

Université de Montréal

**Differences in brain structure between males and females diagnosed with schizophrenia**

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## Résumé

Les progrès dans le domaine de la neuroimagerie cérébrale ont permis une certaine compréhension des maladies mentales comme la schizophrénie. Cependant, peu de résultats sont cohérents et ils sont souvent contradictoires, ce qui rend difficile de tirer des conclusions concrètes par rapport à la maladie.

Plusieurs facteurs jouent un rôle dans les résultats divergents et convergents : Les différentes techniques d'imagerie et les analyses, le nombre de patients inclus dans les études, l'âge des patients, l'âge de l'apparition de la maladie, les critères de diagnostic, les effets du traitement antipsychotique, le statut social, ainsi que les comorbidités, font partie de ces facteurs. Bien que les différences cérébrales entre femmes et hommes « normaux » sont bien établies, ce n'est que ces dernières années que des études en neuroimagerie de la schizophrénie ont abordé les différences homme-femme comme une explication potentielle des résultats discordants de l'imagerie cérébrale.

L'objectif de cette thèse est de comprendre le rôle du sexe (genre féminin et masculin) dans les anomalies anatomiques observées dans la schizophrénie; ceci, en réalisant des études qui contrôlent, autant que possible, l'effet de différentes variables confondantes et en utilisant des analyses d'IRM automatisées chez des patients et des sujets sains de même âge et du même sexe.

Une brève revue globale des résultats actuels dans le domaine de la schizophrénie ainsi que des résultats liés aux différences entre les sexes dans la schizophrénie vont être présentés.

La première étude visait à étudier l'influence des différences de sexe sur des mesures de la gyrification corticale de la schizophrénie. Étant donné que la schizophrénie est une maladie dont les «symptômes cliniques » ont un impact négatif sur la qualité de vie des patients qui en souffrent, nous avons exploré la relation entre la gyrification corticale et les différents symptômes de la schizophrénie chez les hommes et les femmes atteints de ce trouble psychiatrique. Le rôle du sexe sur la gyrification corticale et son association aux symptômes a été à peine étudié chez les patients atteints de schizophrénie ; c'est pour cette raison que, nous croyons que cette étude est d'une importante valeur.

Dans cette première étude, des images 3T T1 ont été acquises auprès de 48 patients atteints de schizophrénie (24 hommes [SZ-M] et 24 femmes [SZ-F]) et 48 volontaires sains (24 hommes [NC-M] et 24 femmes [NC-F]), appariés en fonction de l'âge et du sexe. Des mesures d'indice de

gyrification (IG) pour chaque hémisphère et les quatre lobes cérébraux (frontaux, temporal, pariétal, et occipital) ont été effectuées en utilisant le pipeline de CIVET, lequel est entièrement automatisé. Plusieurs résultats intéressants ont émergé: les patients avaient des valeurs inférieures importantes de l'IG global par rapport aux témoins; SZ-M avaient des valeurs d'IG hémisphériques significativement inférieures par rapport à NC-M, cela n'a pas été observé dans les groupes de femmes. Aucune différence entre les sexes dans les valeurs de diminution de l'IG avec l'âge n'a été observés chez les témoins sains par contre, une diminution de la valeur de l'IG avec l'âge chez les patients était plus importante chez les patients homme que les patients femmes. Une détérioration plus progressive dans l'hémisphère droit dans les deux groupes de patients a été observée, tout comme des réductions significatives des valeurs d'IG en relation avec la durée de la maladie chez SZ-M, mais pas chez SZ-F.

Dans les groupes de patients, on observe des diminutions des valeurs d'IG dans les lobes frontaux bilatéraux et, le lobe occipital droit; le groupe SZ-M a montré une valeur d'IG significativement plus élevée par rapport à NC-M dans le lobe temporal droit; SZ-F a montré des valeurs d'IG significativement plus faibles dans les lobes bilatéraux frontaux, temporaux, pariétaux et le lobe occipital droit, par rapport à NC-F. Aucune corrélation significative n'a été trouvée entre les valeurs de l'IG et le profil de la symptomatologique dans les deux groupes de patients.

Etant donné que l'IG reflète, en partie, des altérations dans le développement et la connectivité cérébrale, la diminution de l'IG observée chez les patients est en accord avec le modèle de développement neurobiologique de disconnectivité dans la schizophrénie. De plus, nous soulignons l'importance de l'âge ainsi que la durée de la maladie lorsque nous comparons les hommes et les femmes atteints de schizophrénie. Cependant, nous n'avons pas observé de corrélation significative n'a été trouvée entre les valeurs de l'IG et les symptômes, ce qui est d'un intérêt particulier et inattendu compte tenu des résultats de la neuroimagerie montrant par exemple certaines corrélations entre les symptômes positifs et certaines anomalies du lobe temporal dans la schizophrénie.

Considérant ces résultats, nous avons décidé d'investiguer, dans notre deuxième étude, l'association entre les symptômes et les densités de matière grise (DMG) et de matière blanche (DMB) à la place des mesures de gyrification corticale. Nous avons utilisé la morphométrie basée sur le voxel "Voxel Based Morphometry (VBM8.0 with Diffeomorphic Anatomical Registration (Through Exponentiated Lie Algebra [DARTEL])" et la modélisation linéaire automatique

(SPSS21.0 ALM) sur les images 3T T1 MPRAGE acquises auprès de 40 patients atteints de schizophrénie (SZ) et 41 témoins sains (NC).

Nous avons trouvé que les patients atteints de schizophrénie avaient une DMG réduite dans le cortex cingulaire antérieur, le cortex temporal médian gauche et une DMG plus élevée dans le cortex cingulaire postérieur gauche par rapport aux sujets sains. Une diminution significative de DMB dans la région fronto-rectal inférieure gauche et la région pariétale postérieure gauche a été observée chez les patients comparés aux sujets sains.

Nous avons trouvé des corrélations positives entre les symptômes positifs et la DMG dans l'insula gauche et le noyau caudé droit; et entre les symptômes négatifs et la DMG dans le cortex frontal médian droite et le lobe postérieur de cervelet droit. Nous avons aussi trouvé des corrélations négatives de DMG dans la région pariétale droite (précuneus), le lobe postérieur du cervelet gauche et les symptômes positifs; ainsi qu'entre la DMG du lobe antérieur du cervelet gauche et les symptômes négatifs. En outre, des corrélations positives ont été trouvées entre la DMB dans le cortex frontal médian droit et les symptômes positifs et entre le DMB dans la région frontale supérieure droite et les symptômes négatifs. Des corrélations négatives ont été trouvées entre les symptômes positifs et la DMB dans la région occipitale inférieure droite et le cunéus occipital droit, tandis que des corrélations négatives ont été trouvées entre la DMB et la région frontale supérieure gauche.

Il est intéressant de noter que lorsque les symptômes ont été analysés par regroupement, nous avons trouvé que le symptôme de la désorganisation conceptuelle corrélait positivement avec la DMG totale et la DMB totale. L'augmentation de DMG a été associée à une diminution de la gravité des hallucinations et du manque de spontanéité; tandis que l'augmentation de DMB totale a été associée à la diminution de la sévérité de l'hostilité et des idées de grandeur. Une comparaison entre les groupes d'hommes a montré une diminution de la DMG chez les patients schizophrènes, tandis qu'aucune différences n'a été observée dans les groupes de femmes. Nous n'avons trouvé aucune corrélation entre la DMG, la DMB, le liquide cérébro-spinal, le volume total du cerveau, les symptômes individuels et la schizophrénie chez les sujets féminins. Chez les hommes atteints de schizophrénie, on observe des corrélations négatives importantes entre les idées de grandeur et la DMB; des corrélations positives entre la désorientation et la DMB. De plus on observe des corrélations entre et les déficits d'attention et de DMG et DMB. Nos résultats montrent que ces associations sont différentes chez les hommes et les femmes atteints de la schizophrénie.

La symptomatologie de schizophrénie est un mélange de déficits cognitifs et socio-affectifs. Dans ce contexte, le but de notre troisième étude est d'étudier chez les patients atteints de la schizophrénie des DMG et DMB et leur relation avec l'acuité mnésique avec des contenus émotionnelles (négatives, positives et neutres) ainsi que d'étudier l'effet des différences de sexe sur nos résultats.

Quarante et un patients droitiers, traités par antipsychotique, souffrant de schizophrénie (SZ) et 40 témoins sains (NC), tous droitiers, ont participé à l'étude. Nous avons utilisé des images de l'*International Affective Picture System (IAPS)*, une banque d'images émotionnelles, et de l'IRM.

On observe chez les témoins sains des corrélations entre les valeurs élevées de DMG du cortex pariétal postérieur, du lentiforme, du putamen, noyau caudé, le cortex orbitofrontal inférieur gauche et la reconnaissance des images négatives. On observe des corrélations entre la DMG dans la région temporale gauche, fusiforme et la reconnaissance des images positives ; et également dans le cervelet antérieur gauche et l'acuité des images neutres. Chez les patients on observe des valeurs élevées des DMG dans le cortex occipital inférieur gauche et la reconnaissance des images négatives, mais aucune corrélation entre la capacité de reconnaissance des images positives ou neutres.

Nous avons observé chez les témoins sains: des relations significatives entre la DMB dans le cortex pariétal postcentral gauche et la capacité de reconnaître des images négatives; dans le cortex temporal inférieur gauche, le cortex pariétal gauche (précuneus), le cortex frontal gauche et la capacité de reconnaissance des images positives; des valeurs de DMB du cortex temporel médian et l'acuité des images neutres.

Les patients atteints de schizophrénie ont montré des relations significatives entre de DMB dans le cortex occipito-lingual gauche et la reconnaissance des images négatives ; dans le cortex pariétal angulaire gauche et la reconnaissance des images positives ; et dans le cortex temporal supérieur droit et les images neutres. Les différences de sexe dans la schizophrénie ont été observées : chez les patients de sexe masculin, des corrélations négatives ont été trouvées entre les DMB et la capacité de reconnaître des images négatives et positives. Chez les hommes sains, nous avons trouvé des corrélations positives entre des valeurs totales de DMG et la capacité de reconnaître des images négatives. Nous n'avons pas observé de corrélations dans les groupes de femmes. Ces résultats soutiennent l'hypothèse de l'atrophie fronto-temporale régionale chez les patients schizophrènes. Toutefois, nous notons qu'ils ont des augmentations relatives des valeurs de DMB dans le cortex occipito-pariétal.

Nous avançons l'hypothèse que les déficits mnésiques chez les patients sont liés à des perturbations dans la coordination des réseaux cérébraux, ce qui peut être affecté par des déficits structuraux plus évidents chez les patients masculins. Par conséquent, nous préconisons que les futures études devraient utiliser le connectome ou l'approche « réseaux cérébraux » pour étudier l'impact du sexe (genre masculin-féminin) sur les déficits cognitifs et symptomatologiques dans la schizophrénie. Nos résultats globaux soulignent l'importance de la différence entre homme et femme dans la modulation de manifestations cliniques et fonctionnelles de la schizophrénie. Ainsi, nous croyons que le contrôle des covariables comme l'âge, la durée de la maladie et le statut social est insuffisant et que les études futures sur la schizophrénie devraient systématiquement séparer les hommes des femmes, afin de mieux comprendre cette maladie mentale complexe et dévastatrice.

**Mots-clés:** Schizophrénie, les différences de sexe, l'imagerie par résonance magnétique, les symptômes, les déficits cognitivo-affectifs, indice de gyrification, substance grise, la substance blanche.





## Abstract

Advances in cerebral neuroimaging techniques have helped our understanding of mental illnesses, such as schizophrenia. Few findings remain consistent and are often contradictory, making it difficult to draw informative conclusions about the disease. Several factors play a role in both diverging and converging results. Imaging technique and analyses, number of patients involved, age of patients, age at onset of the disease, diagnostic criteria, antipsychotic treatment effects, social status, comorbidities, are among some of the reasons. Despite well established cerebral sex differences in healthy population, it is only in recent years that neuroimaging studies in schizophrenia have addressed sex differences as a major possible explanation for discrepant neuroimaging finding.

The aim of this thesis is to help understand the role of sex on brain structures in schizophrenia, by conducting studies that control as much as possible for other variables and by using MRI automated analyses for patients and controls matched for age and sex. This work will briefly present findings in schizophrenia in general, and then an extensive review of the literature on sex differences in schizophrenia will be presented. From it, we are able to conclude that sex differences have been reported with rare exception in almost all aspects involved in the life of patients with schizophrenia.

## Chapters

1. The first study investigated sex differences in cortical gyrification in schizophrenia patients (SZ). In addition, considering that schizophrenia is a disease of “clinical symptoms” that determine the quality of life of patients afflicted by it, we explored the relation between cortical gyrification and symptoms in males and females with schizophrenia. The role of sex on cortical gyrification and its association with symptoms has been scarcely investigated in patients with schizophrenia. In this study, 3T T1 images were acquired from 48 schizophrenia patients (24 males [SZ-M] and 24 females [SZ-F]) and 48 normal controls [NC] (24 males [NC-M] and 24 females [NC-F]) matched for age, sex, and handedness. Gyrification Index (GI) analyses for each hemisphere and four cerebral regions (frontal, temporal, parietal, and occipital) were performed using the fully automated CIVET pipeline. Patients had significant lower values of the overall GI relative to normal controls and SZ-M had significant lower right hemispheric GI values compared to NC-M. This was not observed in either NC-F or in SZ. No gender difference in GI values decreases with

age were observed in NC. In patients, GI decreases with age were greater in SZ-M than SZ-F, with a more progressive deterioration in the right hemisphere in both patient groups. Significant GI value reductions in association with duration of illness were observed in SZ-M but not in SZ-F. Patient groups had lower GI in bilateral frontal, temporal, and parietal lobes than controls. SZ-F had significant lower GI values in left frontal, bilateral temporal and left parietal lobe compared to NC-F. No significant correlations were found between GI values and symptom scores in either group of patients. Since GI reflects, in part, alterations in cerebral development and connectivity, the decrease in GI observed in patients is in agreement with the neurodevelopmental model of disconnectivity in schizophrenia, and may explain the worse prognosis and social outcome observed in male patients. Furthermore, we emphasize the importance of age and duration of illness when comparing males and females with schizophrenia. Observed differences between male and female patients may reflect a more diffuse and generalized cortical loss in males. Female patients had cortical loss in specific regions, while preserving cortical gyrification in compensatory regions. Our latter finding -no significant correlation between GI values and symptom scores- was of particular interest and was unexpected in view of neuroimaging findings of correlations between positive symptoms and temporal lobe abnormalities.

2. In the second study, we examined the association between symptoms and brain structure using gray (GMD) and white matter (WMD) densities. Voxel-based morphometry (VBM8.0 with Diffeomorphic Anatomical Registration Through Exponentiated Lie Algebra [DARTEL]) and Automatic Linear Modeling (SPSS21.0 ALM) were used on 3T T1 MPRAGE images acquired from 40 schizophrenia patients (SZ) and 41 normal controls (NC). We found that SZ had lower GMD in the anterior cingulate cortex and left middle temporal gyrus, and higher GMD in the left posterior cingulate in comparison to NC. SZ had significantly lower WMD in the left inferior fronto-rectal and the left posterior parietal regions in comparison to NC. Significant positive correlations were found between positive symptoms and GMD in the left insula and right caudate, and between negative symptoms and GMD in the right middle frontal and the posterior lobe of the right cerebellum (uvula). Inverse relationships between GMD in the right parietal (precuneus), the left posterior lobe of the cerebellum (uvula) and positive symptoms, and between GMD in the left anterior lobe of the cerebellum and negative symptoms were observed in SZ. In addition, positive correlations were found between WMD in the right middle frontal lobe, and between positive symptoms and WMD in the right superior frontal region with negative symptoms. Negative

correlations were found between positive symptoms and WMD in the right inferior occipital and the right occipital cuneus, while negative symptoms correlated negatively with the WMD of the left superior frontal.

When symptom clusters were analyzed, conceptual disorganization symptom positively correlated with both total GMD and WMD. While increases in GMD were associated with decreased severity of lack of spontaneity and hallucinations symptom, increases in total WMD were associated with decreased severity of hostility and grandiosity symptoms. Comparison between male subjects revealed decreased GMD in male schizophrenia patients, while no differences were observed between females across groups. No correlations were found in female groups between GMD, WMD, CSF, or total brain volume and individual symptoms. In males with schizophrenia, significant negative correlation between ideas of grandiosity and WMD, a positive correlation between disorientation and WMD, and attention deficits and GMD and WMD were found. The current data suggest region-specific GMD and WMD association with negative and positive symptoms. In addition, it reveals that such associations are different in male and female schizophrenia patients.

3. The third study investigated the relationships of GMD and WMD with memory accuracy for emotionally negative, positive, and neutral pictures in schizophrenia patients relative to normal controls. Schizophrenia is characterized by an amalgam of cognitive-socio-emotional deficits. The relationship between emotion processing on cognition and neurobiological underpinnings merit more attention than it has received so far. Memory deficits are among the most common deficits in schizophrenia and have a widespread impact on cognition in general. Additionally, consistently with the major theme of the present thesis, we investigated the effect of gender on the observed effect. Forty one, right-handed medicated patients with schizophrenia (SZ) and 40 right-handed normal controls (NC) matched by age and sex were assessed for memory accuracy using negative, positive and neutral pictures taken from the International Affective Picture System (IAPS). Imaging methods and analyses were similar to our second study. Fifteen minutes after presentation of selected IAPS images (incidental encoding), subjects were asked to recognize the previously seen images among other images. We found higher GMD in NC in the right posterior parietal cortex, lentiform, putamen, and caudate, as well as the left inferior orbitofrontal cortex, in relation with the negative images accuracy. NC had higher GMD in the left temporal and fusiform regions in relation with the positive images accuracy, and higher GMD in the left anterior cerebellum in

relation with neutral images. Schizophrenia subjects had higher GMD in the left inferior occipital cortex in relation with the negative images accuracy, but GMD was not correlated with positive or neutral images accuracy in this group. WMDs correlations were higher in NC in the left postcentral parietal region for negative images; in the left inferior temporal, left precuneus parietal, and left frontal regions for positive images; and in the left middle temporal region for neutral images. Schizophrenia patients had higher WMD in the left lingual occipital for negative images; in the left angular parietal for positive images; and in the right superior temporal region for neutral images. While examining the two sexes separately, we observed inverse correlations between WMD and both negative and positive pictures in male patients. In addition, only in male controls, GMD positively correlated with negative pictures and this correlation was absent in female SZ subjects and NC females. These findings support the hypothesis of fronto-temporal regional atrophy in schizophrenia. Schizophrenia patients have relatively increased occipito-parietal WMD, advancing the hypothesis that the core pathophysiological problem underlying recall memory in SZ may be related to disruptive alterations in the coordination of large-scale brain networks, and this may be affected by structural deficits that are more evident in male patients. It is recommended that future studies should use the connectomes or the brain networks approach to investigate the effect of sex on memory deficits in schizophrenia.

Our overall findings point out to the importance of sex in modulating the clinical and functional manifestations of schizophrenia. We believe that controlling for covariates as age, duration of illness, social status, etc. is insufficient and that future studies in schizophrenia should systematically separate male and female findings, if we wish to understand this complex and devastating mental illness.

Keywords: Schizophrenia, sex differences, magnetic resonance imaging, positive and negative symptoms, cognitive-emotion deficits, gyrification index, voxel based morphometry, gray matter densities, white matter densities.

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**Abbreviations**

2D	2 dimensional
3D	3 dimensional
3T	3 Tesla
AICC	Akaike's Information Criterion Corrected
ALM	Automatic Linear Modeling
ANCOVA	Analysis of covariance
ANOVA	Analysis-of-variance
BA32	Broadman Area 32
BDNF	Brain-derived neurotrophic factor
CATIE	Clinical Antipsychotic Trials of Intervention Effectiveness
CCTCC	Cortico-cerebellar-thalamic-cortical circuit
CIHR	Canadian Institutes of Health Research
CIVET	Brain image-processing pipeline for fully-automated corticometric, morphometric and volumetric analyses of magnetic resonance
CLASP	Constrained Laplacian Anatomic Segmentation using Proximity
CSF	Cerebral spinal fluid
CT scans	Computerized tomography
DARTEL	Diffeomorphic Anatomical Registration Through Exponentiated Lie Algebra
DMB	Densité de la matière blanche
DMG	Densité de la matière grise
DRSC	Developmentally reduced synaptic connectivity
DSM-IV	The Diagnostic and Statistical Manual of Mental Disorders, fourth edition
DSM-V	The Diagnostic and Statistical Manual of Mental Disorders, fifth edition
ERP	Event Related brain Potentials
FDR	False discovery rate
fMRI	Functional magnetic resonance imaging
FOV	Field of view
FRSQ	Fond de recherche en santé du Québec
GABA	Gamma-aminobutyric acid
GI	Gyrification index

GLM	General Linear Model
GM	Gray matter
GMD	Gray matter density
GMV	Gray matter volume
IAPS	International Affective Picture System
ICD-10	International Statistical Classification of Diseases, 10 <sup>th</sup> revision
IG	Indice de gyrification
IGH	The Institute of Gender and Health
IRM	L'imagerie par résonance magnétique
IQ	Intelligence quotient
L	Left
MATLAB	Matrix Laboratory
MNI	Montreal Neurological Institute
MPRAGE	Magnetization Prepared Rapid Gradient Echo Imaging
MRI	Magnetic resonance imaging
MSUC	Meiotic suppression of unpaired chromosomes
NC	Normal controls
NC-M	Normal control males
NC-F	Normal control females
NFG	Nerve growth factor
NICE	The National Institute for Health and Care Excellence
NMA	Negative memory accuracy
NOC	National Occupational Classification NOC
OAR	Orbitofrontal cortex and amygdala ratio
OC	Obstetrical complications
PANSS	Positive and negative syndrome scale
$P_{\text{FWE-corr}}$	Familywise error rate
PMA	Positive memory accuracy
PORT	Patient Outcomes Research Team
QT	QT; the time between the start of the Q wave and the end of the T wave in the cardio electric cycle

R	Right
SANS	Scale for the Assessment of Negative Symptoms
SZ	Schizophrenia patients
SCID	Clinical Interview for DSM-IV
SD	Standard deviation
SNR	Signal to noise ratio
SPM8	Statistical Parametric Mapping version 8
SPM-t	Statistical parametric map of the t-statistic
SPSS 17	Statistical Package for the Social Sciences, version 17.0
SPSS 21	Statistical Package for the Social Sciences, version 21.0
SZ-M	Males with schizophrenia
SZ-F	Females with schizophrenia
TE	Echo Time
TR	Repetition Time
VBM	Voxel based morphometry
VBR	Ventricular-brain ratios
WHO	World Health Organization
WM	White matter
WMD	White matter density
ZMA	Neutral memory accuracy

## Thank you

*I dedicate this work to my parents, my wife, and my children.*

Working as a clinician and at the same time on my Ph.D., has been a great challenge. There were moments when I thought it was impossible, however I feel blessed to be surrounded by people who inspire me, encourage me, and most of all believe in me. Here I would like to take the time to thank them for the force they have ignited in me, especially at the times when the road was rough and the purpose of what I wanted to achieve was distorted. I have to start where all things start...with my parents, you taught me the importance of perseverance and that it is “never too late”, you taught me the magical effect of “...it’s ok...you’re almost there!”. You inspired me by being yourselves a model to follow and by obtaining your degrees decades before. I want to thank you for that, for your unconditional love and for doing all what you could to provide me with the bases that would make me the independent and the “not afraid of challenges” person I am now.

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## **1 Introduction**

### **1.1 History of schizophrenia**

The term schizophrenia, which literally means “split mind or mind torn apart” was first used by Euegen Bleuler (1911). Bleuler defined schizophrenia as essentially a splitting of thoughts (cognition) from feelings (emotion). Bleuler considered symptoms such as loose associations, blunted affect, ambivalence, and autism as the core psychopathologies of the illness. Bleuler defined a set of basic symptoms considered to be unique to the disorder and present in all patients with the disorder. The pathogenesis and prognosis were considered to be variable where patients may present a stable, deteriorating, or improving clinical tableau after the first onset (1). Kraepelin (1919) used the term dementia praecox to describe schizophrenia; he believed it was a disease with a single etiology and a distinct pathogenesis (2). More specifically, Kraepelin described the disease as neurodegenerative with a dementia-like characteristics that started in adolescence or early adulthood. Symptoms described were catatonia, hebephrenia, and paranoid dementia. Positive symptomatology in schizophrenia was conceptualized by Schneider (1959) who described primary symptoms of schizophrenia like hallucinations as disease specific (3). Since then several authors have reported these symptoms in other somatic/physical (4, 5), neurological (6), genetic (7-9) and psychiatric pathologies (10).

### **1.2 Overview**

Schizophrenia is a severe, chronic and complex psychiatric disorder characterized by a significant functional decline impacting cognitive, affective and social domains (11). Its complexity is reflected in the heterogeneous clinical presentation with symptoms ranging from hallucinations and delusions, disorganized speech and behavior, to flat affect, lack of motivation, and cognitive deficits linked to areas of attention, memory and executive functions (12, 13). In addition, schizophrenia heterogeneity is further complicated by the presence of important sex differences with respect to age at disease onset, symptomatology, medication responsiveness, prognosis, social outcomes, comorbidities, premorbid functioning, and structural brain



abnormalities (14-21). Schizophrenia typically manifests during late adolescence or early adulthood between the ages of 16 and 30 years. It usually has a progressive and insidious onset over several years. Approximately 10-15% of schizophrenia patients commit suicide (22, 23) and almost half of the patients will have attempted suicide during their lifetime (24-26). Mortality in schizophrenia population for all causes, has been shown to be two to threefold higher than in the normal population (27).

Schizophrenia is characterized by a large array of symptoms that can be seen in other mental diseases. Symptoms are classified under three broad types: I- Positive symptoms (i.e., conceptual disorganization, delusional ideation, altered perceptual experiences as acoustic- verbal, tactile, and gustatory hallucinations, hostility, grandiosity, and paranoid ideation). II- Negative symptoms (i.e. blunted affect, emotional withdrawal, passive/apathetic social withdrawal, anhedonia, avolition/apathy, and poverty of speech). III- Cognitive symptoms (i.e. poor attention, lack of judgment and insight, unusual thought content, difficulties in abstract thinking, disorientation in time and space, alteration in the perception of self and one's situation, and concentration and memory deficits).

Criterion-based systems have been developed to decrease the complexity and improve the reliability of diagnosis. These systems include the International Classification of Diseases, tenth edition (ICD-10) (1994), used mostly in European countries, the Diagnostic and Statistical Manual of Mental Disorders, fourth edition (DSM-IV) (1994), and more recently the Diagnostic and Statistical Manual of Mental Disorders, fifth edition (DSM-V) (2013) which describe characteristic symptoms of schizophrenia (28). The two systems have some fundamental differences (for details please see table Y) (29). The release of the DSM-V introduced two changes to DSM-IV Criterion A for schizophrenia: (1) bizarre delusions and special auditory hallucinations (Schneiderian first-rank symptoms) are not considered as schizophrenia specific and (2) that the individual must have at least one of the core "positive symptoms": delusions, hallucinations, and disorganized speech for a reliable diagnosis of schizophrenia. Both DSM-IV and V criteria require the presence of social and occupational dysfunction (30). Subtypes of schizophrenia were removed because of lack of diagnostic and course stability. Since the release of DSM-V several clinicians and researchers questioned, criticized, and debated the coherence of its criteria for schizophrenia (29, 31-34). Overall, diagnostic criteria improve reliability and improve clinical communication.

The emphasis on psychotic symptoms (delusions and hallucinations) in the diagnosis of schizophrenia has overshadowed the importance of other symptoms that are less acute, particularly those symptoms that have a great negative impact on social and interpersonal relations. Symptoms of social and cognitive deterioration are usually present before and persist after remission of the acute phase of positive symptoms. Interestingly, a recent meta-analysis demonstrated that contrary to the current belief, negative symptoms were found to decrease in almost all schizophrenia outpatient samples (35). These findings suggest that negative symptoms may improve over time to a greater extent than what has previously been assumed (36-38). Blanchard & Cohen (2006) examined the structure of negative symptoms in schizophrenia and whether they represent an independent group of symptoms. They also investigated if negative symptoms are themselves subtyped. They consistently found across studies that negative symptoms seemed to be independent from positive, affective, depression, anxiety, or disorganization symptoms. Two separate clusters of negative symptoms were consistently identified in the literature: diminished expression and anhedonia-asociality (39).

The relation between negative symptoms (e.g. apathy, avolition, blunted affect) and loss of social and interpersonal abilities are now well documented in the literature (40, 41). Patients suffering from schizophrenia are typically unable to continue their education or maintain their employment (42). Tsang et al, (2010) found that cognitive functioning, negative symptoms, social, community, and mental health support were significant predictors of employment outcome. Positive symptoms, substance abuse, gender and hospitalization history were found to be non-significant predictors (43). Negative symptoms may be the best symptomatic predictor of functioning in individuals with early psychosis is an important treatment target to improve remission (44, 45)

A cornerstone theory to explain schizophrenia symptoms is the 'dopamine hypothesis' (46). It was posited that positive symptoms were related to dopamine neuron overactivity in the mesolimbic pathway, and that the negative symptoms were related to underactivity of dopamine neurons in the prefrontal cortex (47-49).

### 1.3 Epidemiology of schizophrenia

Schizophrenia is a severe mental disease with a combined economic and social burden (50) ranking among the world's top causes of disability in life-years (51, 52). Lifetime prevalence is between 0.7 and 1% worldwide (53-55). More recent studies suggest that the rate of 1% could be an overestimate and that arguably, the prevalence of the disorder is typically higher in developed than in developing countries, and higher in migrant groups than in native-born populations (56, 57). Additionally, a higher prevalence of schizophrenia among lower socio-economic classes within communities has been steadily reported over the past century (58-60). Incidence, which represents the annual number of newly diagnosed patients in the population varies significantly in the literature (61, 62). McGrath and colleagues (2008) have reported that the median incidence of schizophrenia was 15.2/100,000 persons and that the central 80% of estimates varied significantly between 7.7 and 43/100,000 persons. In addition, the authors found urbanity, migration, and male gender to be associated with higher developing of the disorder. The changeability in incidence rates might be attributed to changes in diagnostic approaches (27).

### 1.4 Etiology of schizophrenia

Factors that may play a role in the development of schizophrenia include , perinatal/prenatal viral infections (63, 64), obstetric complications, hypoxia at birth, Rh incompatibility, famine and malnutrition, severe environmental stresses, urbanity, winter births, and heritability as demonstrated by twin and adoption studies. To date the etiology of schizophrenia remains unclear. Several theories on the origin of schizophrenia have been proposed. One central hypothesis is the neurodevelopmental theory, which posits that neurodevelopmental changes in utero through young adulthood play a role (65-70). Neurodevelopmental factors are influenced by the combined impact of genetics and prenatal events triggering pathological processes during adolescence (71-73). The mechanism by which these factors lead to schizophrenia remains unknown. Neurodevelopmental anomalies during uterine development in the 1<sup>st</sup> and 2<sup>nd</sup> trimesters have been proposed to result in pathological neural circuitry during critical development in adolescence (74) especially if the adolescent is exposed to stress or abused drugs (65, 71, 75-80).

Recent advances in neuroimaging, genetics, and neuropharmacology have indicted the pivotal role played by abnormalities in key neurotransmitters (i.e. dopamine, serotonin, glutamate, GABA, acetylcholine, and noradrenaline) in development of schizophrenia (81-91).

The risk of developing schizophrenia is considerably higher in individuals with an affected family member. This risk increases with the degree of genetic proximity: it is higher in persons with first-degree relative with the disorder (92, 93). Twin and adoption studies of schizophrenia consistently show higher concordance rates in monozygotic of approximately 50 to 80% in comparison with approximately 17% in dizygotic twins(94-99). Approximately 20 to 50% of monozygotic twins are discordant for schizophrenia, suggesting a role for the environmental factors (100, 101). There is little evidence available to isolate one specific or group of genes in schizophrenia (60), although it is likely to be a polygenic/multifactorial disease (60, 102, 103). Additionally, both pre- and perinatal complications (e.g. maternal influenza, fetal hypoxia), comorbidities as obsessive-compulsive disorder and other environmental elements (e.g. season of birth, cannabis use) have been linked to a substantial increase in risk for schizophrenia (60, 104-108). Lastly, subjects with a family history of schizophrenia were found to have a lower average age at onset (109)

## **1.5 Cognition in schizophrenia**

Schizophrenia was a term created by Bleuler in 1911 to characterize the fragmentation and disintegration of the mind and behavior of this disorder (1). Since then, research has intensively investigated the splitting of thoughts, feelings, and actions in schizophrenia (110-114). Specifically, studies have addressed how and why these functions are dissociated (115-117). Despite the robust evidence for the influence of emotion on cognition and vice versa, the nature of these interactions and their role in psychotic symptoms are not yet clearly understood (118-120).

A meta-analysis by Reichenberg (2010) revealed that schizophrenia patients manifest a wide range of cognitive deficits, including reduced capacity in executive function, declarative memory, and processing speed. Other cognitive deficits encompass working memory, motor speed, language and perception deficits (121). Chronic schizophrenia patients have disturbances in both selective attention and maintaining attention (122-124). Neuroimaging studies show that these deficits are associated with a diminished activation in superior temporal and frontal gyri, cingulate,

thalamus, and basal ganglia. Abnormal activation correlated with positive and negative symptoms (125). Increased activation in other prefrontal areas in patients is thought to be compensatory (126).

A meta-analysis by Fioravanti et al., (2012) reported that executive functions are severely affected in patients with schizophrenia (127). Functional neuroimaging studies on executive function show that schizophrenia patients activate a similar neural network when compared with healthy subjects; however, patients had altered activity with deficits in the dorsolateral prefrontal cortex, anterior cingulate and mediodorsal nucleus of the thalamus.

Memory deficits are among the most common deficits in schizophrenia with widespread impacts on other cognitive problems, ranging from attentional and visuo-spatial deficits to problems in higher cognitive functions such as reasoning, planning and problem solving (124, 128-137). Deficits in working memory have been associated with hypoactivation in the medial temporal lobe (137). A meta-analysis of episodic memory in patients with schizophrenia showed a robust pattern of decreased cerebral activations in the prefrontal cortex, cerebellum and temporal regions (138). Temporal lobe neuroanatomical abnormalities, contributing to memory deficits, observed in structural neuroimaging studies remain among the most robust findings (139-142).

Overall, memory impairments in schizophrenia are considered to be core endophenotypes because they are common, are relatively stable across the course of the illness, are present regardless of psychotic symptoms, and are found to a lesser degree in unaffected relatives (143).

## **1.6 Emotion in schizophrenia**

Affective deficits are characteristics of schizophrenia and can be summarized in three general domains: perception, expression, and experiencing of emotions (144-154). Habel et al., (2000) assessed discrimination and experience of emotion (mood induction) in three different ethnic groups of patients with schizophrenia (American, German, and Indian) using happy, sad, and neutral facial expressions of Caucasian actors. Face discrimination performance was impaired across the three patient groups in comparison with healthy controls. This finding is consistent with the literature on schizophrenia features being stable across cultures (155, 156). A recent meta-analysis by Barkl and colleagues (2014), found that deficits in facial emotion identification were already present in patients at the onset of psychosis, and that emotion identification impairment

represents a trait susceptibility marker, rather than a consequence of the disease (144). Impairments in perception of facial emotions vary from moderate to severe in schizophrenia (146), and appear to be influenced by clinical and demographic factors (151). Collectively, these studies provide substantial support for a socio-emotional deficit in schizophrenia (147, 149, 157-162). Disturbances in socio-emotional cognition observed in patients with schizophrenia may represent an abnormal interaction between frontal lobe and its functionally connected cortical and subcortical areas (163). Significant variations in deficits are not explained by age, education, or gender. Severity of clinical symptoms and duration of illness were associated with greater deficits in emotion processing (164).

Inconsistent findings of emotional valence and arousal deficits are reported in schizophrenia patients. Patients with anhedonia show deficits in valence but not in arousal compared to controls. Reduced valence experience was associated with increased degree of anhedonia in patients and controls (165). Schizophrenia patients with flat affect seemed to rate emotional valence similarly to healthy controls however they have reduced neural activity in the anterior cingulate, right parahippocampal gyrus and multiple visual areas (166). Foucher et al, (2011) showed that coupling arousal with cognitive tasks improve cerebral activity in hypoactive regions in patients with schizophrenia (167). In healthy population, a weak but consistent V-shaped relation between arousal and valence was reported. This relation showed a large variation at the individual level depending on person or circumstances (Kuppens et al., 2013).

Many studies have identified structural and functional abnormalities in the medial prefrontal cortex, orbitofrontal and anterior cingulate gyrus regions pivotal to the regulation of affective states and emotional behavior in schizophrenia (168). Additionally, abnormalities of the amygdala have been reported during processing of emotions in patients with schizophrenia (153). Gur and colleagues (2004) (169) found that schizophrenia patients had no activation of the limbic regions during emotional valence discrimination tasks. Conversely, a study by Kosaka et al., (2002) found that schizophrenia patients activated their amygdala bilaterally during negative face discrimination tasks in comparison to healthy controls who activated the right amygdala alone (170). Interestingly, studies comparing subgroups of schizophrenia patients show varying degrees of emotional impairments (108, 171-184). Impairment in emotional functioning is closely associated with to poor clinical and social outcomes including unemployment, social dysfunction,

and worse prognosis (42, 185-188). Cognitive and affective deficits in schizophrenia have been associated with altered multisensory integration also termed perceptual incoherence (189). Postmes et al., (2014) theorize that perceptual incoherence in schizophrenia may evoke incoherent self-experiences including depersonalization, ambivalence, diminished sense of agency, and 'loosening of associations' between thoughts, feelings and actions. Thus patients with schizophrenia are unable to apprehend the world in holistic manner or to experience the unity of his/her self, thoughts and feelings (190)

## 1.7 Pathogenesis of schizophrenia

The combined effects of gene and environment have been associated with brain morphometric abnormalities in schizophrenia. These abnormalities are suggestive of alterations in synaptic, dendritic, and axonal organization, resulting in abnormalities in connectivity between cerebral neural circuitry (141, 191-198). Environmental and developmental processes further impact these abnormalities (22, 77, 199).

It has been postulated that schizophrenia is a result of disturbances in the later phases of cerebral cortical development especially in the last phases of neuronal migration and pruning of cortical connections (e.g. Jones, EG, 1995, 1997)(200, 201). A disturbance of migration or preprogrammed pruning in the white matter immediately below layer VI of the cortex causing a failure to establish normal patterns of connections in the overlying cortex was proposed as an explanation. This vulnerable circuitry is associated with altered gene expression for neurotransmitter and receptor-related molecules, which in turn could lead to schizophrenia (200, 201). Based on studies showing altered neuronal density, Selemon and Goldman-Rakic (1999) proposed that reduction in prefrontal cortex interneuronal neuropil compromised cell structure, and impoverished neuronal connectivity caused deficits in functional communication between neurons. They reported that the increased neuronal density seen on histological examination as reduced neuropil without neuronal loss, indicated a subtle loss of connections between neurons with devastating consequences on cortical function in patients with schizophrenia (202). Andreasen et al., (1998) proposed a connectivity model in schizophrenia that leads to what the authors termed *cognitive dysmetria*. This model implicates dysfunction of the cortico-cerebellar-thalamic-cortical circuit (CCTCC) connectivity in schizophrenia (203).

McGlashan and Hoffman (2000) proposed that schizophrenia resulted from developmentally reduced synaptic connectivity (DRSC). The model suggests that schizophrenia arises from critically reduced synaptic connectedness because of developmental disturbances of synaptogenesis during gestation and early childhood and/or synaptic pruning during adolescence. The DRSC model describes reduced synaptic density in prefrontal and other areas of association cortex as the “final common pathway” to the symptoms and course of schizophrenia (198). Buckley et al., (2007) found that disturbances in brain-derived neurotrophic factor (BDNF) and nerve growth factor (NFG) might contribute to the pathogenesis of schizophrenia. These disturbances were found in first-episode patients. The preceding findings point to the presence of altered connectivity patterns in schizophrenia. These alterations may manifest as micro (i.e. the synaptic/neural level) or macro (i.e. white matter wiring/cabling level) circuit anomalies (204).

### **1.8 Treatment of schizophrenia**

Schizophrenia remains an incurable mental illness partly due to the heterogeneity of symptoms and the limited efficacy of current treatments (205-209). Existing treatments aim at managing the symptoms in their acute phase and attaining a clinical stability over time using multidisciplinary approaches such as medication, cognitive remediation, individual and group psychotherapy, social enforcement and integration, appropriate housing, adapted employment and financial aid, and supportive family education (210). These approaches are recommended guidelines in North America by The Schizophrenia Patient Outcomes Research Team (PORT) (2009) and The National Institute for Health and Care Excellence (NICE) in UK (2009).

In general, typical antipsychotics, also termed first generation antipsychotic drugs have been shown to be effective in improving positive symptoms and in decreasing relapses of schizophrenia, while having little therapeutic effects on negative symptoms, mood symptoms and cognitive deficits (11, 211-215). Atypical antipsychotics are characterized by fewer extrapyramidal side-effects (i.e. pseudo Parkinson's-like symptoms), better efficacy in treatment of negative symptoms, fewer prolactin disturbances, and additional benefits on cognitive deficits (216). It should be noted however, that recent data regarding efficacy of atypical versus typical antipsychotics does not support the greater benefit of atypicals for management of negative symptoms or for cognitive amelioration (217-222). Clozapine, an atypical antipsychotic, has also



shown more efficacy in some non-respondent patients (223-227). A multicenter randomized double blind controlled study demonstrated that olanzapine had the lowest rates of discontinuation and was most strongly associated with metabolic syndrome and weight gain. The efficacy of the first generation antipsychotic perphenazine appears similar to that of the second generation antipsychotics quetiapine, risperidone, and ziprasidone (219, 228-231). Observational and naturalistic studies report that: patients treated with olanzapine and clozapine had somewhat better outcomes than patients treated with other atypical or typical antipsychotics in terms of response, relapse, remission and treatment discontinuation. Additionally, atypical antipsychotics had also a lower frequency of extrapyramidal symptoms and anticholinergic use compared to typical antipsychotics (e.g. haloperidol, flupenthixol, fluphenazine, perazin and zuclopenthixol). Weight gain was associated with all antipsychotics, however it was greater with olanzapine and clozapine (229).

Other treatment interventions are gaining momentum in the management of schizophrenia, including cognitive training, psychoeducation, social skills training, cognitive behavior therapy and cognitive remediation therapy (232-248) The lack of consensus on assessment methods of efficacy of alternative therapies, such as cognitive behavioral and remediation therapy remains problematic (249). Cognitive remediation therapy show a neurobiological enhancing effect in patients with schizophrenia by increasing activations in several cerebral regions, specifically the frontal. Improved working memory and executive tasks in these patients was suggested to be in relation with post therapeutic neuroplasticity (250, 251). FMRI and PET studies comparing pre to post cognitive remediation therapy found increased brain activation in the frontal and parietal lobes, including the left medial frontal gyrus, the left inferior frontal gyrus, the right middle frontal gyrus, the right postcentral gyrus, and the inferior parietal lobule in patients with schizophrenia after therapy. Increased brain activity in medial and middle frontal gyrus in patients after therapy was related to the improvement in working memory performance (251). Cognitive remediation therapy has a significant association with improvement of psychosis symptoms and these effects remain at follow-up (252). Combined approaches using both computerized cognitive training and group therapy in schizophrenia patients show significant improvements in verbal memory and in symptom intensity (253). Cognitive training programs have shown little or non-significant effects on positive and negative symptoms in schizophrenia (254). Psychoeducation has been reported to reduce relapse and length of hospital stay. Additionally, it encourages medication compliance

(232). Cognitive behavior therapy has beneficial effect on positive symptoms (255). Additionally, it may attenuate brain responses to threatening stimuli and thus mediate symptom reduction in patients with schizophrenia (256). More evidence is needed to support other approaches such as social skills training (257).

Recently, consensus about the combination of pharmacological and psychosocial/cognitive therapies having more benefits for schizophrenia patients than one or the other individually has been reached (258, 259).

## 1.9 Neuroimaging findings

One of the most robust neuroanatomical findings in schizophrenia is enlargement of ventricles and related decreases in total brain volume and in cortical and subcortical tissue, which appear to be particularly pronounced in the prefrontal cortex, superior temporal cortex and hippocampal formation (260-264).

Schizophrenia is thought to be underpinned by neurodevelopmental abnormalities of brain gray matter and white matter function, structure and connectivity (191, 192, 265-268). Numerous brain regions have been implicated in the pathophysiology of this genetically and behaviorally complex disorder, suggesting that schizophrenia is not caused by any focal brain abnormality, but results from disturbed interactions between brain regions. In this regard, Friston and Frith (1995) have advanced that the core pathology of schizophrenia is an impaired neuromodulation of synaptic plasticity, leading to abnormal functional integration of neural systems (269).

Research evidence repeatedly demonstrated functional and structural alterations in cerebral gray matter (GM) and white matter (WM) in schizophrenia, particularly in the prefrontal cortex (including the anterior cingulate), the superior temporal gyrus, the limbic system (medial temporal lobe, hippocampus, entorhinal cortex, amygdala) as well as in the striatum, the insula, the thalamus and the cerebellum (50, 270-280).

Imaging studies demonstrate a large spectrum of inconsistent alterations associated with schizophrenia symptoms. GM losses in the orbitofrontal, medial prefrontal, lateral prefrontal and temporal cortices, thalamus, as well as limbic and subcortical structures were associated with negative symptoms. Conversely, positive symptoms were linked to the perisylvian regions and extended thalamic, superior temporal cortex GM losses. GM reductions in the temporal, insular

and medial prefrontal cortices, medial temporal and cerebellar regions was correlated with disorganized symptoms

## 2 Sex differences in schizophrenia

### 2.1 Epidemiology

Sex differences in schizophrenia have been reported in almost all facets of the disease: onset and course of the illness, clinical manifestation, treatment response, side effects of medication, cognitive and emotion deficits, social outcome, prognosis, comorbidities, genetics, in addition to cerebro-functional and morphological differences. Lewine's hypothesis stating the presence of sex differences in schizophrenia has been tested (281-285). A recent review of the literature by Van der werf and colleagues (2014) reported that overall males had a 1.15-fold greater risk of schizophrenia than females. Specifically, males had higher risk up until the age of 39, while females had higher risks between the ages of 50-70 (286). In addition, females had two incidence peaks of age, the first between 20 and 29 years, the second between 30 and 39 years; compared to males who had one incidence peak between the ages of 20-29 years. These findings suggest that sex differences are present in both incidence and risk of schizophrenia (27, 287). Okkels et al., (2013) found similar findings in a recent study on early onset schizophrenia; however, they report that higher male incidence than females in the periods 1971-1993 and 1971-2010. In the period 1994-2010 incidence rates were higher for females than males. They propose that changes in the diagnostic tools in recent years account for this finding (288). Anderson (2013) argued that, these time-periods coincided with a change in availability of data, with data from outpatient services only available in the latter time-period. These additional data may have partially contributed to the observed increase in incidence, and may have led to the narrowing of the sex differential, as males are more likely to be treated in an in-patient setting than females (289). Vanasse et al., (2012) conducted a population-based cohort study in Quebec using a population health services database between January 1996 and December 2006. They found that males were diagnosed at early ages while diagnosis in females was distributed in a uniform manner over age (290). This difference in prevalence was not reported in other studies (27, 291-293). In contrast, few studies have found higher incidences in females or no significant gender differences (294, 295) and no sex difference in terms of rates of mortality (26, 27).

## 2.2 Age of onset

Earlier age of onset in males compared to females is one of the most consistent finding in schizophrenia and later onset schizophrenia is reported in females (283, 286, 296-307). Meesters et al., (2012) performed a study on 100 males and 100 females unequivocally meeting DSM-III criteria for schizophrenia. The mean age at onset was about five years younger in males than in females. Approximately 9/10 males were diagnosed with schizophrenia before the age of 30 compared to only 2/3 of females. Late onset after the age of 35 years occurred in 17% of females and in only 2% of males (308). Several studies show that males usually develop the illness at age 18–25, while in females, the mean age of onset is 25–35 (301, 303). Similar to finding by Van der werf and colleagues (2014), females were found to have two periods during their life in which they may manifest a first onset: after menarche and after 40 years old (286, 291, 299, 300, 309, 310). These findings have also been replicated in first episode psychosis patients (311-313). Hafner et al., (1993), found that females at first onset were 3.2 to 4.1 years older than males (310). This sex difference disappears in the presence of family history (109, 299, 314).

Reduced estrogens after menopause may explain the increased prevalence in females over 40 (310, 315). In contrast, studies showing no sex difference in the age of onset were explained by the use of diagnostic criteria of DSM-III limiting the age to 45 and/or positive family history influencing the age of onset in both males and females equally (301, 305, 309, 310, 316-321). Several reports have found that even though age of onset is significantly earlier in males, no sex differences in the time from onset of symptoms to first hospitalization were found, suggesting that age of onset is related to biological rather than psychosocial factors (299, 322-324).

## 2.3 Premorbid history

Retrospective studies of patients and follow-up data of females with schizophrenia and their children show great premorbid deficits and more pronounced decline in males than females on several aspects of the illness. (303, 313, 325-335).

Males are more frequently diagnosed with substance abuse and antisocial behavior than females, while females have superior family and occupational function and superior clinical

responses (326). Males with schizophrenia have poorer premorbid functioning and more rapid deterioration than females especially when closer to the disease onset. Additionally male have significantly longer duration of untreated psychosis (DUP). Poor premorbid function was related to a more insidious onset and presence of negative symptoms, especially among males. Positive symptoms do not necessarily precede the onset (313). Males appear to have more severe negative symptoms and lower functioning than females and these differences are stable overtime (336). Poorer premorbid social adjustment is more common in male patients with primary persisting negative symptoms.(337). Males exhibit more severe premorbid impairment than females, however no sex differences were found in the decline of academic adjustment. Social dysfunctioning is spared in females until late adolescence (332, 338, 339). Several authors have suggested that these differences are due to the neuroprotective effects of estrogen (339-341) which might explain other sex differences, such as older age of onset, milder negative symptoms, and better response to antipsychotics (325, 342, 343).

Subgroups of patients with a good prognosis were characterized by: female sex, absence of premorbid deviant behavior and a high education level at first admission (344). These findings are consistent with findings that females with schizophrenia are more likely to be married and to have started a family at the time of first hospitalization compared to males schizophrenia (345). Males were reported to be more socially isolated, withdrawn, more likely to be single, have lower levels of education and work capacities (328, 346). It is suggested that enhanced premorbid functioning in females is due to later onset allowing them to complete their education, form personal relationships, marry and work before the manifestation of the illness (42, 301, 347). For example, Skokou and Gourzis (2014) found that earlier onset patients were characterized by significantly more single status, more avoidant premorbid personality disorder traits, and less passive-aggressive premorbid personality disorder traits, than late onset patients. Additionally, earlier age of onset seems to be associated with increased social inhibition and worse psychosocial adaptation in the premorbid period of paranoid schizophrenia (347). Males presented more schizoid-schizotypal traits before the first onset (348).

In summary, most studies show that females have better premorbid functioning than males (301, 313, 329, 344, 349-351). Few studies have reported no sex differences in premorbid function specifically at early stages of schizophrenia (352, 353). Worse premorbid functioning depended on age of onset and the presence of negative symptoms (352).

## 2.4 Birth complications

Obstetric complications (OCs) have been reported to appear more frequently in patients with schizophrenia relative to the general population. For example, O'Callaghan et al., (1992) reported that OCs were significantly more likely in patients with schizophrenia than in controls, in terms of number and severity. These findings were more significant in males than in females with schizophrenia, and were associated with a younger age at onset of their disease (354). These findings were also consistent with a subsequent study by Byrne et al., (2000) where males with earlier onset (before the age of 30) had a greater frequency and more severe OCs than the matched control group (355). In the same vein, Preti et al., (2000) found that severe obstetric complications were noted more often among males (41%) than among females (15%) (356). In addition, early-onset males were found to present more labor and delivery complications relative to controls and to female patients (357). The risk of schizophrenia following OCs was higher in males than in females, and OCs were more frequently associated with an early age at onset of schizophrenia in males (358-364).

The evidence that OCs increase the risk of subsequently developing schizophrenia is compelling, but we are still unclear about the antecedents of these OCs. One hypothesis proposes that at least some OCs stem from the prenatal exposure to influenza. Thus, lower birth weight in patients with schizophrenia has been associated with exposure to influenza virus during the second trimester; patients' mothers were five times more likely to experience at least one definite OC in these cases (365-370). Nevertheless, the exact mechanism implicated in the relation between influenza, birth weight, and schizophrenia remains unclear (371). In addition to influenza, some authors propose that the maternal inflammatory response may be associated with fetal brain injury (372, 373). Others propose a maternal-fetal chronobiological dysfunction hypothesis to explain the relation between birth seasonality and schizophrenia, i.e. seasonal lack of sunlight is related to several thermo-chemical disturbances during the prenatal stage, that in turn affects the dopaminergic system in the fetal brain (hippocampus and striatum), leading to later development of schizophrenia (369, 374). Additionally, viral infections up to age 14 years were associated with higher risk of schizophrenia specifically in males (375). Some previous studies have reported that females exposed to influenza virus prenatally were more susceptible to developing schizophrenia than affective psychosis, however this relation was not observed in males, and the ratio of female:

male with schizophrenia increased with influenza exposure during the 5 months prior to birth (370, 376-378). Finally, maternal age at birth seems to play a role in subsequent development of schizophrenia. A significant increase in risk of schizophrenia in males was related to mothers older than 39 years, and conversely in females with mothers younger than 19 years at the time of birth (358). Overall, despite the fact that numerous studies have investigated the relationship between OCs and schizophrenia, the findings remain somewhat inconsistent, mainly because of the variability of the reported birth complications, retrospective limitations, and small sample sizes (379).

## 2.5 Psychosocial factors

Psycho-socio-cultural factors play an important role in the perception and social outcomes of schizophrenia. Attempts to understand the implication of psycho-socio-cultural factors on schizophrenia patients' identity and role remain largely insufficient, specifically in relation with sex differences. Le Torre (1976) proposed gender identity and/or role problems as leading stressors in the diathesis-stress theory of schizophrenia, and that it is the only theory that accounts for the early onset of schizophrenia (380).

The role of each person is typically determined by her/his biological sex and depends on the cultural norms of each society. The use of the term "gender" interchangeably with term "sex" is a cause of important confusion. While "sex" refers to the biological and physical characteristics, "gender" on the other hand refers to masculine or feminine traits, behaviors, or beliefs as a psycho-socio-cultural attribution. Lewine (2004) found that sex, but not gender, was a significant predictor of age at first hospitalization, even when controlling for illness severity. Both sex and gender significantly contributed to the prediction of neuropsychological performance, beyond the contributions of education, age, and illness severity. (281).

A separate study examined sex and gender effects on seven domains of neuropsychological functioning in schizophrenia/schizoaffective patients and healthy individuals (284). The authors found main effects of sex on two neuropsychological domains and main effects of gender on five neuropsychological domains. Interestingly, executive functioning was unaffected by sex or gender, supporting its role as a behavioral marker of schizophrenia. Sajatovic et al., (2005), hypothesized



that subjectively, gender may affect the experience of illness in patients with schizophrenia in a way that may influence treatment and recovery, and that males and females with schizophrenia would have less identification with their traditional gender role characteristics. Their findings reveal that patients with schizophrenia experience their gender identity differently from what is expected by cultural norms. Both males and females with schizophrenia had lower masculine and feminine descriptive measures respectively in comparison to healthy controls. This has important implications on clinical recovery, as female patients tend to accept assistance from their families, a factor that improves schizophrenia outcomes; dependence on family is more gender-role-appropriate for females (381). The onset of schizophrenia typically occurs during late adolescence or young adulthood, coinciding with the period during which multiple levels of identity development normally occur. Because males may often develop the illness earlier in life compared with females, effects on gender identity among males with schizophrenia may be more profound than those among females (381). Several studies reported reversed gender role and identity in patients with schizophrenia (382-384).

Parrott and Lewine (2005) reported that higher parental socioeconomic status is associated with decreased symptom severity in female patients, but with increased symptom severity and decreased global functioning in males (385). This suggests that females from higher socioeconomic backgrounds are under less stress, and exhibit fewer and less severe symptoms of schizophrenia than those from lower socioeconomic standing. In contrast, in male patients, the higher the parental socioeconomic status, the more severely ill the patient is as judged by age at first hospital admission, symptoms, and global functioning. It seems that higher social status of origin may serve as a significant source of stress for males predisposed to schizophrenia. Males with schizophrenia from higher socioeconomic status face higher job expectations and greater stress due to difficulty fulfilling their high vocational expectations, while higher socioeconomic status appears to be a source of support for predisposed females. Similarly, Leung and Chue (2000), reported that families of males with schizophrenia were more critical, and expressed more emotion, and this had a greater negative impact on males in comparison to female patients (301). The most robust findings have been that males who presented an earlier onset of the disease were much less likely to be or had been married. In terms of social functioning, males with schizophrenia fared worse than females in several domains including self-care, daily activity, and work performance. The negative syndrome was correlated with greater role impairment, regardless of sex. Only females

presented a positive correlation positive symptoms and social role performance (386). Overall, psychosocial implications in schizophrenia remain relatively unexplored. A majority of studies show better social functioning in females with schizophrenia in comparison to males, but the issue of gender merits further investigation (387).

## 2.6 Clinical expression

The presence of negative symptoms in males with schizophrenia compared to females is a consistent finding in the literature, and is typically twice as severe in males than in females (338, 388-396). Schizophrenia first presents with negative symptoms in 70% of cases, occurring two to six years before admission (309). Andreasen et al., (1990), found that schizophrenia patients with positive symptoms were mostly female, while patients who presented mixed and negative symptoms were predominantly males (89%) (389). Similarly Fenton & McGlashan (1991), found that male patients accounted for 60% of patients with high negative symptoms and female patients for 56% of those with low negative symptoms (388). Interestingly, no sex differences were found in positive or negative symptoms at hospital admission, however at follow-up, males had significantly more flat affect and anhedonia compared to female patients (36). Mayer et al., (1993) performed a retrospective study evaluating symptoms at admission and at discharge in patients with schizophrenia during the first manifestation of symptoms (397). Males were more apathetic at admission and discharge than females, and had higher depressive and psycho-organic syndrome scores at discharge.

Positive symptoms, have often been found equally in males and females with schizophrenia (398-401), more frequent in males (402) or more frequent in females (389, 403-409) who present more often affective symptoms, such as behavioral and sexual disinhibition, impulsivity, and other atypical psychotic symptoms (399, 403, 408). While negative symptoms seem to appear two to six years before admission, positive symptoms appear up to two years before (309). Marneros (1984) investigated the frequency of first rank symptoms (FRS) among schizophrenia patients at first hospitalization; females presented more thought insertion and hallucination symptoms relative to males who had other delusions of being influenced. Furthermore, females had more FRS at first hospitalization compared to males. Contrary to findings on the severity of negative symptoms in

males, no significant sex differences were found in the severity of positive symptoms (390, 407). In terms of frequency of positive symptoms, Morgan et al., (2008) investigated lifetime and current symptom profiles in males and females with schizophrenia. Their findings show that females were significantly less likely than males to report having hallucinations, delusions or poor concentration currently and more likely to report at least one serious episode of dysphoria over a lifetime (393).

In accordance to previous findings in the literature, Beratis et al., (1994) have found that mean age at onset was significantly higher in all female patients compared to males; this was especially true for the paranoid subtype (410). These findings were consistent with a subsequent report in Finnish schizophrenia patients who presented no sex differences in age of first admission, except for the paranoid schizophrenia where males had a significantly younger age at onset. In addition, females diagnosed with paranoid schizophrenia seemed to manifest the illness at an older age than males and females with other subtypes of schizophrenia (411). Other subtypes, such as disorganized schizophrenia have been shown in a few rare studies to appear earlier in females (410). The stability of positive and negative symptoms has been also shown to be affected by the sex of patients. Mancevski and colleagues (2007) reported significant decreases in positive symptoms and increases in negative symptoms over the course of the illness which was particularly pronounced in males.(412).

Very few studies have addressed sex differences and subjective experiences of illness in schizophrenia. Thornicroft et al., (2002) found that males expressed more total needs and more unmet needs for 'accommodation', 'substance misuse', 'psychotic symptoms', 'harm to others', and 'sexual expression. In contrast, females expressed more total needs and more unmet needs in the domains of 'childcare' and 'harm to self' (413). Males seem to have a greater biological vulnerability to worse symptoms, consequent social disability in the face of psychosis and poorer prognosis, while females have either a biological or a psychosocial resilience to the illness (390).

Several hypotheses have been offered to explain sex differences in symptom presentation in schizophrenia. One of the most widely accepted is the estrogen neuro-protective hypothesis, where estrogens, directly or indirectly, modulate symptom expression in males and females with schizophrenia. Organizational and activational effects have been attributed to sex hormones. Organizational effects occur in the brain during the prenatal fetal phase and are fixed, while activational effects fluctuate depending on the level of circulating hormones (311, 312, 315, 414-421). Seeman and Lang (1990) put forward several arguments in favor of the estrogen neuro-

protective hypothesis: The modulatory relation between the dopaminergic system and estrogens, delayed onset of illness of 4-6 years in females, males exhibiting one main peak of schizophrenia onset in their late teens and early twenties, females having an additional onset peak at age 40 to 45 years when estrogen levels decrease, less debilitating symptoms in females during the first decade following onset that thereafter approximates that of males particularly after menopause, lower doses of antipsychotic treatment effective premenopausally perhaps due to the potentiating effect of the antidopaminergic action of estrogen, and higher doses needed postmenopausally (417). Rendering further support to this hypothesis is the effectiveness of estrogen therapy in improving symptoms of schizophrenia (422, 423), the observed worsening of symptoms when estrogen levels drop sharply after pregnancy or during the follicular phase of the menstrual cycle (424, 425), and symptom improvement in females with schizophrenia during mid-luteal phase when estrogen levels are higher (426-429).

## **2.7 Course of illness**

The course of illness is bound with the outcome. The term “outcome” refers to several domains in the life of a patient that go beyond the symptoms of the disease and considers the patient in a holistic manner. It includes quality of life, daily activities, severity of medication side effects, global functioning, employment, etc. (430-432). Morgan et al., (2008) investigated sex differences in outcomes and found that females were significantly more likely to be in long-term relationships and to have children, had better premorbid social functioning were more likely than males to be engaged in meaningful although not necessarily paid employment in the past twelve months, and were less likely to be on social aid (393). These findings are in agreement with those found previously (301, 325, 330, 403, 433, 434).

Generally, sex differences in outcome were found for both patients with schizophrenia and those with other psychotic disorders, with females consistently showing better functioning over time, recurrent episodes of remission, better global functioning, and fewer and shorter re-hospitalizations (330, 433, 435-437). No sex differences were reported when the duration of the illness exceeded 10 years (400, 438, 439). The sex differences in outcome for patients with schizophrenia are consistent over time (435). Grossman et al., (2008) analyzed their data 20 years

later, and found less psychotic symptoms for females during the course of illness and more improvement following 20 years; however, these trends were not observed in male patients. Not only females had a better clinical picture, but also they outperformed males in global functioning. In terms of recovery, 61% of females had a period of recovery compared to 41% of males during the course of 20 years (440).

The more favorable course of illness observed in females becomes lost with substance abuse (301, 441). Additionally, females are more likely to commit suicide during an acute exacerbation of the illness, although the rate of suicide is overall higher in males than in females (442, 443). This is likely due to the presence of more affective liability and affective symptoms in females than in males with schizophrenia (399, 403, 408, 442). Comorbid alcoholism and drug abuse may adversely influence the course of illness and is more prevalent among males than females with schizophrenia (292, 301, 442, 444). Schizophrenia patients have poorer outcomes compared to patients having other psychotic disorders additionally poorer outcomes are associated with longer follow-up periods (445). Male sex and pronounced negative symptoms were predictors for poorer outcomes (446). Lindamer et al., (2003) found that females with schizophrenia were older, many of them married and had medical insurance and presented less comorbidity with substance abuse than males. More females were living independently. In contrast, more male patients either were homeless or lived in assisted living establishments (447).

## **2.8 Cognition**

Several studies have reported sex differences in cognitive performances in normal populations (448-452). These sex differences seem to be more prominent in schizophrenia patients. Cognitive impairments are present in both males and females with schizophrenia, but in varying degrees depending on the duration of the illness and the age of onset. Thus, some studies reported comparable cognitive deficits in male and female first episode patients (326, 453), but greater deficits in especially on verbal tasks, once the illness dated more than 5 years. Similar results were found for social cognition, Wisconsin Card Sorting Test, and verbal processing (454, 455). Schizophrenia patients performed worse than healthy subjects after matching for age and sex, and within patients, males performed worse than females (124, 455-458). Superior cognitive

performance in females seems to be consistent across other mental disorders, including bipolar disorder and schizotypal personality disorder (459, 460).

High quality studies have indicated that males and females with schizophrenia both have cognitive impairments but in different cognitive domains (453, 455, 459-463). Differential cognitive impairments were observed in female patients who had a significantly worse performance than female controls on attention, executive functions, visual memory, and motor functions, but not in language, verbal memory and visuospatial memory (455). More recent studies are in agreement reporting that females with schizophrenia have better performances in processing speed and episodic memory relative to male patients, while male patients perform better on visuospatial working memory (455, 461, 462). These differences in cognitive impairments may be a result of sex differences in age of onset or illness severity, and may become insignificant once these variables are controlled for (37, 403, 464-471).

Our group have investigated sexual dimorphism in 17 males and 17 female with schizophrenia during a task of episodic memory using event related potentials (ERP) (472). The two groups were matched for age, social economic status, education, and intelligence. In males, reaction times were modulated by valence, but in females, reaction times were mainly modulated by arousal. Accuracy was affected by both emotional valence and arousal in females, but not in males (472). Another study by our group addressed sexual dimorphism in strategic cognitive approaches (473). In this study, error types were analyzed during spatial working memory tests. No differences in number of errors were found, however, differences emerged in error and strategy profiles based on sex (473).

In 2007, our group started a series of studies investigating sex differences in schizophrenia. We presented preliminary behavioral data collected from 17 males and 13 females with schizophrenia during performance of a mental rotation task and from healthy subjects matched for age and sex (474). This task was specifically used for its already validated sensitivity to sex differences in healthy controls (451, 475-481). We found no significant difference between the two groups of females. In contrast, males with schizophrenia had a significantly longer reaction time and lower percentage of correct answers compared to male healthy controls. Thus, in schizophrenia female patients outperformed male patients in both reaction time and percentage for correct answers, while the opposite pattern is typically observed in the general population. Another recent study used the jittered orientation visual integration (JOVI) task - test of contour integration, which

activates orientation-selective feature detectors in the primary visual cortex and is used to evaluate early visual processing (482). Females demonstrated higher contour integration scores but lower performance on the context sensitivity index of presented shapes compared to males with schizophrenia. The findings suggest greater neurodevelopmental deficits in males with schizophrenia (483).

Few studies have reported better cognitive performance in males with schizophrenia (282, 285, 484). Lewine et al., (1996) found greater deficits on visual processing, verbal and spatial memory in females compared to males with schizophrenia (285). To date, cognitive impairments and their relation with sex differences in patients with schizophrenia remain inconclusive and merit further investigation (301, 485). A review by Mesholam-Gately et al., (2009) concluded that most studies addressing sex differences and cognition were not adequately designed to examine sex effects, contributing to the heterogeneity of cognitive performance reported in the literature (486). For more details please see our review paper in the appendix (487).

## **2.9 Treatment**

Males and females with schizophrenia respond differently to treatment. Sex differences that affect drug response in schizophrenia include the following: delayed diagnosis in females, males presenting more deficit symptoms, stronger therapeutic alliance in females, males having more substance abuse and higher rates of smoking; females more often prescribed concomitant medications to treat comorbid problems, such as sleep disturbances, mood problems, endocrine disturbances, eating disorders, personality disorders (325, 434). Szymanski et al., (1995) found that females with first onset and chronic schizophrenia had similar response to treatments and that females had greater pharmacologic responsivity than males (405). In contrast, chronically ill males require twice as high a dose of psychotropic medication than females for effective maintenance (405, 488). Overall, males generally receive more neuroleptics compared to females, and have poor adherence to treatment (298, 489, 490).

Females with schizophrenia respond more quickly and show a greater degree of improvement on antipsychotic medications in comparison to males (405, 436, 491, 492). In addition, females have higher antipsychotic plasma levels in comparison to males after receiving

the same dose of drug, which may explain superior neuroleptic response and the need for lower doses of antipsychotics in females (493-496).

After menopause, females may require higher neuroleptic doses than males, and in turn may be more at risk for severe tardive dyskinesia, parkinsonian symptoms, and dystonia (301, 497, 498). In addition, females with schizophrenia are more likely to be prescribed antidepressants in comparison to male patients and more likely to change their antipsychotic treatment (499, 500). Rubin et al., (2008), also demonstrated better responsiveness to antipsychotic medication in females in relation to cognitive functions. The authors used cognitive tests that demonstrate sex differences in the general population, i.e. cognitive tests with scores that in normative studies favor males over females, such as visuo-spatial abilities, or favor females over males, such as verbal abilities. Their results revealed that females with schizophrenia had selective improvement on cognitive tests favoring females and decreased performances on cognitive tasks favoring males. In comparison, males seemed to have a worse performance than female patients on both types of tests (501).

Sex differences in treatment responses have been attributed to role of estrogen as a protective hormone against the illness in females and enhancing antipsychotic efficacy (417, 423, 502-505). Very few studies have investigated sex differences in relation to other therapeutic approaches, such as cognitive and behavioral therapy (CBT), cognitive remediation, psychoeducation and pharmacoeeducation, social skill training and supported employment. To date, females with schizophrenia have shown more reductions in overall symptoms and increased insight using CBT in comparison to male patients, however more studies are needed to confirm these findings and to evaluate other approaches (506).

## **2.10 Structural neuroimaging findings**

Sexual dimorphism has been observed in several brain regions in the general population (507-510). For example, regionally specific sex differences have been reported in regions associated with languages functions. Specially, females show larger volumes of the superior temporal cortex in proportion to the cerebrum (509). Moreover, age-related volume loss is greater in males than in females in the whole brain, specifically frontal and temporal lobes, whereas it is greater in females than males in the hippocampus and parietal lobes (510).



Gur et al., (2004) investigated sexual dimorphisms using MRI and automated tissue segmentation procedure to examine the orbitofrontal cortex and amygdala ratio (OAR) in males and females with schizophrenia (511). Male patients had reversed sexual dimorphism (increased OAR relative to healthy males) reflecting reduced amygdala volumes. The reverse pattern was found in female patients, where the decrease in OAR reflected reduced orbitofrontal volumes in comparison to female controls (511). A more recent study by Savadjiev et al., (2014) investigated normal brain pattern asymmetry referred to as torque in adolescent-onset patients with schizophrenia, using white matter geometry computation in diffusion tensor imaging data (512). This group observed a reversal of normal sexual dimorphism in white matter tissue in patients. These abnormalities were correlated with the severity of symptoms (512).

### *General Overview of Parenchymal Findings*

It is now well established that morphological sex-related differences in the brain in healthy populations exist (510, 513-516). Imaging studies on sex-dependent brain abnormalities have consistently reported more severe disturbance in males than females with schizophrenia: reduced prefrontal volumes, reduced anterior temporal horn and medial temporal lobe volumes, more specifically amygdala, hippocampus, superior temporal and larger ventricular-brain ratios (VBR) (292, 301, 517-524). For example, Andreason et al., (1990) found that male patients had a smaller brain volume compared to controls in all four cortical regions, but not in the cerebellum; their CSF measures were higher than the controls' in relatively more anterior (rostral) regions, but not in occipital or cerebellar regions. The female patients, had a specific deficit in frontal lobe tissue only, as well as higher CSF measures in comparison with the controls in frontal and temporal regions and in the cerebellum (517). However, some studies have reported no sex differences (525-527). Gur et al., (1999) measured gray matter volumes (GMV) using the MRI scans of 130 patients (51 neuroleptic naive, 79 previously treated) and 130 healthy controls (75 males, 55 females in each group). They found that GMV displayed sex-dependent reductions, where male patients had a 6% reduction compared to 2% reduction in female patients. Results survived correction for age and total intracranial volume. In this study, only females with schizophrenia had a correlation between higher parenchymal volume and better premorbid functioning in females (513).

### *Subregional Findings*

### a) Brain volume, ventricular dilation, and cerebrospinal fluid

Ventricular dilation remains the most replicated and consistent in-vivo morphometric deficit observed in schizophrenia (528, 529). Clear relationships between ventricular dilation and clinical symptoms remain uncertain. Frontal and temporal lobe volume reductions have been the most frequently reported volumetric deficits (530). Sex differences in volume deficits have been equivocal, with some data suggesting that ventricular dilation is more prominent in male patients (518, 528). Nopoulos and colleagues (1997) reported a significant sex-by-diagnosis interaction for ventricular volume, such that males with schizophrenia had larger ventricles than healthy males, while no differences were observed between female groups (531).

Even when structural brain abnormalities are similar in males and females with schizophrenia, these abnormalities are generally more prominent in male patients. Molina and colleagues (2005) reported that only males with schizophrenia had significantly more CSF in the left prefrontal area, due to probable gray matter loss. Positive correlations between CSF values in the prefrontal and temporal regions and illness duration were found in males, but not in females with schizophrenia. These findings were observed only in the frontal and temporal regions and not in the whole hemisphere (532). Specific increases in sulcal and subarachnoid CSF appear prematurely in males with schizophrenia, while females with schizophrenia have aging effects similar to healthy subjects. Male patients, however, exhibited increased CSF even in young adulthood (533). Haijma et al., (2013) reported no sex differences with one major exception; the presence of reduced intracranial volume and significantly reduced white matter tissue volume in males with schizophrenia compared to healthy males. Intracranial volume reaches approximately 90% of its final volume at the age of 5 years and no further changes occur to its final volume after the age of 14. The more pronounced intracranial reductions in male patients may be attributed to a more severe or earlier neurodevelopmental derailment (534). Yotsutsuji et al., (2003) reported significant volume increases in the left temporal horn, the bilateral anterior horns, and the right ventricular body in male patients. Female patients had similar patterns of volume changes, but they were less significant (535). These findings suggest larger temporal gray matter reductions in males than females with schizophrenia.

Collinson et al., (2003) investigated hemispheric brain volume, asymmetry (torque) and IQ in early-onset schizophrenia patients. The authors found that total brain volume was significantly smaller in the group with early-onset disease relative to the control group (4.5%), especially in the

left hemisphere of female patients (6.0%). In addition a significant sex by diagnosis interaction was observed such that female patients had significantly reduced right more than left asymmetry relative to the female control group, while the male patients had decreased left more than right asymmetry relative to the female control group. In patients, these reductions in volume correlated with lower IQ measures (536).

*b) Subcortical Temporal Lobe Deficits – Amygdala, Hippocampus, Hypothalamus*

Bryant et al., (1999) reported smaller volumes in the superior temporal gyrus and the amygdala/hippocampal complex in patients compared to controls when male and female patients were grouped together. When patients were analyzed separately by sex, the left temporal lobe volume was significantly smaller in male patients compared to healthy males. In contrast, no significant differences were observed in the female groups (537).

Abnormalities of hippocampal morphology are another common observation in patients with schizophrenia (538, 539). With respect to sex effects on hippocampal volume, Irle et al., (2011) reported that only males with schizophrenia had hippocampal volume reduction in comparison with male controls. The authors also reported a correlation between smaller hippocampal sizes with longer duration of illness only in female patients. Female patients had normal hippocampal size at the onset of disorder, but after a few years, females and males with schizophrenia had similarly sized hippocampi (540). Additionally, female but not male first episode patients had significantly larger hippocampal volumes compared to female and male chronic patients (540). In contrast, Gur et al., (1998), Arciniegas et al., (1999), and others, reported that in minimally treated first-episode schizophrenia patients, significant sex by diagnosis effects were observed, with female patients having significantly smaller anterior and right hippocampal volumes than healthy control females. This effect was not observed in male patients suggesting that smaller hippocampal volumes are present even in early stages of the illness (541-543). Niu et al., (2004), found significantly, smaller volumes in the bilateral amygdala in males with schizophrenia compared to male controls. No differences in amygdala volume were observed between female groups. Between patient groups, females had a significant reduction in the right amygdala compared to males. A significant left-smaller-than-right volumetric asymmetry of the amygdala was detected only in male patients with schizophrenia (544). A left-right difference was only seen in the male patients, in whom significantly smaller left amygdala volume was observed (544).

### c) Cortical and Gyral Deficits

Cortical gray matter volumes are frequently found to be reduced in schizophrenia (513, 545). Normal cortical patterns of sexual dimorphisms are disrupted in schizophrenia, in the frontomedial cortex, basal forebrain, cingulate and paracingulate gyri, posterior supramarginal gyrus, and temporal lobe (511, 546). Perturbation of normal gray matter volume asymmetry, has been observed in the left inferior parietal lobes in male patients, but not in female patients (547). No significant differences in gray matter asymmetry of volume were observed by Frederikse and colleagues (2000) in female patients compared to female controls, even when they had significantly smaller total brain volumes than healthy females (547). A recent study by Takayanagi et al., (2011) applied both volumetric and cortical thickness measures in MRI images. Consistent with the majority of structural findings in schizophrenia, patients in this study had gray matter volume reductions and cortical thinning in prefrontal and temporal cortices compared with controls. Sex differences were found in the bilateral amygdala, with more reductions in males than females with schizophrenia (548). Nesvag et al., (2008), found no significant effect of gender on variation in cortical thickness in their large-scale study (549). Sex differences in insular volume were reported by Duggal et al., (2005) in previously untreated patients with first-episode schizophrenia (550). The authors found that females with schizophrenia had significantly reduced right insular and anterior cingulate volume relative to healthy females. No difference between males with and without the diagnosis has been reported (551).

Takahashi, et al., (2002) investigated the anterior cingulate, a region anatomically associated with the corpus callosum. The authors found no significant group differences in the anterior cingulate volume in males; however, right anterior cingulate GMV was significantly reduced in the females with schizophrenia when compared with the healthy females. In addition, they lacked the normal structural asymmetry- right larger than left- in anterior cingulate gray and white matter volumes typically observed in female controls (552). Subsequently, Takahashi, et al., (2003) measured the volume of the perigenual cingulate gyrus in patients with schizophrenia and age and gender matched controls using MRI. Significant gender differences were found in the total gray and white matter volumes of the perigenual cingulate gyrus in control subjects where females had larger gray matter volumes than males (552). These gender differences were not significant in the patient group. Female patients also had significant decreased volumes of bilateral perigenual

cingulate gray matter compared with female controls, while no significant differences were observed between male groups (552). Male patients had volumetric reductions in prefrontal cortex, inferior parietal lobule, parahippocampus, and hippocampus (553). Significant differences in the covariance matrices in females and males with schizophrenia compared with healthy controls were observed in relationship with the inferior parietal and anterior cingulate gyri, the hippocampus and prefrontal cortex (553). The latter covariance was significantly associated with better verbal memory performance in females. In male patients, who had poorer performance compared to female patients, hippocampus and prefrontal cortex volumes were significantly correlated with memory performance (553). In healthy females, but not in males, the anterior cingulate was significantly correlated with memory performance. The authors suggested that females with schizophrenia compensate volumetric loss in the anterior cingulate by engaging alternative patterns between the inferior parietal and the prefrontal cortex (553). These findings were congruent with their previous study and with other reports (553, 554).

Narr et al., (2004) used MRI to measure 3D gyral complexity to investigate five cerebral regions in each hemisphere in patients with schizophrenia. The authors found a significant increase in cortical folding in the right superior frontal cortex in male patients compared to male controls. This difference was not observed in female groups, suggesting that these abnormalities predate illness onset and are of neurodevelopmental origin. These finding also suggest sexually dimorphic developmental processes that influence the organization of cortical folding probably during gyral formation in utero (555). In a subsequent investigation of the cingulate and occipital cortex, female patients had cortical thinning in cingulate and occipital regions bilaterally compared to healthy control females, while male patients had more cortical thinning in the left caudal anterior cingulate cortices, and in the paracentral lobule and posterior occipital regions bilaterally compared to male controls (554). In addition, male patients had small regional thickness increases in the gyrus rectus compared to male controls (554). Reports of reduction in the anterior cingulate volume in females with schizophrenia compared to control females contrasted with the absence of differences between male patients and comparison subjects (551).

Few studies have investigated the effect of sex on gyrification in schizophrenia (556-558). Only the study by Vogele et al., (2000) found an effect of sex and diagnosis on the degree of gyral folding as assessed by gyrification index (GI). The study was conducted on postmortem brains of patients with schizophrenia and healthy controls using gyrification measures specifically in the

prefrontal region. The authors found a significant effect of diagnosis-by-sex interaction on the GI in the right prefrontal, where male patients had higher gyrification indices in comparison to healthy males, while no significant difference was observed in the female groups (558). The finding of sex differences in the GI in schizophrenia is consistent with several reports of more neuroanatomical abnormalities in males relative to female patients. For example, Narr et al. (2001) found a sex-by-diagnosis interaction in males with schizophrenia showing a greater loss of superior temporal sulcal slope asymmetry in the right hemisphere than females with schizophrenia. In addition, the authors found greater variability in the longitudinal fissure, reflecting both larger sulci and larger CSF space in male relative to female patients (559). In a different study, Bullmore et al., (1995), showed a global reduction in the right hemisphere radius of gyrification in males but not in females with schizophrenia (560). Similar structural sex differences have been found in volumetric and cortical thickness studies (20, 561-564). Highley et al., (2003) performed gyrification measures on frontal, temporal, parietal, and occipital regions in schizophrenia patients and age and sex-matched controls. The authors found no effect of diagnosis or sex on the degree of folding (565). In the present thesis, we investigate this issue further while considering sex, age, and illness duration effects.

#### *d) Deep Brain Subcortical Gray Matter Deficits – Basal Ganglia, Thalamus*

Sex effects on subcortical volumes remain equivocal. Arciniegas et al., (1999) found no significant diagnosis, hemisphere, or gender differences in thalamic volumes (543). Similarly, Gur et al., (1998) investigated basal ganglia and thalamus volumes in patients with schizophrenia and their relation to antipsychotic medication and severity of symptoms in patients with schizophrenia and healthy controls (542). The authors found that antipsychotic naïve male and female patients had lower thalamic volume, with no correlation between volumes and severity of negative symptoms, however higher volumes in the thalamus and the putamen were associated with more severe positive symptoms (542). Increased subcortical volumes in the putamen and globus pallidus were found equally in males and females with schizophrenia treated with antipsychotics (542).

### e) Deep White Matter Deficits – Corpus Callosum

Abnormalities of the deep white matter, particularly in the callosal morphology, have been observed in schizophrenia. Narr and colleagues (2000, 2003, 2004, 2005, 2006, 2009) have conducted a series of studies investigating sex-dependent morphological abnormalities in schizophrenia (533, 554, 555, 566-568). Significant increases in maximum widths in anterior and posterior callosal regions in males with schizophrenia compared to male controls and increased patterns of callosal variability in female patients, without effects of diagnosis between female groups have been observed from imaging (567). Displacement and curvature increases were highly correlated with structural differences in surrounding neuroanatomical regions, including increased volume of the lateral ventricles (567). The authors suggest that females with schizophrenia are less vulnerable to ventricular enlargement and to alterations in surrounding neuroanatomic regions compared to male patients (567). Other less investigated regions is the cerebellum. Okugawa et al., (2003) found a significant cerebellar reduction in schizophrenia patients compared to controls, however no sex differences were found (569).

Sex-dependent structural differences and their relation with cognitive deficits have been addressed in few studies. For example Abbs et al., (2011) aimed at understanding why verbal memory processes seemed to be more preserved in females than males with schizophrenia by studying structural differences in prefrontal cortex, inferior parietal lobule, anterior cingulate gyrus, parahippocampus, and hippocampus (553).

### 3.0 Summary

Several hypotheses have been proposed to explain sex differences in the brain in schizophrenia. Crow (2008) suggested that sex differences related to psychosis are attributed to a genetic species-specific variation related to a locus on the X and Y chromosomes (570). Gene expression in this region is influenced by the degree of X and Y chromosomes pairing in male meiosis. This process is referred to as MSUC "meiotic suppression of unpaired chromosomes" which normally would lead to a more rapid mean rate of lateralization in females than in males and this in turn would relate to the higher incidence rate of language delays and dyslexias in males. This complex theory posits that language disturbances are integral to psychosis. Cerebral torque (in which the right frontal lobe is larger than the left, while the left occipito-temporo-parietal is larger than the right) is due to the development of neural connections associated with language. Disturbance in this neural development was proposed as the basis of the genetic predisposition to psychosis, and schizophrenia was proposed to be a result of an abnormal cerebral lateralization associated with the emergence of language in humans (571). When this hypothesis is considered in the context of brain structures, it may explain the higher levels of anomalies found in male compared to female patients and the differences in the development of schizophrenia in males and females in general (572).

The influence of sex steroid hormones have also been suggested, particularly with respect to a putative neuroprotective effect of estrogen (573, 574). Estrogen is thought to influence sexual characteristics, the development of the brain aminergic networks, and the ability to adapt to stressful events, and to enhance the vulnerability threshold for psychosis by the downward regulation of dopamine. This model may explain the later onset and the more positive course of illness in females with schizophrenia. Increased levels of estrogen in females with schizophrenia with normal menstrual cycles were significantly associated with lower severity of schizophrenia symptoms suggesting that that estrogen had "a weak neuroleptic-like effect on schizophrenia symptoms" (325).

The accumulative body of neuroimaging findings remains, somewhat inconsistent and sometimes contradictory. Whether some of the inconsistencies with respect to sex differences in the published literature are associated with confounding factors, including duration of illness, age at onset of illness, ethnicity, IQ, and social status, methodological issues, imaging analyses



techniques remains unclear. Table X in the fourth article in the annex presents a summary of studies investigating sex differences in brain structure of schizophrenia patients. Given the variable findings in schizophrenia, it is evident that potential sex differences in this extremely complex mental illness are yet to be fully understood. In concordance with Dr. Shon Lewis' (1992) in his visionary paper "*Vive la Différence*" on the inherent difficulties in interpreting subtyping schizophrenia, we posit that "the advantage of using gender as the sub dividing variable by which to look for heterogeneity is that it is completely reliable, stable and valid- an immutable sociodemographic variable" (360).

## 4 Hypotheses and rationale

### *Hypotheses*

We hypothesized to find significant sex differences in individuals diagnosed with schizophrenia in their morphology, symptoms, cognition, and emotion. In addition to the experimental work to test these hypotheses, a complete review of the literature was undertaken. The review led us to conclude that sex differences have been reported in almost all aspects of life of patients with schizophrenia. Given this state of affair, we performed a series of studies to shed light on several unresolved or unexplored areas in this field. Thus, in the first study we hypothesized that female patients will show less deficit than male patients (relative to the same-sex controls), that the gyrification index will show deterioration with age and duration of illness, and will be more pronounced in male schizophrenia patients. This hypothesis was based on several reports of more structural brain abnormalities in males than in females with a schizophrenia diagnosis. In the second study, we sought to assess the correlation between fronto-limbic volumes and schizophrenia symptoms. We hypothesized that correlations between symptoms, white and gray matter densities would show sex differences. In the final study, we predicted that male schizophrenia patients would show more cognitive-emotional memory deficits, and that male patients would show more cerebral abnormalities in regions related to memory recall compared to female patients.

### *Rationale*

The main goal of this thesis was to shed light on sex differences in schizophrenia. After a thorough review of the literature, we investigated the three cornerstones characterizing schizophrenia: symptoms, cognition, and emotion, using different morphological measures. Significant sex differences were revealed in all three domains no matter what methodological approach was used.

The approach taken was:

- 1- Assessment of gyrification from high-field MRI in males and females with schizophrenia
- 2- The investigation of the relationship between symptoms, cortical gray matter, deep brain white matter, and subcortical gray matter nuclei in males and females with schizophrenia.
- 3- The investigation of the relationship between cognitive capacity and gray/white matter morphology in males and females with schizophrenia.

## 5 Article 1

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Despite well established cerebral sex differences in healthy population, only in recent years neuroimaging studies have addressed sex differences in schizophrenia as a possible explanation for discrepant findings. To examine potential sex differences in neuroanatomy, cognition, emotion, and schizophrenia symptoms we conducted a series of studies using diverse imaging techniques. Only a few studies have investigated sex differences in schizophrenia using cortical measures. The first study of this doctoral thesis was designed to fill in this gap in the existing literature and it is one of the first to investigate sex differences using gyrification index (GI). Furthermore, we examined GI in relation to age and illness duration. Sex differences were revealed, showing that males with schizophrenia had more cortical alterations than female patients. An important and unanticipated finding was the fact that symptoms correlated only with the GI of the occipital lobe, despite the fact that abnormalities in GI in our group of schizophrenia patients in comparison to healthy controls were found in several cerebral regions.

## Article 1

**Sex, age, and illness duration affect gyrification index in schizophrenia**

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## Abstract

**Introduction:** The Gyrfication Index (GI) represents the degree of cortical folding and is of special interest in schizophrenia, since alterations in cortical folding indirectly reflect white matter development and axonal connectivity underneath. To the best of our knowledge, only three studies have investigated the effect of sex on GI in schizophrenia. Differences in the GI between patients with schizophrenia and normal controls and the effects of sex, age, and duration of illness on the GI were investigated. **Methods:** T1 images were acquired from 48 schizophrenia patients (24 males [SZ-M] and 24 females [SZ-F]) and 48 healthy volunteers (24 males [NC-M] and 24 females [NC-F]) matched for age, sex, and handedness. Gyrfication index analyses were performed using the fully automated CIVET pipeline. **Results:** Significantly lower GI were found in schizophrenia patients relative to normal controls in bilateral frontal, bilateral temporal, and bilateral parietal. Sex differences were found: lower GI in the right hemisphere in SZ-M relative to NC-M (no difference between female groups); GI values decrease with age in normal controls (with no sex difference) and in patients (SZ-M > SZ-F), with a more progressive deterioration in the right hemisphere in schizophrenia. Negative correlations were found between the duration of illness and the right parietal GI and the right occipital GI in SZ-M, and in the left frontal and bilateral temporal GI in SZ-F. Patients, regardless of sex, had positive correlations between negative symptoms and GI in the right occipital. SZ-M had negative correlations between the left occipital GI and negative symptoms, while SZ-F had positive correlations between negative symptoms and the right occipital GI. NC-F had greater GI values than SZ-F and male groups in the left frontal; greater values than both patient groups in the bilateral temporal and right frontal; and greater values in the left parietal compared to SZ-F. **Conclusion:** Since GI reflects, in part, alterations in cerebral development and connectivity, the decrease in GI observed in patients is in agreement with the neurodevelopmental model of disconnectivity in schizophrenia, in addition, we emphasize the importance of sex differences in schizophrenia.

**Keywords:** Schizophrenia, sex differences, magnetic resonance imaging, gyrfication index, illness duration, age, symptoms.

## 1. Introduction

The last couple of decades have witnessed a boom in the development of sophisticated methods of investigating brain structure and function, but a great deal of work still lies ahead in order to unravel the neurobiological mechanisms underlying schizophrenia (1-4). Numerous brain regions have been implicated in the pathophysiology of this genetically and behaviorally complex disorder, suggesting that schizophrenia is not caused by any focal brain abnormality, but results from disturbed interactions between brain regions. The core pathology of schizophrenia is thought to involve impaired neuromodulation of synaptic plasticity, leading to abnormal functional integration of neural systems (5). One way to assess this theory is to measure cortical folding, a marker of cortico-cortical connectivity, in addition to the intra-cortical organization (6). This cortical folding is measured through the gyrification index (GI).

The Gyrification Index (GI) represents the degree of cortical folding by calculating the ratio of the entire inner cortical contour of the brain to that of the exposed outer surface contour. An increase in the GI index is thus correlated to an increase in the number and complexity of gyri (7). In 1991, Armstrong et al., (1995) stated that a change in this gyrification reflects aspects of the morphological development of the cortical layer (8). Developmentally, gyrification takes place following the completion of neuronal migration during the gestation period (9). The greatest increase in GI values occurs between 22 and 42 weeks of gestation (10). The gyrification index stabilizes shortly after birth and appears to be stable thereafter, even in the presence of atrophic processes affecting gray and white matter at a later age (10).

The gyrification index is of special interest in schizophrenia, since alterations in cortical folding indirectly reflects white matter development and axonal connectivity underneath (10, 11). Results of gyral folding (using GI) in healthy population derive mainly from postmortem studies (7, 10, 12). For example, Zilles et al., (1988) measured the GI bilaterally in the brains of 25 males and 36 females without apparent neurological diseases. The GI was greatest in the prefrontal and parieto-temporal cortex and no significant sex differences in the GI were observed (7). Few studies have attempted to investigate GI in schizophrenia patients (13-16) and those that did, focused mainly on the frontal lobe. These studies reported both greater (15, 17) and lower gyral complexity (18, 19). A study by Sallet et al., (2003) and Palaniyappan et al., (2011) reported global reductions but with fluctuating regional increases in right anterior prefrontal cortex and bilateral



frontomarginal regions (20, 21). Harris et al., (2004) compared a larger sample (N=34) of first-episode patients to healthy controls (N=36) and assessed the prefrontal, temporal, parietal, and occipital lobes. The authors found a trend toward reduction in the left prefrontal region but significant increases were observed in the right temporal regions (15). These structural abnormalities may provide insight into differences in schizophrenia symptoms (14, 20, 22).

There is a paucity of investigating the effect of sex on GI measures in schizophrenia (20, 23, 24). Only the study by Vogeley et al., (2000) found an effect of sex and diagnosis on the degree of gyral folding. Vogeley et al., (2000) conducted the study on postmortem brains of patients with schizophrenia (N=24) and healthy controls (N=24) using gyrification measures specifically in the prefrontal region. The authors found a significant effect of diagnosis-by-sex interaction on the GI in the right prefrontal, where male patients had higher gyrification indices in comparison to healthy men, while no significant differences were observed in the female groups (24). The second study by Highley et al., (2003), performed gyrification measures on frontal, temporal, parietal, and occipital regions in 61 patients with schizophrenia in comparison with 42 healthy controls (21 females). The authors found no effect of diagnosis or sex on the degree of folding (25). Bonnici et al., (2007) used an automated gyrification measure in the frontal lobe to compare schizophrenia patients (14 males and 11 females) and patients with mental retardation and healthy controls. Schizophrenia patients had reduced gyrification; however, the authors report no significant effect of sex on gyrification index (13). Similarly Cachia et al., (2008) investigated local sulcal index in schizophrenia patients (10 females and 20 males) with hallucination in comparison with healthy controls. Reductions were significant in the superior temporal sulcus bilaterally, in the left middle frontal sulcus with no significant main effect of sex on the results (22).

More recently Palaniyappan et Liddle (2012) performed gyrification analyses in a group of 57 schizophrenia patients (50 males), and a second time after exclusion of the 7 female subjects. The authors report reductions in the left insula, the superior temporal gyrus, the caudal superior temporal and inferior parietal regions. Exclusion of the female subjects did not change the results. The authors recommended caution in the interpretation of these results and pointed out the need for studies with equal male/female ratios (26).

The aim of the present study was three-fold: 1) to investigate differences in the GI between patients with schizophrenia and normal controls; 2) to investigate the main effects of sex on the GI in larger samples of patients; and 3) to investigate the main effects of age and duration of illness

on the GI. Based on previous studies, we hypothesized that: 1) patients would have lower GI than normal controls; and 2) female patients would show less GI deficit than male patients (relative to the same-sex controls). This hypothesis is based on several reports of more structural brain abnormalities in males than in females with a schizophrenia diagnosis (27-32). It is also expected that 1) the GI will show deterioration with age, which will be more pronounced in schizophrenia patients, and 2) the duration of illness will be associated with lower GI.

## 2. METHODS

### 2.1 Participants

Ninety-six subjects were included in the present study. Inclusion criteria for the schizophrenia group were a DSM-IV (33) diagnosis of schizophrenia with no medical or neurological diseases and no concomitant axis-I or axis-II disorders. Forty-eight schizophrenia patients (24 males [SZ-M] and 24 females [SZ-F]) and 48 normal controls (24 males [NC-M] and 24 females [NC-F]) participated in the study after signing a detailed informed consent approved by the local scientific and ethics committees.

SZ and NC were matched for age, sex, and handedness (34) (SZ-M: mean=31.25 years, SD=7.97; NC-M: 33.50 years, SD=8.69;  $p=.355$ ;  $df=46$  and SZ-F: mean=33.04 years, SD=8.38; NC-F: 29.92, SD=7.15,  $p=.171$ ;  $df=46$ ). No differences between groups were found in the parental socio-educational status (SZ-M: mean=2.82, SD=0.61; NC-M: mean=2.32, SD=1.12,  $df=46$ ; SZ-F: mean=2.63, SD=1.06; NC-F: mean=2.18, SD=1.13,  $p=.183$ ;  $df=46$ ) as assessed by the National Occupational Classification (NOC) (35) on a scale ranging from 1 to 4. There were no significant differences between male and female patients in either mean duration of illness or dose of antipsychotic medication in chlorpromazine equivalence. Patients were stabilized on one or more atypical antipsychotic. The effects of antipsychotic medications on GI were considered through estimation of chlorpromazine dose equivalents (36). Patients with DSM-IV (33) criteria of affective, schizoaffective and schizophreniform psychoses as well as patients with past or present neurological or Axis-I psychiatric disorder, alcoholism or drug abuse were excluded from the study. Control participants were screened with the non-patients edition of Clinical Interview for DSM-IV [SCID] (37). Symptoms severity was rated according to the positive and negative syndrome scale [PANSS] (38). Handedness was evaluated with the Edinburgh Inventory (34)

(Table 1). The date of illness onset was defined as the date of 1<sup>st</sup> psychiatric consultation, for lack of reliable information from family members and patients. Schizophrenia has common symptoms with other psychiatric disorders as bipolar disorder, personality disorder, etc.; therefore, a psychiatric consultation favors a better diagnosis.

- Insert Table 1 just about here -

## 2.2. *Magnetic Resonance Imaging Acquisition.*

Individual high-resolution co-planar anatomical images were acquired using an 12channel headcoil (three-dimensional, spoiled gradient echo sequence; sagittal orientation, slices=176, scan time 9:38 min, slice thickness=0.98 mm, TR=19 ms, TE=4.92ms, flip angle=25°; matrix 256x256 voxels, FOV: 256x256 mm, isotropic voxels 1.0 x 1.0 x 1.0mm) on a MRI Siemens TRIO-TIM system (Total Imaging Matrix) at 3.0 Tesla operating at the University of Montreal Geriatric Institute.

## 2.3. *Magnetic Resonance Imaging Analysis.*

### 2.3.1. *Gyrification index preprocessing*

Gyrification index analyses were done using the fully automated CIVET pipeline. For details, please see following references (39-44) and the following link <http://www.nitrc.org/plugins/mwiki/index.php/neurobureau:CIVETPipeline>.

### 2.3.2. *Gyrification index measurement*

An intermediate cortical surface, halfway between the inner and outer CLASP (Constrained Laplacian Anatomic Segmentation using Proximity) surfaces, was used for measuring the surface morphometrics as it represents a relatively unbiased representation of both sulcal and gyral regions (45). The cortical area was calculated in the whole hemisphere and each lobar region by summing the Voronoi area based on geodesic distances over the folded topology of the surface (46). The middle cortical surface was divided into the sulcal and gyral regions by thresholding the depth map, i.e. 3D Euclidean distance from each vertex to the nearest voxel on the convex hull volume (47). The threshold of the depth map was determined from the fact that the human cerebral cortex is a highly folded sheet with 60–70% of its surface area buried within folds (7, 48). The mean GI was

defined as the ratio between the total surface area and the superficially exposed surface areas such as the gyral regions in each hemisphere and lobe (7). Please see figure 5 as an example.

-----Insert Figure 5 around here -----

#### 2.4. Statistical Methods and Analysis.

The unified statistical approach to deformation-based morphometry was applied to the cortical surface (49), which is specifically performed when using age and gender as covariates. The cerebral cortex has the topology of a 2D highly convoluted sheet. As the brain develops over time, the cortical surface area, thickness, curvature, and total GM volume change. It is highly likely that such age-related surface changes are not uniform. By measuring how such surface metrics change over time, the regions of the most rapid structural changes can be localized. We avoided using surface flattening, which distorts the inherent geometry of the cortex in our analysis and is only used in visualization. To increase the signal to noise ratio (SNR), diffusion smoothing, which generalizes Gaussian kernel smoothing to an arbitrary curved cortical surface, has been developed and applied to surface data (2D smoothing). As an illustration, Evans and colleagues have demonstrated how this new surface-based morphometry can be applied in localizing the cortical regions of the gray matter tissue growth and loss in the brain images longitudinally collected in the group of children and adolescents. Further studies stated that each of the segmentation, thickness computation, and surface registration procedures are expected to introduce noise in the thickness measure (50, 51). To counteract this, data smoothing was used to increase the signal-to-noise ratio (SNR), and the sensitivity of statistical analysis. For analyzing data in 3D whole brain images, Gaussian kernel smoothing is widely used, which weights neighboring observations according to their 3D Euclidean distance. In the present study, however, the data lie on a 2D surface so the smoothing must be weighted according to distance along the surface. This method is adopted to reduce the noise in the thickness measure especially when co-varying with age and gender. Diffusion smoothing, that smooths data on an explicit 2D cortical surface representation, is based on the observation that, in Euclidean space, Gaussian kernel smoothing is equivalent to solving an isotropic diffusion equation. This diffusion equation can also be used on the surface manifold to increase the SNR. This is done to reduce noise and to overcome problems caused by neuroanatomic variability within the gender and age groups. In addition, mixed model regressions to account for

missing data, irregular intervals between measurements, and within-person correlation, were used to examine the developmental trajectories (52). The threshold for statistical significance was set at an  $\alpha$  of 0.05. Correction for multiple comparisons was needed to control the false-positive rate. All statistical thresholds were determined by application of the false discovery rate (FDR) technique controlling procedure for multiple comparisons. This approach is reported to be effective for the analysis of neuroimaging data (53).

A factor analysis (or ‘diagnostic group’) of diagnosis-x-gender was performed, for variables revealing significance for the GI. Then analysis of covariance with mean GI as the dependent variable with separate analyses for the left and right hemispheric, in addition to each lobe GI, were performed according to general linear model corrected for multiple comparisons. A series of univariate ANOVAs were subsequently performed according to the general linear model. Regression analyses were also performed, using GI as the dependent variable and age, symptoms scores and duration of illness as regressors. Potential interactions between regressors and diagnosis and sex were tested. Analyses were performed using the SPSS 17 software. Type I error was controlled by adopting Bonferroni corrections at  $p < 0.05$ .

### 3. Results

#### 3.1 Psychiatric assessments

Independent t-tests revealed a significant difference in negative and total PANSS scores and age of onset between males and females with schizophrenia, but no differences were found in medication or illness duration (Table 1).

---Insert Table 1 about here ----

#### 3.2. Gyrification index

##### 3.2.1: Results by hemisphere

The t-test revealed a significant main effect of group on the right hemisphere GI ( $t=2.723$ ,  $p=.008$ ) and the left hemisphere GI ( $t=2.127$ ,  $p=.037$ ), such that the patient group exhibited a lower GI compared to the control group. Based on previous findings, second level ANOVA was performed on the female and male groups separately. There was a significant main effect of group

in males on the right hemisphere GI ( $t=2.545$ ,  $p=.018$ ), and only a trend on the left hemisphere GI ( $t=1.947$ ,  $p=.058$ ), where SZ-M had a significantly lower GI compared to control males. No main effect of group was observed in females.

### 3.2.2. Regression and general linear model Analyses with hemispheres

General linear Model regression in SPSS revealed a Group-by-Age interaction in the GI of the right ( $F(1,95)=2.221$ ,  $p=0.019$ ,  $df=94$ ) and the left hemisphere ( $F(1,95)=2.239$ ,  $p=0.018$ ,  $df=94$ ), such that the diagnosis of schizophrenia affected the rate of GI loss with age. The direction of this interaction was such that increased age correlated with lower GI in the right hemisphere and in the left hemisphere GI (Figure 1a and 1b) with more rapid decrease in GI bilaterally with progressive age in the patient group compared to controls.

The examination of patients, revealed an Age x Sex interaction in the right and left hemisphere GI showing that males with schizophrenia had greater GI reductions bilaterally yet more pronounced in the right hemisphere with progressive age compared to females with schizophrenia (Figure 2a and 2b). Furthermore, an interaction was observed between duration of illness and sex demonstrating a greater decrease in GI in the right hemisphere with the duration of illness only in males with schizophrenia (Figure 4). There were no significant correlations found between hemispheric GI and symptoms in either group or patients.

- Insert Figure 1a, and Figure 1b just about here -

- Insert Figure 2a, and Figure 2b just about here -

- Insert Figure 3 just about here -

### 3.2.3 Results by Lobe

The t-test performed between patients and controls revealed significant differences in the left frontal lobe GI ( $t=3.358$ ,  $p=.001$ ,  $df=94$ ), the left temporal ( $t=2.902$ ;  $p=0.005$ ,  $df=94$ ) and the left parietal GI ( $t=2.596$ ;  $p=0.011$ ,  $df=94$ ), the right frontal lobe GI ( $t=2.886$ ,  $p=0.005$ ,  $df=94$ ), the right temporal lobe GI ( $t=3.672$ ;  $p=0.001$ ,  $df=94$ ) and the right parietal lobe GI ( $t=2.198$ ;  $p=0.030$ ,  $df=94$ ), such that the patient group exhibited lower GI compared to the control group. ANOVAs were performed for the 4 groups NC-M, SZ-M, NC-F and SZ-F. Differences between groups were significant in the left frontal ( $F(1,95)=6.949$ ;  $p=0.001$ ,  $df=92$ ) where NC-F had higher GI than NC-

M ( $p=0.030$ ), SZ-M ( $p=0.001$ ) and SZ-F ( $p=0.004$ ,  $df=92$ ); and in the left temporal ( $F(1,95)=3.924$ ;  $p=0.011$ ,  $df=92$ ) where NC-F had higher GI than SZ-M ( $p=0.034$ ) and SZ-F ( $p=0.016$ ); in the left parietal ( $F(1,95)=0.021$ ;  $p=0.023$ ,  $df=92$ ), where NC-F had higher GI than SZ-F ( $p=0.023$ ); in the right frontal ( $F(1,95)=5.165$ ,  $p=0.002$ ,  $df=92$ ), where NC-F had higher GI compared to SZ-M ( $p=0.001$ ) and SZ-F ( $p=0.046$ ); in the right temporal ( $F(1,95)=6.263$ ;  $p=0.001$ ,  $df=92$ ), where NC-F had higher GI than SZ-M ( $p=0.01$ ) and SZ-F ( $p=0.001$ ) (Figure 4).

-----Insert Table 2 and Figure 4 about here-----

### 3.2.4 Regression Analyses with lobes

Regression analyses revealed a negative correlation between the duration of illness and the right parietal GI ( $r^2=0.166$ ;  $\beta=-0.407$ ;  $t=-2.092$ ;  $p=0.048$ ,  $df=46$ ) and the right occipital GI ( $r^2=0.183$ ;  $\beta=-0.427$ ;  $t=-2.218$ ;  $p=0.037$ ,  $df=46$ ) such that male patients show more rapid decrease in GI. While females had more rapid decrease in the left frontal GI ( $r^2=0.306$ ;  $\beta=-0.553$ ;  $t=-3.115$ ;  $p=0.005$ ,  $df=46$ ), the left temporal GI ( $r^2=0.211$ ;  $\beta=-0.459$ ;  $t=-2.422$ ;  $p=0.024$ ,  $df=46$ ) and the right temporal GI ( $r^2=0.280$ ;  $\beta=-0.529$ ;  $t=-2.927$ ;  $p=0.008$ ,  $df=46$ ).

Regression analyses between symptoms and GI performed in patients regardless of sex revealed positive correlations between negative symptoms and the right occipital GI ( $r^2=0.170$ ;  $\beta=0.398$ ;  $t=3.064$ ;  $p=0.004$ ,  $df=46$ ). SZ-M had negative correlations in the left occipital GI with negative symptoms ( $r^2=0.221$ ;  $\beta=-0.470$ ;  $t=-2.495$ ;  $p=0.021$ ,  $df=46$ ), while SZ-F had positive correlations between negative symptoms and the right occipital GI ( $r^2=0.230$ ;  $\beta=0.479$ ;  $t=2.561$ ;  $p=0.018$ ,  $df=46$ ).

## 4. Discussion

The main findings of the present study are: (1) Significant lower values of the overall GI and in individual lobes in schizophrenia patients relative to normal controls; (2) Significant lower values of the GI in the right hemisphere in schizophrenia males relative to the same-sex controls (no difference between female groups); (3) GI values decrease with age in healthy controls (with no sex difference) and in patients (greater in males than in females), with a more progressive deterioration in the right hemisphere in schizophrenia; (4) Significant GI values decrease with the

duration of illness in schizophrenia males but not in schizophrenia females; (5) Patients having significantly lower GI in bilateral frontal, bilateral temporal and bilateral parietal compared to controls; (6) Female controls having greater GI values than schizophrenia females and both male groups in the left frontal; greater values than both patient groups in the bilateral temporal and right frontal; and greater values in the left parietal compared to females with schizophrenia. (7) An inverse correlation between the duration of illness and the right parietal GI and right occipital GI in male patients; (8) Female patients having a negative correlation between the duration of illness and the left frontal and bilateral temporal GI; (9) In all patients, positive correlations between negative symptoms and GI in the right occipital; (10) Male patients having inverse correlations in the left occipital GI with negative symptoms; (11) Female patients having positive correlations between negative symptoms and the right occipital GI.

These findings point to abnormalities in the morphological development of the cortical layer in schizophrenia. These alterations were generalized bilaterally in the frontal, temporal, and parietal cortices, and were in concordance with previous structural findings in the literature (22, 54, 55). Taking into consideration two facts: (i) GI values reach their maximum during the first years of life and decrease gradually during childhood; (ii) the gyrification pattern is mostly completed at birth, yet, the sulco-gyral folds continue to develop until early adulthood (10), we advance that neurodevelopmental brain changes may be present at the onset of the illness (27, 32, 56-61) with further changes occurring during progression of schizophrenia (56, 62-65) specifically in males (56, 66). The finding of sex difference in the GI in schizophrenia is consistent with several reports of more neuroanatomical abnormalities in male relative to female patients. For example, Narr et al., (2001) found a Sex-by-Diagnosis interaction with schizophrenia males showing a greater loss of superior temporal sulcal slope asymmetry in the right hemisphere than schizophrenia females. In addition, the authors found greater variability in the longitudinal fissure, reflecting both larger sulci and larger CSF space in male relative to female patients (67). In a different study, Bullmore et al., (1995) showed a global reduction in the right hemisphere radius of gyrification in males but not in females with schizophrenia (30). Similar structural sex differences have been found in volumetric and cortical thickness studies (27-29, 31, 32).

In addition to sex difference in the GI in schizophrenia, we have found a significant decrease in GI values with the duration of illness. Decreases were also seen in the right parietal and right occipital lobes in males. Female patients had decreases mostly in the left hemisphere (left frontal



and temporal and right temporal). Several studies have shown a progressive decrease in global brain measurements associated with illness duration (68, 69), specifically in frontal (70, 71) and temporal lobes (68, 70), however, the present study is, to the best of our knowledge, the first report of sex differences in GI taking into consideration the duration of illness.

The overt clinical expression of some psychiatric and neurodevelopmental disorders may be a reflection of an underlying abnormality in growth of cortical convolutions (72). It is plausible that the significant decrease in the GI observed in schizophrenia is related to the disease itself. Nevertheless, we found only an association between occipital GI and negative symptoms, in addition there were sex differences, where female patients with more negative symptoms also had greater GI in the right occipital cortex, and male patients who had greater negative symptoms had lower GI in the left occipital cortex. A study by Onitsuka et al., (2007) showed a relationship between reduced volumes in the visual association areas and hallucinations. Their study included only male schizophrenia patients (73). Bijanki et al., (2015) reported an association between increased white matter fractional anisotropy in the occipital lobe and increased score of Scale for the Assessment of Negative Symptoms (SANS) in schizophrenia patients. The authors did not report any sex differences (74). Of relevance is a study by Mitelman et al., (2003) showing that patients with negative symptoms and poorer outcomes had significantly lower gray matter volumes in the temporal and occipital lobes compared to better outcome patients and healthy controls (75).

The abnormalities in GI in our group of schizophrenia patients in comparison to healthy controls were not the same regions that correlated with schizophrenia clinical symptoms as measured by the PANSS. We postulate that cognitive deficits play a role in such discrepancy. Several studies have shown abnormalities in these regions in relation with deficits in mental rotation abilities (76) IQ performance (77) language processing (78), and face recognition (79). Along this line of evidence, Jou et al., (2005) demonstrated abnormalities in cortical gyrification in individuals at increased genetic risk of schizophrenia (i.e., not presenting the full schizophrenia symptomatology) (80).

Interestingly, female controls had greater GI values than schizophrenia females and both male groups in the left frontal; greater values than both patient groups in the bilateral temporal and right frontal; and greater values in the left parietal compared to females with schizophrenia. Luders et al., (2006) reported similar findings. In this study, the authors found increased gyrification in frontal, parietal, temporal, and occipital regions in healthy females compared to healthy males (81).

While previous findings investigating GI in schizophrenia (20, 23, 24) did not find any significant sex difference, in our study, decreased GI was observed in different regions in schizophrenia males relative to control males, and in females with schizophrenia compared to control females. Furthermore, sex differences were observed in regions showing lower GI in association age as well as illness duration, which in itself, suggest a differential neuropathological process implicated in male and female patients. Palaniyappan et al., (2011) showed a strong negative correlation between age and gyrification index in schizophrenia in general without reference to sex, suggesting a higher degree of age related morphometric changes in patients (26). Several hypotheses have been proposed to explain sex differences in the schizophrenia brain. Crow et al. (2008) suggested that sex differences related to psychosis are attributed to a genetic species-specific variation related to a locus on the X and Y chromosomes (82). Gene expression in this region is influenced by the degree of X and Y chromosomes pairing in male meiosis. This process is referred to as MSUC "meiotic suppression of unpaired chromosomes" which normally would lead to a more rapid mean rate of lateralization in females than in males and would in turn, relate to the higher incidence rate of language delays and dyslexias in males (82). This complex theory posits that language disturbances are integral to psychosis. Cerebral torque (where the right frontal lobe is larger than the left, while the left occipito-temporo-parietal is larger than the right) is due to the development of neural connections associated with language: from right to left in relation to the motor speech output and from left to right in relation to speech perception. Disturbance in this neural development was proposed as the basis of the genetic predisposition to psychosis, i.e. the authors suggest that schizophrenia is a result of an abnormal cerebral lateralization associated with the emergence of language in humans. When this hypothesis is considered in the context of brain structures, it may explain the higher levels of anomalies found in male compared to female patients in our study and the differences in the development of schizophrenia in males and females in general (83). The influence of sex steroid hormones has also been considered in a few studies (84, 85). These studies strongly suggest that the neuroprotective effects of estrogen (which influences sexual characteristics, development of the brain aminergic networks, and the ability to adapt to stressful events) enhance the vulnerability threshold for psychosis by the downward regulation of dopamine. Such mechanism may explain the later onset and the more positive course of female schizophrenia. In this vein, an amalgam of research has been proposed to explain the presence of significant brain structure abnormalities in schizophrenia males in comparison to normal control

males and schizophrenia females, such as greater enlargement of the lateral and third ventricles and decreased frontal and temporal lobe volumes (86, 87) and disease progression (88-90). With regards to the latter, Riecher-Rossler et al., (1994) and Hafner (2003) found that increased levels of estrogen in females with schizophrenia with normal menstrual cycles were significantly associated with lower severity of schizophrenia symptoms suggesting that that estrogen had: "a weak neuroleptic-like effect on schizophrenia symptoms" (89, 91, 92).

Because cortical gyrification is an important marker of cerebral development (10), we investigated the relationship between GI and age in our 96 subjects. We found a more pronounced decrease in GI with age in schizophrenia patients relative to healthy controls. Cortical convolution is influenced by the degree of thalamo-cortical connections (11). Hence, it is interesting to note the significant negative correlation between the GI and the duration of illness. Indeed, various studies have implicated thalamo-cortical connections in the pathophysiology of schizophrenia (93-95). Based on the preceding arguments, we suggest that the inverse correlations observed in the present study reflects the long-term instability in information processing and the failure of associative mental processes across the years of illness in schizophrenia.

This study is limited by the use of the GI only. Further studies should investigate GI along with cortical thickness in order to have a better estimate of gray matter density. We emphasize that our results are preliminary and that larger studies are necessary to confirm our findings. Notwithstanding these limitations, there are two major differences between the present technique and other contemporary image analysis methods, which add to our confidence in interpreting the data: first, we used a completely automated method to assess GI. An advantage of an automated method is that rater error is not a factor and is corrected for multiple threshold. Second, we first used the MNI\_AutoReg, which performs a 9-parameter, linear registration to the registration target model in order to later bring native (original raw) images into MNI-Talairach space. Then, during the 16<sup>th</sup> stage of the Civet pipeline 'non-linear surface registration' stages, where the cortical surfaces are produced, they need to be aligned with the surfaces of other brains in the data set so that cortical morphology data can be compared across subjects. Following this Surfreg performs a non-linear registration of the surfaces to a pre-defined template surface. These steps are crucial to resampling in native space, which is essential when working with schizophrenia brains. Note that while the vertices have been aligned, the topological measurements associated with them (e.g. GI), remain unchanged in this process. Finally as gyrification decreases in both healthy controls and

patients, gyrification thus becomes a much more ambiguous marker as it is difficult to isolate factors of gyrification decline with healthy aging and even more so with disease (confounded by disease progression, different behavioural factors, and substance/medication exposure). For instance, future research capturing cortical folding measures prior to or at the time of disease onset may help clarify what brain effects are due to early development versus secondary effects of disease.

In essence, we reported significant sex differences in GI decreases in schizophrenia, which correlated negatively with age and the duration of illness. Since alterations in cerebral development and connectivity may be observed by GI, we advance that this decrease is in accord with the agreed upon neurodevelopmental hypothesis of disconnectivity (5). In conclusion, we emphasize the importance of sex differences in schizophrenia.

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**Figure legends:**

Figure 1a and 1b: Figures show more rapid decrease in GI bilaterally with progressive age in the patient group compared to controls. (a) Represents Group-by-Age interaction in the right hemisphere regression GI ( $r^2=.121$ ;  $\beta=-.347$ ;  $t=-3.592$ ;  $p=.001$ ,  $df=94$ ). (b) Represents group-by-Age interaction in the left hemisphere GI ( $r^2=.104$ ;  $\beta=-.323$ ;  $t=-3.311$ ;  $p=.001$ ,  $df=94$ )

Figure 2a and 2b: Figures show more showing that males with schizophrenia had greater GI reductions bilaterally yet more pronounced in the right hemisphere with progressive age compared to females with schizophrenia (a) represents Age x Sex interaction on the right hemisphere GI ( $r^2=.212$ ;  $\beta=-.461$ ;  $t=-3.523$ ;  $p=.001$ ,  $df=94$ ). (b) Represents Age x Sex interaction in the left hemisphere GI ( $r^2=.121$ ;  $\beta=-.348$ ;  $t=-2.514$ ;  $p=.016$ ,  $df=94$ )

Figure 3: Represents interaction between duration of illness and sex ( $r^2=.244$ ;  $\beta=-.494$ ;  $t=-3.856$ ;  $p=.0001$ ,  $df=46$ ), demonstrating greater decrease in GI in the right hemisphere with the duration of illness only in males with schizophrenia.

Figure 4: Represent higher GI in Female controls in comparison to Male controls and both groups of patients.

Figure 5: Represents variation in GI, top image shows low gyrification index and bottom image show high gyrification index.

**Table 1. Clinical assessments in schizophrenia patients**

	SZ-M	Range	SZ-F	Range	P value (df=46)
	Mean (SD)		Mean (SD)		
Age (years)	31.25 (7.96)	20-49	33.04 (8.38)	21-51	0.452
Duration of illness (years)	11.04 (10.63)	2-25	8.04 (7.55)	1-27	0.265
Age of onset (years)	21.47 (4.78)	14-35	25.83 (7.37)	18-48	0.021
PANSS positive score	17.083 (5.76)	8-29	19.62 (7.49)	9-34	0.194
PANSS negative score	16.12 (4.45)	10-26	20.79 (7.69)	9-39	0.038**
PANSS general score	36.96 (7.11)	25-54	42.41 (12.66)	24-72	0.072
PANSS total score	70.92 (13.92)	46-107	82.83 (26.08)	41-140	0.05**
Chlorpromazine equivalence (mg/day)	542.29 (372.09)	100-1500	438.71 (276.35)	66-1100	0.279

**Table 2. Lobar GI measures**

	<b>NC-M</b>	<b>NC-F</b>	<b>SZ-M</b>	<b>SZ-F</b>
	<b>Mean (SD)</b>	<b>Mean (SD)</b>	<b>Mean (SD)</b>	<b>Mean (SD)</b>
<b>Left</b>				
Frontal	2.4366 (0.1137)	2.5341 (0.1060)	2.3904 (0.1302)	2.4139 (0.1181)
Temporal	2.7597 (0.1817)	2.8517 (0.1777)	2.7055 (0.1782)	2.6929 (0.1769)
Parietal	3.0085 (0.1717)	3.090 (0.1813)	2.9730 (0.1795)	2.9463 (0.1373)
Occipital	2.0797 (0.1578)	2.117 (0.1702)	2.0565 (0.1511)	2.1097 (0.1607)
<b>Right</b>				
Frontal	2.4473 (0.1261)	2.5287 (0.0978)	2.3986 (0.1135)	2.4355 (0.1324)
Temporal	2.6461 (0.1639)	2.7402 (0.1581)	2.5912 (0.1372)	2.5525 (0.1758)
Parietal	3.0525 (0.1375)	3.100 (0.1408)	3.0095 (0.1437)	3.0081 (0.1793)
Occipital	2.2532 (0.1317)	2.3101 (0.1525)	2.1942 (0.1393)	2.2556 (0.1513)



Figure 1a. Representing Group x Age interaction in the right hemisphere

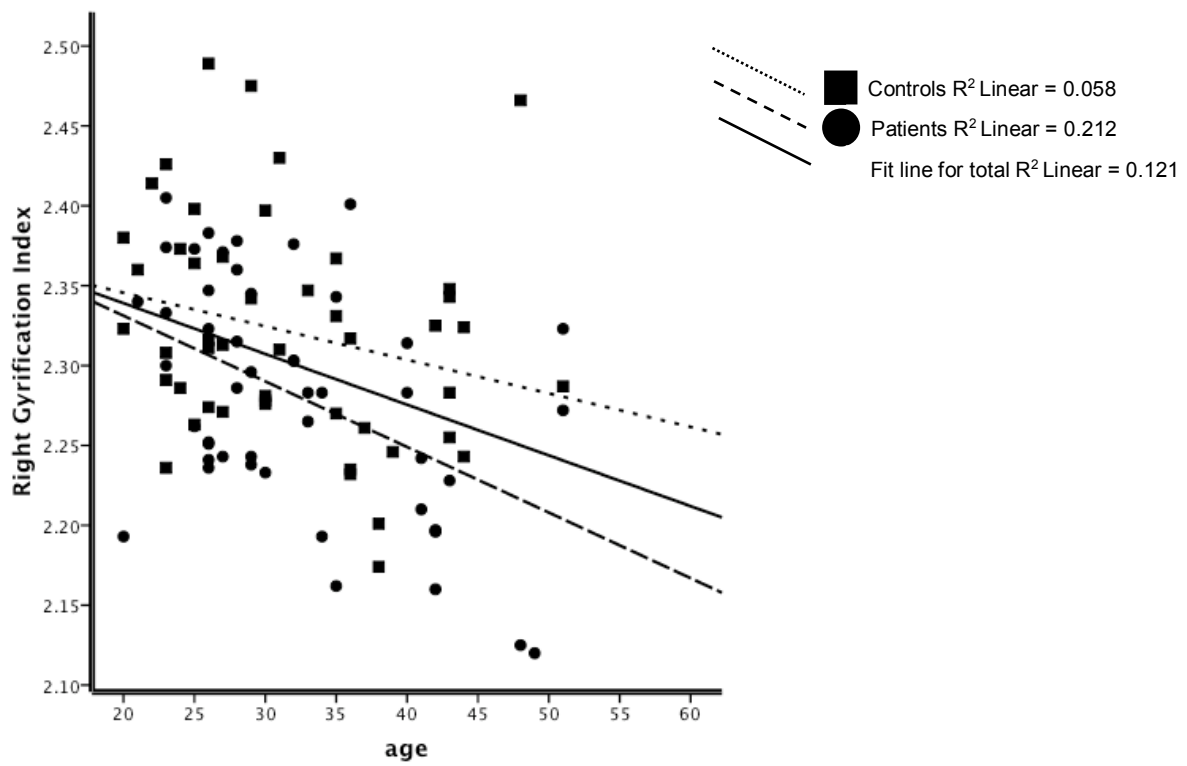


Figure 1b. Representing Group x Age interaction in the left hemisphere

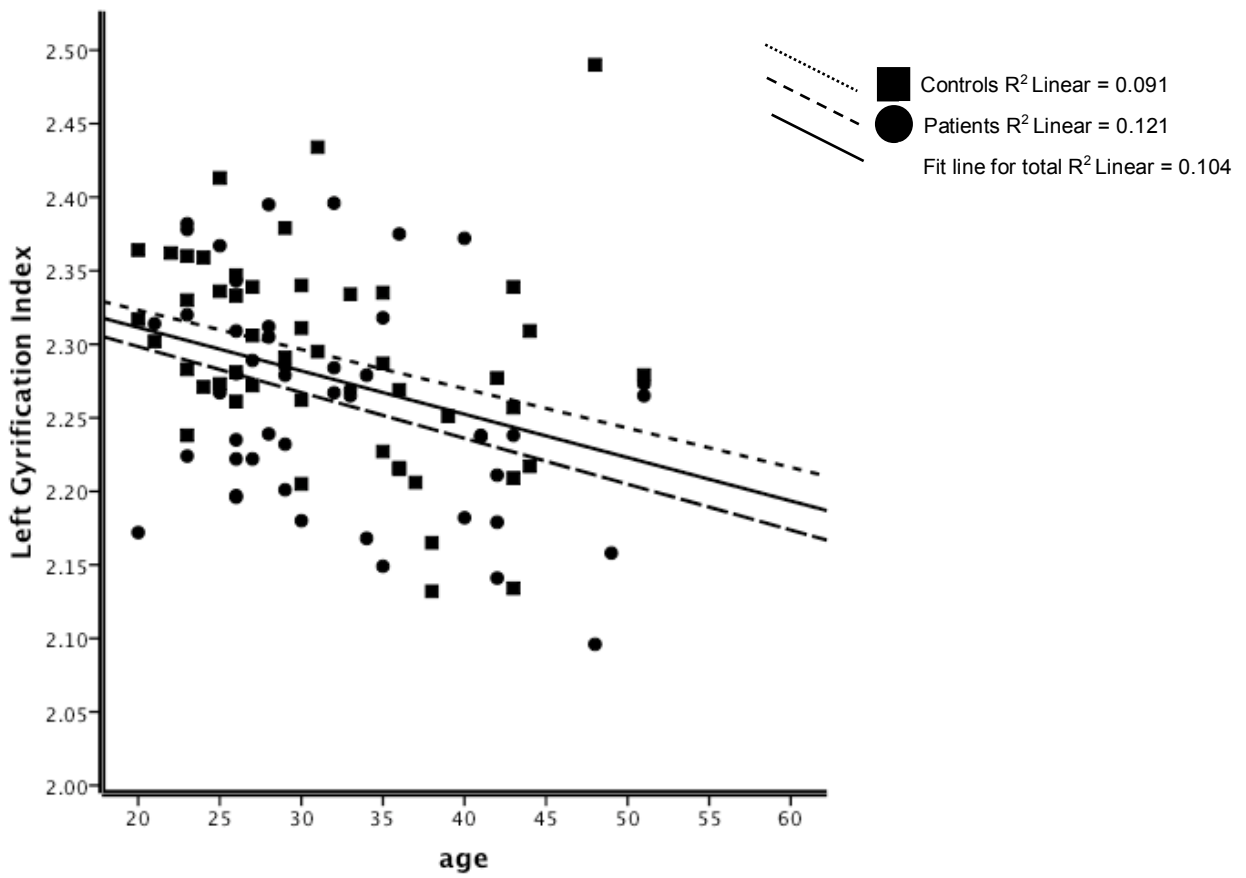


Figure 2a. Representing Age x Sex interaction on the right hemisphere

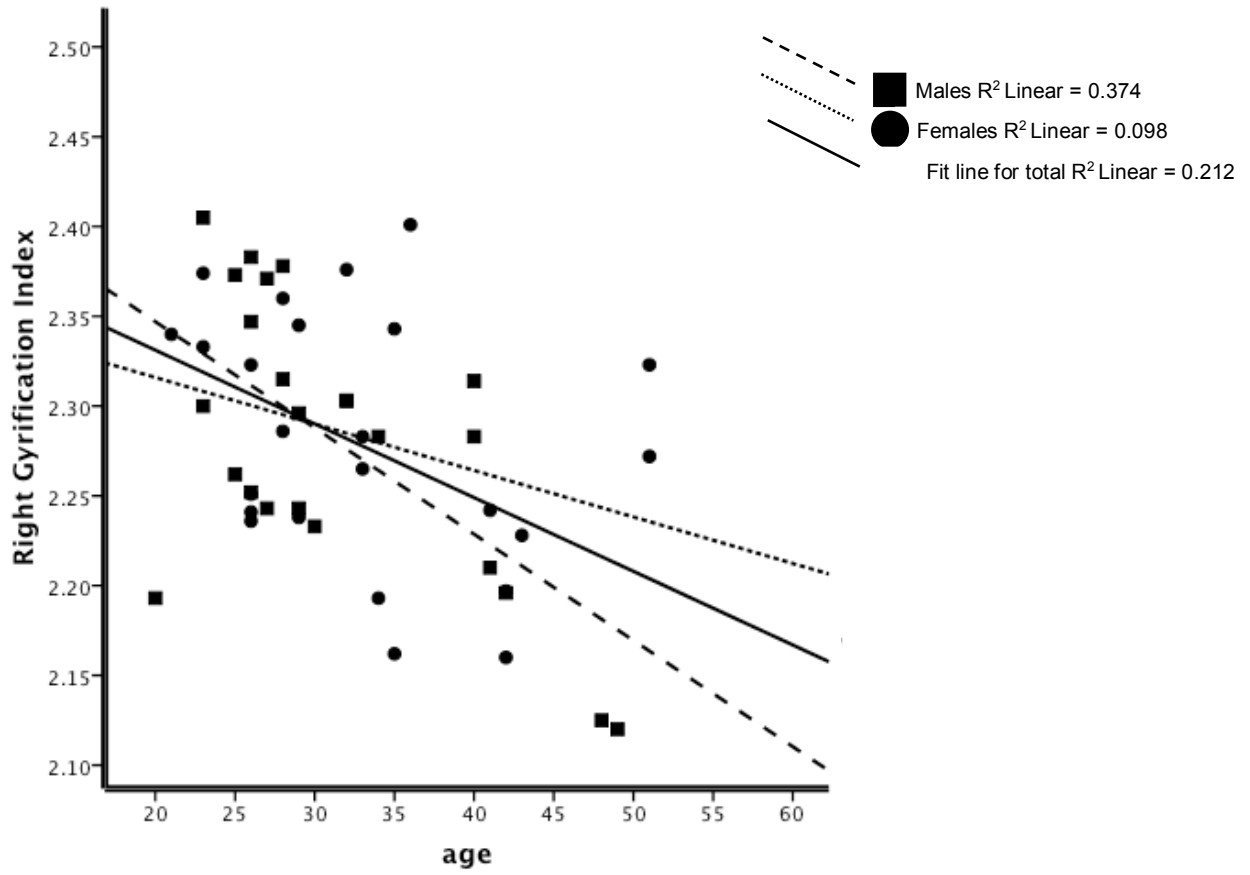


Figure 2b. Representing Age x Sex interaction on the left hemisphere

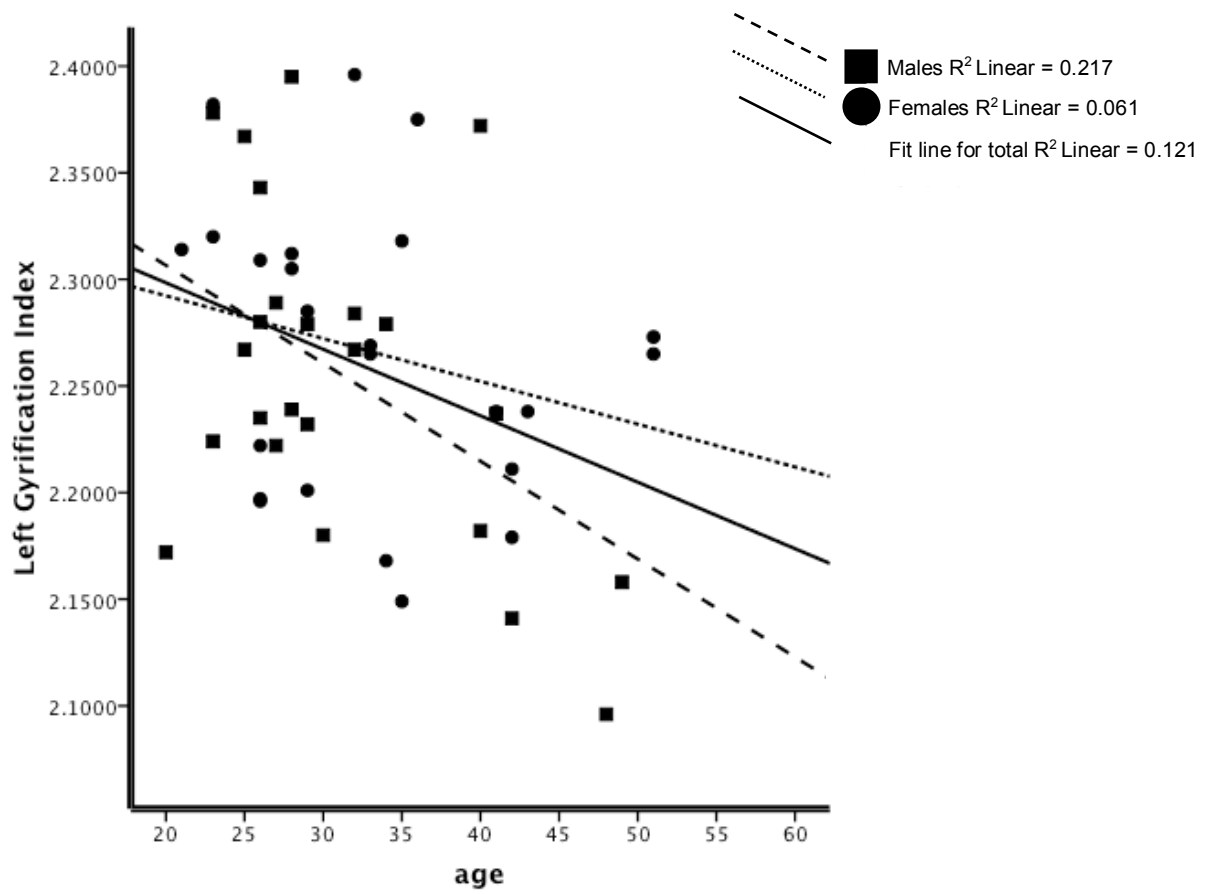
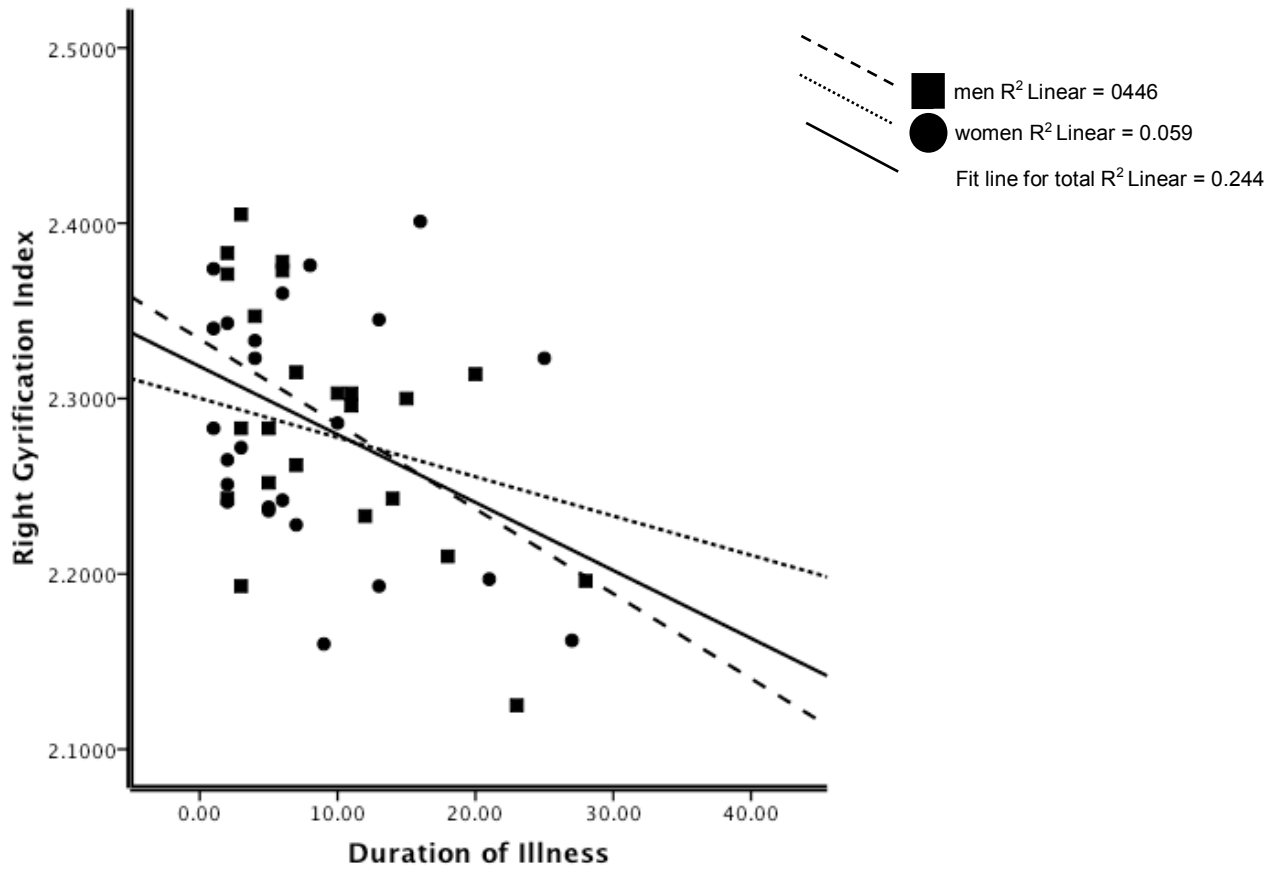
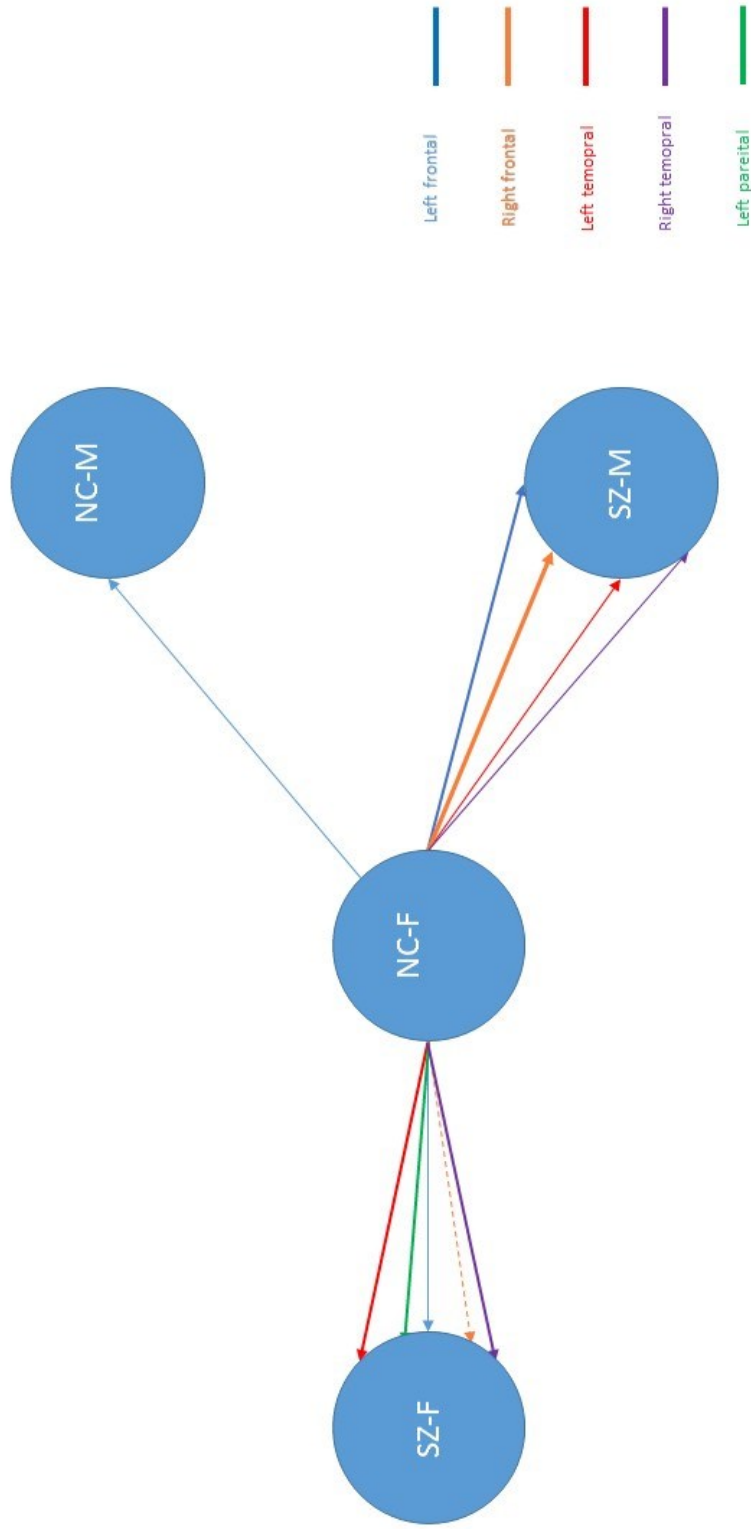
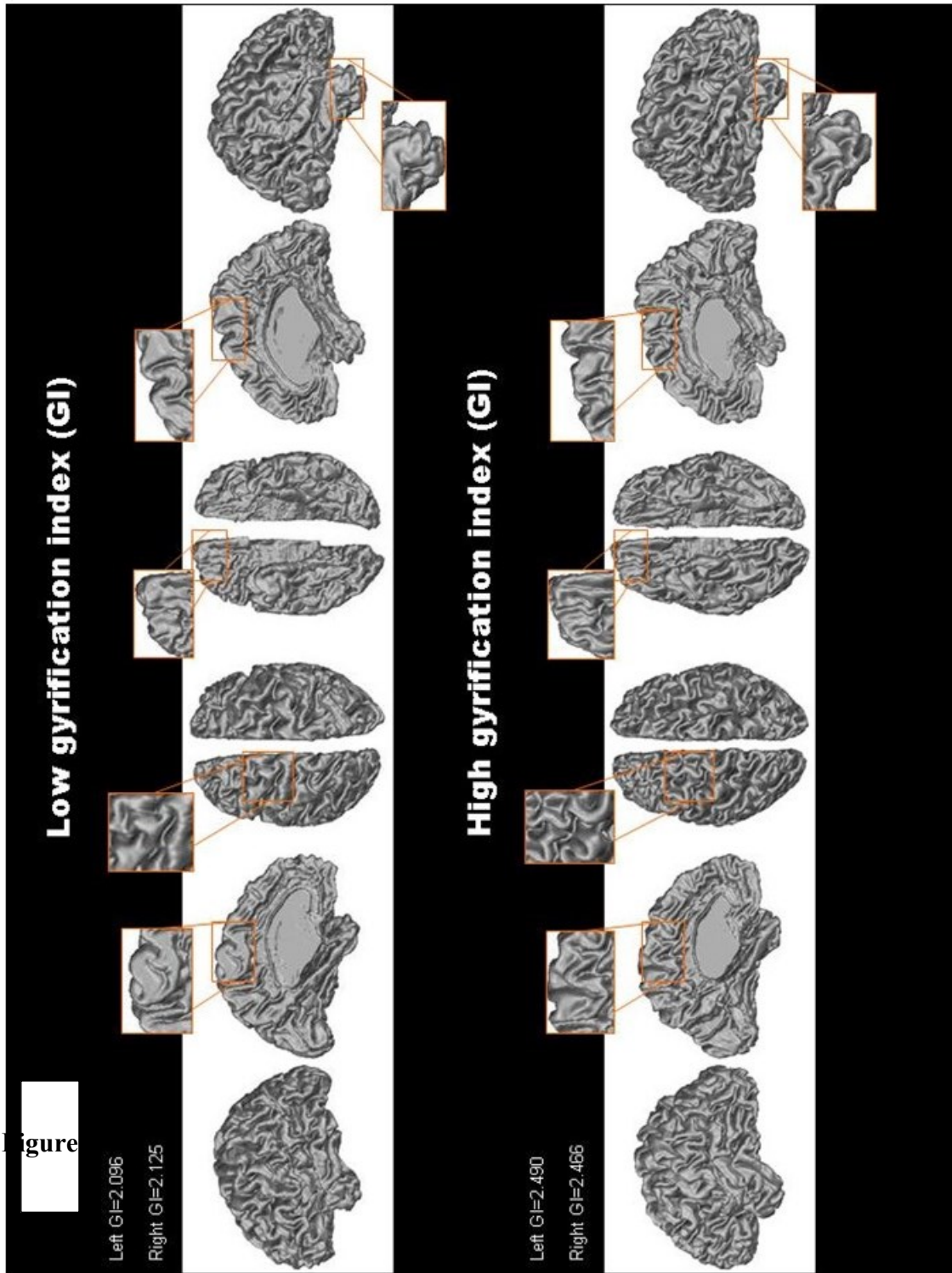


Figure 3. Duration of illness and Sex interaction in right hemisphere



**Figure 4. Gyrification index increases in female controls in comparison to male controls and both groups of patients.**





## 6 Article 2

The following work has been re-submitted to *Psychiatry Research Journal* after including the reviewer' corrections under the title: "*Symptom based gray and white matter densities in schizophrenia*". We are awaiting the journal's reply.

In the first study, an important and unanticipated finding was the fact that symptoms correlated only with the GI of the occipital lobe, while, abnormalities in GI in our group of schizophrenia patients in comparison to normal controls were found in several cerebral regions. This intriguing finding lead to the work presented in the second paper, where we postulated that deeper structures and white matter are associated with symptoms of schizophrenia, and that this relation differs in male and female patients. Using voxel based morphometry, association between greater WMD and more severe symptom scores of conceptual disorganization and attention deficits were revealed. However, when considering the two sexes individually it became clear that there were no specific correlations between total GM, WM, CSF, or total brain densities and schizophrenia symptoms in female patients, while WMD in males correlated negatively with ideas of grandiosity, and positively with conceptual disorientation and attention deficits. To our knowledge, this is the first report of a direct relationship between white matter density and conceptual disorganization and attention deficits in schizophrenia and the first report of sex differences in correlation between symptoms and white matter densities.



## Article 2

### Symptom based gray and white matter densities in schizophrenia

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## **Abstract**

We investigated the association between gray matter density (GMD) and white matter density (WMD) phenotypes and positive and negative symptoms in 40 schizophrenia patients (SZ). Additionally, cerebral volumes were compared with 41 normal controls (NC) matched for age and sex using voxel-based morphometry on T1-3T-MRI. We found decreased GMD in the anterior cingulate-temporal gyri and increased GMD in the posterior cingulate gyrus in SZ relative to NC. In addition, WMD reduction was found in the inferior frontal and posterior parietal regions in SZ relative to NC. GMD in the insula/caudate correlated with positive symptoms, while GMD in the middle frontal gyrus and cerebellum (uvula) correlated with negative symptoms. WMD in the middle frontal and superior frontal regions correlated with positive and negative symptoms respectively. Invers correlations were found between GMD in the parietal lobe and the uvula with positive symptoms. Additionally, an inverse correlation was found between GMD in the cerebellum (anterior lobe) and negative symptoms. Inverse correlation was also found in the WMD of the occipital region and superior frontal regions with positive and negative symptoms respectively. Comparison between male groups revealed decreased total GMD in SZ-M, while no differences were observed between female groups. Fronto-temporal reductions in patients support previous studies. These correlational findings suggest that symptom profiles in schizophrenia show unique GM/WM phenotypes. Our results reject the notion that all patients might share a core network of neuropathology.

**Keywords:** schizophrenia, positive and negative symptoms, gray and white matter density, voxel based morphometry.

## Introduction

Schizophrenia is thought to be underpinned by neurodevelopmental abnormalities of brain gray matter and white matter function, structure and connectivity (1-4). To date, schizophrenia patients are mainly diagnosed using subjective criteria of psychiatric diagnostic manuals (DSM and ICD). These definitions emphasize the co-occurrence of positive symptoms (i.e., hallucinations, delusions, and paranoid ideation), and negative symptoms (i.e., poverty of thought and loss of motivation) (5-7). These definitions are markedly heterogeneous and patients diagnosed with schizophrenia do not necessarily share common symptoms. There is increasing clinical evidence that schizophrenia is a disorder of integration of information between specialized brain regions.

Functional and structural alterations in cerebral gray matter (GM) and white matter (WM) in schizophrenia, particularly in the prefrontal cortex (including the anterior cingulate), the superior temporal gyrus, the limbic system (medial temporal lobe, hippocampus, entorhinal cortex, and amygdala) as well as in the basal ganglia, insula, the thalamus, and the cerebellum are well established (8-13). The heterogeneity of the findings do not easily allow for a parsimonious or singular anatomic substrate for schizophrenia phenotypes.

To date, among the most robust biological markers of pathology in schizophrenia are the alterations in brain structure correlated with symptoms detected using magnetic resonance imaging (MRI). An attempt to correlate each of these brain regions to specific symptoms might help advance our way to discovering true endophenotypes. Additionally, the identification of GM and WM structural correlates with symptomatology comparing between/within schizophrenia and other brain disorders might unveil the underlying genetic and pathophysiological bases and pinpoint better-tailored therapeutic targets. For example, the analysis of the National Institute of Mental Health, Clinical Antipsychotic Trials of Intervention Effectiveness (CATIE) schizophrenia trial, stated that negative symptoms have greater impact on global functioning than positive symptoms because of their undetermined etiology and resistance to treatment (14). Grouping patients by specific genetic, neuroanatomical and symptoms endophenotypes (15, 16) may yield more specific findings.

Sex differences have an important impact on symptoms in schizophrenia. The presence of negative symptoms in males with schizophrenia compared to females is a consistent finding in the literature, and is typically twice as severe in males than in females (17-26). The stability of positive and negative symptoms has been also shown to be affected by the sex of patients. Mancevski and colleagues (2007) reported significant decreases in positive symptoms and increases in negative symptoms over the course of the illness, which were particularly pronounced in males (27). Neurostructural differences in males and females with schizophrenia have also been reported. Imaging studies on sex-dependent brain abnormalities have consistently reported more severe disturbance in males than in females with schizophrenia: reduced prefrontal volumes, reduced anterior temporal horn and medial temporal lobe volumes, more specifically amygdala, hippocampus, superior temporal and larger ventricular-brain ratios (VBR)(28-37). Few studies address the relation between differences in brain structure and symptoms in males and females.

Our group has studied the relation between cortical gyrification and symptoms in males and females with schizophrenia (38). Our results showed that male schizophrenia patients had inverse correlations between the cortical gyrification index (GI) in the left occipital cortex and negative symptoms, while female patients had positive correlations between GI in the right occipital cortex and negative symptoms. In addition, overall more severe cortical abnormalities were observed in male patients. An important and unanticipated finding was the fact that symptoms correlated only with the cortical gyrification of the occipital lobe, while abnormalities in cortical gyrification in our group of schizophrenia patients in comparison to normal controls were found in several other cerebral regions.

The main purpose of the present study was to investigate the relation between schizophrenia symptoms and gray and white matter densities and the effect of sex on this relation using DARTEL-voxel-based morphometry. We hypothesized that deeper grey and white matter structures would be associated with symptoms of schizophrenia and that this relation would show sex differences. We expected changes in fronto-limbic structures to be differentially associated with PANSS scores. Particularly, we hypothesized that (1) gray and white matter densities in fronto-limbic region would differ between schizophrenia patients and normal controls, (2) these regions would differ in relation to the PANSS positive versus negative symptoms and that (3) males with schizophrenia would show more severe grey and white matter abnormalities than females with schizophrenia.

## Methods

### Participants

Eighty-one subjects were included in this study: 41 normal controls (NC) (22 males [NC-M] and 19 females [NC-F]) and 40 individuals SZ diagnosed with schizophrenia (SZ) (20 males [SZ-M] and 20 females [SZ-F]) according to DSM-IV criteria (39). All patients were in a stable phase of their illness (defined as no relapse within the last two months and no change in their antipsychotic treatment within the last month). The groups were matched for age, sex, handedness (Edinburgh Inventory) (40) and parental socioeconomic status (National Occupational Classification (NOC)).

There was no significant difference in age between NC (mean age=31.51, SD=7.88) and SZ (mean age=32.08, SD=6.96),  $p=0.735$ . In addition, no significant differences were found between male groups or female groups. NC-M had a mean age of 30.50, SD=7.85 ( $p=0.677$ ), NC-F aged 32.68 SD=7.96 ( $p=0.988$ ).

Experienced psychiatrists reevaluated all patients before being assigned to the research group according to DSM-IV criteria. Affective, schizoaffective, and schizophreniform psychoses were excluded. Control participants were screened with the non-patients edition of the Clinical Interview for DSM-III (SCID) (41). The positive and negative syndrome scale (PANSS) was used to rate symptom severity (5, 7). The date of illness onset was defined as the date of 1<sup>st</sup> psychiatric consultation, for lack of reliable information from family members and patients. Schizophrenia has common symptoms with other psychiatric disorders, such as bipolar disorder, personality disorders, etc., therefore a psychiatric consultation favors a better diagnosis. All the patients received at least one atypical antipsychotic (chlorpromazine equivalence was calculated) (42).

General exclusion criteria included: age below 18 or above 45 years, past or present neurological or Axis-I psychiatric disorder, alcoholism or drug abuse, noncompliance with testing procedures, abnormal uncorrected vision, or any contra-indication for MRI such as a cardiac pacemaker, an aneurysm clip, a metal prostheses or cardiac valve replacement, the presence of metal in an eye or any part of the body, certain dental work, or claustrophobia.

In agreement with the Declaration of Helsinki, written informed consent was obtained prior to participation in the experiment. The ability of schizophrenia patients to give informed consent was established using the guidelines of the Canadian Psychiatric Association. The ethics

committees of the Fernand-Seguin Research Center of the Louis-H Lafontaine Hospital and the Regroupement Neuroimagerie Québec approved the study. Full details of subject characteristics are given in Table 1. All healthy participants were medication naïve, with no history of neurological or psychiatric disease. No abnormalities were observed on their brain structural MRIs.

-----Insert table 1 around here-----

### **Image acquisition**

Eighty-one individual high-resolution co-planar anatomical images were acquired using an 12-channel headcoil (three-dimensional, spoiled gradient echo sequence; sagittal orientation, slices=176, scan time 9:38 min, slice thickness=0.98 mm, TR=19 ms, TE=4.92ms, flip angle=25°; matrix 256x256 voxels, FOV: 256x256 mm, isotropic voxels 1.0 x 1.0 x 1.0mm) on a MRI Siemens TRIO-TIM system (Total Imaging Matrix) at 3.0 Tesla operating at the University of Montreal Geriatric Institute.

### **Image and statistical analyses**

Statistical Parametric Mapping (SPM8, Wellcome Department of Cognitive Neurology) implemented in MATLAB R2010a (Mathworks, Sherborn, MA) was used for image analyses. Images were converted to NIFTI format and processed using the latest version of SPM8. All 81 subjects passed the SPM8 data quality control. Images were analyzed using the Diffeomorphic Anatomical Registration Through Exponentiated Lie Algebra (DARTEL) toolbox in the latest version of SPM8 used for voxel-based morphometry (VBM). We used VBM to assess the voxel-wise comparison of the local concentration/volume of GM and WM between groups (NC and SZ), then within the SZ (multiple-regression with the PANSS).

DARTEL toolbox uses a high dimensional warping process that increases the registration between individuals, which results in improved localization and increased sensitivity in analyses (43). Processing begins with the “import” step. This involves taking the parameter files produced by the segmentation, and writing out rigidly transformed versions of the tissue class images, such

that they are in as close alignment as possible with the tissue probability maps. The second step is the registration itself. It involves the simultaneous registration of GM with GM and WM with WM. This procedure begins by creating a mean of all the images, which is used as an initial template. Deformations from this template to each of the individual images are computed, and the template is then re-generated by applying the inverses of the deformations to the images and taking their average. Following this, warped versions of the images can be generated. These steps improve computational anatomy providing more easily interpreted voxel-based morphometry (VBM), and better parameterization of brain shapes. The normalized gray matter (GM) and white matter (WM) maps were then modulated with the resulting Jacobian determinant maps and smoothed with an 8-mm FWHM Gaussian kernel.

Total GMD, WMD and brain volume were obtained from calculated raw volumes in the VBM8 toolbox. The segmented images were visually inspected, then imported to DARTEL for warping procedure, and finally iteratively aligned to the average template. During DARTEL warping, the segmented images were modulated with Jacobian determinates to preserve volume changes. Expert users carefully evaluated each step to check for normalization or segmentation errors. For more details please refer to Ashburner et al., (2007, 2009) (43, 44) and [http://www.fil.ion.ucl.ac.uk/spm/software/spm8/SPM8\\_Release\\_Notes.pdf](http://www.fil.ion.ucl.ac.uk/spm/software/spm8/SPM8_Release_Notes.pdf)

In SPM8 VBM, all statistical analyses used the general linear model (GLM), which is used to identify regions of GM and WM concentration/volume that are significantly related to a specific variable (45). We conducted an exploratory whole-brain analysis. VBM is not biased to one particular structure, which permits an even-handed and comprehensive assessment of anatomical differences throughout the brain (45). All reported brain regions were examined at a threshold corrected for multiple comparisons (FWE-corrected at cluster-level,  $p < 0.05$ ). All coordinates are reported in Montreal Neurological Institute (MNI) format. Using the tool Talairach Applet (<http://www.talairach.org/applet.html>) the anatomic location of significant clusters was detected. We used SPM to perform group structural differences and to perform exploratory whole brain correlation analyses with PANSS positive and negative symptoms.

## **Automatic Linear Modeling (ALM)**

We further conducted a prediction model using the Automatic Linear Modeling (ALM) in SPSS21. We fitted an ALM for GMD and WMD separately with covariates as age, sex, duration of illness, age of onset, medications, cognitive scale measures (Raven percentile, Wechsler Adult Intelligence Scale (WAIS), all positive PANSS symptoms and all negative PANSS symptoms. Separate regression analyses of total GMD and WMD were performed on the best linear unbiased predictors. To that end, we used the forward stepwise approach combined with the Akaike's Information Criterion Corrected (AICC) (46). We applied the best subset that checks "all possible" models, or at least a larger subset of the possible models than forward stepwise, to choose the best according to the best subsets criterion. The model with the greatest value of the criterion is chosen as the best model. Then, we applied the adjusted R-squared so that any effects in the model that correspond to a decrease in the prediction model are removed (i.e.,  $p < 0.05$ ).

## **Results**

### *Imaging results*

SZ showed lower gray matter densities in the anterior cingulate cortex and left middle temporal region, and higher GMD in the left posterior cingulate, relative to NC. Moreover, SZ had significantly lower WMD in the left inferior frontal rectal and the left posterior parietal regions in comparison to NC (Table 2).

-----Insert table 2 and Figure 1 about here-----

We observed significant positive correlations ( $p < 0.05$ ) between positive symptoms and GMD in the left insula and right caudate; and between negative symptoms, the right middle frontal, and the posterior lobe of right cerebellum (uvula) (Table 3, Figure 2).

Inversed significant correlations were found between positive symptoms and GMD both the right parietal (precuneus), and the posterior lobe of left cerebellum (uvula). Additionally, inversed correlations were found between negative symptoms and the anterior lobe of the left



cerebellum. Concerning WMD, positive correlations were found with positive symptoms in the right middle frontal region, while negative symptoms were positively correlated with WMD in the right superior frontal region. Negative correlations were found between positive symptoms, the right inferior occipital, and the right occipital cuneus. Negative symptoms correlated negatively with the left superior frontal (Table 4, 3).

-----Insert Table 3 and 4 and Figure 2 and 3 about here-----

Comparison between male groups revealed decreased total GMD ( $F=.126$   $t=2.217$   $df=40$   $p=.32$ ) while no differences were observed in female groups. SZ-F showed no correlation between total GMD, WMD, CSF, or total brain volume and schizophrenia symptoms. SZ-M showed inverse correlations between ideas of grandiosity and WMD ( $r=-.551$ ,  $r^2=.303$ ,  $t=-2.639$ ,  $p=.018$ ,  $df=38$ ). Additionally, SZ-M showed positive correlation between disorientation and WMD ( $r=.545$ ,  $r^2=.297$ ,  $t=2.598$ ,  $p=.019$ ,  $df=38$ ), attention deficits and GMD ( $r=.466$ ,  $r^2=.217$ ,  $t=2.108$ ,  $p=.05$ ) and attention deficits and WMD ( $r=.463$ ,  $r^2=.214$ ,  $t=2.089$ ,  $p=.05$ ,  $df=38$ ) (Figure 4).

-----Insert Figure 4 around here-----

We further conducted an exploratory prediction model of the linear regression relationship between GMD and WMD with the PANSS symptoms respectively in all patients. We note that they share the conceptual disorganization symptom, which is positively correlated with both structures (GMD: coefficient=38.99;  $F=5.43$ ;  $p<0.026$  and WMD: coefficient=41.69;  $F=7.53$ ;  $p<0.010$ ). Conversely, we note that GMD was negatively correlated with lack of spontaneity ( $F=6.10$ ;  $t=-2.50$ ;  $p<0.020$ ) and hallucinations ( $F=5.89$ ;  $t=-2.43$ ;  $p<0.021$ ). WMD was negatively correlated with hostility ( $F=5.80$ ;  $t=-3.12$ ;  $p<0.01$ ) and grandiosity ( $F=7.82$ ;  $t=-2.80$ ;  $p<0.01$ ) (Figure 5 and 7).

-----Insert Figures 5 and 7 around here-----

The intensity of lack of spontaneity ( $p=0.019$ ) and hallucination ( $p=0.021$ ) symptoms predicted the degree of GMD loss, while increased hostility ( $p=0.007$ ) and grandiosity ( $p=0.008$ ) symptoms predicted decreased WMD. Interestingly, the intensity of conceptual disorganization was a predictor factor of increased GMD ( $p=0.026$ ) and WMD ( $p=0.10$ ) in SZ (Figure 6 and 8)..

-----Insert Figure 6 and 8 around here-----

#### *Results of clinical assessments:*

No differences were found between SZ-M and SZ-F when using the total PANSS scores of: negative, positive, or general items. When analyses were performed by individual item, no differences were found between SZ-M and SZ-F except on item “Guilt feeling” of the PANSS general, where SZ-F had higher scores (SZ-M: mean=1.67, SD=0.69, SZ-F: mean=2.38, SD=0.96,  $p=0.018$ ,  $df=38$ ).

-----Insert Table 5 around here-----

#### *Cognitive behavioral assessments:*

Significant differences were found between NC and SZ groups in terms of years of education and cognitive performance (Table 6).

-----Insert Table 6 around here-----

## **Discussion**

The main purpose of the present study was to investigate the relation between schizophrenia symptoms and gray and white matter densities and the effect of sex-specific differences on this relationship. As hypothesized, males with schizophrenia showed lower GMD compared to same-

sex normal controls, while no difference were observed in female groups. Females with schizophrenia showed no correlations between brain densities and symptoms, while male patients showed several correlations, specifically between WMD and disorientation, and between both GMD and WMD and attention deficits. In addition, inverse correlation were observed between WMD and ideas of grandiosity. In addition to our findings on sex differences, the analyses revealed several correlations between symptoms and GMD in schizophrenia patients regardless of sex: between positive symptoms and GMD in the left insula and right caudate; between negative symptoms and GMD in the right middle frontal and the posterior lobe of right cerebellum (uvula). Inverse correlation were also observed between positive symptoms and GMD in the right parietal (precuneus), the posterior lobe of left cerebellum (uvula) and between negative symptoms and GMD in the anterior lobe of the left cerebellum. We also observed correlation between WMD and symptoms: between positive symptoms and WMD in the right middle frontal region and between negative symptoms and WMD in the right superior frontal region. Inverse correlation between positive symptoms and WMD were observed in both right inferior occipital and right occipital cuneus regions. Additionally, patients showed inverse correlations between negative symptoms and WMD in the left superior frontal region. Individual symptoms such as conceptual disorganization were also found to correlate with both GMD and WMD. Inverse correlations were observed between lack of spontaneity and hallucinations and GMD. Hostility and grandiosity inversely correlated with WMD.

The finding regarding group main effect differences between NC and SZ is consistent with the literature (47). The anterior cingulate finding is of particular interest since a recent study showed that “at risk” subjects who actually develop psychosis had significantly smaller anterior cingulate volumes (48). Furthermore, in a recent meta-analysis, Sepede and colleagues (2014) argued that alterations of the anterior cingulate cortex seemed to be more common in schizophrenia than in bipolar disorder (8). The increased GMD of the posterior cingulate in the schizophrenia group may indicate a compensatory mechanism in response to the anterior cingulate deficit.

We have also found decreased GMD in the left middle temporal, which represents one of the most consistent findings in patients with schizophrenia (49, 50).

Our significant finding of the positive relationship between PANSS positive symptoms and the insula and the caudate density is also consistent with some previous studies. The insula has been involved in emotional interoceptive representations (51) and positive symptoms were shown

to correlate with reward anticipation signal in the insula in individuals at high risk to develop psychosis (52). A recent study by Rolland et al., (2015) demonstrated that patients with acoustico-verbal hallucinations show bilaterally greater resting state functional connectivity in the insula (53). Similarly, Sepede and colleagues (2014) demonstrated that significantly altered functioning of the insula was found in schizophrenia, and proposed it as a candidate trait marker for psychosis (8). We postulate that due to its location at the interface of frontal, parietal and temporal lobes, the insula is involved in cognitive, emotional, and somato-sensorial processes, hence providing a hub that integrates salient stimuli with somatosensory and autonomic information (54-57). The increased GMD could be associated with the over stimulation of cognitive, emotional and somato-sensorial processes regulated by the insula resulting in delusions and hallucinations, which are characterized by amplification of emotional and sensory motor perception and processing. A strong functional connectivity has been reported between the insula and caudate. The caudate have been reported be functionally connected with emotive and cognitive regions including the amygdala and portions of the anterior and posterior cingulate and has been involved in the neurobiology of schizophrenia positive symptoms in relation to dopamine dysfunction (58-60). We should note however, that it might be related to medication (61).

The parietal cortex was negatively correlated with the PANSS positive symptoms. Conversely, the frontal cortex was positively correlated with the PANSS negative symptoms. In accordance with these results, a study by Dazzan and colleagues (2012) demonstrated that subjects who develop affective psychosis had reductions in the frontal cortex. Subjects who developed schizophrenia had smaller volumes in the parietal cortex (48). The positive correlation in the middle frontal with negative symptoms is of particular interest. Several studies point out the importance of this region in emotion regulation (62-64), and in down-regulation of emotional processing (65) in normal population. A meta-analysis by Kohn et al. (2014) concludes that the middle frontal region (dorsolateral frontal cortex-DLPFC) plays a key role in action inhibition and proposes that it modulates “higher order ‘cold’ regulatory processes” (66). Of specific relevance to our results are findings by Smith et al., (2013) showing that a decrease in volume of the DLPFC is associated with improvement of depression symptoms in patients with depression (67). In view of these findings, it is reasonable to say that negative symptoms, which share several characteristics with major depression, are associated with over engagement of the DLPFC in affective inhibitory processes in schizophrenia patients.

Present findings point to the importance of the cerebellum in mediation of positive and negative symptoms and brain. Historically, the cerebellum was largely ignored in schizophrenia research. In 1979, Heath and colleagues were among the first groups to pinpoint gross pathology of the cerebellum in psychotic patients (68). In 1995, Martin and Albers concluded that morphological and functional data support the role of the cerebellar dysfunction in the pathogenesis of schizophrenia (69). In their review, Andreasen & Pierson (2008) argued that the cerebellum plays a role in higher cognitive and emotional cortical functions (70). The cerebellum with its cortical connections (the limbic system, the frontal, parietal, prefrontal, occipital, and temporal cortex) opens a pathway for explaining the diversity of schizophrenia symptoms (71). Based on our results, the cerebellum is mainly correlated with the PANSS negative symptoms. This may be in agreement with previous research findings asserting the cerebellum as an emotional pacemaker (72). In their review, Stoodley et al. (2010) specified that the posterior cerebellum was linked to cognition and the posterior vermis with emotion and cognitive affective syndromes such as passivity, blunted affect and withdrawal (72). Our results point out to the involvement of the vermis (affective) and the posterior cerebellum (cognitive) in regulation of negative symptoms such that increased cognitive regulation/inhibition is associated with decreased emotional processes, which may play a role in negative symptoms. The negative relation between the cognitive part of the cerebellum and positive symptoms is intriguing. Symptoms such as hallucinations and delusions were found to be associated with deficits in several cognitive processes (73), perception and correction errors (72), source and self-monitoring (75), stimulus recognition (76), and reality monitoring (77, 78). The relation between these cognitive processes and the cerebellum are not fully understood.

Regarding WM abnormalities, we observed a strong relationship between the superior frontal region and negative symptoms. This finding is coherent with the fact that male patients showed an inverse correlation between WMD and ideas of grandiosity, and a positive correlation with conceptual disorientation and attention deficits. This is in agreement with previous studies concluding that abnormalities in the superior frontal executive region could explain negative symptoms (47, 79).

The abnormalities in GMD and WMD in our group of schizophrenia patients in comparison to normal controls were not in the same regions that correlated with schizophrenia clinical symptoms as measured by the PANSS. This is relevant and we postulate that cognitive deficits

play a role in such discrepancy. We reviewed neuropsychological cognitive data collected (the WAIS-III and Raven percentile tests) from the patients and normal group. Significant deficits were observed in the schizophrenia groups in all measures when compared to healthy subjects (Table 6). Abnormalities in the left middle temporal, the left inferior frontal and the left posterior parietal regions were found to be correlated with deficits in emotional memory accuracy in another study performed by our group (paper submitted), and several studies have shown abnormalities in these regions in relation with deficits in mental rotation abilities (80), IQ performance (81), language processing (82), and face recognition (83).

When examining sex-dependent differences, we noted that male patients had decreased total GMD when compared to normal control males, while no differences were observed in female groups. Several studies show that males with schizophrenia have more GM abnormalities than females with schizophrenia. Such abnormalities include: reduced prefrontal volumes; reduced anterior temporal horn and medial temporal lobe volumes, more specifically amygdala, hippocampus, superior temporal and larger ventricular-brain ratios (VBR); or females have more preserved cerebral morphology (28-37). Several authors have suggested that these differences are due to the neuroprotective effects of estrogen in females (84-86). In terms of correlation, females revealed no specific correlation between total GMD, WMD, CSF, or total brain volume and schizophrenia symptoms. In contrast, WMD in males inversely correlated with ideas of grandiosity, and positively with conceptual disorientation and attention deficits. To our knowledge, this is the first study to report a direct relation between white matter volume and these symptoms. Interestingly a study by Sallet et al., (2003) found correlations between cortical gyrification index and grandiosity (87). Gyrification index measures cortical folding, a feature that is hypothesized to represent underlying white matter (88). The white matter abnormalities are in support of neurodevelopmental disturbance that is more evident in males than females with schizophrenia.

Regardless of sex of patients, our exploratory prediction model of the linear regression relationship between GMD and WMD with the PANSS symptoms has produced stimulating results. The negative correlation between WMD and ideas of grandiosity remained significant after grouping male and female patients together. This in itself gives further support to the important role of sex differences in schizophrenia. Here we report for the first time the relation between WMD and hostility, where increased WMD is correlated with less hostility. Only two related studies by Hoptman et al., (2002, 2010) have found white matter microstructure abnormalities of

the inferior frontal, in particular higher trace, i.e. the average diffusion coefficient of white matter tracts over 3D directions. The authors also found reduced functional connectivity in white matter between the amygdala and ventral prefrontal cortex regions to be correlated with increased aggression in patients with schizophrenia (89, 90).

Of particular interest is the association between greater WMD and more severe symptom scores of conceptual disorganization and attention deficits. To our knowledge, no other study has reported such findings in schizophrenia. To make sense of this finding, we seek support from other psychopathologies. Similar findings have been reported in autism, where increased white matter volume was associated with language and communication deficits (91), which are fundamental elements in the construction of conceptual disorganization. However, further studies are needed in schizophrenia to support this view.

In our study GMD was inversely correlated with hallucinations, in concordance with several studies showing a similar association with gray matter in the left superior temporal gyrus (92-94), left thalamus, left and right cerebellum (93) middle/inferior right prefrontal gyri (94), bilateral insula and left amygdala (92) (also see review by Aguilar et al., 2008) (95).

The intensity of lack of spontaneity and hallucination symptoms predicted the degree of GMD loss, while increased hostility and grandiosity symptoms predicted decreased WMD. Interestingly, the intensity of conceptual disorganization was a predicting factor of increased GMD and WMD in schizophrenia patients. To this end, future studies investigating the correlation between individual symptoms and specific cerebral regions are needed.

We should consider the limitations of the present study such as potential effects of antipsychotic medication (past and current). We specify that all our patients were receiving consistent doses of first- or second-generation antipsychotic medication. All patients were in a stable phase of their illness (defined as no relapse within the last two months and no change in their antipsychotic treatment within the last month). All patients received at least one atypical antipsychotic (chlorpromazine equivalence was calculated) (42). The second limitation is that our clinical assessment was not exhaustive. We used only one scale to assess the positive and negative symptoms (i.e., the PANSS). Hence, we anticipate in our future research to investigate the association of the regions within each of the positive and negative symptoms using further scales.

## Conclusion

Considerable heterogeneity remains across studies investigating changes in GM and WM volume structures in schizophrenia. Despite the diligent efforts to control for age, sex, medications, and disease onset, heterogeneous symptoms could contribute to inconsistent findings. Our group previously advocated that future studies should group large number of patients by symptom dimensions. (96-99). Taking into account the importance of sex differences in schizophrenia, studies using the symptoms' dimensional approach in schizophrenia may be important for elucidating the core pathophysiology of this illness.

We should note that despite significant findings in this domain, neuroanatomical abnormalities are insufficiently sensitive to be individually or collectively diagnostic of the disease (or its prognosis/etiology). Hence, the abnormalities have yet to be integrated into a clinically validated model, which would permit a coherent approach towards early detection, prevention, and treatments.

Our results shed further light on the neuroanatomical underpinnings of psychosis, providing insights into the physiological nature of positive versus negative symptoms. In essence, our study provides neurobiological evidence on the validity of a combination of overlapping and differing brain structural neuropsychopathology assessed by the PANSS. More importantly, our results reject the notion that all patients might share a core network of neuropathology. To this end, future studies should investigate the correlation between individual symptoms and specific cerebral regions.



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**Table 1. Demographics of schizophrenia patients**

	SZ-M	SZ-F	P value
	Mean (SD)	Mean (SD)	df=38
Age	31.50 (7.55)	32.65 (6.46)	0.608
Years of education	11.20 (2.06)	12.25 (3.47)	0.253
Age of onset	19.89 (2.97)	24.40 (7.75)	0.007
Duration of illness (years)	11.57 (7.74)	8.25 (5.70)	0.136
Parental socio-educational status	2.82 (.612)	2.62 (1.06)	0.470
Chlorpromazine equivalence	722.50 (383.74)	443.33 (280.94)	0.012

**Table 2. Regions of gray and white density differences between the schizophrenia group and healthy controls based on voxel-based morphometry analyses**

	Brain region	K (voxels)	t (p<0.05 P <sub>FDR</sub> -corr) MNI coordinates
GMD	anterior cingulate cortex (BA32)	336	6.02 (0, 44, 10)
NC > SZ			
	Middle temporal cortex (BA21)	373	5.97 (-59, -1, -21)
GMD	Posterior cingulate	230	4.02 (-30, -63, 16)
SZ > NC			
WMD	Inferior frontal rectal	130	4.12 (-6, 21, -23)
NC > SZ			
	Posterior parietal	138	3.72 (-9, -48, 66)

**Table 3. Positive correlations between GMD and WMD regression analysis based on symptoms (all results are  $p < 0.05$ ,  $P_{FWE-corr}$ ).**

<b>GMD</b>	<b>Region</b>	<b>K</b>	<b>T</b>	<b>MNI</b>
<b>PANSS positive</b>	L Insula	523	4.68	-42, -42, 18
	R Caudate	195	4.21	35, -16, -9
<b>PANSS negative</b>	R Middle Frontal	467	5.03	38, 36, 42
	R Cerebellum posterior lobe Uvula	206	4.57	39, -84, -21
<b>WMD</b>	<b>Region</b>	<b>K</b>	<b>T</b>	<b>MNI</b>
<b>PANSS positive</b>	R Middle frontal	240	3.82	27, 57, -8
<b>PANSS negative</b>	R superior frontal	72	4.32	14, 56, 24

**Table 4. Negative correlations between GMD and WMD regression analysis based on symptoms (all results are  $p < 0.05$ ,  $P_{FWE-corr}$ ).**

<b>GMD</b>	<b>Region</b>	<b>K</b>	<b>T</b>	<b>MNI</b>
<b>PANSS positive</b>	R Parietal lobe precuneus	125	-3.04	6, -72, 52
	L Cerebellum posterior lobe Uvula	219	-3.45	-9, -88, -21
<b>PANSS negative</b>	L Cerebellum anterior lobe	106	-3.87	-5, -52, -23
<b>WMD</b>	<b>Region</b>	<b>K</b>	<b>T</b>	<b>MNI</b>
<b>PANSS positive</b>	R Inferior occipital	124	-4.03	44, -82, -8
	R Cuneus occipital	145	-3.88	21, -94, -2
<b>PANSS negative</b>	L superior frontal	311	-4.97	-8, 18, 60

**Table 5. Clinical assessments in schizophrenia patients**

	<b>SZ-M</b>	<b>SZ-F</b>	<b>P value</b>
	<b>Mean (SD)</b>	<b>Mean (SD)</b>	<b>df=38</b>
<b>PANSS positive</b>	17.95 (5.33)	19.65 (8.03)	0.435
Delusions	3.39 (1.09)	3.31 (1.35)	0.857
Conceptual disorganization	3.06 (0.87)	3.31 (1.30)	0.500
Hallucinations	2.06 (1.23)	3.31 (1.49)	0.941
Excitation/Hyperactivity	2.06 (0.87)	2.25 (1.13)	0.575
Grandiosity	2.11 (1.23)	2.50 (1.59)	0.429
Suspiciousness/persecution	2.28 (1.23)	3.25 (1.73)	0.066
Hostility	1.56 (0.86)	2.21 (1.15)	0.108
<b>PANSS negative</b>	19.50 (5.36)	21.25 (8.30)	0.434
Blunted affect	3.11 (1.02)	3.29 (1.26)	0.868
Emotional withdrawal	3.00 (1.28)	3.38 (0.31)	0.397
Poor rapport	2.72 (1.02)	3.19 (1.52)	0.296
Passive/apathetic	2.61 (1.09)	2.88 (1.15)	0.497
Difficulty in abstract thinking	2.50 (0.99)	3.19 (1.42)	0.108
Lack of spontaneity and flow of conversation	2.50 (1.15)	3.12 (1.59)	0.194
Stereotyped thinking	2.61 (0.92)	3.06 (1.29)	0.244
<b>PANSS general</b>	38.75 (5.27)	43.10 (12.92)	0.171
Somatic concern	2.44 (1.20)	2.62 (0.96)	0.634
Anxiety	2.61 (0.92)	3.31 (1.30)	0.076
Guilt feelings	1.67 (0.69)	2.38 (0.96)	0.018*
Tension	2.44 (0.78)	2.93 (1.29)	0.182
Mannerisms and posturing	2.67 (0.84)	2.93 (1.57)	0.528
Depression	2.23 (0.67)	2.69 (0.87)	0.132
Motor retardation	2.22 (1.11)	2.56 (1.21)	0.400
Uncooperativeness	1.56 (0.86)	2.19 (1.47)	0.130
Unusual thought content	3.11 (1.13)	3.00 (1.32)	0.793
Disorientation	1.93 (0.79)	2.31 (1.45)	0.232
Poor attention	2.89 (0.83)	3.19 (1.64)	0.501

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Lack of judgment and insight	2.83 (0.79)	2.93 (1.73)	0.819
Disturbance of volition	2.44 (1.10)	2.88 (1.15)	0.272
Poor impulse control	2.44 (0.92)	2.75 (1.34)	0.440
Preoccupation	2.17 (0.86)	2.62 (0.96)	0.151
Active social avoidance	2.94 (1.21)	3.19 (1.47)	0.601

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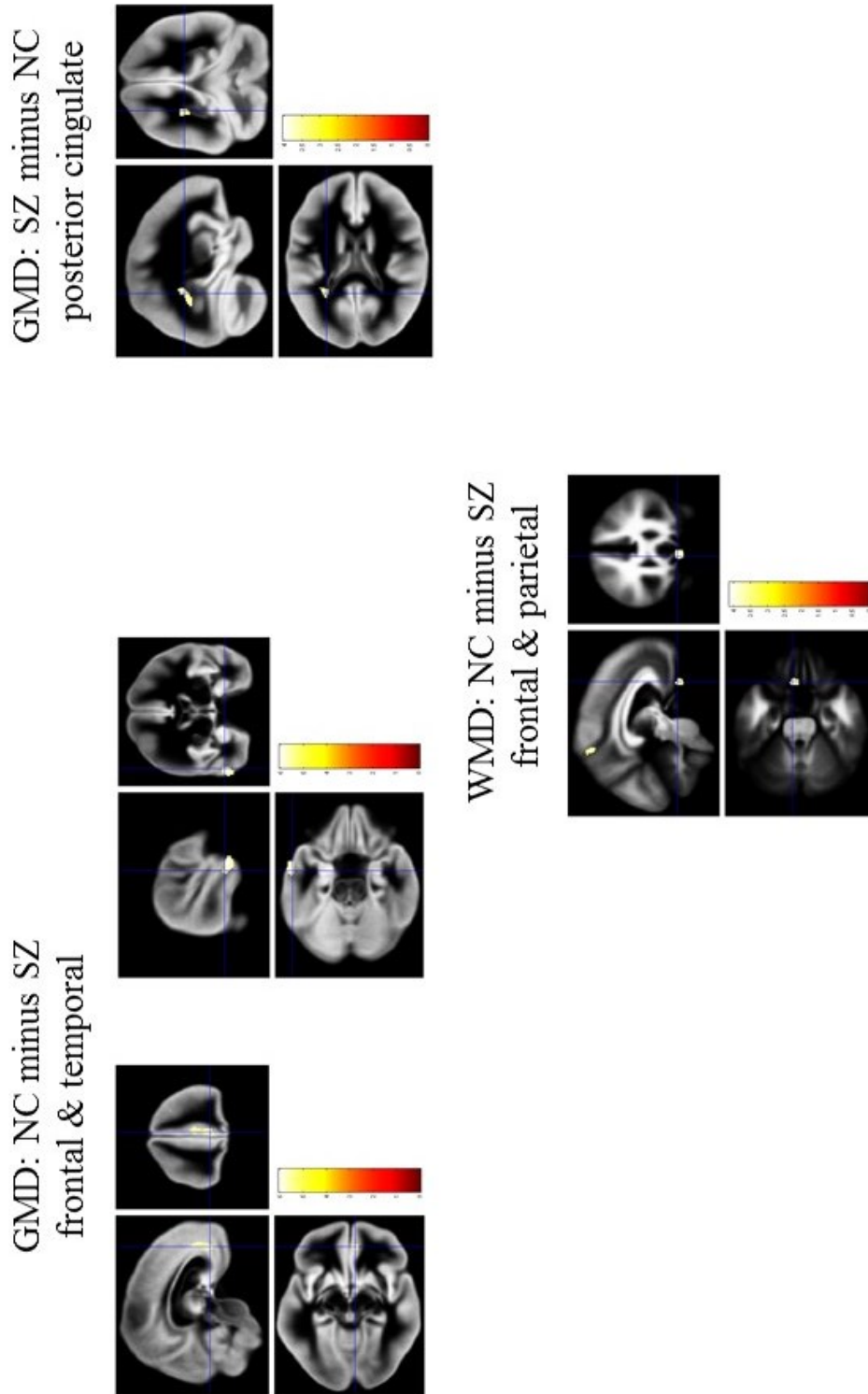


**Table 6. Cognitive behavioral assessments in schizophrenia patients and healthy controls**

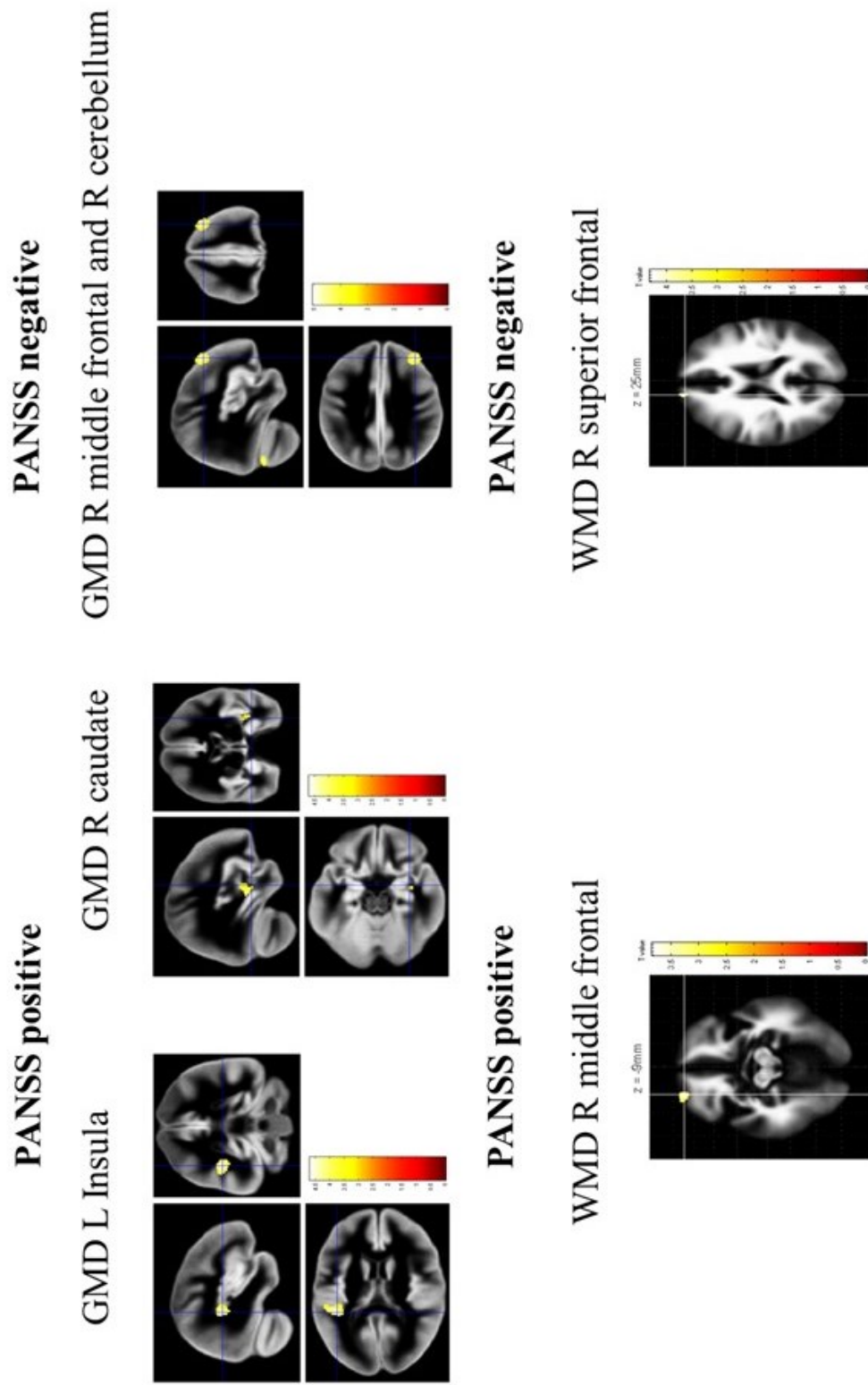
	NC		SZ		P value df=77
	Mean	SD	Mean	SD	
<b>Block design from WAIS-III</b>	12.03	3.289	9.12	3.198	0.001
<b>Similitudes from WAIS-III</b>	11.36	2.653	7.27	2.293	0.001
<b>Vocabulary from WAIS-III</b>	10.44	2.259	6.24	2.465	0.001
<b>Raven percentile</b>	80.56	18.320	50.99	24.827	0.001
<b>Years of education</b>	18.41	3.88	11.72	2.87	0.001

**Figure 1. T-Statistic maps of the group effects between schizophrenia patients and normal controls.**

**Hot and yellowish colors indicate density increases and decreased in each group.**



**Figure 2. Positive correlations between GMD and WMD regression analysis based on symptoms**



**Figure 3. Negative correlations between GMD and WMD regression analysis based on symptoms**

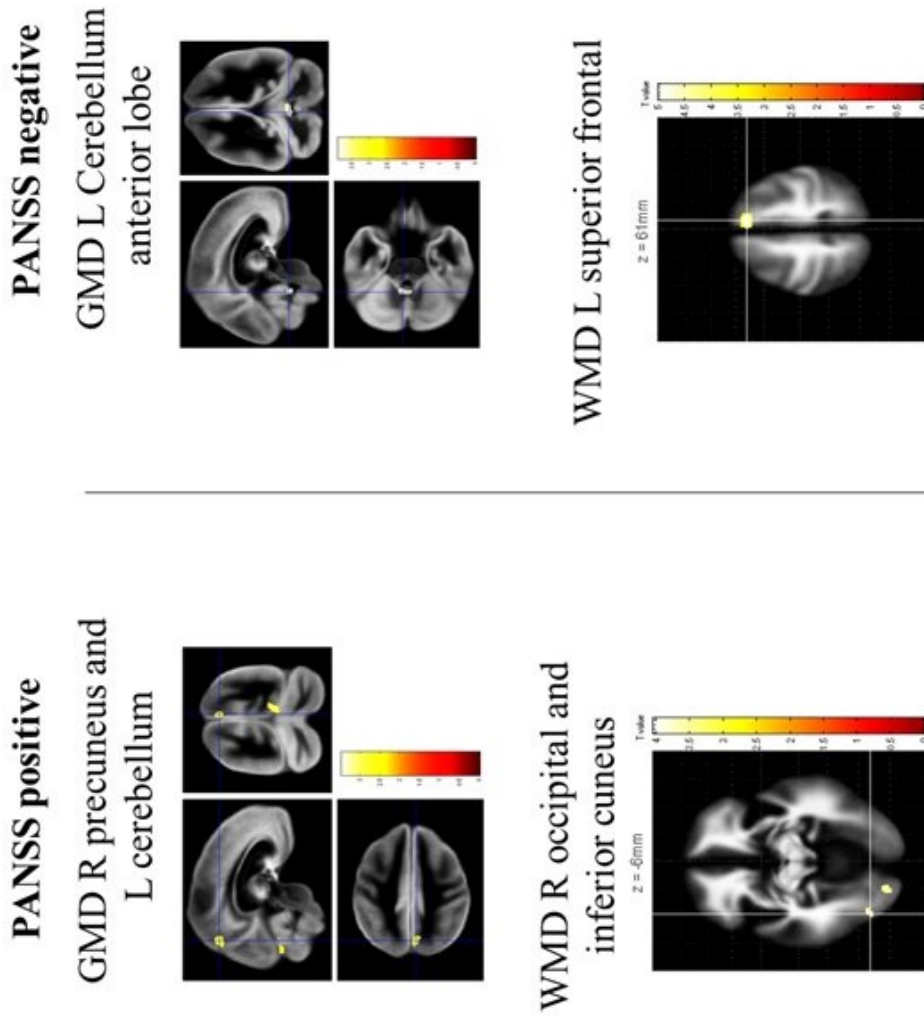


Figure 4. Regression analyses in males with schizophrenia and symptoms

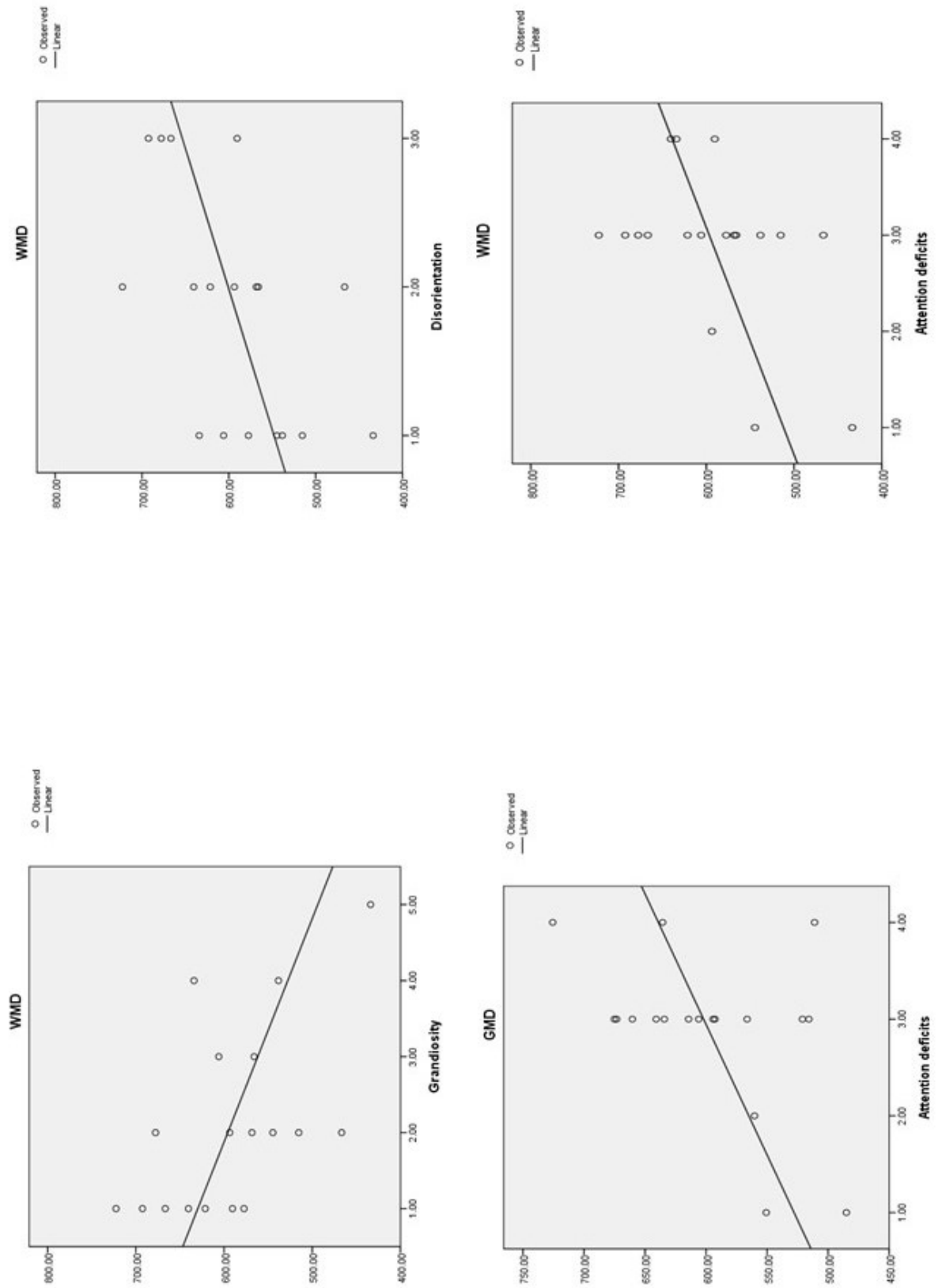
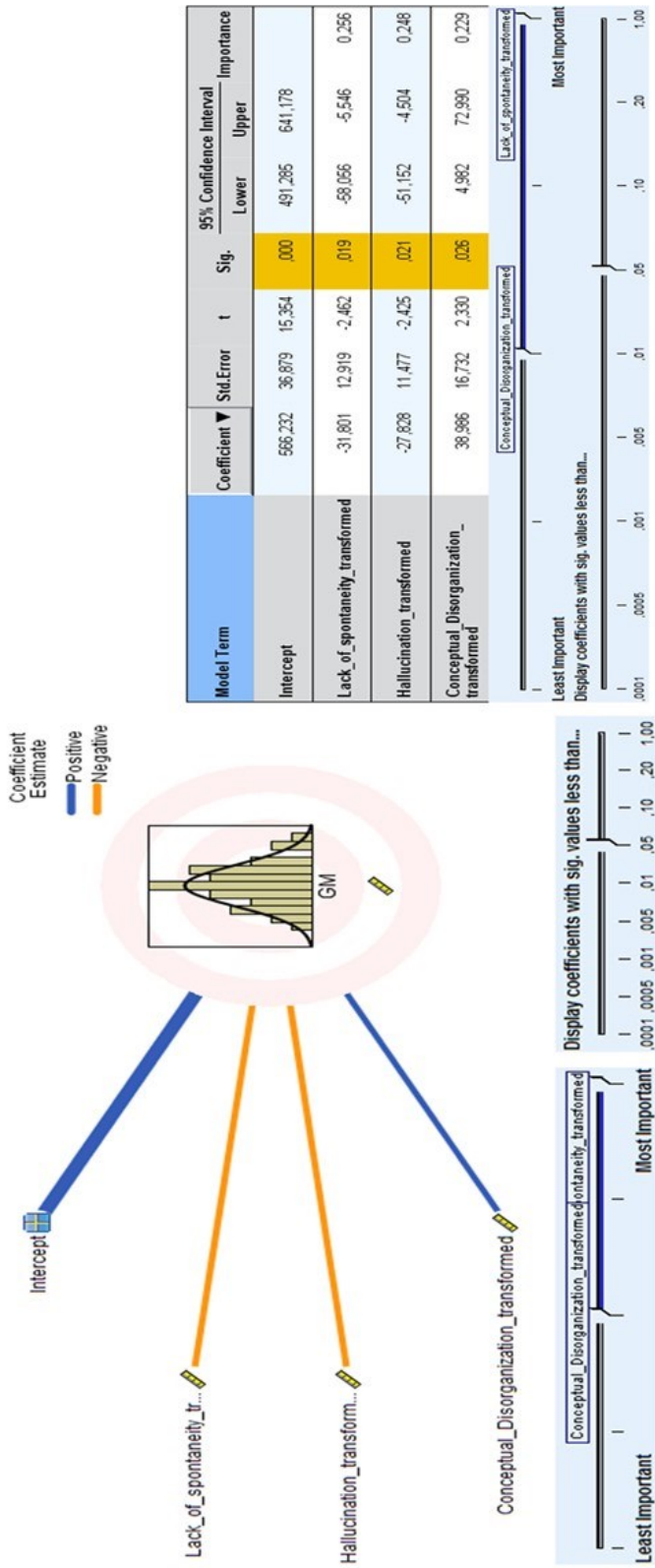


Figure 5. Model prediction by importance: ALM results  
Coefficients  
Target: Grey Matter Densities



**Figure 6. Model prediction by importance: ALM grey matter results**

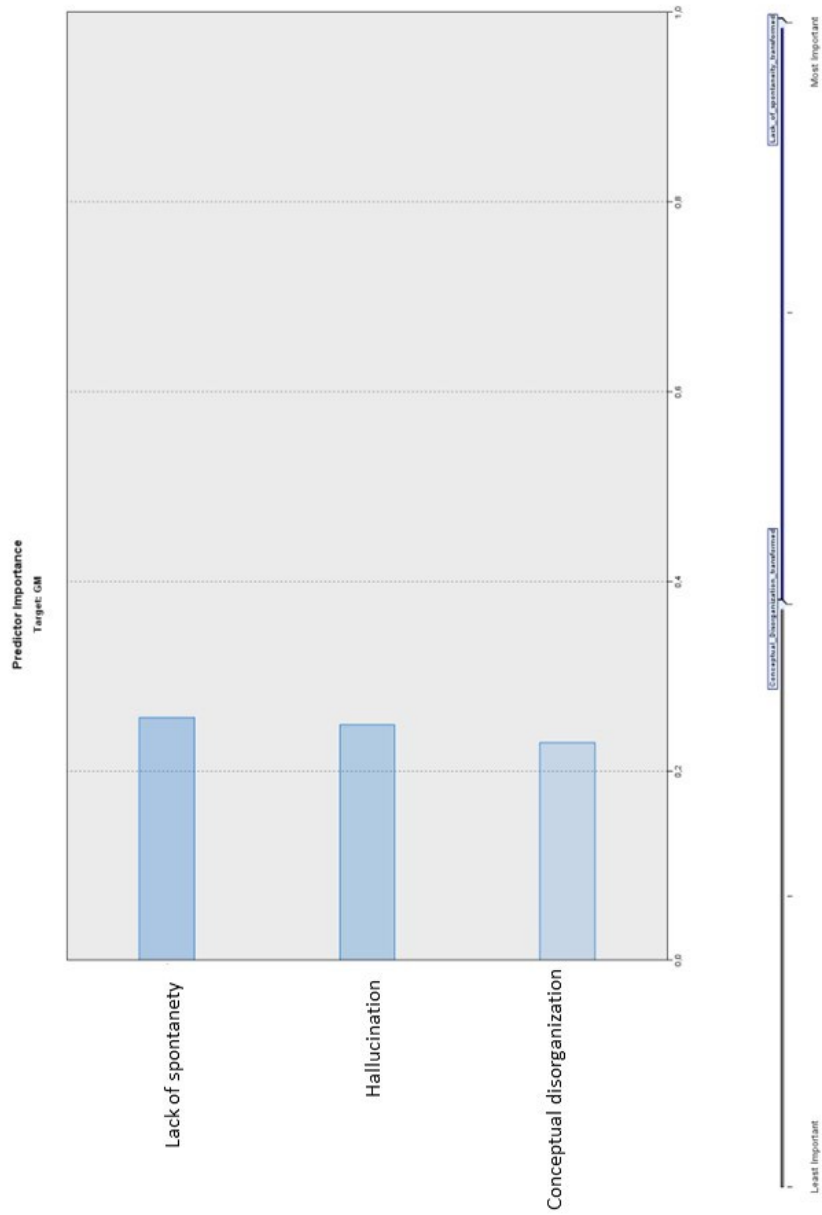


Figure 7. Model prediction by importance: ALM results  
Coefficients  
Target: White Matter Densities

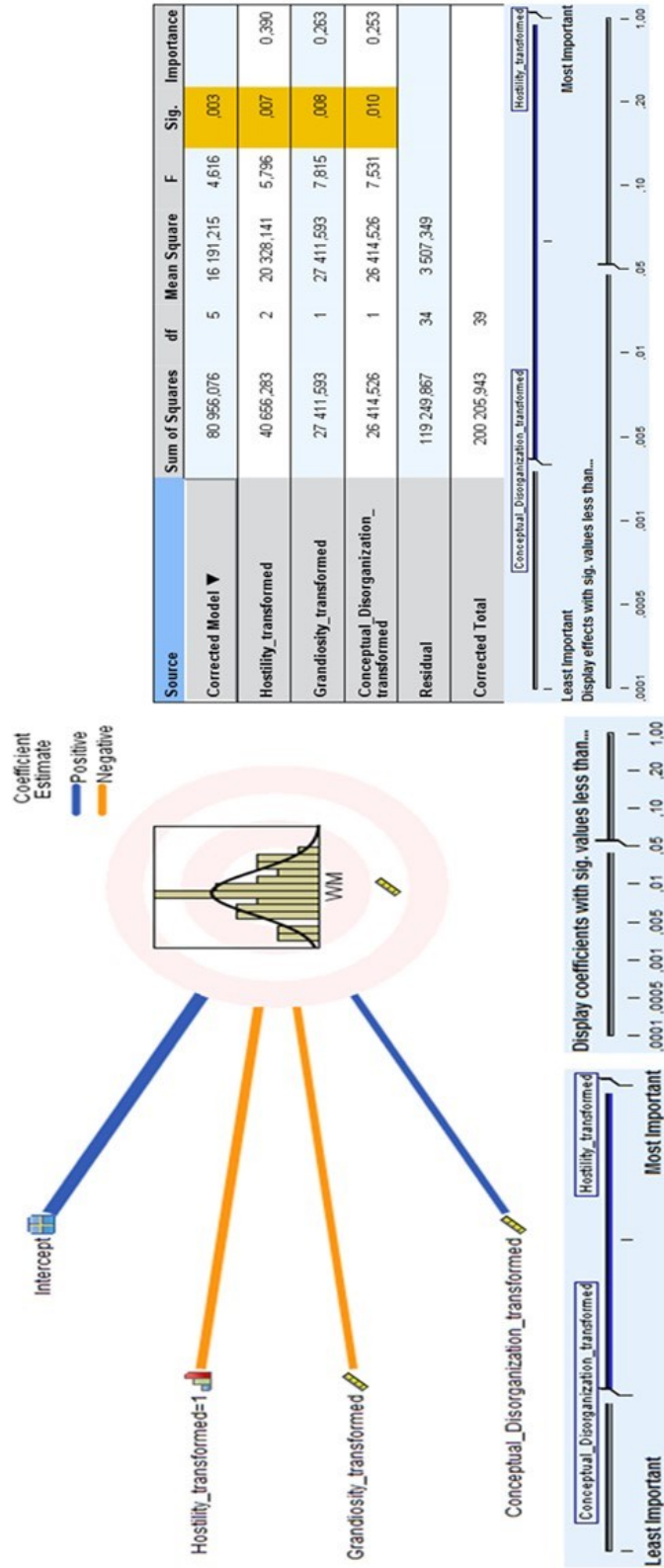
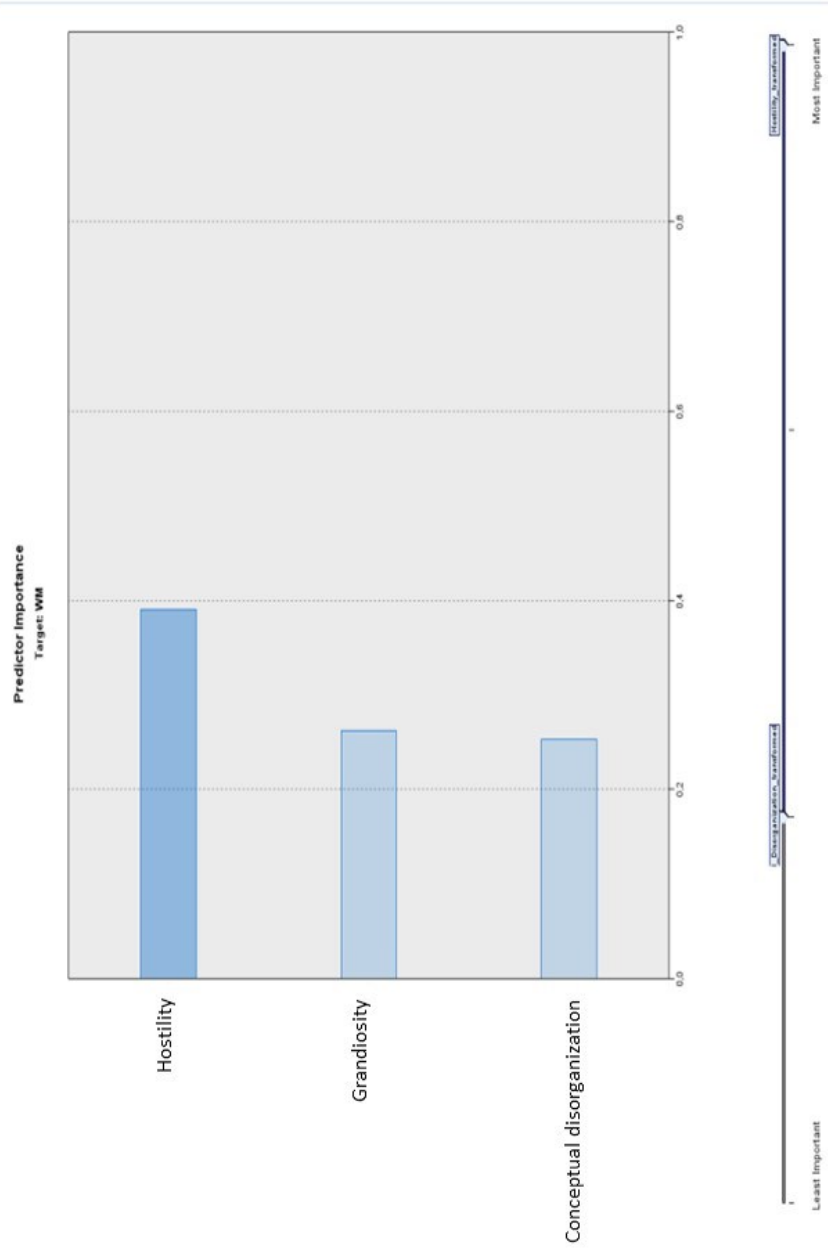




Figure 8. Model prediction by importance: ALM white matter results



## **Table and figure legends**

### **Figure 4. Regression analyses in males with schizophrenia and symptoms**

In SZ-M inverse correlation between ideas of grandiosity and WMD ( $r=.551$ ,  $r^2=.303$ ,  $t=-2.639$ ,  $p=.018$ ) were found. Positive correlations were also found between disorientation and WMD ( $r=.545$ ,  $r^2=.297$ ,  $t=2.598$ ,  $p=.019$ ), and attention deficits and GMD ( $r=.466$ ,  $r^2=.217$ ,  $t=2.108$ ,  $p=.05$ ) and WMD ( $r=.463$ ,  $r^2=.214$ ,  $t=2.089$ ,  $p=.05$ ).

### **Figure 5. Model prediction by importance: ALM results**

The ALM predicted the continuous target (GMD) based on linear relationships between the target and all included predictors by importance. The results reveal three important predictors of gray matter densities: lack of spontaneity and hallucination, and conceptual disorganization. Lack of spontaneity and hallucination predicted lower GMD. Conceptual disorganization predicted increased GMD.

### **Figure 7. Model prediction by importance: ALM results**

The ALM predicted the continuous target (WMD) based on linear relationships between the target and all included predictors by importance. The results reveal three predictors by level of important of WMD: hostility, grandiosity, and conceptual disorganization. Increased hostility and grandiosity scores predicted lower WMD. Increased conceptual disorganization scores predicted increased in WMD.

## 7 Article 3

The following work has been submitted and accepted provided revision in *Schizophrenia Research*. Resubmission of revised version will be before the end of September 2016 under the title: “*The basis of memory accuracy in schizophrenia: a combined gray and white matter DARTEL voxel-based morphometry*”.

The third paper shifts away from symptoms to other core aspects of schizophrenia: cognition and emotion. Our group has extensively investigated sex differences in cognition and emotion in schizophrenia using functional imaging. Sex differences were consistently found. It was of interest to further investigate if such functional brain differences are also present on a morphological level. From a neurodevelopmental point of view, morphological brain changes require more time to manifest alterations. Brain functionality may be influenced by transient changes (e.g. stress). Here, sex differences reappear significantly. In this study, total white matter density in schizophrenia males correlated negatively with memory accuracy of positive and negative images. These correlations were observed only in male patients but not in females.

## **The basis of memory accuracy in schizophrenia: a combined gray and white matter DARTEL voxel-based morphometry**

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Stéphane Potvin M.A., Ph.D <sup>1,2</sup>, Jose Jiminez M.D., M.Sc., Emmanuel Stip M.D., M.Sc., CSPQ,  
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## Abstract

**Introduction:** There is robust evidence of the influence of emotion on cognition and vice versa. In addition, schizophrenia positive and negative symptoms are hypothesized to be related to abnormal threat-processing affecting cognitive domains. This study investigated cerebral structures associated with cognitive-memory accuracy for positive (PMA), negative (NMA) and neutral (ZMA) emotions.

**Methods:** Patients with schizophrenia (SZ) (N=40) and normal controls (NC) (N=40) matched for age and sex were assessed for memory accuracy using the IAPS images. Results were correlated with cerebral gray matter densities (GMD) and white matter densities (WMD) using voxel-based morphometry (VBM) on T1-3T MRI.

**Results:** Compared to NC, patients had decreased correlations with memory performance in a number of areas: GMD of the posterior/postcentral parietal, lentiform/putamen/caudate and inferior frontal/orbital cortex and WMD of the postcentral parietal and performance on the NMA; GMD of the temporal lobe/fusiform and WMD of the inferior temporal, precuneus parietal and frontal lobe and performance on the PMA; GMD of the cerebellum (anterior lobe) and WMD of the middle temporal and performance on the ZMA. In addition, SZ had increased correlations in the GMD and WMD of the inferior occipital cortex and the lingual occipital region respectively with performance on the NMA; increased correlation in WMD of the angular parietal region with performance on PMA; and WMD of the superior temporal region with performance on ZMA. Sex differences were observed, such that male patients' total WMD inversely correlated with performance on NMA and PMA, and no significant correlations were found in females.

**Conclusion:** Findings support the fronto-temporal regional atrophy hypothesis in schizophrenia. Relatively increased occipito-parietal WMD in patients advances the hypothesis that the core pathophysiological problem underlying recall memory in SZ may be related to disruptive coordination of large-scale brain networks. This may be affected by structural deficits more evident in male patients. This latter result implies that most of the significant findings in the entire group of schizophrenia patients might be driven by males, but more participants would have to be tested to verify this supposition.

**Keywords:** Schizophrenia, memory, positive emotion, negative emotion, neutral emotion, gray matter, white matter, voxel based morphometry.

## 1. Introduction

Schizophrenia is a term created by Bleuler in 1911 to describe the fragmentation and disintegration of the mind and behavior as the essence of this devastating disorder (1). Since then, research has intensively investigated the splitting of thoughts, feelings, and actions in schizophrenia (2-6). Specifically, studies addressed how and why these functions are dissociated (7-9). Although there is robust evidence of the influence of emotion on cognition and vice versa, the nature of these interactions and their role in psychotic symptoms are not yet clearly explained. Neurocognitive research indicates that emotion affects memory processes (10-12). Moreover, it has long been known that schizophrenia is associated with chronic presence of negative and positive symptoms, hypothesized to relate to abnormal threat processing affecting cognitive domains (13-16). Memory deficits are among the most common in schizophrenia and have widespread impact on cognition in general. This being said, we note that the neurobiological underpinnings of the relationship between emotion processing and cognition merit more attention than it has received.

Recently, an elegant review argued that alteration of the basic sense of self-awareness might be an essential distortion of schizophrenia (17). The authors placed further stress on the role of distortion of social skills and proposed what they referred to as the “triple brain network model of the dysfunctional switching between default mode and central executive network related to the aberrant activity of the salience network”. Schizophrenia has also been proposed to result from a dysfunction in context processing and self-processing (18, 19). Clearly, memory dysfunction and its interaction with emotion processing, plays an important role in these models. Additionally, schizophrenia patients display deficits in memory processes known as retrospection and imagination (20). They may construct unusual beliefs or illusions (confabulation) when a stimulus has not been presented previously but recalled incorrectly or falsely (21). For example, in prediction-error-related brain responses patients show cortical activation in frontal lobe during disrupted prediction-error processing and construction of delusions, suggesting that patients with schizophrenia have an inappropriate representation of the world using extraneous information (22).

Overall, according to these theories, schizophrenia patients have major difficulties in projecting themselves into past and future events, probably because of difficulties in retrieving circumstantial information from previous events. Hence, constructing strategic representations and

experiencing a continuity of subjective time becomes essentially very difficult. Such neurocognitive malfunction renders memory accuracy inefficient (18-20). Corlett et al., (2009) argue that certain experiences are inappropriately surprising and require an explanation. When the surprising experience is repeated, that explanation develops into an unusual or inappropriate belief (21).

Neuroimaging studies have extensively investigated memory accuracy in schizophrenia (23-31). In a functional neuroimaging study, Sergerie and colleagues (2010) compared emotional memory recognition in schizophrenia patients with healthy subjects. The researchers observed that despite an overall lower memory accuracy, emotional memory was intact in schizophrenia. This pattern was present despite the fact that emotion-specific differences in brain activation exist between patients and controls, possibly reflecting different strategies (32). Wolf and colleagues (2011) compared accurate responses during a working memory task for emotional faces between a homogeneous group of high-performing patients and a control group. The authors confirmed previous findings showing a correlation between WM performance with alternating activity in the left and right prefrontal cortex. Furthermore, they found enhanced activity in higher visual areas of patients during encoding and maintenance (33). Another study argued that the precuneus and cerebellum function contribute to a better performance on working memory and preserved insight in schizophrenia via compensatory neural activity in the frontal cortex and cerebellum (34). More recently, a study on working memory in schizophrenia concluded that the draining of interference-control processes results in reduced behavioral performance (35) Specifically, proactive inhibitory deficits and impaired control over memory was present in schizophrenia patients who were relying on reactive interference-control processes and manifested reduced behavioral performance (35). When considering structural gray and white matter neuroimaging studies, temporal lobe neuroanatomical abnormalities remain among the most robust findings in schizophrenia (36-40). The temporal lobe, in turn plays a critical role in memory (15, 27, 41)

Overall, it is well established that memory deficits in schizophrenia represent core endophenotypes because they are common, relatively stable across the course of the illness, are present regardless of the presence of fluctuating psychotic symptoms, and are found to a lesser degree in unaffected relatives (42). Importantly, lack of responsiveness of memory deficits to current typical and/or atypical antipsychotic medication call for further investigation to elucidate these deficits and their relation to brain morphology in schizophrenia.

Several studies have reported sex differences in cognitive performances in normal populations (43-47). These sex differences seem to be more prominent in schizophrenia patients. Several studies show that males and females with schizophrenia both have cognitive impairments but in different cognitive domains (48-54). For example, males with schizophrenia had significantly more serious cognitive deficits than female patients in immediate and delayed memory, but not in language, attention, or visuo-spatial processing (55). Neural substrates during cognitive tasks also show sex-dependent difference in patients with schizophrenia. Our group found that female patients had decreased brain activations in the middle cingulate gyrus and amygdala during recognition of negative images and decreased activations during the positive condition in the middle and inferior frontal gyrus. Conversely, male patients had increased activations compared to the same-sex controls in the medial prefrontal gyrus during recognition of negative stimuli and in the parietal, temporal and limbic structures during the recognition of positive pictures (56).

Taking previous studies into consideration, the purpose of the present study was to characterize the association between memory accuracy and anatomical GMD and WMD in schizophrenia patients. In addition, we examined potential sex-dependent differences using optimized voxel-based morphometry. We hypothesized that patients would show performance deficits in relation to abnormalities in their structural GM and WM in several regions underlying memory recall in schizophrenia.

## **2. Methods**

### **2.1 Participants**

Eighty-one subjects were included in this study: 41 (22 males [NC-M] and 19 females [NC-F]) normal controls (NC) and 40 DSM-IV schizophrenia patients (SZ) (20 males [SZ-M] and 20 females [SZ-F]) (57). All patients were in a stable phase of their illness (defined as no relapse within the last two months and no change in their antipsychotic treatment within the last month). The groups were matched for age, sex, handedness (Edinburgh Inventory) (58) and parental socioeconomic status (National Occupational Classification (NOC)).



There was no significant difference in age between NC (Mean age=31.51, SD=7.88) and SZ (Mean age=32.08, SD=6.96)  $p=0.735$ . In addition, no significant differences were found between male groups or female group. NC-M had a mean age of 30.50, SD=7.85 ( $p=0.677$ ), NC-F aged 32.68 SD=7.96 ( $p=0.988$ ).

All patients were re-evaluated by experienced psychiatrists before being assigned to the research group. Affective, schizoaffective, and schizophreniform psychoses were excluded. Control participants were screened with the non-patients edition of the Clinical Interview for DSM-IV (SCID) (59). Symptom severity was rated according to the positive and negative syndrome scale (PANSS) (60). The date of illness onset was defined as the date of 1<sup>st</sup> psychiatric consultation, for lack of reliable information from family members and patients. Schizophrenia has common symptoms with other psychiatric disorders as bipolar disorder, personality disorder, etc., therefore a psychiatric consultation favors a better diagnosis. All the patients received at least one atypical antipsychotic (chlorpromazine equivalence was calculated) (61) (Table 1.).

-----**Insert Table 1 around here**-----

General exclusion criteria included: age below 18 or above 45 years, past or present neurological or Axis-I psychiatric disorder, alcoholism or drug abuse, noncompliance with testing procedures, abnormal uncorrected vision, or any contra-indication for MRI such as a cardiac pacemaker, an aneurysm clip, a metal prostheses or cardiac valve replacement, the presence of metal in an eye or any part of the body, certain dental work, or claustrophobia.

In agreement with the Declaration of Helsinki, written informed consent was obtained prior to participation in the experiment. The ability of schizophrenia patients to give informed consent was established using the guidelines of the Canadian Psychiatric Association. The ethics committees of the Fernand-Seguin Research Center of the Louis-H Lafontaine Hospital and the Regroupement Neuroimagerie Québec approved the study. Full details of subject characteristics are given in Table 1. All healthy participants are medication naïve, with no history of neurological or psychiatric disease. No abnormalities were observed on their brain structural MRIs.

## 2.2 Behavioral memory task

First, participants passively viewed negative, positive, and neutral pictures taken from the International Affective Picture System (IAPS) while in the scanner (62). Although participants were aware that a memory task would follow, they were not explicitly told to remember the images. After a 15-min delay, participants performed the memory task, consisting of viewing blocks of positive, negative, and neutral pictures similarly to the incidental encoding task. Half of the stimuli in each block originated from the incidental-encoding task while the other half were never seen before. During this memory task, participants were asked to determine, by pressing the correct button, which of the stimuli were previously seen. To assess the participants' subjective emotional responses, immediately at the end of the session, participants were again presented with the image blocks and were asked to rate them as a whole on a scale ranging from zero (absence of any emotional reaction) to eight (strongest emotion ever felt in one's lifetime) the intensity of experienced emotion. For fMRI results please refer to Bourque et al., (2013), Lakis et al., (2012) and Lakis et al., (2013) (63-65).

Additional neuropsychological Cognitive evaluations were performed on patients and controls using WAIS-III and Raven percentile tests. Neuropsychological tests were analyzed using SPSS21 version to perform ANOVA and Bonferroni multiple group comparisons ( $p < 0.05$ ) for the WAISS-III and Raven percentile tests.

## 2.3 Image acquisition

Eighty-one individual high-resolution co-planar anatomical images were acquired using an 12-channel headcoil (three-dimensional, spoiled gradient echo sequence; sagittal orientation, slices=176, scan time 9:38 min, slice thickness=0.98 mm, TR=19 ms, TE=4.92ms, flip angle=25°; matrix 256x256 voxels, FOV: 256x256 mm, isotropic voxels 1.0 x 1.0 x 1.0mm) on a MRI Siemens TRIO-TIM system (Total Imaging Matrix) at 3.0 Tesla operating at the University of Montreal Geriatric Institute.

## 2.4 Image analyses

Statistical Parametric Mapping (SPM8, Wellcome Department of Cognitive Neurology) implemented in MATLAB R2010a (Mathworks, Sherborn, MA) was used for image analyses.

Images were converted to NIFTI format and processed using the latest version of SPM8. All participants passed the SPM8 data quality control. Images were analyzed using the Diffeomorphic Anatomical Registration Through Exponentiated Lie Algebra (DARTEL) toolbox in the latest version of SPM8 used for voxel-based morphometry (VBM). We used VBM to assess the voxel-wise comparison of the local concentration of gray and white matter between NC and SZ.

DARTEL toolbox uses a high dimensional warping process that increases the registration between individuals, which results in improved localization and increased sensitivity in analyses (66). Processing begins with the “import” step. This involves taking the parameter files produced by the segmentation, and writing out rigidly transformed versions of the tissue class images, such that they are in as close alignment as possible with the tissue probability maps. The second step is the registration itself. It involves the simultaneous registration of GM with GM, and WM with WM. This procedure begins by creating a mean of all the images, which is used as an initial template. Deformations from this template to each of the individual images are computed, and the template is then re-generated by applying the inverses of the deformations to the images and then taking their average. Following this, warped versions of the images can be generated. These steps improve computational anatomy providing more easily interpreted voxel-based morphometry (VBM), and better parameterization of brain shapes. Following these steps, the normalized gray matter (GM) and white matter (WM) maps were modulated with the resulting Jacobian determinant maps and smoothed with an 8-mm FWHM Gaussian kernel. Total GMD, WMD and brain volumes were obtained using ‘calculate raw volumes’ in the VBM8 toolbox. The segmented images were visually inspected, then, imported to DARTEL for the warping procedure, and finally iteratively aligned to the average template. During DARTEL warping, the segmented images were modulated with Jacobian determinates to preserve volume changes. Expert users carefully evaluated each step to check for normalization or segmentation errors. For more details please refer to Ashburner et al., (2007, 2009) (66, 67) and:

[http://www.fil.ion.ucl.ac.uk/spm/software/spm8/SPM8\\_Release\\_Notes.pdf](http://www.fil.ion.ucl.ac.uk/spm/software/spm8/SPM8_Release_Notes.pdf).

In SPM8 VBM, all statistical analyses used the general linear model (GLM), which is used to identify regions of GM and WM concentration that are significantly related to a specific variable (68). VBM is not biased to one particular structure, which permits an even-handed and comprehensive assessment of anatomical differences throughout the brain (68). Using the tool

Talairach Applet <http://www.talairach.org/applet.html> the anatomic location of significant clusters was detected. The resulting sets of voxels from each contrast represent a statistical parametric map of the t-statistic (SPM-t). We excluded all voxels with gray matter values of less than 0.01 (absolute threshold masking). For all statistical analyses of VBM data, we used a uniform threshold of  $p < 0.001$  (uncorrected) and a minimum cluster size threshold of 100 voxels has been introduced for each contrast. This threshold is equivalent to a map-wise false positive rate of  $\alpha < 0.0001$  using a Monte Carlo procedure as implemented in the AlphaSim program in the Analysis of Functional Neuroimages software package (69, 70). Using SPM DARTEL factorial design specification we conducted an ANCOVA analysis between SZ and NC considering memory accuracy for negative, positive, and neutral recall scores as covariates.

## 2.5 Group comparisons

ANCOVA was applied to investigate differences in memory accuracy between groups. This analysis considered diagnostic group (SZ vs NC) by memory condition (positive, negative, neutral) interaction, as well as the main effect of group and condition.

The primary focus of the analyses was the relationship between GMD and WMD (as derived from VBM) with memory accuracy. As SZ differed from NC in total GMD and duration of education, we calculated contrasts between SZ and NC with whole brain ANCOVAs, including total and regional GMD and WMD and duration of education as covariates.

## 3. Results

### 3.1 Neuropsychological tests

ANOVA revealed significant group differences in all sub categories of the WAIS-III test where NC-M significantly out-performed SZ-M on all subcategories of the WAIS-III and Raven percentile tests. In female groups, NC-F out performed SZ-F on the Raven percentile and only two categories of the WAIS-III (Similitudes from WAIS-III and Vocabulary from WAIS-III). No differences were found on Block design when comparing female groups. No significant sex differences were found between normal control groups, while in patients, females out performed

males on the Raven percentile task (males=42.18, SD=22.54; females=60.23, SD=24.27,  $p=0.024$ ,  $df=38$ ) (Table 2).

-----**Insert Table 2 around here**-----

### **3.2 Structural and Behavioral results as assessed by VBM8**

The analysis probing relationship between VBM and task performance showed correlations significant correlations in NC between NMA and GMD in the right posterior parietal cortex, lentiform, putamen, and caudate; and the left inferior orbitofrontal cortex. In SZ, correlations were observed between NMA and GMD in the left inferior occipital cortex. NC also showed significant correlations between PMA and GMD in the left temporal and fusiform gyrus, as well as between ZMA and the left anterior cerebellum. SZ did not show any correlation between GMD and PMA or ZMA. Compared to SZ, WMD were higher in NC in the left postcentral parietal region for NMA, the left inferior temporal, left precuneus parietal, and left frontal regions for PMA, and left middle temporal region for ZMA. SZ had more correlations between WMD in left lingual occipital region and NMA; the left angular parietal region and PMA and the right superior temporal region for ZMA (please see Table 3, Figure 1 and 2).

-----**Insert Table 3 and Figure 1 and 2 around here**-----

### **3.3 Structural, behavioral and demographic results as assessed by SPSS21**

Behaviorally we found no significant (two-tailed) differences between SZ and NC in respect to age ( $F=0.31$ ;  $t=-0.34$ ;  $p<0.74$ ,  $df=77$ ), sex ( $F=0.21$ ;  $t=-0.32$ ;  $p<0.75$ ,  $df=77$ ), WMD ( $F=0.19$ ;  $t=-0.58$ ;  $p<0.56$ ) or total brain volume ( $F=0.01$ ;  $t=0.52$ ;  $p<0.60$ ,  $df=77$ ). We found a significant (two-tailed) group difference where NC had higher GMD than SZ ( $F=2.17$ ;  $t=2.13$ ;  $p<0.03$ ). ANCOVA also revealed significant group differences where NC had better NMA ( $F=7.10$ ;  $t=4.06$ ;  $p<0.001$ ,  $df=77$ ), PMA ( $F=15.62$ ;  $t=5.75$ ;  $p<0.001$ ,  $df=77$ ) and ZMA ( $F=9.03$ ;  $t=6.56$ ;  $p<0.001$ ,  $df=77$ ) (Figure 4 and 5).

-----Insert Figure 4 and 5 around here-----

### 3.4 Sex differences

#### 3.4.1 Memory performance

Behaviorally, NC-M performed better on memory accuracy than SZ-M and NC-F performed better than SZ-F on all conditions (positive, negative, and neutral) (Table 4). There were no significant sex differences in performance on any of the three memory conditions (positive, negative or neutral) in controls or in patients.

-----Insert Table 4 around here-----

#### 3.4.2 Subjective rating

Subjective rating of images showed intriguing results. NC-M had lower subjective scores for negative and positive images compared to NC-F (respectively NC-M=5.04, SD=1.06, NC-F=5.83, SD=0.60,  $p=0.007$ ,  $df=77$  and NC-M=4.38, SD=1.14, NC-F=5.12, SD=0.92,  $p=0.032$ ,  $df=77$ ). Significant differences were observed between NC-F and SZ-F, where SZ-F were less emotionally affected by negative images (SZ-F=5.14, SD=1.24, NC-F=5.83, SD=0.60,  $p=0.035$ ,  $df=77$ ). There were no significant differences in subjective rating between male groups, however SZ-M showed a trend, such that they had higher subjective scores for neutral images (NC-M=1.13, SD=1.11, SZ-M=2.10, SD=1.60,  $p=0.066$ ,  $df=77$ ). In addition, SZ-M had significantly higher rating scores for neutral images compared to NC-F (SZ-M=2.10, SD=1.60, NC-F=1.07, SD=0.80,  $p=0.037$ ,  $df=77$ ). No significant differences in ratings were found between females with schizophrenia and normal control males.

#### 3.4.3 Correlations

Sex differences were observed. Specifically in SZ-M inverse correlations were found in WMD and NMA ( $r=.470$ ,  $r^2=.221$ ,  $t=-2.258$ ,  $p=.037$ ,  $df=40$ ) and PMA ( $r=.439$ ,  $r^2=.193$ ,  $t=-2.074$ ,

$p=.05$ ,  $df=40$ ). In NC-M, GMD positively correlated with NMA ( $r=.444$ ,  $r^2=.198$ ,  $t=2.219$ ,  $p=.038$ ,  $df=40$ ). No correlations were observed in female groups (Figure 6).

-----**Insert Figure 6 around here**-----

#### 4. Discussion

The current study aimed to test whether patients with schizophrenia would differ in terms of behavioral results, as well as GMD and WMD, from normal controls as assessed by memory accuracy and voxel-based morphometry. As hypothesized, SZ patients performed significantly worse on the memory accuracy task and had significantly decreased GMD and WMD in brain regions associated with the behavioral task, relative to NC. Memory accuracy scores for the negative, positive and neutral IAPS images were used as covariates in the structural brain analyses to identify brain regions within which GMD and WMD correlated with behavioral performance. Overall, the findings broadly implicated abnormalities of association between memory accuracy and brain GMD and WMD in schizophrenia.

Normal controls showed significant correlations with memory accuracy in the GMD of several regions: posterior parietal, putamen, caudate, frontal, temporal and cerebellum, compared to patients. Several studies show structural and functional abnormalities in these regions in patients with schizophrenia (71-76). Patients showed correlation in GMD in the inferior occipital cortex specifically for memory accuracy of negative images. Special attention to the GMD and WMD in the posterior parietal region is warranted, as this region strongly differentiated NC from SZ in relation to the memory recall scores. The posterior parietal region is particularly engaged in visuospatial attention and memory (77), and several studies have reported gray matter abnormalities as reversed asymmetry (78), reduced gray matter density (79), and impaired activity during memory retrieval tasks (80, 81) in schizophrenia. We observed that SZ displayed a relationship between the memory score accuracy recall and the WMD of the angular region, which is also situated in the parietal lobe. The angular region is a heteromodal region involved in verbal retrieval, language and semantic processing (82) and spatial attention and orienting (83). According to Pearson et al., (1996) (84), schizophrenia is associated with disturbance in the heteromodal

cortex which is considered as “higher-order parallel distributed networks of circuits, mediating complex representationally guided behaviors”.

Compared to schizophrenia patients, Normal controls showed significant correlations between the temporal lobe and memory accuracy. This finding is in accordance with the literature showing that abnormalities in this region are associated with cognitive deficits (85). Unlike normal controls in this study, patients did not show any significant correlations between the cerebellum and memory accuracy. It has been reported that a structural deficit in the cerebellum may be involved in disorganization in schizophrenia, which consequently may relate to cognitive dysfunction (86).

The most significant result is the increased GMD and WMD in parieto-occipital regions in SZ compared to NC in relation to performance on the memory task. This finding is in agreement with previous studies showing that patients with schizophrenia engage both higher visual and sensory functions to a much greater extent than controls during memory performance (33). Along with our results, in their review, Crossley and colleagues (2014) stated that lesions in schizophrenia were concentrated in both frontal and temporal cortical hubs (87).

The evidence points to significantly more correlations with GMD in NC than SZ, which is in accordance with the broad body of literature we presented in the introduction. We did not find the same result regarding WMD. Additionally, SZ displayed stronger correlations between WMD regions (i.e., parietal and occipital) and memory accuracy. We posit that the relatively late WM myelination and neuronal pruning of brain regions in schizophrenia might play a role in our findings (88-90). In addition, it has also been suggested that schizophrenia is a consequence of a neurodevelopmental process of synaptic overpruning (91).

Most neuroimaging studies on memory in schizophrenia have focused on working memory during a functional magnetic resonance imaging. Considering a strong relationship between brain function and structure (92) we attempted to investigate the core GM and WM structures in relation to the memory deficits, which are among the core endophenotypes in schizophrenia (93). Interpreting reality mostly involves remembering relative information about a situation. Therefore, understanding recall and overall memory dysfunction in schizophrenia is fundamental. For example, when remembering that “I was in my friends’ house yesterday”, a firm grounding in reality requires that I know the context in which that memory was formed



As hypothesized, sex differences were observed in the correlation between structural abnormalities and memory performance. Behaviorally, normal control males significantly outperformed male patients on all subcategories of the WAIS-III and Raven percentile tests, while female patients showed fewer deficits when compared to same-sex controls, such that no differences were found between female groups on the block design task. When direct comparisons were performed between females and males with schizophrenia, females outperformed males on the Raven percentile task. These findings are of particular interest since both these tasks test visual and perceptive reasoning. These findings are consistent with studies by our groups showing no differences between female schizophrenia patients and female controls during mental rotation tasks, while male patient had worse performance on the same task compared to the same-sex controls (94, 95). Studies on healthy population show better performances in males compared to females on spacio-visual tasks (96, 97). Together these findings support the presence of an inverse sexual dimorphism in schizophrenia patients (98-100).

Behaviorally, both females and males with schizophrenia performed worse than same-sex control groups on all conditions of the memory task, but no sex differences were observed in controls or in patients. This was an unexpected finding, since we anticipated that females and males with schizophrenia would perform differently; specifically that male patients would show greater deficits. We propose that the emotional component of this task played a role in decreasing the anticipated performance in female patients. We can find support for this postulation when analysing the subjective rating results. Here clearly we observe that females with schizophrenia expressed less subjective experience to negative images compared to the same-sex controls. Additionally, difference in subjective rating disappeared when we compared females with schizophrenia and normal control males. Most studies show no sex differences in emotional experience (101-103). Thus, our finding that female patients report less subjective experience with negative images is relatively novel. Here, we observe again an inverse sexual dimorphism in female patients with schizophrenia. In our study, males with schizophrenia subjectively rate negative images similarly to normal control males, but rated neutral images greater than both males and females controls. A meta-analysis by Cohen and Minor (2010) revealed that schizophrenia patients had preserved emotional experience but may experience strong aversive emotions when processing stimuli considered by healthy population as pleasant or neutral (101).

To explain our findings, we postulate that as a way of coping with the disorder, females with schizophrenia use stress avoidance strategies to prevent exacerbation of symptoms. Studies demonstrate that patients who manage stressful events and internal stress are less likely to present severe symptoms (104). Emotion-focused and inhibitory coping styles against stress have been reported in healthy females more than males who use more operative coping styles (105, 106). Interestingly, male patients in our study over experienced neutral images.

In this study, total WMD in males with schizophrenia correlated negatively with memory accuracy of positive and negative images. These correlations were observed only in male patients but not in females. We did not perform regions of interest analysis, which is a subject of a future study. Highly et al., (2003) has reported distinct sex difference in schizophrenia patients, where female patients had reduced white matter volumes in the occipito-parietal region, while male patients had increased density in the same region (107). Of interest, in our study we found abnormalities in white matter densities in the same region in our group of schizophrenia patients. Further investigation is needed to find out if these differences are sex specific.

Despite diligence in matching our groups for sex and age, other potential confounds including age at onset, length of medication exposure and progressive GM changes in schizophrenia may have affected our results. Another shortcoming is that the DARTEL VBM study cannot be used to directly infer GM-WM connectivity and projections, and thus our data does not immediately address the question of whether schizophrenia patients alternatively use occipito-parietal network instead of fronto-parietal network (i.e., rearranged connections). Hence, future studies are needed to differentiate network dysfunction from previously observed GM and WM abnormalities of the fronto-temporal, occipito-parietal and cerebellar-fronto hubs, and to link such disturbed connectivity patterns with specific clinical and cognitive-behavioral phenotypes of schizophrenia.

Overall, our findings may help to elucidate key cortical regions implicating loss of GM/WM volume/density, which may play a role in the disturbed cerebral connectivity network needed for efficient memory recall in schizophrenia. In agreement with Wang et al., (2010) (108), our findings suggest that the core pathophysiological problem underlying symptom presentation and cognitive-emotional processes are related to disruptive coordination of large scale brain networks and this may be affected by structural deficits. These structural deficits are strongly differentiated by sex.

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**Figure and table legends:**

**Table 1.** Clinical assessments in schizophrenia patients

**Table 2.** ANOVA results displaying regions of GMD and WMD differences between the SZ and NC with memory accuracy in voxel-based morphometry. The values given are the stereotactic (MNI) coordinates and the T values of each anatomical region. SPM maps were thresholded at  $p < 0.05$  (corrected, voxel-level); minimum cluster size threshold of 100 voxels.

**Table 3.** Regional GMD and WMD differences between the SZ and NC associated with memory accuracy

**Table 4.** Post hoc multiple comparison show sex differences in performance in the three condition: positive, negative and neutral condition.

**Figure 1.** Figure presenting GMD correlating with PMA, NMA and ZMA.

**Figure 2.** Figure presenting WMD correlating with PMA, NMA and ZMA

**Figure 3.** Boxplot representing mean GMD and WMD values between SZ and NC. Note the variation between GMD and WMD in NC. When we consider the variation between GMD and WMD we note that it is relatively diminished in the SZ.

**Figure 4.** Bar graphic representing the mean PMA, NMA and ZMA between NC and SZ (bars represent error bars with a  $p < 0.05$ ).

**Figure 5.** Scatter plot representing regression analyses between GMD, WMD and behavioral memory accuracy scores. Note that NC are clustered together with no visually noted variability. We note that SZ are disordered reflecting the schizophrenia disorder heterogeneity and variability within subjects. The plots show the curvilinear (quadratic) relationships between GMD and WMD respectively and memory accuracy scores. Both the linear and quadratic effects are significant.

**Figure 6.** Scatter plot showing total WMD inversely correlating with NMA and PMA only in SZ-M. GMD were positively correlated with NMA in only NC-M.

**Table 1. Clinical assessments in schizophrenia patients**

	<b>SZ-M</b>	<b>SZ-F</b>	<b>P value</b>
	<b>Mean (SD)</b>	<b>Mean (SD)</b>	<b>df=38</b>
<b>Age</b>	31.50 (7.55)	32.65 (6.46)	0.608
<b>Years of education</b>	11.20 (2.06)	12.25 (3.47)	0.253
<b>PANSS positive</b>	17.95 (5.33)	19.65 (8.03)	0.435
<b>PANSS negative</b>	19.50 (5.36)	21.25 (8.30)	0.434
<b>PANSS general</b>	38.75 (5.27)	43.10 (12.92)	0.171
<b>Chlorpromazine equivalence</b>	722.50 (383.74)	443.33 (280.94)	0.012
<b>Age of onset</b>	19.89 (2.97)	24.40 (7.75)	0.007
<b>Duration of illness</b>	11.57 (7.74)	8.25 (5.7)	0.136
<b>Parental socioeducational status</b>	2.82 (.612 )	2.62 (1.06)	0.470

**Table 2. Cognitive behavioral assessments in schizophrenia patients and normal controls**

df=77

	NC-M		SZ-M		p	NC-F		SZ-F		p	F
	Mean	SD	Mean	SD		Mean	SD	Mean	SD		
<b>Block design from WAIS-III</b>	12.86	2.851	8.79	2.820	0.001	10.69	3.615	9.53	3.681	0.410	6.096
<b>Similitudes from WAIS-III</b>	11.67	3.022	7.05	2.392	0.001	10.93	2.052	7.50	2.229	0.001	16.721
<b>Vocabulary from WAIS-III</b>	11.05	2.312	6.16	2.672	0.001	9.46	1.854	6.32	2.311	0.001	20.527
<b>Raven percentile</b>	78.24	19.98	42.18	22.54	0.001	83.80	15.79	60.28	24.27	0.003	14.451

df=77



**Table 3. Regional gray and white matter density differences between the schizophrenia group and normal controls associated with memory accuracy**

	Brain region, K (voxels), t ( $p < 0.05$ $P_{FWE-corr}$ ) MNI coordinates	Brain region, K (voxels), t ( $p < 0.05$ $P_{FWE-corr}$ ) MNI coordinates	Brain region, K (voxels), t ( $p < 0.05$ $P_{FWE-corr}$ ) MNI coordinates
	Negative IAPS images	Positive IAPS images	Neutral IAPS images
GMD NC > SZ	Posterior parietal cortex ( $k=486$ ), ( $t=4.24$ ), (-45, -19, 27)  Lentiform/putamen/caudate ( $k=819$ ), ( $t=4.01$ ), (18, 6, 13 and 12, 3 53)  Inferior frontal/orbital cortex ( $k=236$ ), ( $t=3.86$ ), (-39, 18, -6)	Temporal lobe, fusiform gyrus ( $k=153$ ), ( $t=3.63$ ), (-34, -36, -9)	Cerebellum anterior lobe ( $k=174$ ), ( $t=4.21$ ), (-50, -49, - 27)
GMD SZ > NC	Inferior occipital cortex ( $k=100$ ), ( $t=4.48$ ), (-27, -87, - 9)	-	-
WMD NC > SZ	Postcentral parietal cortex ( $k=288$ ), ( $t=4.57$ ), (-63, -16, 28)	Inferior temporal ( $k=156$ ), ( $t=4.24$ ), (-50, -18, -15)  Precuneus parietal ( $k=106$ ), ( $t=4.13$ ), (-42, -60, 31)  Frontal lobe ( $k=159$ ), ( $t=3.76$ ), (- 12, 24, 1)	Middle temporal ( $k=297$ ), ( $t=3.27$ ) (-54, -6, -6)
WMD SZ > NC	Lingual occipital ( $k=352$ ), (4.51), (-36, -88, -4)	Angular parietal ( $k=261$ ), ( $t=4.10$ ), (-42, -60, 31)	Superior temporal ( $k=153$ ), ( $t=3.54$ ), (53, -42, 15)

**Table 4. Memory accuracy performance between groups (Post hoc multiple comparison - ANOVA)**

Condition	NC-M		SZ-M		p	NC-F		SZ-F		p	F
	Mean	SD	Mean	SD	df=77	Mean	SD	Mean	SD	df=77	
<b>NMA</b>	0.84	0.18	0.61	0.22	0.003	0.83	0.10	0.65	0.28	0.02	7.473
<b>PMA</b>	0.85	0.15	0.59	0.23	0.001	0.84	0.11	0.57	0.29	0.001	12.291
<b>ZMA</b>	0.79	0.15	0.50	0.21	0.001	0.75	0.13	0.52	0.23	0.001	14.189

Figure 1. ANOVA results showing differences in grey matter densities

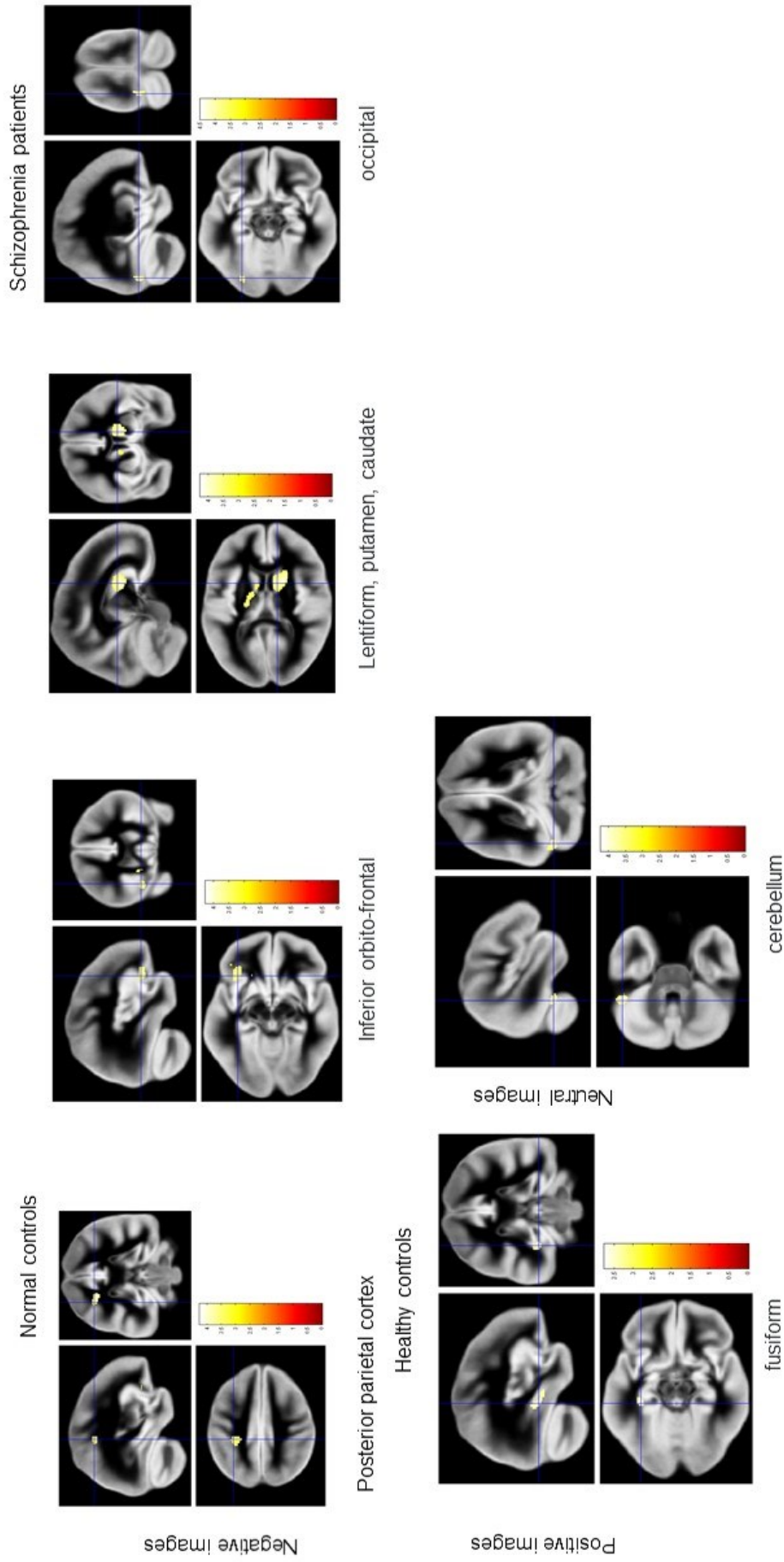
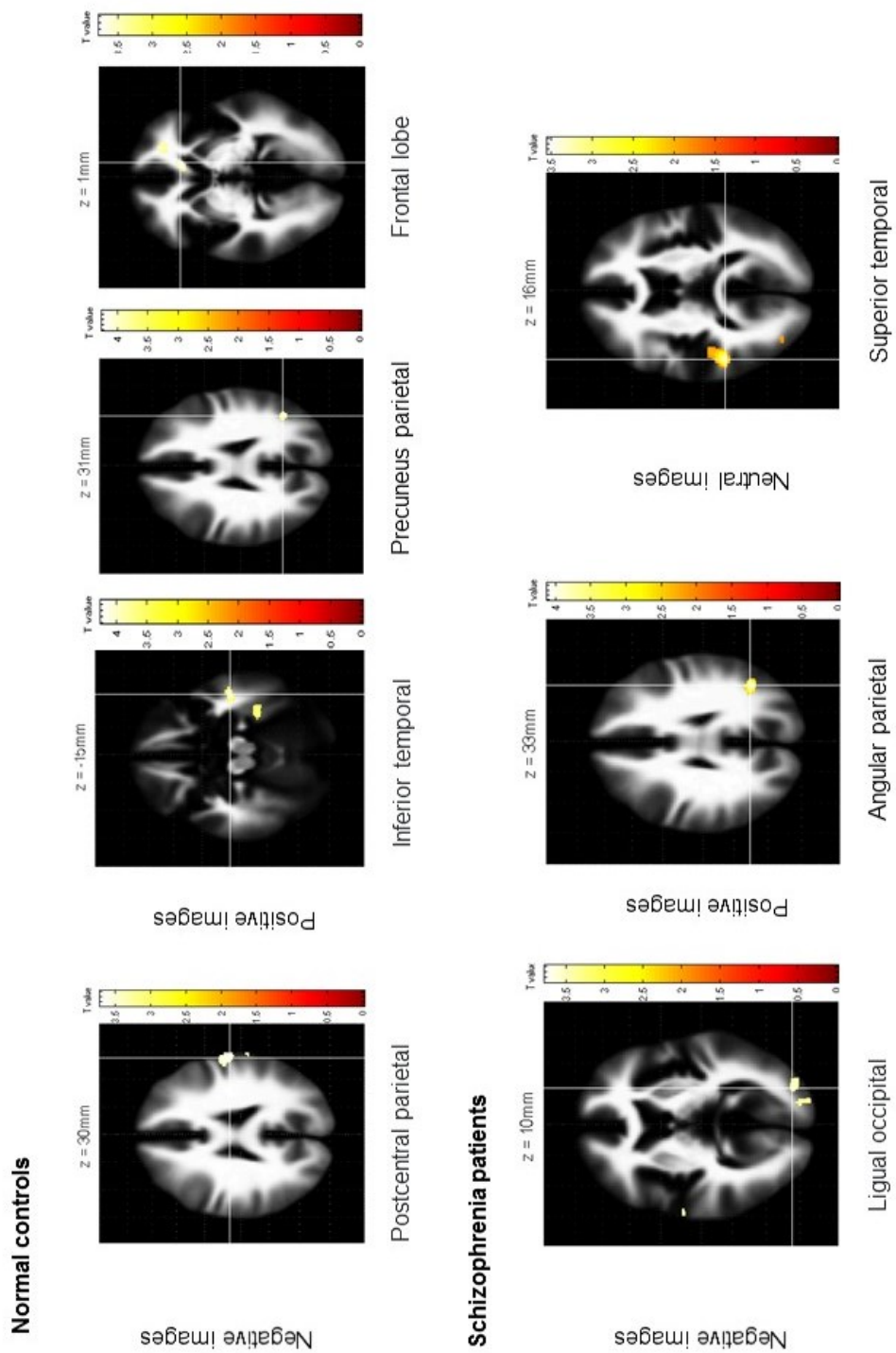
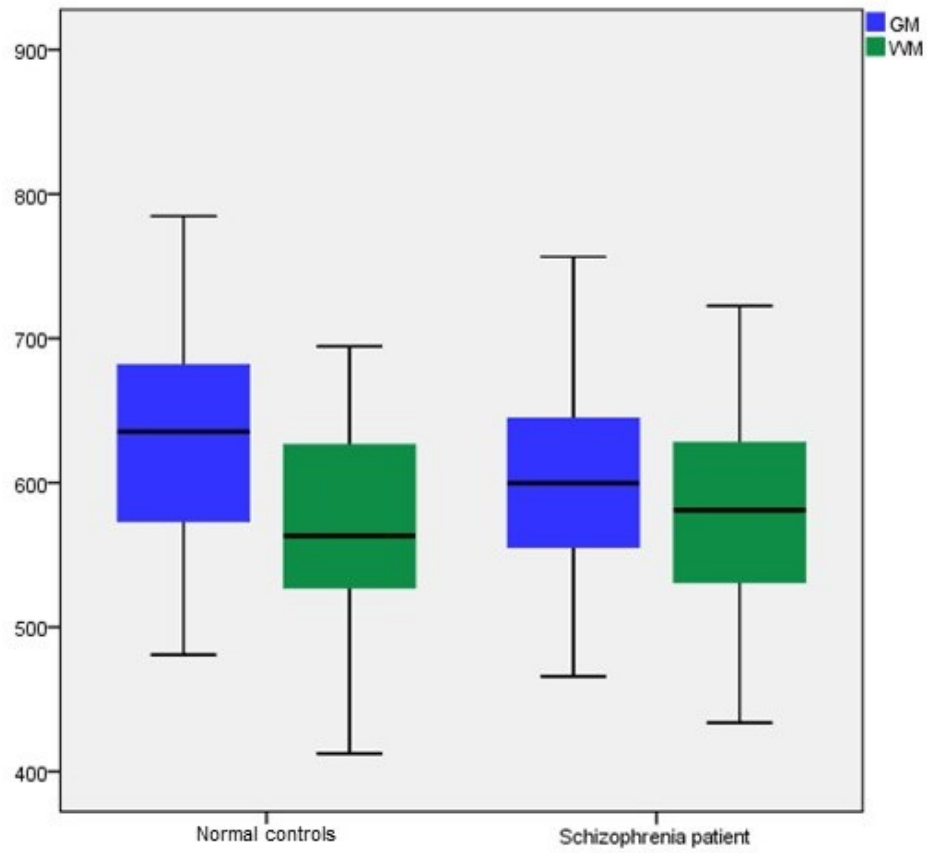


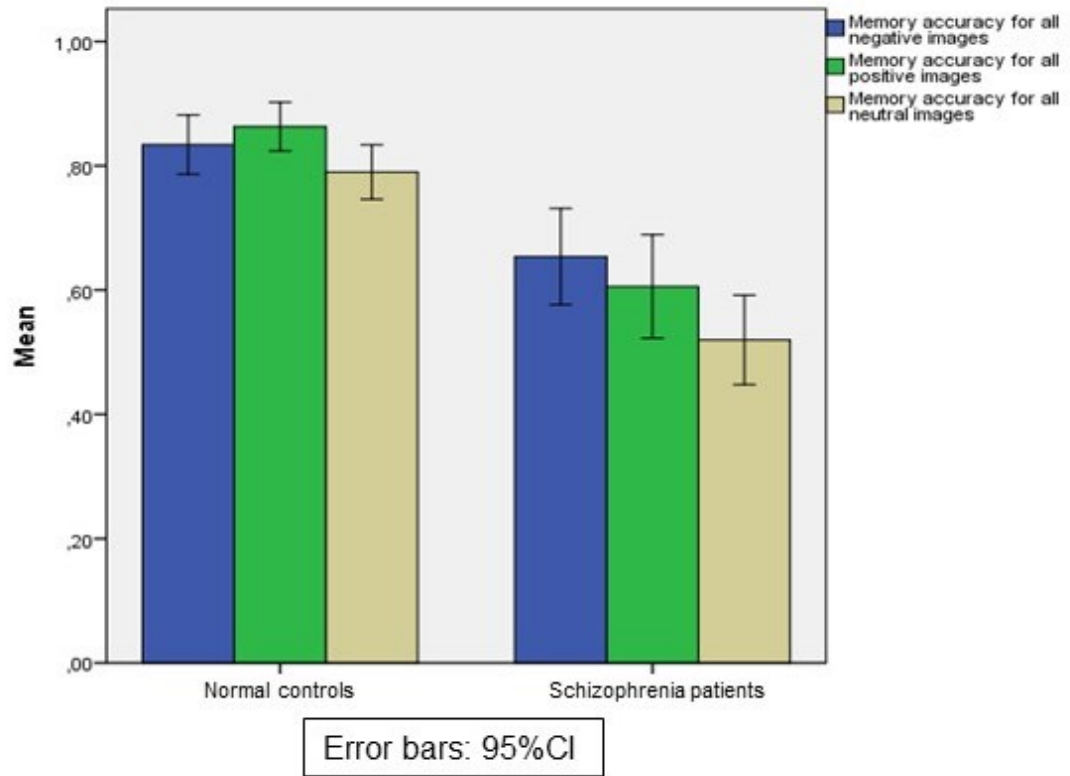
Figure 2. ANOVA results showing differences in white matter densities



**Figure 3. Boxplot representing mean GMD and WMD between schizophrenia patients and normal controls**



**Figure 4. Mean behavioral accuracy recall in schizophrenia patients and normal controls**



**Figure 5. Scatter plot representing regression analyses between GMD, WMD and behavioral memory accuracy scores in schizophrenia patients and normal controls**

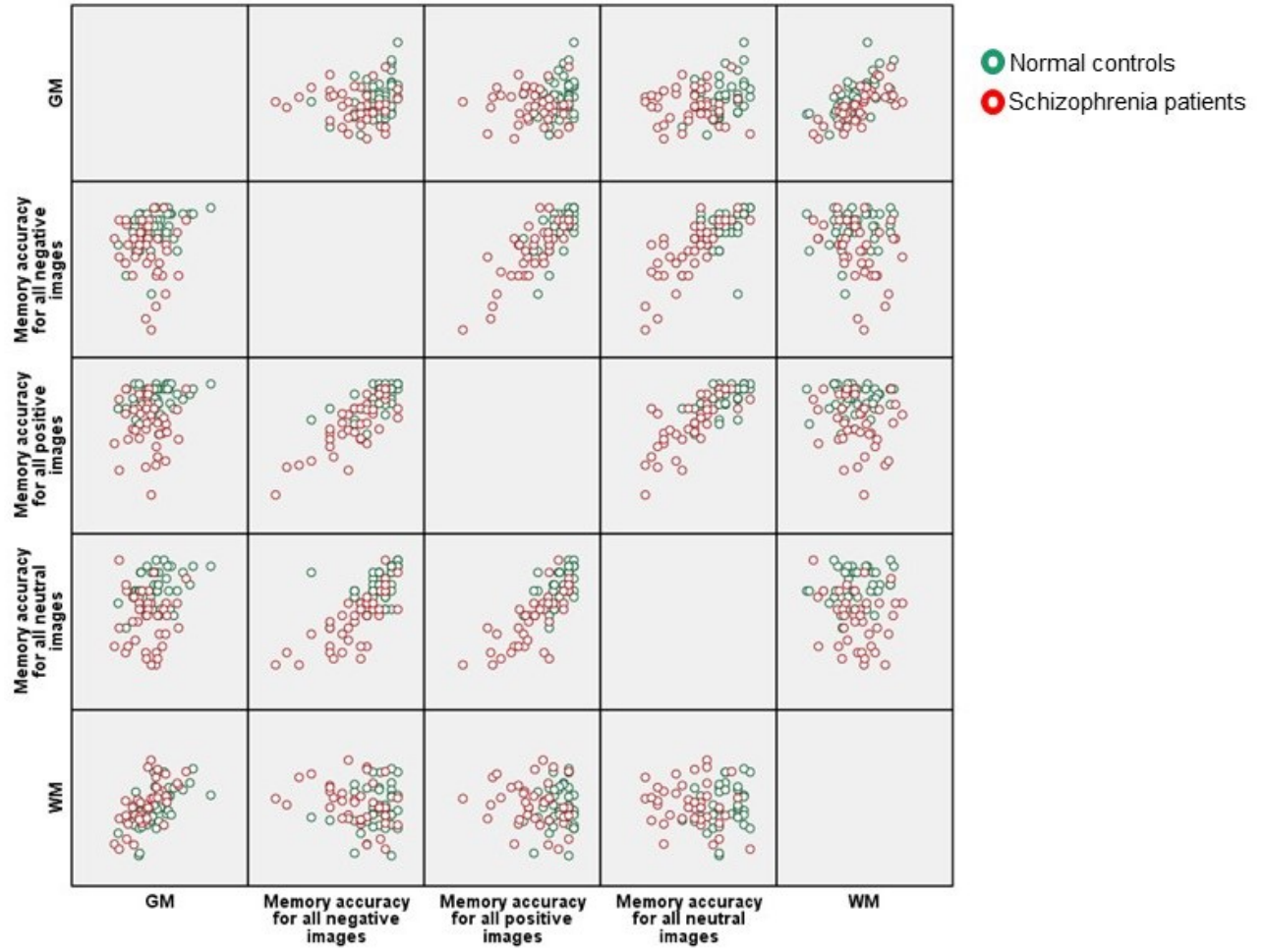
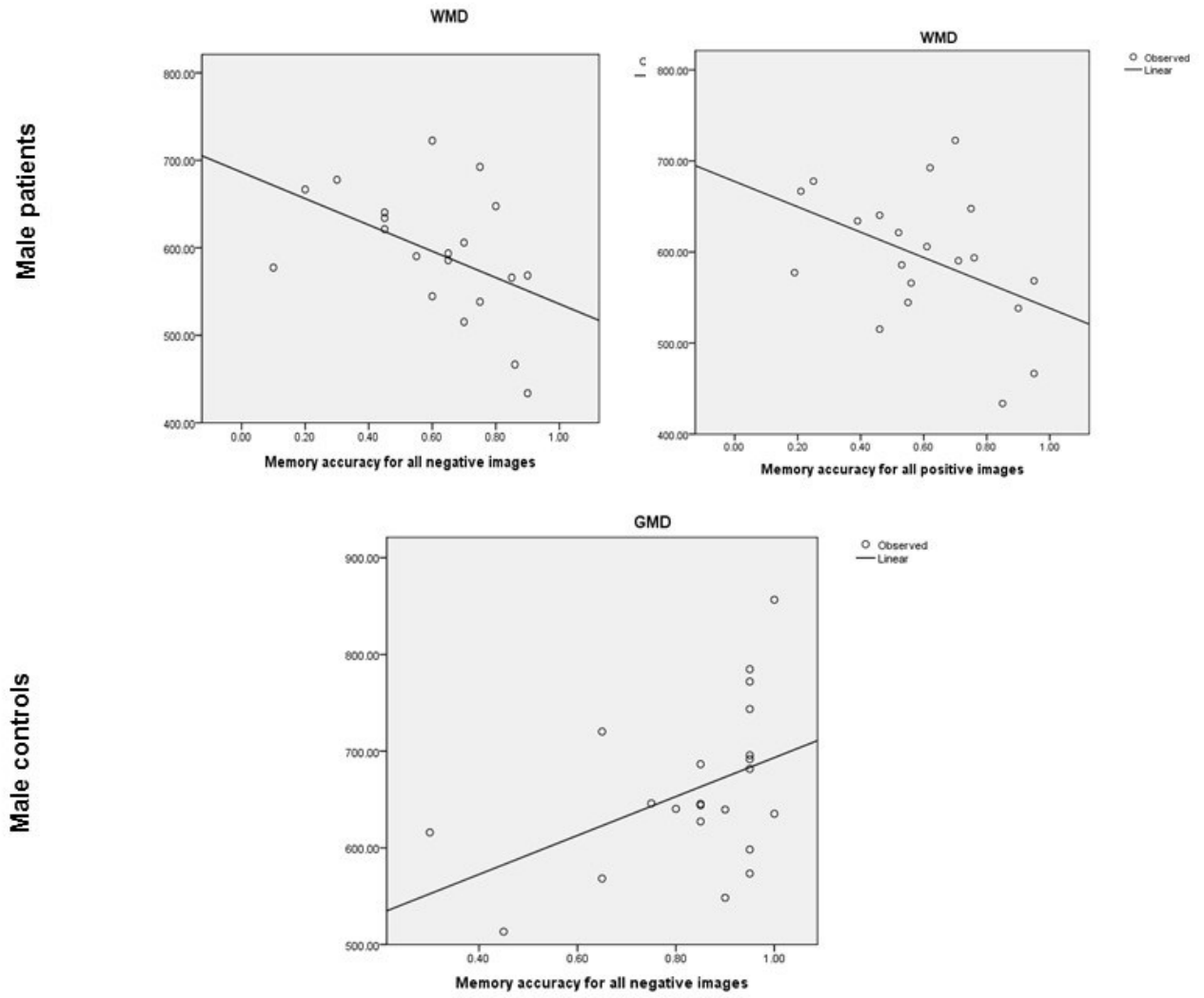


Figure 6. Result of regression analyses between grey and white matter densities with symptoms in male groups





**Figure and table legends:**

**Table 2.** ANOVA results displaying regions of GMD and WMD differences between the SZ and NC with memory accuracy using voxel-based morphometry. The values given are the stereotactic (MNI) coordinates and the T values of each anatomical region. SPM maps were thresholded at  $p < 0.05$  (corrected, voxel-level); minimum cluster size threshold of 100 voxels,  $df=77$ .

**Legend Figure 3.** Boxplot representing mean GMD and WMD values between SZ and NC. Note the variation between GMD and WMD in NC. When we consider the variation between GMD and WMD we note that it is relatively diminished in the SZ.

**Legend Figure 4.** Bar graphic representing the mean behavioral accuracy recall of negative, positive and neutral IAPS images between NC and SZ (bars represent error bars with a  $p < 0.05$ ).

**Legend Figure 5.** Scatter plot representing regression analyses between GMD, WMD and behavioral memory accuracy scores. Note that NC are clustered together with no visually noted variability. We note that SZ are disordered reflecting the schizophrenia disorder heterogeneity and variability within subjects. The plots show the curvilinear (quadratic) relationships between GMD and WMD respectively and memory accuracy scores. Both the linear and quadratic effects are significant.

## 8 Discussion

We hypothesized that schizophrenia patients would exhibit sex-dependent abnormalities in their brain morphology, and that these abnormalities would be related to main aspects of the disorder, including symptoms, cognition, and emotion. To test these hypotheses, we measured cortical gyrification and its relationship with schizophrenia symptoms, we examined gray and white matter densities and their relationship with symptoms, and lastly we investigated grey and white matter densities and their relationship with cognitive performance. In addition to testing these hypotheses, a complete review of the literature was undertaken. Findings related to schizophrenia in general were presented, and revealed some inconsistencies in results. A specific review of the literature in relation to sex differences in schizophrenia concluded that differences between males and females are present in almost all aspects of the disorder, including incidence, prevalence, risk, age of onset, premorbid deficits, course of the illness and prognosis, comorbidities, psychosocial functioning and adjustment, clinical expression, severity of symptoms, resilience to the disorder, cognitive and emotional deficits, treatment response, medication efficacy and side effects, cortical and subcortical brain morphology. Our literature review demonstrates that all these aspects seem to be more severe in male patients with schizophrenia.

This work presented in the first paper is considered one of the first to investigate sex differences using gyrification index. Firstly, using cortical thickness measurements we investigated differences in GI between schizophrenia patients and control participants, as well as sex-specific differences. Secondly, we correlated cortical gyrification findings with schizophrenia symptoms and probed if sex differences were present in these associations. This work is, to the best of our knowledge, the first report of sex differences interacting with the duration of illness. Another important and unanticipated finding was that very few correlations were found between GI abnormalities and symptoms in the schizophrenia group as a whole and when analyzed separately sexing males and females. In addition, abnormalities in gyrification index in our group of schizophrenia patients in comparison to healthy controls, were not the same regions that correlated with schizophrenia clinical symptoms as measured by the PANSS. These intriguing findings led to the work presented in the second paper. Here we postulated that deeper structures and white matter are associated with symptoms of schizophrenia, and that this relation differs in male and female patients. We used voxel-based morphometry to investigate deeper structures and white matter

densities. Of particular interest is the association between greater WMD and more severe symptom scores of conceptual disorganization and attention deficits. In terms of correlations, while females revealed no specific correlation between total GMD, WMD, CSF, or total brain volume and schizophrenia symptoms, WMD in male patients correlated negatively with ideas of grandiosity, and positively with conceptual disorientation and attention deficits. To our knowledge, this is the first study to report a direct relationship between white matter density and these symptoms. This study demonstrated that even though gyrification measures were not markedly correlated with symptoms, differences in gray and white matter densities were. The third paper investigates another core aspect of schizophrenia: cognition and emotion. We investigated regional abnormalities in gray and white matter using VBM in relation to cognitive and emotional functioning -a sphere severely impaired in schizophrenia- and their relation to sex differences. Here, sex difference reappear significantly such that total white matter density in males with schizophrenia correlated negatively with memory accuracy of positive and negative images and no such associations were found in female patients.

## **8.1 Summary of main findings**

### Findings related to sex differences in schizophrenia:

#### *Study 1.*

1. SZ-M had significantly lower values of the GI in the right hemisphere in SZ-M relative to the same-sex controls (no difference between female groups).
2. GI values decrease with age in healthy controls (with no sex difference) and in patients (greater in SZ-M than SZ-F) with a more progressive deterioration in the right hemisphere in schizophrenia.
3. GI values decrease with the duration of illness in SZ-M but not in SZ-F.
4. SZ-M had a significantly higher GI relative to the same-sex controls in the right temporal lobe.
5. SZ-F had significant lower GI in left frontal, bilateral temporal and left parietal lobes relative to the same-sex controls.
6. Positive correlation between negative symptoms and the right occipital GI in patients regardless of sex.
7. SZ-M had an inverse correlation in the left occipital GI with negative symptoms.

8. SZ-F had positive correlations between negative symptoms and the right occipital GI.

#### *Study 2.*

- 9- SZ-M revealed decreased total GMD compared to NC-M.
- 10- No differences in GMD, WMD, CSF or total brain volume were observed in female groups.
- 11- SZ-F showed no correlations between total GMD, WMD, CSF, or total brain volume and schizophrenia symptoms.
- 12- SZ-M showed inverse correlation between WMD and ideas of grandiosity.
- 13- SZ-M showed correlations between WMD and disorientation.
- 14- SZ-M showed correlations between both GMD and WMD and attention deficits.

#### *Study 3*

15. NC-M significantly out-performed SZ-M on all subcategories of the WAIS-III and Raven percentile tests.
16. SZ-F when compare to NC-F showed fewer deficits than SZ-M: in the Raven percentile and only two categories of the WAIS-III (Similitudes from WAIS-III and Vocabulary from WAIS-III).
17. SZ-M showed inverse correlations between WMD and NMA and PMA.
18. NC-M, total GMD correlated with NMA; in female groups, no correlations were found.

#### Findings related to differences between SZ and NC:

##### *Study 1.*

1. Significantly lower values of the overall GI in SZ relative to NC.
2. SZ had lower GI in bilateral frontal, temporal and parietal lobes.

##### *Study 2.*

3. SZ had lower GMD in the anterior cingulate cortex, left middle temporal in comparison to NC.
4. SZ had higher GMD in the left posterior cingulate compared to NC.
5. SZ had lower WMD in the left inferior frontal rectal region and the left posterior parietal region in comparison to NC.

6. SZ showed correlations between positive symptoms and GMD in the left insula and right caudate.
7. SZ showed correlations between negative symptoms and GMD in the right middle frontal and the posterior lobe of right cerebellum (Uvula).
8. SZ showed inverse correlations between positive symptoms and GMD in the right parietal (precuneus), the posterior lobe of left cerebellum (Uvula).
9. SZ showed inverse correlations between negative symptoms and GMD in the anterior lobe of the left cerebellum.
10. SZ showed correlations between positive symptoms and WMD in the right middle frontal region.
11. SZ showed correlation between negative symptoms were and WMD in the right superior frontal region.
12. SZ showed inverse correlations between positive symptoms and WMD in both right inferior occipital and right occipital cuneus regions.
13. SZ showed inverse correlations between negative symptoms and WMD in the left superior frontal region.
14. SZ showed correlations between the conceptual disorganization symptom and both GMD and WMD.
15. SZ showed inverse correlations between GMD and lack of spontaneity and hallucinations.
16. SZ showed inverse correlations between WMD and hostility and grandiosity.

### *Study 3.*

17. No significant difference was found between SZ and NC in total brain volume or total WMD. NC had higher GMD than SZ.
18. NC performed better than SZ on all memory accuracy tasks (NMA, PMA ZMA).
19. NC showed higher GMD correlation with NMA in the right posterior parietal cortex, lentiform, putamen, and caudate; the left inferior orbitofrontal cortex.
20. NC showed higher GMD correlation with ZMA in the left anterior cerebellum.
21. NC showed higher WMD correlation with NMA in the left postcentral parietal region.
22. NC showed higher WMD correlation with PMA in the left inferior temporal, left precuneus parietal, and left frontal regions.

23. NC showed higher WMD correlation with ZMA in the left middle temporal region for ZMA.
24. NC showed higher GMD correlation with PMA in the left temporal and fusiform region.
25. SZ showed higher GMD correlation with NMA in the left inferior occipital cortex.
26. SZ did not show any GMD correlation with PMA or ZMA.
27. SZ showed higher WMD correlation with NMA in left lingual occipital region.
28. SZ showed higher WMD correlation with PMA in the left angular parietal region.
29. SZ showed higher WMD correlation with NMA in the right superior temporal region.

### **Findings in schizophrenia patients**

#### ***Structural findings:***

The results obtained in our study were overall congruent with previous findings of decreased volume, density and cortical thickness in patients with schizophrenia relative to controls (191, 266-268, 271-275, 575). As reported in numerous other studies (270, 278, 576-580) our sample of schizophrenia patients had lower gray matter densities in the anterior cingulate cortex and left middle temporal gyrus in comparison with healthy controls. It is of particular interest that decreases in gray matter densities were not necessarily related to abnormalities in gyrification index in patients in our study. A recent study by Kong et al., (2014) similarly found discordance between gray matter volume and cortical thickness such that patients had reduced gray matter while cortical thickness remained relatively preserved. The authors suggested that differences between gray matter volume and thickness could be jointly driven by surface area, gray/white matter intensity contrast, and curvature (580). Consistently with our results, a review by Crossley and colleagues (2014) stated that lesions in schizophrenia were concentrated in both frontal and temporal cortical hubs (581).

In addition to frontal and temporal anomalies, patients had higher gray matter densities in the left posterior cingulate compared to healthy controls. This region seems to be more preserved in the early stages of illness and increased GMD may indicate a compensatory mechanism in response to the anterior cingulate deficits (18, 19).

### ***Symptom related findings***

The positive correlation between the PANSS positive and the caudate and insula in schizophrenia patients is intriguing. The caudate has been reportedly involved in the neurobiology of schizophrenia positive symptoms in relation to dopamine dysfunction (90, 91, 582), although caudate abnormalities may be related to medication exposure (583). The insula is involved in emotional interoceptive representations (584), and in acoustico-verbal hallucinations (585). We postulate that due to its location at the interface of frontal, parietal and temporal lobes, the insula is involved in cognitive, emotional and somato-sensory processes, hence providing a hub that integrates salient stimuli with somatosensory and autonomic information (586-589). The increased GMD could be associated with the over stimulation of cognitive, emotional and somato-sensory processes regulated by the insula resulting in symptoms such as delusions and hallucinations which are characterized by amplification of emotional and sensory motor perception and processing.

Of particular interest were the positive correlations in the GMD in the middle frontal and WMD of the superior frontal with negative symptoms. These findings are similar to those of Nesvag et al (2009) who demonstrated that the severity of negative symptoms was mainly related to larger gray matter volumes of the frontal lobe (590). Several studies point out at the importance of this region in emotion regulation (591-593), and in down-regulation of emotional processing (594) in normal population. Negative symptoms have been attributed to overinhibition of affective processes and maladaptive cognitive emotion regulation strategies (595). These processes were associated with increased frontal activation and volume (590, 596), while disinhibition and emotional lability have been associated with frontal lesions (597, 598). For example, Smith et al., (2013) showings that a decrease in volume of the DLPFC is associated with improvement of depression symptoms in patients with depression (599). WM connectivity abnormalities in the superior frontal executive region have been proposed to explain negative symptoms in schizophrenia (578, 600). In accordance with these results, a study by Dazzan and colleagues (2012), demonstrated that subjects who develop affective psychosis had reductions in the frontal cortex (579). In view of these findings, it would be reasonable to say that negative symptoms that share several characteristic of depression are associated with over-engagement of the frontal cortex in affective inhibitory processes in schizophrenia patients.

Another important finding was the fact that the parietal cortex was inversely correlated with the positive symptoms. Several studies show that positive symptoms were related to deficits of

logical reasoning processes (601). Such processes are mediated by the parietal cortex (602). In accordance with these results Dazzan and colleagues (2012), found that subjects who eventually developed schizophrenia had smaller volumes in the parietal cortex (579)

According to our results, the cerebellum played an important role in positive and negative symptoms and was a major region differentiating memory accuracy between healthy controls and schizophrenia patients. These latter results are discussed in a subsequent section. The cerebellum with its cortical connections (the limbic system, the frontal, parietal, prefrontal, occipital, and temporal cortex), opens a new pathways for explaining the diversity of symptoms of schizophrenia (603) In addition, it plays a role in higher cognitive and emotional cortical functions (604). Based on our results, the cerebellum is more correlated with negative symptoms. Stoodley et al. (2010) postulated that the posterior cerebellum was linked to cognition and the posterior vermis with emotion and cognitive affective syndromes such as passivity, blunted affect, and withdrawal (605). Our results point to the involvement of the vermis (affective) and the posterior cerebellum (cognitive) in regulation of negative symptoms such that increased cognitive regulation/inhibition is associated with decreased emotional processes, which may play a role in negative symptoms. The negative relation between the cognitive part of the cerebellum and positive symptoms is intriguing. Hallucinations and delusions were found to be associated with deficits in several cognitive processes (606) including perception and correction errors (607), source and self-monitoring (608), stimulus recognition (609), reality monitoring (610, 611).

Exploratory prediction model of the linear regression relationship between GMD and WMD with the PANSS symptoms has also produced noteworthy results. In broad lines, WMD increases were related with less hostility scores and more severe conceptual disorganization and attention deficits. Additionally, increased hostility and grandiosity symptoms in our patients predicted decreased WMD. Several studies showed that reduced functional connectivity in white matter between the amygdala and ventral prefrontal cortex regions has been associated with increased aggression in patients with schizophrenia (612, 613). Interestingly, the intensity of conceptual disorganization was a predicting factor of increased GMD and WMD in schizophrenia patients in our study. To our knowledge, no other study has reported such findings in schizophrenia. Similar findings have been reported in autism, where increased white matter volume was associated with language and communication deficits (614), which are fundamental elements in the construction of conceptual disorganization, however further studies are needed in schizophrenia to support this



view. In accordance with existing literature, GMD was inversely correlated with hallucinations. In addition, the intensity of lack of spontaneity and hallucination symptoms predicted the degree of GMD loss. This is in concordance with several studies showing a similar association with gray matter in the left superior temporal gyrus (276, 615, 616), left thalamus, and left and right cerebellum (276) middle/inferior right prefrontal gyri (615), bilateral insula and left amygdala (616, 617).

We expected that GI values in several brain regions would be significantly correlated with the severity of symptoms, but we found only one association between occipital GI and negative symptoms. Given that overt clinical expression of some psychiatric and neurodevelopmental disorders may be a reflection of an underlying abnormality in growth of cortical convolutions (618), it is plausible that the significant decrease in the GI observed in schizophrenia is not directly related to symptoms but to the disease itself. Our findings point to abnormalities in the morphological development of the cortical layer in schizophrenia. Neurodevelopmental brain changes may be present at the onset of the illness (20, 562, 619-624), with further changes occurring during progression of schizophrenia (619, 625-629). In addition, we found that the abnormalities in GI, GMD and WMD in our group of schizophrenia patients in comparison to healthy controls were not the same regions that correlated with schizophrenia clinical symptoms. It is possible that decreases in cortical gyrification are related to other illness deficits as neurocognitive measures, and are not necessarily associated with clinical symptoms. We postulate that cognitive deficits play a role in such discrepancy. Several studies have shown abnormalities in these regions in relation with deficits in mental rotation abilities (630), IQ performance (631), language processing (632), and face recognition (633). In addition, abnormalities in the left middle temporal, the left inferior frontal and the left posterior parietal regions were found to be correlated with deficits in emotional memory accuracy in another study performed by our group (634, 635).

### **Behavioral findings**

Schizophrenia patients showed significant deficits in all neuropsychological measures when compared to normal controls subjects including WAIS, Raven percentile, and memory recall tasks. This is consistent with numerous previous reports (137, 463, 636-641). In terms of performance on the memory task specifically, it was associated with GMD and WMD abnormalities in schizophrenia patients. As expected, patients have significantly worse at the memory accuracy task

and had significantly decreased GMD and WMD in major brain regions involved in the behavioral task. The most significant result we should first note however is the increased correlations between memory performance and the GMD and WMD in the parieto-occipital regions in schizophrenia patients compared to healthy controls. Previous work report enhanced function in higher visual areas combined with enhanced recruitment of sensory areas in schizophrenia patients during memory performance (642).

Within the regions that showed structural abnormalities in schizophrenia patients, the posterior parietal GMD and WMD especially differentiated healthy controls from schizophrenia patients in relation to the memory recall scores. This region sub-serves visuospatial attention and memory (643), and several studies have reported gray matter abnormalities including reversed asymmetry (644), reduced gray matter density (272), and impaired activity during memory retrieval tasks (645, 646) in schizophrenia. However, we observed that schizophrenia patients displayed a relationship between the memory score accuracy recall and the WM of the angular region, which is also situated in the parietal lobe. The angular gyrus is a heteromodal region involving verbal retrieval, language and semantic processing (647) as well as spatial attention and orienting (648). This makes its relation to schizophrenia particularly interesting. According to Pearlson et al., (1996), schizophrenia is associated with disturbance in the heteromodal cortex, which is considered as “higher-order parallel distributed networks of circuits, mediating complex representationally guided behaviors” (649).

When we specifically considered the temporal lobe, we observed increased correlations with the behavioral task in the GMD and WMD in healthy controls compared to schizophrenia. This is in accordance with the existing literature. For example, Rametti and colleagues (2007) have shown significant correlation between forgetting and density of the anterior hippocampus. They argued that these findings support the hypothesis of a regional atrophy within the hippocampus in schizophrenia patients (650).

Most neuroimaging studies investigating memory in schizophrenia have focused on working memory during fMRI. Significant interaction between brain function and structure has been postulated (651), however few studies have attempted to investigate the core GM and WM structures in relation to memory deficits, which are among the core endophenotypes in schizophrenia (652). Interpreting reality mostly involves remembering relative information about

a situation; therefore, understanding recall of memory dysfunction in schizophrenia is fundamental. For example, when remembering that “I was in my friend’s house yesterday”, a firm grounding in reality requires that I know the context in which that memory was formed.

Overall, the results of the present thesis demonstrate the presence of cortical and subcortical abnormalities in patients with schizophrenia. These abnormalities were related to schizophrenia symptoms and to cognitive and behavioral deficits.

Our results shed further light on the neuroanatomical underpinnings of psychosis, providing advanced insights into the physiological nature of positive versus negative symptoms and memory performance. In essence, our study provides neurobiological evidence on the validity of a combination of overlapping and differing brain structural neuropsychopathology in schizophrenia. More importantly, our results reject the notion that all patients might share a core network of neuropathology.

### ***Findings concerning sex differences***

#### ***Structural findings***

Hemispheric and regional decreases in frontal lobes in patients have been frequently reported in the literature, however only few have reported sex differences (556-558). We found that male patients had significantly lower values of the GI in the right hemisphere relative to same-sex controls, but no differences were found between female groups. It is well documented that GI decreases with age in the general population (653), and our results support these findings. However, such decreases were more severe in patients with schizophrenia, especially in males and particularly in the right hemisphere. Furthermore, only males had significant decreases associated with the duration of illness. Several studies have shown an association between severity of illness and disturbances in cerebral structure and connectivity in the right hemisphere (654).in frontal lobes (654-656), and temporal and parietal lobes (654, 656, 657) Such disturbances tend to deteriorate with duration of illness (658-662) and are worse in patients who have earlier age of onset (20, 562, 619-624). Our findings may help explain why the course of illness, prognosis, and social outcomes are often worse in males relative to females with schizophrenia.

Additional sex differences in patients included the fact that male patients had global hemispheric decreases not confined to any specific region, whereas female patients had significantly lower GI in the left frontal, bilateral temporal and left parietal lobes. These findings may reflect a more defused and generalized cortical loss in males. In comparison, females might compensate by preserving cortical gyrification in other cerebral regions. For example, Abbs et al, (2011), found that female patients with schizophrenia showed decreases in anterior cingulate volume that were compensated in the inferior parietal lobe. Relatively normalized parietal volumes correlated with preservation of verbal memory processing (553). This task correlated with the anterior cingulated volumes in same-sex healthy controls. Male patients seem to present less structural compensation. A review by Salem and Kring (1998) attribute structural and neural lack of compensation in male patient to several factors, including: a) the central nervous system in males takes longer time to develop and thus the risk to neurological insults is higher (303, 663, 664) b) there is a greater hemispheric lateralization in males, thus damage to one hemisphere at early stages of development are more difficult to compensate; c) there is overall presence of more prenatal abnormalities of neuronal migration in males relative to females (663).

Findings by Niu et al, (2004) support these theories. They found a significant left-smaller-than-right volumetric asymmetry of the amygdala in male patients with schizophrenia. In addition male patients had reduced volumes in the bilateral amygdala while female patients had reductions only in right amygdala compared to same-sex controls (544).

Consistently with the GI findings of sex differences in schizophrenia, examination of total GMD revealed that male patients had decreased total GMD compared to healthy males, while no differences were observed in female groups. Several studies have shown that males have more GM abnormalities than females with schizophrenia: reduced prefrontal volumes, reduced anterior temporal horn and medial temporal lobe volumes, more specifically amygdala, hippocampus, superior temporal and larger ventricular-brain ratios (VBR) or that females have more preserved cerebral morphology (292, 301, 517-524). Several authors have suggested that these differences are due to the neuroprotective effects of estrogen in females (339-341). The lack of differences in white matter densities between males with schizophrenia and same-sex controls was of particular interest. Recent findings show that abnormalities in white matter in patients with schizophrenia are related to disturbed sexual dimorphism rather than decreased white matter densities (512, 665).

### **Correlations between structures and clinical symptoms**

Our findings revealed that female patients with more negative symptoms also had greater GI in the right occipital cortex, whereas male patients who had greater negative symptoms had lower GI in the left occipital cortex. As mentioned previously, few correlations were found between GI abnormalities and symptoms. Jou et al. (2005) demonstrated abnormalities in cortical gyrification in individuals at increased genetic risk of schizophrenia who are not presenting the full schizophrenia symptomatology (666). To explain these findings, a study by Onitsuka et al., (2007) showed a relation between reduced volumes in the visual association areas and hallucinations. Their study included only male schizophrenia patients (667). Bijanki et al., (2015) reported an association between increased white matter fractional anisotropy in the occipital lobe and increased score of Scale for the Assessment of Negative Symptoms (SANS) in schizophrenia patients. The authors did not report any sex differences (668). Mitelman et al., (2003) found that patients with negative symptoms and poorer outcome had significantly lower gray matter volumes in the temporal and occipital lobes compared to better outcome patients and healthy controls (669).

Of particular interest is that female patients in our study had significantly more symptoms compared to male patients, but it was males who exhibited more diffuse cortical abnormalities. In addition, females with schizophrenia had no association between total GMD, WMD, CSF, or total brain volume with any of the individual schizophrenia symptoms. Only males with schizophrenia showed an inverse correlation between ideas of grandiosity and WMD. Additionally, correlations between disorientation and WMD and attention deficits and GMD and WMD were observed only in males with schizophrenia. Savadjiev et al., (2014) found that disturbances of normal sexual dimorphism in white matter were correlated with the degree of negative symptoms. The authors demonstrated negative symptom strength increased with the reduction in connection asymmetry in males with schizophrenia, while stronger negative symptoms were correlated with increase in asymmetry in females with schizophrenia (512). Correlation between increased WMD and attention deficits has been reported in patients with ADHD (670). However, to our knowledge this is the first study to report such finding only in males with schizophrenia. Studies show that males with schizophrenia show more attention deficits compared to female patients (454). These findings suggest that females with schizophrenia may be less vulnerable to particular cognitive deficits compared to males with schizophrenia (455).

In support of our findings is that females with schizophrenia tend to present less ideas of grandiosity in comparison with males with schizophrenia. A study on psychotic experiences in adolescence found that boys reported more grandiosity and anhedonia and had more parent-rated negative symptoms than girls (671). Furthermore, prevalence of grandiosity was reported to be higher in males than females with early episode psychosis (672). These findings support that males with schizophrenia seem to have a greater biological vulnerability to worse symptoms, consequent social disability in the face of psychosis and poorer prognosis, while females have either a biological or a psychosocial resilience to the illness (390).

To our knowledge, this is the first study to report a direct relation between white matter density and these symptoms. Interestingly a study by Sallet et al., (2003) found correlations between cortical gyrification index and grandiosity (673). Gyrification index is a marker of degree of cortical folding which is hypothesized to represent underlying white matter volume (674). Sex difference in functional connectivity has been reported in patients with schizophrenia. Lei et al (2015), found that male and female patients have disturbed functional integration in two separate networks: the sensorimotor network and the default mode network. The authors suggest that sex-specific patterns of functional aberration existed in schizophrenia, and these patterns were associated with the clinical features both in male and female patients (675). The white matter abnormalities are in support of neurodevelopmental disturbance that is more evident in males than females with schizophrenia.

In our study, the negative correlation between WMD and ideas of grandiosity observed in males with schizophrenia remained significant after grouping male and female patients together. Thus, significant results in schizophrenia research might be biased and related to only males but not females and vice versa giving further support to the important role of sex differences in schizophrenia.

### **Correlations between structures and cognitive performance**

Importantly for the present thesis, sex differences were also observed in the third study. We used a memory task based on photos from the *International Affective Picture System (IAPS)* database. IAPS provides a standardized set of pictures for studying emotion and attention (676). Male patients showed inverse correlations between WMD and memory accuracy of negative and

positive pictures. Healthy males had GMD that positively correlated with negative pictures. Interestingly, in female groups no correlations were found.

The relatively late WM myelination and neuronal pruning of brain regions in schizophrenia might play a role in the current findings (677-679). It has been suggested that schizophrenia is a consequence of a neurodevelopmental process of synaptic overpruning (680). Highly et al., (2003) has reported distinct sex difference in schizophrenia patients, where female patients had reduced white matter volumes in the occipito-parietal region, while male patients had increased density in the same region (565). Of interest, in our study we found abnormalities in white matter densities in the occipito-parietal region in our group of schizophrenia patients. Further investigation is needed to find out if these abnormalities are sex specific.

### **Behavioral findings**

Sex differences in our second study emerged in patients with schizophrenia, where normal control males significantly outperformed male patients on all subcategories of the WAIS-III and Raven percentile tests, while female patients showed fewer deficits when compared to same-sex controls, such that no differences were found between female groups on the block design task. When direct comparisons were performed between females and males with schizophrenia, females outperformed males on the Raven percentile task. These findings are of particular interest since both these tasks test visual and perceptive reasoning. These findings are consistent with studies by our groups showing no differences between female schizophrenia patients and female controls during mental rotation tasks, while male patient had worse performance on the same task compared to the same-sex controls (94, 95). Studies on healthy population show better performances in males compared to females on spacio-visual tasks (96, 97). Together these findings support the presence of an inverse sexual dimorphism in schizophrenia patients (98-100). Sex differences observed support existing literature showing males with schizophrenia have worse cognitive performance compared females with schizophrenia. Female schizophrenia have preserved language, verbal memory and visuospatial memory (455) and have better performances in processing speed and episodic memory compared to males with schizophrenia (455, 461, 462). Reasoning tasks have been closely related to the posterior parietal cortex (602). Abnormalities in this region has been reported particularly in male patients with schizophrenia (547, 553). Perturbation of normal gray matter volume asymmetry, has been observed in the left inferior parietal lobes in male patients, but

not female patients (547). In our first study, only male schizophrenia patients showed more rapid decreased GI values in the right parietal lobe in relation to duration of illness. It is proposed that females with schizophrenia compensate volumetric loss in the anterior cingulate by engaging alternative patterns between the inferior parietal and the prefrontal cortex (553). These differences in cognitive impairments may be a result of sex differences in age of onset or illness severity (37, 403, 464-471).

On the memory accuracy task, both females and males with schizophrenia performed worse than same-sex control groups on all conditions of the memory task, but no sex differences were observed in controls or in patients. This was an unexpected finding, since we anticipated that females and males with schizophrenia would perform differently; specifically that male patients would show greater deficits. We propose that the emotional component of this task played a role in decreasing the anticipated performance in female patients. We can find support for this postulation when analyzing the subjective rating results. Here clearly we observe that females with schizophrenia expressed less subjective experience to negative images compared to the same-sex controls. Additionally, difference in subjective rating disappeared when we compared females with schizophrenia and normal control males. Most studies show no sex differences in emotional experience (681, 682). Thus, our finding that female patients report less subjective experience with negative images is relatively novel. Here, we observe again an inverse sexual dimorphism in female patients with schizophrenia. In our study, males with schizophrenia subjectively rated negative images similarly to normal control males, but rated neutral images greater than both males and females controls. A meta-analysis by Cohen and Minor (2010) revealed that schizophrenia patients had preserved emotional experience but may experience strong aversive emotions when processing stimuli considered by healthy population as pleasant or neutral (681). To explain our findings, we postulate that as a way of coping with the disorder, females with schizophrenia use stress avoidance strategies to prevent exacerbation of symptoms. Studies demonstrate that patients who manage stressful events and internal stress are less likely to present severe symptoms (595, 683, 684). Emotion-focused and inhibitory coping styles against stress have been reported in healthy females more than males who use more operative coping styles (685-687). Interestingly, male patients in our study over experienced neutral images.

Overall, present studies support existing literature about abnormalities in key cortical regions implicated in schizophrenia. Sex differences in schizophrenia patients were demonstrated



throughout the three studies. Morphological differences in gyrification and in grey and white matter densities, differences in correlations between gyrification, GMD, WMD and symptoms, and differences in correlations between GMD and WMD and memory acuity. We conclude from our findings two important things. First, that sex differences in schizophrenia are present and affect the morphology, the clinical presentation of the disease and cognitive functions in patients. Secondly, that males with schizophrenia have more structural and functional abnormalities that worsen with the duration of illness compared to females with schizophrenia.

In agreement with Wang et al., (2010) our findings suggest that the core pathophysiological problem underlying symptom presentation and cognitive-emotional processes are related to disruptive coordination of large scale brain networks and this may be affected by structural deficits. These structural deficits are strongly sex related (688).

Several elegant hypotheses have been proposed to explain sex differences in the schizophrenia brain. Crow (2008) suggested that sex differences related to psychosis are attributed to a genetic species-specific variation related to a locus on the X and Y chromosomes. Gene expression in this region is influenced by the degree of X and Y chromosomes pairing in male meiosis. This process is referred to as MSUC "meiotic suppression of unpaired chromosomes" which normally would lead to a more rapid mean rate of lateralization in females than in males (this in turn relates to the higher incidence rate of language delays and dyslexias in males). This complex theory posits that language disturbances are integral to psychosis. Lateralization or cerebral torque (where the right frontal lobe is larger than the left, while the left occipito-temporo-parietal is larger than the right) is due to the development of neural connections associated with language: from right to left in relation to the motor speech output and from left to right in relation to speech perception. Disturbance in this neural development was proposed as the basis of the genetic predisposition to psychosis, i.e. the authors suggest that schizophrenia is a result of an abnormal cerebral lateralization associated with the emergence of language in humans (571). When this hypothesis is considered in the context of brain structures, it may explain the higher levels of anomalies found in males compared to female patients in our study and the differences in the development of schizophrenia in males and females in general (572).

The influence of sex steroid hormones has also been considered (573, 574). These studies strongly suggest that the neuroprotective effects of estrogen (which influences sexual characteristics, development of the brain aminergic networks, and the ability to adapt to stressful

events) enhance the vulnerability threshold for psychosis by the downward regulation of dopamine. For example, Riecher-Rossler et al., (1994) and Hafner (2003) found that increased levels of estrogen in females with schizophrenia with normal menstrual cycles were significantly associated with lower severity of schizophrenia symptoms suggesting that that estrogen had: "a weak neuroleptic-like effect on schizophrenia symptoms" (325, 689, 690). The estrogen neuro-protective hypothesis is one of the most widely accepted explanations. Estrogens, directly or indirectly, modulates symptom expression in males and females with schizophrenia. Organizational and activational effects have been attributed to sex hormones. Organizational effects occur in the brain during the prenatal fetal phase and are stable, while activational effects are related to the level of circulating hormones (311, 312, 315, 414-421). Seeman and Lang (1990) put forward several arguments in favor of the estrogen neuro-protective hypothesis. The modulatory relation between the dopaminergic system and estrogen have been proposed to delay the onset of illness by 4-6 years in females and to cause an additional onset peak at age 40 to 45 years, when estrogen levels decrease. Males exhibit one main peak of schizophrenia onset in their late teens and early twenties. Females present with less debilitating symptoms during the first decade following onset, in thereafter their symptoms approximates that of males, particularly after menopause. Lower doses of antipsychotic treatment are effective premenopausally, perhaps due to the potentiating effect of the antidopaminergic action of estrogen, while higher doses are needed postmenopausally (417). Rendering further support to this hypothesis is the effectiveness of estrogen therapy in improving symptoms of schizophrenia (422, 423). The observed worsening of symptoms when estrogen levels drop sharply after pregnancy or during the follicular phase of the menstrual cycle (424, 425), and symptom improvement during mid-luteal phase when estrogen levels are high in females with schizophrenia directly supports this hypothesis (426-429).

In short, evidence based medicine may be fundamentally flawed because there is an ongoing failure of research tools to include sex differences in study design and analysis (691, 692). The US National Institutes of Health (NIH) issued study guidelines for the evaluation of gender differences. Their aim was to ensure that drug safety and efficacy of are adequately investigated (691-693). Over the past decade sex differences in schizophrenia are more widely acknowledged and females are more frequently studied or at least included in the protocols (694). For example, it is recognized that females might require lower doses of antipsychotic medications during their reproductive years due to antipsychotic-estradiol interactions (340-342).

Our findings support the hypothesis that possible factors contributing to the development of schizophrenia and related psychosis are slightly different in males and females and that these factors interact differently with the sexes (694).

We advocate the need to include female and male subjects in research designs in an equal manner and performing analyses and interpreting results in males and females separately.

## 8.2 Limitation

Even though several studies show similar results using cortical thickness and gray matter density. GI along with cortical thickness seem to be more sensitive to genetic and phenotypic differences than grey matter volume (695). Density mapping may be influenced by diagnostic differences in extra-cortical CSF and surface curvature/complexity (554).

Even though patients with past or present diagnosis of drug and alcohol abuse were excluded from the study, chronic substance and alcohol *misuse* is reported more frequently in male than female schizophrenia patients, and may contribute to the greater changes observed in male patients.

Finally, as gyrification decreases in both healthy controls and patients were observed, gyrification is a more ambiguous marker as it is difficult to isolate factors of gyrification decline related to healthy aging from those related to disease (confounded by disease progression, different behavioral factors, and substance/medication exposure). However, sex differences in patients with schizophrenia remained consistent after controlling for these variables.

Since our DARTEL VBM study cannot be used to directly infer GM-WM connectivity and projections, our data do not immediately address the question of whether schizophrenia patients alternatively use occipito-parietal network instead of fronto-parietal network (i.e., re-arranged connections). Future studies are needed to differentiate network dysfunction from previously observed GM and WM abnormalities of the fronto-temporal, occipito-parietal and cerebellar-fronto hubs, and to link such disturbed connectivity patterns with specific clinical and cognitive-behavioral phenotypes of schizophrenia. Overall, our findings may help to elucidate key cortical regions implicating loss of GM/WM volume/density, which may play a role in disturbed cerebral connectivity network fundamental to memory recall and modulation of symptoms. By taking into account the patients' sex in our studies; we demonstrated that sex differences influence results and that separating males from females in experimental designs may help a better understanding of the illness

Another limitation of the presented studies is a potential effect of antipsychotic medication (past and current). We specify that all our patients were in a stable phase of their illness defined as no relapse within the last two months and no change in their antipsychotic treatment within the last

month. All the patients received at least one atypical antipsychotic (chlorpromazine equivalence was calculated) (696).

Another limitation is that our list of assessments was by no means exhaustive. We used only one scale to assess the positive and negative symptoms (i.e., the PANSS). We anticipate in our future research the need to investigate that regions correlating with positive and negative symptoms assessed by PANSS remain consistent using other symptom assessment scales such as “Symptom rating scales and outcome in schizophrenia” (697)

Considerable heterogeneity remains across studies investigating changes in GM and WM volume structures in schizophrenia. Heterogeneous symptoms may contribute to inconsistent findings. In future studies, we advocate to group large number of patients by symptom dimensions, which map on the emotional, cognitive, and psychomotor dimensions. Studies using the symptoms’ dimensional approach in schizophrenia may be useful for elucidating the core pathophysiology of this illness and in reducing heterogeneity of brain morphological endophenotypes in schizophrenia. We emphasize the importance of sex differences here. As seen in our first and second studies, same symptoms seem to be related differently to the brain in males and females with schizophrenia. Thus, studies need to investigate symptom dimensions in males and females separately.

We should note that despite significant findings in this domain, neuroanatomical abnormalities are insufficiently sensitive to be individually or collectively diagnostic of the disease (or its prognosis/etiology). Hence, the abnormalities have yet to be integrated into a clinically validated model, which would permit a coherent approach towards early detection, prevention, and treatments.

## 9 Conclusion

Structure abnormalities in patients with schizophrenia were detected in the cerebral cortex and white and gray matter densities when compared to healthy controls. These abnormalities were more robust in male patients, and worsened with the progress of the disease. Females with schizophrenia had fewer deviations from healthy females, and even when important abnormalities were detected, females with schizophrenia seemed to recruit/compensate by the preservation of alternative cerebral regions. Furthermore, we emphasize that age of onset and duration of illness seems to influence cerebral structures to a greater extent in males than in females with schizophrenia. We suggest that the core pathophysiological problem underlying symptom presentation and cognitive-emotional processes are related to disruptive coordination of large-scale brain networks (688), and this may be affected by structural deficits. These structural deficits are strongly sex related. Accordingly, we advocate that future studies should include large samples of males and females with schizophrenia. Using connectomes or brain networks approaches to investigate the relationship between sex and brain function in schizophrenia is encouraged. Despite the diligent efforts to control for age, sex, medications, disease onset, the heterogeneity of symptoms, might have influenced our findings. As previously advocated by our group (178, 179, 698, 699), future studies should examine large number of patients by symptom clusters, including emotional, cognitive and psychomotor dimensions. In this work, we advocate that considering sex of patients in schizophrenia is a crucial factor to be considered in the design of research studies.

## 10 Clinical and research implications

Our overall findings point out to the importance of sex in modulating the clinical and functional presentation of schizophrenia. Controlling for covariates such as age, duration of illness, social status, etc. is insufficient thus future studies in schizophrenia should systematically separate between males and females, if we wish to understand this complex and devastating mental illness. As emphasized in his visionary paper *Vive la Difference* Shon Lewis (1992) advanced that using sex for subtyping schizophrenia, is a - reliable, stable and valid - an immutable sociodemographic variable (360). Clinically, we advance that therapeutic approaches need to be customized to the sex of the patient. Therapy type, treatment dose, and duration need to be reviewed in light of the significant and morphological sex differences in schizophrenia. Knowing the sex of the patient, may help determine the therapeutic approach and disease trajectory. We can adapt health care according to her or his needs, understand risks, and improve prognosis. This work puts forward the following major conclusions: 1- Sex differences in schizophrenia are present in the major aspects of the disease, and these differences remain while using different methods. 2- Sex in schizophrenia is a crucial factor to be considered in the design of schizophrenia studies. 3- Results from studies grouping both males and females with schizophrenia should be interpreted with caution. 4- On a clinical level, taking sex differences in consideration is important if we wish to address the individuality of each of our patients and help improve their quality of life.

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## 12 Appendix

- Fourth article: Adrianna Mendrek and Adham Mancini-Marie. Sex/gender differences in the brain and cognition in schizophrenia. *Neuroscience and Biobehavioral Reviews* 2016 Aug; 67:57-78.

Table X. The summary of studies investigating sex differences in brain structure of schizophrenia patients

Study ref	Diagnosis (N)	Patients M:F	Age (Mean)	Healthy N= M:F	Age (Mean)	region	approach	findings
Lang et al 2006	29 FEP 26 SZ 2 SZA 1 psychosis not otherwise specified	21:8	22.0 (5.1)	N=22  12:10	24.7 (6.4)	Thalamus	MRI  A single rater manual volumetric measures	Male FEP but not females subjects showed significantly smaller right Anterior internal capsule volumes compared to healthy volunteers
Andreasen et al 1994.	SZ (52)	36:16	30.1 (9.6)	N=90 48:42	27.43 (10.33)	Total brain volume cerebrospinal fluid (CSF), and CSF within the ventricular system.  Regional measures: frontal, temporal, parietal, and occipital lobes and the cerebellum	MRI  Automated volumetric measures using locally developed software	Men have brain tissue deficit in all four of the cortical brain tissue regions.  Women have a deficit only in frontal tissue.
Andreasen et al 1990.	SZ (54)	36:18	M=32.46 (8.59) F=35.39 (10.75)	N=47 28:19	M 32.93 (9.25) F 36.63 (12.67)	Ventricular volume	MRI  Manual measurement	Most of the increased ventricular size was found in the male patients.  Significantly smaller thalamus in the male patients,

Reite et al 1997.	SZP (20)	11:9	M 37.1 (4.7) F 38.2 (5.6)	N=20 10:10	M 38.2 (9.3) F 3.1 (6.5)	Total brain volume, left and right hemisphere, and left and right superior temporal gyri.	MRI Combined automated and manual segmentation	<p>Male patients showed less asymmetry than the control group, while the female patient showed significantly more asymmetry.</p> <p>The male patient had smaller superior temporal gyri than the control group.</p> <p>No evidence of total brain volume differences was observed.</p>
Gur et al., 2000	SZ (70)	40:30	28.7 (6.9)	N=81 34:47	26.4 (6.7)	Gray and white matter volumes of the dorsolateral, dorsomedial, orbitolateral, and orbitomedial prefrontal cortex	MRI Manual segmentation	<p>Reduction in the dorsolateral area in men (9%) and women (11%), for the dorsomedial area only in men (9%), and for orbital regions only in women (23% and 10% for lateral and medial, respectively).</p> <p>Schizophrenia is associated with reduced gray matter volume in prefrontal cortex, which affects men and women in the dorsolateral sector.</p> <p>The effects are moderated by sex for dorsomedial and orbital regions and are related</p>

								to symptom severity and cognitive function.
Gur et al., 2000	SZ (100)	58:42	29.2 (7.3)	N=110 51:59	26.1 (6.3)	Gray and white matter volumes of temporolimbic (hippocampus and amygdala) and neocortical regions (superior temporal gyrus and temporal pole) were examined	MRI	Hippocampal: volume was reduced more in men (7%) than women (8.5%).  Amygdala: more decreased volume in men while women had increased volume.  temporal pole: more decreased gray matter in men (10%) than women (8.5%).  Superior temporal gyrus, decrease only in men.
Lewine et al. 1995.	SZ (108), SZA (20), MDD (27), BPD (20)	SZ (82:26) SZA (7:13) MDD (7:20) BPD (5:15)	M 32.4 F 33.9 M 39.4 F 39.6 M 39.3 F 41.4 M 32.6 F 39.7	N=150 59:91	M 31.5 F 35.2	Global	MRI Brain images evaluated by neuroradiologiste blind to diagnosis	SZ males had Significantly more morphologic anomalies especially of the lateral ventricles than healthy male volunteers. No difference in SZ women
Nopoulos et al. 1997	SZ (80)	40:40	M 28.8 (7.3) F 27.4 (7.8)	80 matched for age and sex	M 28.4 (7.1) F 27.8 (7.6)	Ventricles, CSF and whole brain volumes	MRI Automated volumetric measures of major brain regions.	Male patients having significantly larger ventricles than male controls, female patients showing no significant enlargement in comparison with female controls.  Other structures: Male and female patients with schizophrenia have the same

								pattern of structural brain abnormalities, but male patients appear to manifest greater severity, especially with regard to ventricular enlargement.
Bryant et al. 1999.	SZ	59 (36:23)	M 32.66 (5.53) F 37.31 (5.09)	37 (19:18)	M 34.26 (6.65) F 33.33 (8.02)	temporal lobe: superior temporal gyrus, the amygdala/hippocampal complex, and the temporal lobe the prefrontal cortex and caudate	MRI Automated volumetric measure	Left temporal lobe volume on the left was significantly smaller in male patients than in male comparison subjects. Female patients and female comparison subjects demonstrated no significant difference in temporal lobe volume.  There were no statistically significant gender interactions for the superior temporal gyrus, the amygdala/hippocampal complex, or the comparison regions.
Flaum et al. 1995.	SZ	102 (70:32)		87		Lateral and third ventricles, thalamus, hippocampus, and superior temporal, caudate nuclei	MRI Regions of interest volume were outlined manually by an experienced technician on all slices in which they were visualized.	no significant Gender by Diagnosis effects for any of the regions of interest
Lauriello et al. 1997	SZ (23)	18:19	M 37.9 (9.2) F 39.8 (10.2)	N=23 18:19	M 38.4 (9.5) F 37.9 (9.2)	measures of head	MRI Volumetric semiautomated image analysis technique	There were no group-by-sex interactions.

						size, cortical gray matter, white matter and sulci, and lateral and third ventricles		No difference in ventricular enlargements and relation with age at symptom onset or length of illness in either men or women with schizophrenia
Narr et al.2004.	FES (50)	33:17	25.5 (4.5)	N=50 33: 17	27.8 (7.3)	Superior frontal (dorsolateral), inferior frontal (orbitofrontal), temporal, parietal and occipital.	MRI 3D gyral complexity Semi-automated and manual outlining	Significant increases in cortical folding in the right superior frontal cortex in male patients compared to controls, while no differences were observed in women
Narr et al. 2006	FES 72	51:21	25.1 (4.7)	N=78 37:41	27.3 (6.6)	Regional CSF volume	Measuring volumes of lateral ventricle horn and regional differences identified by comparing the distances from the ventricular surfaces to the central core at anatomically matched locations.	Dorsal superior horn expansions in female patients compared with same-sex controls.
Narr et al. 2003.	SZ (25)	15:10	M 32.4 (7.9) F 39.9 (10.2)	N=28 15:13	M 33.0 (10.1) F 35.2 (9.0)	Grey matter, white matter and CSF	MRI manual and automated measures	Greater abnormalities in male patients compared to female patients where specific increases in the sulcal and subarachnoid CSF that appeared prematurely in men diagnosed with schizophrenia, while women patients showed normal aging effects
Narr et al. 2000.	SZ (25)	15:10	31.1 (5.6)	N=28 15:13	30.5 (8.7)	Corpus callosum	MRI	Abnormalities in men patients compared to women patients.



							Manual contouring by diagnosis blind technician, and automated surface measure	<p>Significant increases in maximum width in anterior and posterior regions in male patients compared to control men.</p> <p>Increased patterns of callosal variability in female patients but no effect of diagnosis between female groups.</p>
Narr et al. 2005.	FES (72)	51:21	25.1 (4.7)	N=78 37:41	27.3 (6.6)	Whole brain and ROI	<p>MRI</p> <p>Manual derived sulcal/gyral curves as landmarks</p> <p>Automated cortical pattern and grey matter thickness measures</p>	<p>Greater Loss of superior temporal sulcal slope asymmetry in the right hemisphere in male patients</p> <p>Female patients showed cortical thinning in cingulate and occipital regions bilaterally compared to healthy females, more widespread in right cingulate and left occipital cortex.</p> <p>Male patients showed thinning more prominently in the left hemisphere in caudal anterior cingulate cortices, within the paracentral lobule and posterior occipital regions bilaterally compared to healthy males.</p> <p>Male patients showed small regional thickness increases in</p>

								<p>the vicinity of the gyrus rectus compared to male controls.</p> <p>Cortical thinning in caudal anterior and posterior cingulate cortices (right hemisphere pronounced) and the cuneus was observed in female patients compared to same sex controls.</p> <p>Male patients showed thinning in the paracentral lobule and caudal anterior cingulate (left hemisphere pronounced) and posterior occipital cortices</p>
Narr et al 2001.	SZ (25)	15:10	31.1 (5.6)	28 15:13	30.5 (8.7)	superior frontal (dorsolateral), parieto-occipital, temporal, and inferior frontal (orbitofrontal	MRI Manual and automated measures	<p>Schizophrenia males show greater loss of superior temporal sulcal slope asymmetry (normally found healthy males) in the right hemisphere relative to schizophrenia females</p> <p>Greater variability in the longitudinal fissure, reflecting both larger sulci and larger cerebrospinal fluid (CSF) volume in males relative to female patients.</p>
Molina et al 2005.	SZ (85) M: SZP N=51	59:29	M 27.2 (8.9) F 31.1 (10.3)	N=45 24: 21	M 31.2 (10.1) F 32.7 (13.0)	cortical prefrontal,	MRI Automated calculation of CSF	Men showed significantly more CSF in the left prefrontal area,

	SZU N=8  Females N=24 SZ paranoid N= SZ undifferentiate d					temporal, and hemispheric CSF values		due to probable grey matter loss.  CFS values in the prefrontal and temporal regions were positively correlated with illness duration in men, but not in women.
Yotsutsuji et al 2003.	SZ (40)	20:20	26.1 (5.0)	N=40 (20:20)	25.1 (5.8)	Perigenual cingulate gyrus	MRI Volumetric measure of both gray and white matter. Semi-automatic segmentation into gray matter, white matter, and cerebrospinal fluid.  Perigenual cingulate gyrus volume done by one rater who was blinded to subjects' identity, gender, and diagnosis	Total (left and right) perigenual cingulate gray matter volume was significantly reduced in female schizophrenia patients compared with female controls.  There was no significant difference in the gray matter volume of the perigenual cingulate gyrus between male groups.  Significant gender differences were found in the total gray and white matter volume of the perigenual cingulate gyrus in control subjects (women – men), although these gender differences were not significant in the patient group.  Male patients had significantly increase volumes in the left temporal horn, bilateral anterior

								horns, the right body, as well as the third ventricle. Women patients showed similar, but less pronounced ventricular enlargements
Frederikse et al. 2000.	SZ (30)	15:15	M 39.00 (9.26) F 40.00 (10.51)	N=30 15:15	M 39.67 (11.06) F 38.40 (9.80)	inferior parietal lobule	MRI GM volume Semiautomatic into gray matter, white matter, and cerebrospinal fluid manual measure of inferior parietal lobule	<p>Men with schizophrenia had a reversal of the normal asymmetry (i.e., they had right-greater-than-left IPL), as well as significantly decreased left IPL volume compared to control men.</p> <p>No significant differences in asymmetry or volume were observed in women patient relative to the same-sex controls</p> <p>Male patients exhibited a reversal of the normal left-greater-than-right male asymmetry in this region and had left inferior parietal lobule gray matter volumes that were significantly smaller than those of healthy male subjects.</p> <p>Female patients did not differ significantly from healthy female subjects in left or right</p>

								inferior parietal lobule volume or in asymmetry.
Collinson et al. 2003.	EOSZ (33)	22:11	Total 16.8 (1.4) M 16.8 (1.3) F 16.8 (1.5)	N=30 18:12	Total=16.4 (1.7) M 16.3 (1.6) F 16.4 (1.9)	Left and right hemisphere volume	MRI Automated and manual segmentation	Male, but not female, patients had reduced left hemisphere volume and a trend to reduced temporal lobe volume.  Reversal of normal rightward asymmetry in the female control group was reversed to a leftward asymmetry in females with schizophrenia.
Savadjev et al. 2014.	AOSZ (39)	22:17	M 16.5 (0.97) F 16.0 (1.0)	N=33 16:17	M 15.7 (1.5) F 15.2 (1.1)	the geometry of interhemispheric white matter connections	MRI DTI automated measures	Reversal of normal asymmetry where female patients showed asymmetry. These abnormalities were correlated with negative symptom severity in a sex-specific manner
Vogele et al., 2000.	SZ (24)	11:13	M 51.27 5.44 F 55.85 8.90	N=24 11:13	Age at death M 54.18 (9.10) F 52.23 (13.56)	prefrontal regions	Post mortem planimetric analysis Gyrification index A gray-to-white-matter ratio and an asymmetry coefficient computation	Significant diagnosis-by-sex interaction in the right prefrontal cortex, where male patients had higher gyrification index (GI) in comparison to healthy men, while no significant differences were observed between two female groups
Mancini-Marie et al., 2015	SZ (48)	24:24	M 31.25 (7.96) F 33.04 (8.38)	N=48 24:24	M 33.50 (8.69) F 29.92 (7.15)	Left and right hemispheres. Frontal, temporal, parietal, occipital cortex	MRI Automated gyrification index	significantly lower values of the GI in the right hemisphere in men with schizophrenia compared to the same-sex controls and no differences between women groups

								<p>Greater decrease in hemispheric GI values in men patients</p> <p>Significant GI values decrease with the duration of illness in schizophrenia men but not in schizophrenia women</p> <p>Sex-by-illness duration interaction, such that men patients showed more rapid decrease in the right parietal and occipital GI, while women showed more rapid decrease in the left frontal and right temporal GI</p>
Gur et al., 2002.	SZ (100)	58:42	29.2 (7.3)	N=110 51:59	26.1 (6.3)	Temporolimbic (hippocampus and amygdala) and neocortical regions (superior temporal gyrus and temporal pole)	MRI Grey and white matter volumes	<p>Hippocampal gray matter volume was reduced in men (7%) and women (8.5%) with schizophrenia. Amygdala showed decreased volume was evident for men (8%) whereas women (10.5%) had increased volume.</p> <p>Temporal pole showed decreased gray matter in men (10%) and women (8.5%).</p>

								Superior temporal gyrus, the decrease exceeded that of whole-brain only in men (11.5%).  Cortical volumes were associated with better memory performance in healthy women.
Niu et al. 2004	SZ (40)	20:20	M 26.4 (5.1) F 25.9 (5.19)	N=40 20:20	M 25.5(5.6) F 24.8 (6.2)	Amygdala and the temporal lobe	MRI Semi-automated and manual volumetric measure	Only male patients had significantly reduced volumes in the bilateral amygdala relative to the same-sex controls. Significant left-smaller-than-right volumetric asymmetry of the amygdala was detected only in men and not in women with schizophrenia
Takayanagi et al. 2011	FES (52)	29:23	M 27.8 (6.0) F 28.1 (5.8)	N=40 (22:18)	M 29.9 (5.6) F 27.5 (4.8)	All regions ROI: hippocampus and amygdala	MRI Multiple brain measures (volume and cortical thickness) Fully automated procedure	Sex differences were found in the bilateral amygdala, with more reductions in men than women with schizophrenia
Irie et al. 2011.	SZ (46)	23:23	M 32.8(8.9) F33.4 (8.2)	N=46 23:23	M 33.22 (8.4) F 34.0 (8.6)	hippocampus	MRI Automated and manual tracing	Only men with schizophrenia had hippocampal volume reductions relative to the same-sex controls at the illness onset, but with longer illness duration women had similarly reduced hippocampal size as male patients.

								Only women patients showed an inverse relationship between the hippocampal volume and illness duration.
Goldstein et al. 2002	SZ (40)	27:13	M 46.6 (11.4) F 41.4 (7.0)	N=48 27:21	M 41.6 (11.6) F 39.0 (9.9)	Middle frontal gyrus, frontomedial and fronto-orbital cortices; basal forebrain, anterior, posterior and paracentral gyri, insula, parahippocampus gyrus, posterior parietal, primary auditory cortex, amygdala, hippocampus, dorsal medial thalamic nuclei, caudate, putamen, globus pallidum	MRI Semi-automated and manual volume measure	Volume reductions in ACC in women but not in men with schizophrenia, relative to the same-sex control  Reversed sexual dimorphism where male patients showed greater grey matter volume of ACC than men.
Takahashi, et al. 2002	SZ (40)	20:20	M 26.4 (5.1) F 25.9 (5.1)	N=40 20:20	M 25.5 (5.9) F 24.8 (6.2)	Anterior cingulate gyrus	MRI Volume of the whole brain and both grey and white matter.  All measurements were carried out by one rater, blinded to gender and diagnosis.	Volume reductions in ACC in women but not in men with schizophrenia, relative to the same-sex controls  The right anterior cingulate was significantly reduced only in women with schizophrenia.



								<p>Women patients lacked the normal structural asymmetry - right larger than left ACC.</p> <p>Contrary to prediction that men with schizophrenia would show more laterality disruption, they did not differ from the same-sex control group on any measure</p>
Takahashi et al. 2004	SZT (26) SZ (58)	SZT 14:12 SZ 31:27	SZT M 23.6 (5.4) F 26.3 (4.6) SZ M 25.5 (4.9) F 26.2 (4.8)	N=61 31:31	M 24.9 (5.1) F 24.2 (5.9)	perigenual cingulate gyrus	MRI Volume of the gray and white matter  All measurements were carried out by one rater, blinded to gender and diagnosis.	Schizophrenia patients showed a lack of normal gender differences of the perigenual cingulate gray matter seen in the healthy controls (females>males).
Takahashi et al. 2003	SZ (40)	20:20	26.1 (5.0)	N=40 20:20	25.1 (5.8)	perigenual cingulate gyrus	MRI Volume of both gray and white matter of the perigenual cingulate gyrus  All measurements were carried out by one rater, blinded to gender and diagnosis.	<p>Significant sex difference in the perigenual cingulate gyrus in control subjects where women had larger grey matter volumes than men.</p> <p>This difference was not significant in schizophrenia due to reduction in bilateral perigenual cingulate gray matter in female patients compared with female controls and no differences between the men groups.</p>
Goldstein et al. 2007	SZ (88)	M 67.1% F 32.9%	44.6 (9.7)	N=48 M 56% F44%	40.5 (10.8)	Hypothalamus	MRI Segmentation hypothalamus	Contrary to expectations, hypothalamic volumes in

								schizophrenia patients were significantly larger than those in normal controls. These abnormalities were also present in nonpsychotic relatives of schizophrenia patients; they were positively correlated with anxiety and were more pronounced in women
Duggal et al. 2005	FES (30)	15:15	M 31.4 (5.5) F 25.9 (8.7)	N=30	M 33.5 (7.0) F 26.5 (11.4)	Insula	MRI Manual tracing of insular volumes	Women with schizophrenia had significantly reduced right insular volume relative to healthy women, while there was no effect in men

M: males, F: females, SZ: schizophrenia, FES: First episode schizophrenia, MRI: magnetic resonance imaging, SZT: schizotypal disorder, BPD: Bipolar disorder, SZA: schizoaffective disorder, FEP: first episode psychosis, SZP: paranoid schizophrenia, MDD: major depressive disorder, ROI: region of interest, SZU: schizophrenia undifferentiated, AOSZ: adolescent onset schizophrenia, EOSZ: early onset schizophrenia

• **Table Y. Differences between ICD-10 and DSM-IV in schizophrenia**

	<b>ICD-10</b>	<b>DSM-IV</b>
Diagnoses	1 symptom from Schneiderian first rank or 2 from a second list of psychotic symptoms	1 symptom from Schneiderian first rank or 2 from a second list of psychotic symptoms
Symptoms	List 1) thought echo, thought insertion or withdrawal or thought broadcasting; delusions of control, influence or passivity; hallucinatory voices giving a running commentary or discussing the patient among themselves or persistent delusions of other kinds that are “completely impossible.” List 2) hallucinations accompanied by delusions or overvalued ideas; incoherence or irrelevant speech; catatonic behavior; and “negative” symptoms.	List 1) bizarre delusions (which would cover the three delusional symptoms in the ICD-10 list) and auditory hallucinations  List 2) 5 symptoms: delusions of any type; hallucinations of any type; disorganized speech; catatonic or grossly disorganized behavior, and negative symptoms.
Duration	Minimum 1 month	Minimum 1 month and at least 6 months for a diagnosis
Functioning	No specification	Since the onset of the illness functioning is markedly below that achieved prior to the onset.
Between episodes	Negative symptoms (or no symptoms) between episodes. These are further subtyped based on whether the negative symptoms are progressive or stable.	Characterized by the presence or absence of interepisode residual symptoms which may be either negative symptoms or attenuated forms of the defining psychotic symptoms.

First onset of psychotic symptoms lasting for at least 1 month but less than 6	Diagnosed as Schizophrenia	Diagnosed as Schizophreniform Disorder.
F20.0 Paranoid Schizophrenia	Requires that the delusions or hallucinations be prominent whereas other specific symptoms (i.e., flattening or incongruity of affect, catatonic symptoms, or incoherent speech) must not “dominate the clinical picture.”	Requires that the individual be <i>preoccupied</i> with one or more delusions or frequent hallucinations and that none of a list of different specific symptoms (i.e., disorganized speech, disorganized or catatonic behavior, or flat or inappropriate affect) be also prominent.
F20.1 Hebephrenic Schizophrenia (Disorganized Schizophrenia in DSM-IV)	Flat or inappropriate affect <u>and</u> either disorganized speech <u>or</u> disorganized behavior	Disorganized speech, disorganized behavior and flat or inappropriate affect are all required.
F20.2 Catatonic Schizophrenia  The lists of catatonic symptoms share most features in common except for :	ICD-10 requires only one catatonic symptom lasting at least 2 weeks.  Command automatism	The DSM-IV definition is more severe in that a minimum of two catatonic features must be present.  Echolalia and echopraxia
F20.3 Undifferentiated Schizophrenia	Either having “insufficient symptoms” to meet the criteria for one of the other subtypes or “so many symptoms that the criteria for more than one of the other subtypes is met.”	Only for cases that do not meet criteria for any of the other subtypes.

F20.5 Residual Schizophrenia	The ICD-10 definition requires that there be at least 12 months of negative symptoms (four drawn from a possible list of six) in an individual for whom the general criteria for Schizophrenia are not currently met.	The DSM-IV definition is more broadly defined in that the criteria also allow for two or more active phase symptoms to be present in an attenuated form (e.g., odd beliefs, unusual perceptual experiences). DSM-IV also does not specify a duration.
F20.6 Simple Schizophrenia (Simple Deteriorative Disorder in DSM-IV)	Requires that there be a significant change “in the overall quality of some aspects of speech or personal behavior manifest as loss of drive or interests, aimlessness, idleness, a self-absorbed attitude and social withdrawal.”	Not in DSM-IV, but under Simple Deteriorative Disorder  Requires “poor interpersonal rapport, social isolation, or social withdrawal.”  Exclusion of Personality, Mood, or Anxiety Disorder.

- Adapted from First MB (2009a, 2009b & 2007)(700, 701)