osmotic and/or metabolic stress has also been shown to cause the generation of
10 reactive oxygen species (ROS), apoptotic pathways (such as mitochondrial permeability
11 transition pore (MPTP)) and/or inflammatory signals (such as tumor necrosis factor- α
12 (TNF- α), interferon- γ (INF- γ), transforming growth factor- β (TGF- β), matrix
13 metalloproteinase-9 (MMP-9) and interleukin-6 (IL-6)) (Schliess et al., 2004; Simard et
14 al., 2007; Thrane et al., 2014). These mechanisms likely have physiological, as well as
15 pathophysiological consequences. For example, perisynaptic astrocyte processes swell
16 briefly and reversibly during normal synaptic transmission and this is thought to represent
17 buffering of extracellular potassium and sodium released by active neurons (Binder et al.,
18 2006; Haj-Yasein et al., 2012; Karus et al., 2015). However, prolonged osmotic stress
19 and astrocyte swelling near the synapse could set up a vicious cycle where shrinkage of
20 the interstitial space, volume-regulated excitotoxic neurotransmitter offloading,
21 extracellular K⁺ accumulation, lactic acidosis, neuronal Na⁺ and Cl⁻ accumulation
22 impairing inhibitory neurotransmission, ROS, and inflammatory signals further
23 compound brain edema by promoting astrocytes to swell more readily (Mulligan and
24 MacVicar, 2006; Cauli et al., 2007; Rodrigo et al., 2010) (Figure 1).
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31 **Techniques to measure brain edema**

32 It is evident that the development of intracranial hypertension is associated with
33 brain edema in ALF, however in chronic liver disease, where intracranial hypertension is
34 rarely observed, brain edema is also present (O'Grady, 2008; Rovira et al., 2008; Shah et
35 al., 2008; Sugimoto et al., 2008; Bosoi et al., 2012; Bosoi and Rose, 2013; Butterworth,
36 2015; Dam et al., 2015). The pressure-volume relationship between intracranial pressure
37 and brain volume indicates that either low-grade edema or age-induced brain atrophy
38 could explain the difference between ALF and chronic liver disease (Bosoi and Rose,
39 2013). To date several methods have been used to measure brain edema (i.e. brain water
40 content) either directly or indirectly: 1) Direct/absolute value of the amount of water in
41 the brain can be measured through; i) dry/wet weight technique (Marmarou et al., 1978),
42 ii) specific gravity method (Marmarou et al., 1978; Hayazaki et al., 1995) and iii) brain
43 water mapping using magnetic resonance imaging (MRI) (Shah et al., 2003, 2008; Neeb
44 et al., 2006; Dam et al., 2015); 2) Indirect/relative information regarding the content of
45 water in the brain can be calculated using several advanced MRI techniques; i)
46 magnetization transfer (MT), ii) diffusion weighted or tensor imaging (DWI or DTI) and
47 iii) fast fluid-attenuated inversion recovery (FLAIR) Table 1) (Häussinger et al., 1994;
48 Córdoba et al., 2001; Spahr et al., 2002; McPhail et al., 2012; Braissant et al., 2013;
49 Cudalbu, 2013).
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54 The dry/wet weight and specific gravity methods are performed *ex-vivo*, using
55 dissected tissue from sacrificed animals. The dry/wet weight method is the easiest way to
56 measure the amount of water in extracted brain tissue. In this technique, brain samples
57 are weighed before and after drying for 24 hours at 100°C (Marmarou et al., 1978) and
58 the difference in weight reflects the amount of water (evaporated) in the tissue. Aside
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4 from its simplicity, this technique is not sensitive enough for precisely measuring water
5 evaporation in small regions and therefore is limited to whole or half brains (at least for
6 rodents).
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8 The specific gravity method involves placing small pieces of brain tissue into a
9 graduated cylinder containing a layered mixture (gradient) of organic solvents of known
10 density (kerosene and bromobenzene). The equilibration depth of the inserted tissue is
11 recorded after 2 minutes (representing the specific gravity). This technique is excellent
12 for measuring small changes in water of many different (including small) brain regions.
13 The initial set-up for making the kerosene/bromobenzene gradient columns is
14 cumbersome, but worth the investment. Following the complex mixing process, each
15 column must be carefully calibrated but can be used to measure the specific gravity of
16 about 20-25 samples. The percentage of water is calculated taking into consideration the
17 specific gravity of the solid dry tissue (Marmarou et al., 1978). This method provides
18 absolute water content in the brain and has been shown to detect changes in water content
19 of 1-2% in a rat model of chronic HE (Bosoi et al., 2012).
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22 Non-invasive *in vivo* measurements of water content in the human brain with MRI
23 are often applied to explore brain swelling in human HE studies (Häussinger et al., 1994;
24 Córdoba et al., 2001; Shah et al., 2003, 2008; Rovira et al., 2008). MRI is a non-invasive
25 technique applicable *in vivo* and therefore be implicated in longitudinally studies on the
26 same individual. MRI is primarily focused on imaging the single proton of the hydrogen
27 nucleus (^1H). Since hydrogen is by far the most common nucleus in the human body, it
28 has become a valuable target for *in vivo* imaging. Several refined MRI techniques are
29 presently available to detect subtle changes of approximately 1% in total brain water
30 content. MT, FLAIR and DWI imaging are all sensitive to changes in brain tissue water
31 of ~1 %. Although sufficiently sensitive, they all lack specificity in regards the etiology
32 of the water accumulation. MT and FLAIR are both sensitive to exchange properties
33 between bound and free protons, and therefore the output is determined by factors besides
34 the total water content. The images, therefore, provide only indirect evidence of brain
35 swelling. DWI allows the mapping of the diffusion process of primarily water molecules.
36 However, molecular diffusion in tissues is not free, but reflects interactions with, for
37 example, macromolecules, fibers, membranes. DWI can demonstrate changes in intra- or
38 extracellular volume but no firm conclusions on the absolute water content can be drawn.
39 Hence, the direct assessment of cerebral water changes in HE is tedious. A quantitative
40 estimation of brain tissue water contents (water mapping) was validated on 1.5 and 3
41 Tesla platforms in Juelich in Germany from 2004-2008 (Neeb et al., 2006; Shah et al.,
42 2008). This water mapping method enables an automated assessment of global water
43 content changes in both grey and white matter as well as changes in the spatial
44 distribution of water in the brain. It is sensitive and specific and can be performed within
45 a clinically relevant measurement time (less 20 min). The water mapping method was
46 applied to fifty-four patients with various grades of HE in 2008 (Shah et al., 2008).The
47 average white matter water content was 2.1% higher in patients with overt HE compared
48 to the healthy control group. There was no significant difference in grey matter water.
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51 In conclusion, we believe that brain water mapping is the most precise and accurate
52 method that can be applied to patients with HE for absolute quantification of their
53 cerebral hydration status. However, increased water content remains an unspecific
54 phenomenon that also occurs in trauma, tumors, focal inflammation and late stages of
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4 cerebral ischemia. For measuring brain edema in animal models a multimodal approach
5 would be the most suitable. For example, an approach combining *in vivo* and longitudinal
6 measurements with an *ex vivo* technique measuring the absolute water value in the brain.
7 This combination allows monitoring of the progression of the syndrome longitudinally
8 and therefore provides additional information on the temporal resolution of the onset of
9 brain edema. Since none of these techniques provides information on the type of the
10 edema or which cell is involved, it would be also very useful to combine these techniques
11 with electron microscopy (Kato et al., 1992).
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15 **Measuring hepatic encephalopathy in rodents; neurophenotyping**

16 In humans, diagnosing HE, in particular minimal/covert HE, involves using
17 sophisticated neuropsychometric and neurophysiological tests, such as the psychometric
18 hepatic encephalopathy score (PHES) (Weissenborn et al., 2001; Ferenci et al., 2002;
19 Amodio et al., 2008), the inhibitory control test (ICT) (Bajaj et al., 2007), the critical
20 flicker frequency (CFF) test (Kircheis et al., 2002), the continuous reaction time (CRT)
21 (Lauridsen et al., 2013) and the EncephalApp (Smart-phone based Stroop test) (Bajaj et
22 al., 2013). Overall, these tests evaluate cognition, psychomotor processing speed,
23 visuomotor coordination, memory, attention as well as motor function. As with HE
24 patients, the best methods to evaluate HE in rodents are through the use of various
25 behavioral tests (neurophenotyping). In general, behavioral measurements in rodents can
26 be divided into three categories : 1) Cognitive function/learning and memory; 2) Motor
27 function: and 3) Anxiety (Table 2). In the following sections, different tests used to
28 evaluate the parameters in each category are discussed.
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33 **Cognitive function/learning and memory**

34 *Eight-Arm Maze*

35 This test (Olton and Samuelson, 1976), which assesses spatial memory, consists
36 of eight horizontal arms placed radially around a central platform above the floor. Food is
37 placed at the end of all arms, and the animal must learn to enter each arm a single time.
38 Errors are defined as repeat entries into already-visited arms. Although the simplest
39 strategy to solve this task would be to enter adjacent arms, rodents do not typically adopt
40 this tactic. As such, the analysis of arm entries can yield insight into such processes as
41 planning and decision making and impulsivity in the rodent. Different variables are
42 commonly used for the analysis of the performance, including: number of errors in each
43 session (entering an arm that has been visited previously counted as an error) and the
44 total number of errors during eight sessions; number of correct choices in the first eight
45 arm entries; location of the first error in each session; time taken to visit each arm (total
46 time to complete the session divided by the total number of arm entries); number of
47 sessions to reach the criterion of one error or less, averaged over four consecutive days of
48 training. Since the task is motivated by appetite, food restriction regimen are required,
49 which represents a disadvantage of this behavioral test. Indeed, food restriction contrasts
50 with cognitive tasks which are aversively motivated.
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56 *Morris Water Maze*

57 This is a behavioral procedure widely used to study spatial learning and memory
58 (Morris, 1984; D'Hooge and Deyn, 2001). Animals are placed into a pool of water in
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4 which a platform is hidden beneath the surface. The animal must learn to use spatial cues
5 located in the testing room to navigate to the platform. Longer latencies indicate poorer
6 performance. Variations in the experimental protocol allow the experimenter to
7 determine whether the observed impairments are the result of working (more than once a
8 day) or reference memory (once a day) systems. Cognitive flexibility can be assessed
9 using a water maze paradigm in which the hidden platform is continually re-located. The
10 earliest measure of learning is escape latency, which is the time it takes to find the
11 platform. However, this measure is confounded by swimming speed, not necessarily a
12 cognitive factor. Path length between point of origin and platform is a parameter more
13 closely related to spatial learning. Stress of swimming may be a disadvantage of this test.
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17 *Object Recognition test*

18 This is a fast and efficient test to assess working memory (Ennaceur and Delacour, 1988).
19 The animal is first placed into an arena containing two identical objects. After a
20 predetermined period of exploration, the animal is removed from the arena, and a delay is
21 imposed. Following the delay, the animal is placed back into the arena, where one of the
22 objects has been replaced by a novel object. Since rodents are curious, they typically
23 avoid familiar objects and explore novel objects. The amount of time investigating the
24 novel object is taken as the measure of working memory. Lower exploration of the novel
25 object is thus interpreted as poorer working memory performance (for further details:
26 (Antunes and Biala, 2011).
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31 **Motor function**

32 *Locomotor activity*

33 This test is commonly used in rodents to qualitatively and quantitatively measure general
34 locomotor activity and willingness to explore (Denenberg, 1969; Stanford, 2007). This
35 test uses an arena with walls to prevent escape. Generally, the field is marked with a grid
36 and square crossings. This test measures exploratory behavior in a novel, enclosed
37 environment. Rearing and time spent moving are used to assess the activity of the rodent.
38 The apparatus is equipped with infrared beams or video cameras with associated software
39 that can be used to automate the assessment process.
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43 *Rotarod*

44 The rotarod test is used to assess motor coordination and balance (Jones and Roberts,
45 1968). The test animal (usually a rodent) is placed on a cylinder that rotates at gradually
46 increasing speed until the animal can no longer maintain itself on the cylinder. The speed
47 of the rotarod may either be held constant or accelerated. The length of time that a given
48 animal stays on the rotating rod is a measure of its balance and coordination. However,
49 the physical condition of the animal may represent a bias to the analysis.
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53 *Arm Grip test*

54 This test measures forepaw strength (Meyer et al., 1979). Animals are allowed to grasp
55 the grip strength meter with their forepaws. They are then gently pulled from the base of
56 the tail until the grip is released. A grip strength meter measures the maximum force
57 applied to the meter.
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4 *Gait*

5 This test consists of images of the underside of the animal that are taken as the animal
6 ambulates on a clear treadmill. Measurements of stride length, base width, and fore and
7 hind paw overlap give an indication of gait.
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10 **Anxiety**

11 *Elevated Plus Maze*

12 This test has become the benchmark for assessing anxiety in rodents (Pellow et al., 1985).
13 The test creates an approach-avoidance conflict between the natural tendency of the
14 rodent to explore and its aversion for open spaces. The elevated plus maze itself consists
15 of two enclosed arms and two open arms (arms without walls). Anxiety is typically
16 measured by the amount of time the animal explores the open arms. The more anxious
17 the animal, the less it will explore the open arms.
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21 *Open Field test*

22 This test, which is used to assess motor function (see previous section), may also be used
23 to assess anxiety (Prut and Belzung, 2003). The latter is assessed by including additional
24 measures of defecation, time spent in the center of the field and the first few minutes of
25 activity. Anxiety is measured by the amount of time the animal avoids the exposed center
26 area of the field and remains in close proximity to the walls.
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30 In conclusion, evaluating the behavioral phenotype in rodent models of liver disease is a
31 valid way to define the presence of HE. However, due to costs, space and infrastructure,
32 neurophenotyping is not routinely performed in laboratories. Furthermore, with
33 increasing use of these protocols, standardizing these tests will be an imperative.
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36 **Hepatic encephalopathy and brain edema**

37 Undoubtedly, in the development of intracranial hypertension in ALF, brain
38 edema plays a “physical” contributing role to the associated encephalopathy. However
39 the pathophysiological role of astrocyte swelling/brain edema in neurological
40 deterioration remains elusive. Even in the absence of intracranial hypertension, it is
41 suggested that astrocyte swelling can have important functional consequences and that
42 HE represents a clinical manifestation of astrocyte swelling (Häussinger, 2000).
43 It is well documented that cirrhotic patients with brain edema present HE (Kumar et al.,
44 2008; O’Grady, 2008; Rovira et al., 2008; Shah et al., 2008; Sugimoto et al., 2008; Bosoi
45 and Rose, 2013; Dam et al., 2015, 2015)
46 . However, it is not known whether all patients with HE have an increase in brain water.
47 In rats with bile-duct ligation (BDL)-induced CLD, a type-C model of HE, brain edema
48 and HE are present (Bosoi et al., 2014). However, rats with portacaval anastomosis
49 (PCA), a type-B model of HE, brain edema is not present (Bosoi et al., 2012). This raises
50 the question regarding the role of brain edema in the neurological alterations related to
51 HE. Other studies suggest that brain edema is not implicated in the pathogenesis of HE;
52 in rats with ALF, it was shown that following attenuation of brain edema with the
53 hypertonic solution mannitol, motor tract function did not improve (Oria et al., 2010). In
54 addition, the same authors demonstrated that following an acute injection of ammonia to
55 PCA rats, severe alterations of the motor tract function developed, without the
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4 development of brain edema (Oria et al., 2010). It is however worth noting that in this
5 study, attenuation in brain water was only measured in the cortex and brain stem whereas
6 brain water content in the red nucleus, substantia nigra and basal ganglia (regions
7 implicated in the modulation of the motor tract function) were not evaluated. In another
8 study by Wright and colleagues, BDL and sham-operated controls were challenged with
9 lipopolysaccharides (LPS) and both groups developed brain edema. However, only the
10 BDL rats presented with a neurological decline (Wright et al., 2007). In addition, acute
11 hyperammonemia induced in mice resulted in severe encephalopathy without brain
12 edema or astrocyte swelling (Rangroo Thrane et al., 2013). Furthermore, Aqp4^{-/-} mice
13 have 2-3% increased brain water content compared to wild-type animals, but no obvious
14 neurological phenotype (Nagelhus and Ottersen, 2013). However, there are many studies
15 implicating brain edema in the pathogenesis of HE. Rovira et al., elegantly demonstrated
16 a decrease in brain volume and improvement in HE following liver transplantation
17 (Rovira et al., 2007). Furthermore, BDL rats treated with ammonia-lowering agents or
18 antioxidants result in an attenuation in brain edema as well as an improvement in
19 neurological status (Bosoi et al., 2011, 2012). Whether these discrepancies are model-
20 specific (i.e. HE type A vs B vs C) remains to be determined.
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26 **Conclusion**

27 The role of brain edema, as a neuropathological feature or a cause of HE, remains
28 a controversial topic. The correlation between brain edema and HE is strong, with ample
29 supporting studies demonstrating that brain edema leads to neuronal dysfunction. We
30 suggest that different degrees of astrocyte swelling/brain edema may inflict differential
31 effects (physical stress as well as metabolic alterations) on cerebral function. Therefore,
32 brain edema remains a valid endpoint in the evaluation of HE. However, in the setting of
33 liver disease/failure, other factors in addition to brain edema may play a role in the
34 severity of HE. In effect, brain edema may play a predisposing or precipitating role in the
35 pathogenesis of severe/overt HE.
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38 Understanding the obvious limitations of assessing similar neuropsychological
39 tests to rodents as in humans, the worthiest assessment of HE in rodents remains
40 evaluating behavioural changes. Measuring changes in cognitive function, learning,
41 memory, anxiety and motor function are valid parameters in the assessment of HE. In
42 fact, developing and standardizing a battery of tests to assess HE in small animals is
43 highly warranted and worth considering in the future.
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Fig. 1

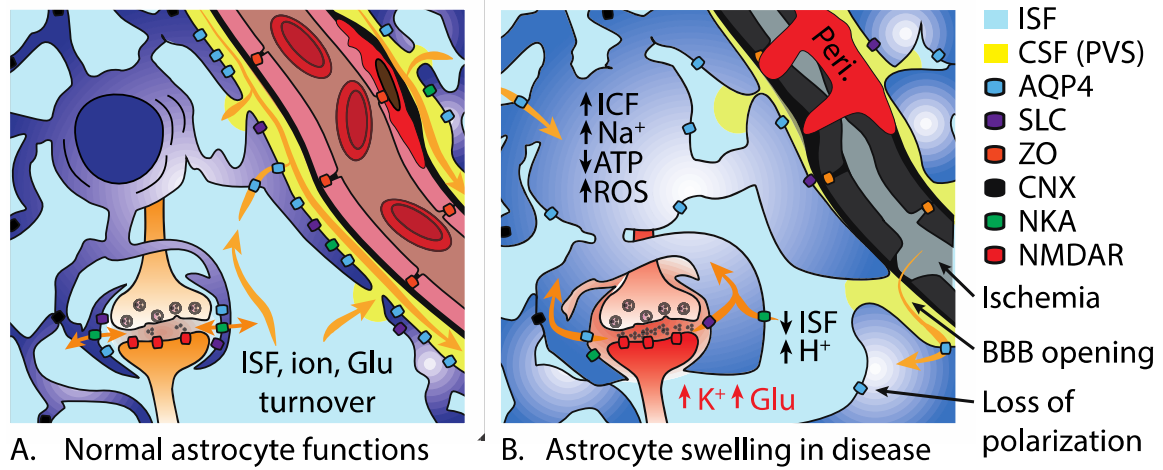


Figure 1. Effects of astrocyte swelling on brain function. *Left*, physiological salt and water turnover in the brain is functionally and anatomically compartmentalized to the peri-synaptic and peri-vascular regions. *Right*, proposed effects of astrocyte swelling on brain function include both acute alterations in ion concentrations, metabolism and blood flow, followed by more chronic or adaptive changes in transporter expression and polarization. Glutamate (Glu), interstitial fluid (ISF), intracellular fluid (ICF), adenosine triphosphate (ATP), reactive oxygen species (ROS), blood-brain barrier (BBB), cerebrospinal fluid (CSF), perivascular space (PVS), aquaporin 4 (AQP4), solute carrier family protein (SLC, e.g. Na⁺-K⁺-Cl⁻-cotransporter), zonula occludens (ZO, tight junctions), connexin (CNX, gap junctions), Na⁺-K⁺-ATPase, N-methyl-D-aspartate receptor (NMDAR), pericyte (Peri.)

Table 1: Short description of different techniques used to measure brain edema.

Technique	Type of measurement	Edema measurement	Type of edema	Cell Specificity
Water mapping	Direct – in vivo Longitudinal	% value	no	No
Dry/wet weight	Direct – ex vivo End point	% value	no	No
Specific gravity	Direct – ex vivo End point	% value	no	No
DTI	Indirect – in vivo Longitudinal	n/a	indication	indication
MT, FLAIR	Indirect – in vivo Longitudinal	n/a	No	No
Electron microscopy	Direct – ex vivo End point	n/a	No	Yes

DTI: diffusion tensor imaging; MT : magnetization transfer; FLAIR : fast fluid-attenuated inversion recovery

Table 2 : Behavioral measurements in rodents

Category	Test
Cognitive function/Learning and memory	Eight-Arm Maze Morris Water Maze Object recognition test
Motor function	Locomotor activity Rotarod Arm Grip Gait
Anxiety	Elevated Plus Maze Open Field