

1 Exploring dinoflagellate biology with high-throughput proteomics

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5 David Morse<sup>1</sup>, Sirius P.K Tse<sup>2</sup> and Samuel C.L. Lo<sup>2</sup>

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9 <sup>1</sup> Institut de Recherche en biologie Végétale, Département de Sciences Biologiques, Université  
10 de Montréal

11 <sup>2</sup> Shenzhen Key Laboratory of Food Biological Safety Control, and  
12 Department of Applied Biology and Chemical Technology, The Hong Kong Polytechnic  
13 University

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17 **RUNNING HEAD**

18 Dinoflagellate proteomics

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21 **KEYWORDS**

22 Dinoflagellates, gene expression, MS-sequencing, proteomics

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24 *Originality and significance*

25 This manuscript provides a timely overview of current proteomic technologies, with an emphasis  
26 on how such techniques could be used to advance our understanding of dinoflagellate biology.

27

28 *Conflict of interest*

29 The authors declare no conflicts of interest.

30 *Summary*

31

32 Dinoflagellates are notorious for their ability to form the harmful algal blooms known as “red  
33 tides,” yet the mechanisms underlying bloom formation remain poorly understood. Despite  
34 recent advances in nucleic acid sequencing, which have generated transcriptomes from a wide  
35 range of species exposed to a variety of different conditions, measuring changes in RNA levels  
36 have not generally produced great insights into dinoflagellate cell biology or environmental  
37 physiology, nor do we have a thorough grasp on the molecular events underpinning bloom  
38 formation. Herein we discuss the application of high-throughput proteomics to the study of  
39 dinoflagellate biology. By profiling the cellular protein complement (the proteome) instead of  
40 mRNA (the transcriptome), the biomolecular events that underlie the changes of phenotypes can  
41 be more readily evaluated as proteins directly determine the structure and the function of the cell.  
42 Recent advances in proteomics have seen this technique become a high-throughput method that  
43 is now able to provide a perspective different from the more commonly employed nucleic acid  
44 sequencing. We suggest that the time is ripe to exploit these new technologies in addressing the  
45 many mysteries of dinoflagellate biology, such as the adaptive changes of coral with their  
46 symbiotic dinoflagellates, as well as the initiation mechanisms of harmful algal blooms.  
47 Furthermore, while proteomics may be particularly useful for the dinoflagellates, whereas  
48 transcriptional responses are often muted, the techniques are readily applicable to other  
49 organisms.

50

51 *Biology of dinoflagellates*

52

53 Dinoflagellates are unicellular, generally marine protists with a variety of lifestyles (Gomez,  
54 2012; Taylor et al., 2008). The roughly 2000 species that are distinguishable by morphology  
55 contain members that are about half heterotrophic, and half plastid-containing (which can  
56 include phototrophs, mixotrophs, and species that have simply ingested the photosynthetic  
57 apparatus from prey). The vast majority of dinoflagellates are free-living, though roughly 10%  
58 and 1% are parasitic and symbiotic species respectively; the latter are disproportionately  
59 important given that their endosymbiotic association with reef-building scleractinians serve as  
60 the basis of all coral reefs. However, the number of morphologically distinct species is most  
61 likely an underrepresentation of the number of species, as roughly 8000 operational taxonomic  
62 units (OTU) were uncovered by the Tara Oceans rRNA sequencing project (de Vargas et al.,  
63 2015). Based on this new study, dinoflagellates actually have a much greater species richness  
64 than any other group of marine protozoan. While it is difficult to ascribe a definite percentage to  
65 the contribution of dinoflagellates to global primary production, the oceans account for roughly  
66 half the global total, and dinoflagellates and diatoms together account for a large proportion of  
67 the marine contribution (Field et al., 1998).

68

69 Dinoflagellates are also known for their ability to form the harmful algal blooms (HABs) called  
70 red tides. While they occur naturally, their frequency has increased over the past 30-40 years,  
71 possibly as a result of nutrient runoff from agriculture (van Dolah, 2000). Many of the HAB-  
72 forming species can release toxins into the environment, of which the most well-known are  
73 probably the sodium channel blocker saxitoxin (which leads to paralytic shellfish poisoning),  
74 brevetoxin (which results in neurotoxic shellfish poisoning), ciguatera toxin (resulting in ciguatera  
75 fish poisoning) and the protein phosphatase inhibitor okadaic acid (which causes diarrhetic  
76 shellfish poisoning) (Wang, 2008). These toxins are complex secondary metabolites, generally  
77 polyketides, with the cyclic perhydropurine saxitoxin being an important exception. Why  
78 dinoflagellates synthesize such a wide array of potent toxins is currently unknown. However, it  
79 was found that toxin-producing *Karlodinium veneficum* changes the swimming pattern of  
80 *Storeatula major*, a cryptophyte prey (Sheng et al., 2010). In this case, the toxin acts as an aid to  
81 feeding.

82  
83 Dinoflagellates also display a dazzling array of unusual cytological features (Fig 1) (Spector,  
84 1984). For example, some species are able to bioluminesce and form specialized organelles  
85 called scintillons for this purpose (Fig 1B) (Fogel et al., 1972). These scintillons contain the  
86 bioluminescence reaction catalyst (luciferase) and a substrate (luciferin) binding protein (LBP)  
87 (Desjardins and Morse, 1993), and both proteins are found at higher levels during the night when  
88 the cells produce light than during the day when they do not (Johnson et al., 1984; Morse et al.,  
89 1989). In addition, dinoflagellate plastids are different from those found in higher plants or green  
90 algae as they are derived from either secondary or tertiary endosymbiotic events (Keeling, 2010).  
91 The plastid genome is generally in the form of small plasmid-like circles (Zhang et al., 1999),  
92 and only a small number of genes are expressed (Wang and Morse, 2006). The most common  
93 form of plastid contains (1) an unusual reddish carotenoid called peridinin, (2) a novel peridinin-  
94 chlorophyll-binding protein (PCP) as the major light harvesting protein (LHC) (Hofmann et al.,  
95 1996), and (3) a particularly oxygen-sensitive form II Rubisco as a carbon fixing enzyme (Morse  
96 et al., 1995; Rowan et al., 1996; Siegelman et al., 1977). The subcellular location of Rubisco  
97 changes during the day to form regions of concentrated enzyme termed pyrenoids (Fig 1C)  
98 (Nassoury et al., 2001). Lastly, the dinoflagellate nucleus contains chromatin that is permanently  
99 condensed throughout the cell cycle (Fig 1D), and the nuclear membrane remains intact during  
100 mitosis. The amount of basic protein in the nucleus is unusually low, with a ten-fold lower  
101 protein to DNA ratio than what is found in other eukaryotes (Rizzo et al., 1982). It seems likely  
102 that both RNA and divalent cations are involved in maintaining chromatin structure. There are  
103 no observable nucleosomes, and indeed, despite the finding of conserved histone genes in the  
104 transcriptomes of several species (Bayer et al., 2012; Roy and Morse, 2012), levels of histone  
105 expression at the protein level are extremely low (Roy and Morse, 2012). Instead of histones,  
106 dinoflagellate nuclei possess both histone-like proteins and dinoflagellate/viral nuclear proteins  
107 (DVNP) (Chan and Wong, 2007; Gornik et al., 2012; Janouskovec et al., 2017). Other species  
108 have a light sensitive eyespot, called an ocelloid, which is formed from a combination of  
109 mitochondria and plastids (Gavelis et al., 2015).

110

111 *Gene expression in dinoflagellates*

112

113 In order to address these many unusual and important facets of dinoflagellate biology, changes in  
114 gene expression under different conditions have been extensively studied. Typically these studies  
115 use microarray analysis or high-throughput nucleic acid sequencing to look at changes in  
116 transcript levels. Studies on many other species of algae have indicated that changes in gene  
117 expression in response to changes in the environment can provide valuable insight into the  
118 mechanisms allowing them to cope (Mayfield et al., 2014a; Mayfield et al., 2014b). However,  
119 and perhaps in keeping with their unusual nuclear chromatin architecture, the degree to which  
120 dinoflagellates use transcriptional responses to alter mRNA expression levels appears limited.  
121 For example, an examination by RNA-Seq of the transcriptome in *Lingulodinium polyedrum*  
122 over the course of an 12:12 light dark cycle and during 24 hours of constant light detected no  
123 significant change in expression of any of the transcripts (Roy et al., 2014). There are thus no  
124 transcriptional responses due to changes in light intensity, or over the cell cycle, or brought about  
125 by the circadian (daily) clock in this species.

126 In contrast, transcriptional responses have been reported for other species of dinoflagellates,  
127 although for the most part these are of low amplitude. One study of *rbcL* transcript levels in the  
128 symbiotic dinoflagellate *Symbiodinium* showed robust circadian changes when cells in culture  
129 were examined (Mayfield et al., 2014a). There are also changes in gene expression in  
130 *Symbiodinium* in response to thermal stress, widely investigated because of its potential  
131 importance in the phenomenon of coral bleaching (Barshis et al., 2014; Krueger et al., 2015;  
132 Leggat et al., 2011; Mayfield et al., 2012; Mayfield et al., 2014b; Rosic et al., 2011), although  
133 the majority of stresses experienced by *Symbiodinium* fail to induce a transcriptional response  
134 (Baumgarten et al., 2013; Mayfield et al., 2011). In *Amphidinium carterae* low light was reported  
135 to induce PCP and other LHC-protein transcripts (ten Lohuis and Miller, 1998), and phosphate  
136 limitation was found to increase alkaline phosphatase transcript levels (Lin et al., 2011). *Karenia*  
137 *brevis* has both diurnal and circadian regulated genes (van Dolah et al., 2007), as well as a  
138 transcriptional response to nitrogen and phosphorus depletion (Morey et al., 2011), while  
139 *Prorocentrum* has been reported to have a diurnal difference in the abundance of transcripts  
140 encoding rhodopsin and Rubisco (Shi et al., 2013). Metals change the expression level of  
141 superoxide dismutase in *Gonyaulax* (now *Lingulodinium*) (Okamoto et al., 2001), and a number  
142 of genes were observed to be regulated by redox state in *Pyrocystis* (Okamoto and Hastings,  
143 2003). Gene expression in *Alexandrium minutum* was found to be affected by growth stage and

144 nutrient status (Yang et al., 2010). In another *Alexandrium* species, a transcriptional response to  
145 the presence of bacteria in the media was noted (Moustafa et al., 2010). Lastly, there has also  
146 been a report of cell cycle-regulated changes in levels of transcripts encoding the translational  
147 initiation factor 5A in the heterotrophic species *Crypthecodinium* (Chan et al., 2002a).

148

149 Dinoflagellates also regulate gene expression at a post-transcriptional level. This has been  
150 extensively studied in *L. polyedrum* with respect to control over protein synthesis by the  
151 circadian clock (Akimoto et al., 2005; Fagan et al., 1999; Milos et al., 1990; Morse et al., 1989).  
152 These changes are regulated at a post-transcriptional level as no changes in the amounts of the  
153 corresponding mRNAs have been found by RNA Seq (Roy et al., 2014). Furthermore, post-  
154 transcriptional regulation of S-phase gene expression in *Karenia brevis* was reported (Brunelle  
155 and van Dolah, 2011). The finding that there is an extensive family of sequences encoding the  
156 translational initiator (cap-binding protein) eIF4E provide additional support for the importance  
157 of translational regulation in the dinoflagellates (Jones et al., 2015).

158

159 It is important to stress that while studies of post-transcriptional changes in gene expression are  
160 more difficult to perform than those using high-throughput sequencing techniques to measure  
161 RNA levels, there are many cellular mechanisms that act at a post-transcriptional level. These  
162 include protein-mediated regulation of RNA structure, sequestration or translation (Castello et  
163 al., 2013), as well as miRNA mediated effects on translation (Iwakawa and Tomari, 2015). In  
164 general, the correlation between protein levels and RNA levels is not very good. For example,  
165 only 2 of 167 regulated *Symbiodinium* proteins varied in concert with their transcripts (Mayfield  
166 et al., 2016). Another study demonstrated a decrease in the amount of Rubisco (RBCL) protein  
167 despite constant *rbcL* mRNA levels in response to elevated temperatures (Putnam et al., 2013). A  
168 more global evaluation of the relationship between dinoflagellate RNA and protein levels was  
169 carried out with over 3000 *Lingulodinium* proteins (Tse et al., 2018). The correlation was poor  
170 (Pearson's  $r = 0.46$ ), even lower than what was reported in a similar study in mammalian cells  
171 (Pearson's  $r = 0.54$  using over 1000 genes) (Vogel et al., 2010). As a consequence, transcriptome  
172 profiling may be of limited use in addressing significant biological problems in dinoflagellates,  
173 as shown in a comparative study of mRNA expressions and the corresponding protein  
174 expressions of *Symbiodinium* (Mayfield et al., 2016). One exception may be a recent comparison

175 of the transcriptomes of toxic and non-toxic *Alexandrium* strains, which revealed that among the  
176 35 differentially expressed sequences, a putative saxitoxin pathway enzyme *sxtA* was up  
177 regulated in the toxic strain (Zhang et al., 2014). However, it must also be noted that the net  
178 effect of the decrease in this one sequence is unclear, as *Alexandrium* species can contain up to  
179 12 different *sxtA* sequences (Hackett et al., 2013).

180

### 181 *Proteomics*

182 As a general rule, measurements of protein levels are likely to provide a more accurate  
183 representation of the catalytic capability of the cells than are measurements of transcript levels as  
184 proteins are more directly related to an observed cellular phenotype than are RNA levels. For the  
185 dinoflagellates, proteomic studies are thus of particular interest. Traditional explorations of the  
186 cellular proteome typically involved analysis of one protein at a time, using gel electrophoresis  
187 and Western blotting techniques to evaluate the levels and potential changes that the protein was  
188 undergoing in different conditions. The development of two-dimensional electrophoresis (2D-E)  
189 allowed many hundreds of proteins to be examined at a time, although these techniques were  
190 difficult to master. A recent review of dinoflagellate proteomics makes clear that the majority of  
191 work in this area has indeed used two-dimensional gel electrophoresis to separate and visualize  
192 differentially expressed proteins (Wang et al., 2014) before identification using mass  
193 spectrometry (MS) techniques. Proteomics has wide application in dinoflagellates, as it has been  
194 used to look for proteins involved in symbiosis (Weston et al., 2012) and to look for proteins that  
195 may be involved in toxin production (Wang et al., 2013). It has been employed as a means of  
196 determining surface proteins (Chan et al., 2012) as well as a way to rapidly identify the species  
197 in a natural harmful algal bloom (Lee et al., 2011). The proteomics of cellular chronobiology has  
198 also been examined (Akimoto et al., 2004).

199

200 Now, however, advances in high-throughput protein sequencing have allowed datasets of many  
201 thousands of proteins to be generated routinely. In the most recent application to the  
202 dinoflagellates, high-throughput MS techniques coupled with tags to aid protein quantification  
203 (isobaric tags for relative and absolute quantification, [iTRAQ]; described in more detail below)  
204 have identified 3488 different proteins, setting the current record for number of dinoflagellate  
205 proteins identified (Zhang et al., 2015b). High-throughput proteomics has the immense

206 advantage that, like high-throughput DNA or RNA sequencing, the large datasets that are created  
207 are not hypothesis driven, but rather represent a catalog that can be pored over to associate  
208 sequences, whose nature was not previously suspected to be involved with the phenomenon or  
209 treatment under study, with a particular phenotype. While not yet at the levels of nucleic acid  
210 sequencing, the number of proteins that can be quantitated by top-end MS is still quite  
211 remarkable. Furthermore, the ease of sample preparation and price of sample analysis is now  
212 bringing the technique to the domain of the regular researcher. For example, while labeling  
213 strategies designed to aid protein quantitation do require technical expertise, in what is called  
214 “label-free proteomics” thousands of proteins can be measured in a sample prepared simply by  
215 precipitating the proteins in a cell lysate with acetone. The number of proteins identified in  
216 model systems, the high end of the technology to date, has reached ~10,000 proteins in a study of  
217 a single human cell type (Nagaraj et al., 2011) by a simple fractionation of a trypsin digested  
218 crude protein extract into six parts by ion exchange chromatography. More recently, a single  
219 injection of a yeast total protein digest identified 34,000 peptides and almost 4,000 proteins  
220 (Hebert et al., 2014); this represents almost 90% of the yeast proteome (Ghaemmaghami et al.,  
221 2003). These examples underscore the degree to which MS-based proteomics is technology  
222 driven, and it must be stressed that advances in the field show no signs of abating (Padula et al.,  
223 2017).

224 Despite the high resolving power of LC-MS/MS, protein extraction procedures are often the first  
225 obstacle which researchers must overcome when trying to use proteomics technologies with  
226 dinoflagellates. In part this is due to the tough and complex cell cortex, containing internal  
227 flattened membrane bound structures called alveolae which can often contain cellulosic plaques.  
228 Some species have a fibrous layer under the alveolae called the pellicle, which may contain  
229 sporopollenin. High endogenous levels of salts, nucleic acids, phenolic compounds and  
230 pigments can also interfere with the proteomic analyses. The general solution to the cell  
231 breakage problem is application of sufficient mechanical force, and techniques such as ultra-  
232 sonic disruption of the cells, vigorous shaking in a bead-beater with bead sizes appropriate to the  
233 size of the cell, or grinding in liquid nitrogen have been used with success (Tse et al., 2018; Tse  
234 and Lo, 2017b). The problems associated with chemical contaminants were also encountered  
235 during preparation of samples for 2D-E, and solution involved a number of lengthy and tedious  
236 procedures (Chan et al., 2004; Chan et al., 2002b; Wang et al., 2009) or toxic chemicals like



237 Trizol (Lee and Lo, 2008; Zhang et al., 2015c). Trizol was also used for gel-free shotgun  
238 proteomics of field and laboratory-cultured diatoms (Zhang et al., 2015a), whereas a simple  
239 trichloroacetic acid/acetone precipitation step has been successfully used with the dinoflagellate  
240 species *Scrippsiella acuminata* (Tse and Lo, 2017a; Tse and Lo, 2017b) and *Lingulodinium*  
241 *polyedra* (Tse et al., 2017).

242 During what is variously termed “bottom-up,” “discovery,” or “shotgun” proteomics, complex  
243 protein mixtures are digested with a protease (usually trypsin) that cleaves the protein at specific  
244 amino acids (the basic amino acids lysine and arginine in the case of trypsin) thus producing a  
245 series of peptides that are the same for a protein and different for other proteins. The tryptic  
246 peptides are then separated by liquid chromatography (LC) and analyzed by ionization of the  
247 peptides and injection into an electrospray-tandem mass spectrometer (ESI-MS/MS). For a full  
248 description of the linear trap quadrupole (LTQ)-Orbitrap (Thermo Scientific, USA), one of the  
249 mass analyzers with the highest resolution and mass accuracy for proteomics works, an excellent  
250 recent review covers the technical details (Eliuk and Makarov, 2015). Briefly, the tryptic  
251 peptides partially resolved by a liquid chromatography column are injected into an electrospray  
252 (ESI) ion source where they are ionized, allowing the mass-to-charge ( $m/z$ ) ratio of the peptides  
253 to be determined by the mass spectrometer subsequently. A typical LC profile shows a complex  
254 series of peaks (Fig 2A), and at each time point on the chromatograph, several mass peaks are  
255 typically seen in the precursor mass spectrum ( $MS^1$ ) (Fig 2B).

256 Peptides are generally observed as precursor ions with two positive charges (+2 ions) on the  $m/z$   
257 axis. These precursor ions are easily identified as having a +2 charge, as when the  $m/z$  axis is  
258 expanded, they form a series of equally spaced peaks whose mass differs by 0.5  $m/z$ . These  
259 different peaks are due to a random incorporation of the carbon-13 isotope instead of the normal  
260 carbon-12 isotope (a difference of 1 Da);  $^{13}C$  is a naturally occurring stable isotope which makes  
261 up 1.1% of the total carbon on the planet. The exact pattern of the series of peaks varies  
262 depending on how many  $^{13}C$  atoms are found in the peptide (Fig 2B, insert), but it is the spacing  
263 between the peaks that defines the charge on the ion.

264 Suitable precursor peptides ions detected by the  $MS^1$  are then selected to go through the  
265 secondary mass spectrometry ( $MS^2$ ), where the precursor ions selected collide with atoms of an  
266 inert gas and fragment into pieces in what is called collision induced dissociation (CID).

267 Precursor peaks in the  $MS^1$  are deemed suitable for CID when they meet a number of predefined

268 characteristics (what is called data-dependent analysis), including being +2 charged ions, as well  
269 as having a peak intensity higher than a preset threshold. It should be mentioned that intensity, or  
270 current flow, is proportional to the amount of the precursor ion, and thus of the original amount  
271 of the peptide. When fragmentation occurs, it generally occurs at the peptide bond. A single  
272 fragmentation event for a single peptide molecule produces two fragments, one containing the  
273 original N terminal end and the other containing the original C terminal end. If fragmentation  
274 was completely random, then a population containing equal amounts of all possible peptide  
275 fragments would be observed, producing a series of peaks whose mass differs by only a single  
276 amino acid. The peptide sequence can actually be read twice in these fragmented peptide mass  
277 spectra, once by looking at the mass difference between peptide fragments as they increase in  
278 size from the N terminal end (called the b-series) and the other when they increase in size from  
279 the C terminal end (called the y-series). However, in practice, fragmentation is rarely completely  
280 random, and thus only a sub-section of the expected mass peaks is observed.

281 When the genome (or, for the dinoflagellates, most likely a transcriptome) of the organism under  
282 study is known, the sequence of a given peptide can be identified by a comparison of the  
283 experimentally obtained pattern of peptide fragment masses with a computer predicted pattern of  
284 peptide fragment masses for every tryptic peptide sequence predicted by the genome (or  
285 transcriptome) sequence. For a single peptide from the PCP protein of *L. polyedrum* (Fig 2C), the  
286 predicted masses of the b and y series (vertical green and blue lines, respectively)  
287 are compared to the actual m/z patterns (red lines). The analysis also provides a statistical  
288 estimate of the validity of the identification, typically being calculated as a peptide “score” when  
289 the analysis is performed by Mascot Server (Matrix Science, United Kingdom), and this  
290 represents the probability that the actual pattern of m/z profile corresponds to the predicted m/z  
291 profile of a particular peptide. The score depends on a number of factors, including how many  
292 peaks are seen in the fragmented peptide, the signal-to-noise ratio of the peaks, and the accuracy  
293 of the mass determination for the peaks. In general, the highest scoring identification above a  
294 preset threshold is retained. Experiments are typically performed in what is called data dependent  
295 analysis mode, which simply means that MS1 peaks are selected for MS2 fragmentation based  
296 on their intensity. This has the disadvantage of biasing the analysis against low abundance  
297 proteins. The advantage is that because the experiment is performed without any preconceived  
298 idea of the desired target proteins, unexpected candidates can be discovered.

299  
300 However, there are some confounding problems when transcriptomes are used as the sequencing  
301 database for protein identity searches. These include the fact that the transcriptome is likely  
302 incomplete, that the transcripts may have been incompletely assembled, or that the sequences  
303 contain rearrangements or frame shift mutations, although some of these problems can be  
304 mitigated by using MS data to refine the sequences present in the transcriptome (Tse et al.,  
305 2018). Furthermore, some peptides may feature post-translational modifications which will  
306 change the mass of some of the fragments, thus hindering identification. However, for the most  
307 part these problems are relatively minor, and it is the resolution, sensitivity and accuracy of the  
308 instrument itself which limits the number of proteins identified.

309  
310 It is often convenient to think of the mass spectra produced from a complex mixture of tryptic  
311 peptides as analogous to the patterns of proteins observed after two-dimensional gel  
312 electrophoresis. In this case, however, the two axes are not isoelectric point and size but rather  
313 retention time on the LC column and the mass-to-charge ratio (Fig 2D). This view is an easy way  
314 to grasp the essential features of the process, but obscures the wealth of detail actually present. If  
315 blown up, each of the “spots” will be seen to be a series of mass peaks (due to random  
316 incorporation of  $C^{13}$ ), and in many cases, these spots will be linked to an  $MS^2$  spectrum which  
317 does not appear in this view. The intensity of the different spots does not typically provide a  
318 good visual estimate of the amounts of different peptides, which can vary by up to five orders of  
319 magnitude. As one example, a small region of the spectra in the region containing a peptide  
320 derived from the bioluminescence protein LBP is shown (boxed in red in Fig 2E). This protein is  
321 known to change in amount by up to 10-fold over the course of the light-dark (LD) cycle (Morse  
322 et al., 1989) yet visual examination of the spectra shows only a slight change.

323  
324 *Identification of proteins*

325 One of the earliest techniques developed to identify proteins was peptide mass fingerprinting, in  
326 which the masses of all peptide fragments produced by protease digestion of a given protein  
327 were measured and compared to the predicted pattern of masses from all proteins in a sequence  
328 database (Pappin et al., 1993). This powerful technique does not require excessively  
329 sophisticated equipment and can also identify regions of a protein that have undergone post-

330 translational modification. However, the disadvantages include a requirement for complete  
331 protein sequences in the database and the fact that it is not suitable for analysis of complex  
332 mixtures of proteins.

333  
334 Proteins can also be identified by determining the sequence of a single peptide, or where more  
335 stringent identification is required by two or more peptides from the same protein. Identification  
336 is less sure with single peptides there may be many matches for short peptide sequences and  
337 because there is unavoidable “noise” in low-m/z range of typical MS<sup>2</sup> spectra. The sequences of  
338 peptides from two or more regions in the same protein provide more confidence in the  
339 identification (Tse et al., 2018; Tse and Lo, 2017b; Zhang et al., 2015b). The former would be  
340 chosen when the goal is to glean the most information possible from the protein sample at the  
341 expense of confidence in the identification, while latter is more likely to reflect the true identity  
342 of the transcript and will deliver a more faithful estimate of the quantity of the protein. There are  
343 always potential errors in identification, a part of which is due to the large number of sequences  
344 in the DNA database as indicated above; errors in identification (or false positives) increase  
345 along with the increasing number of peptides identified. Another contributing factor is that two  
346 amino acids have identical masses (leucine and isoleucine are said to be isobaric.) while another  
347 pair is near-isobaric (lysine and glutamine have very similar masses.), and these can cause errors  
348 in the identification of sequence from peptide mass patterns. A last issue is that a given peptide  
349 may be found in more than one protein. For example, one peptide identified may be found in  
350 proteins A and B while a second may be found in proteins A and C, and it is not possible to  
351 experimentally determine if both peptides are derived from A or if an equal mixture of B and C  
352 is present. In both cases, when peptide identification scores are combined to yield protein  
353 identification scores, both peptides will be deemed to be derived from A.

354  
355 *Fractionation of complex mixtures*

356  
357 While the performance of instruments and their ability to distinguish a large number of peptides  
358 in a complex mixture are constantly increasing, there are still many reasons to fractionate  
359 complex mixtures. The most compelling of these is that the complexity of the mixture decreases,  
360 thus making it easier to characterize the partial proteome more thoroughly. However, in many

361 other cases fractionation is used to uncover a specific subclass of protein sequences. For  
362 example, phosphorylated proteins can be identified by first enriching a crude protein preparation  
363 on phosphate binding columns. As another example, MS analysis of purified organelles can  
364 provide an inventory of proteins in a specific sub-cellular location (Peng et al., 2011).

365  
366 The two most generally used fractionation methods include SDS-PAGE for proteins and ion  
367 exchange chromatography for peptides. In the former, proteins are resolved using standard  
368 electrophoretic techniques, and the gels are consequently stained with Coomassie blue and cut  
369 into small pieces. Proteins in each gel piece are then digested *in situ* with trypsin and the peptides  
370 extracted and sequenced. In the latter, proteins are first digested with trypsin, and the resulting  
371 tryptic peptides then separated chromatographically, generally with cation exchangers (Kong et  
372 al., 2013).

373  
374 Other fractionation methods can be used to enrich phosphoproteins or phosphopeptides (Fig 3).  
375 In the former, protein samples are fractionated on commercial (and proprietary) chromatographic  
376 resins, e.g. the phosphoprotein enrichment kits by Pierce (Thermo Scientific, USA). In the latter,  
377 proteins are first digested with trypsin, and the phosphorylated peptides are separated by metal  
378 oxide affinity chromatography (MOAC, typically using  $\text{TiO}_2$  (Ibáñez-Vea et al., 2017; Iliuk et  
379 al., 2010; Melo-Braga et al., 2015; Thingholm and Larsen, 2016c; Yu and Veenstra, 2013)), by  
380 using immobilized metal affinity chromatography (IMAC, typically using  $\text{Fe}^{+3}$  NTA, a  
381 nitrilotriacetic acid derivative) (Thingholm and Larsen, 2016a, 2016b; Yan et al., 2013), or by  
382 binding to antibodies recognizing phosphorylated amino acids (Blaydes et al., 2000; Foy et al.,  
383 2007). The advantage to the former is that many peptides from the same protein can be  
384 identified, thus facilitating identification and quantification, whereas that of the latter is that a  
385 larger number of phosphorylated peptides are identified, thus facilitating the characterization of  
386 the phosphosites that are actually phosphorylated in the sample. Phosphorylation is important as  
387 it is the most frequently found post translational modification, and it is used extensively to  
388 regulate protein structure and activity (Cieśla et al., 2011; Fischer, 2013). Some estimates  
389 indicate the number of phosphorylated proteins in eukaryotes could be as high as 30% (Mann et  
390 al., 2002), underscoring its pervasive nature. The extensive use of phosphorylation to modify  
391 proteins is mirrored by an abundance of protein kinases, which number about 500 in the human

392 genome (Manning et al., 2002), whereas the transcriptome of the dinoflagellate *Lingulodinium*  
393 has just over 600 (Roy and Morse, 2014).

394  
395 Another fractionation option involves purification of sub-cellular structures such as organelles  
396 (Yates et al., 2005). This typically involves centrifugation of cell lysates using differential or  
397 isopycnic (density gradient), or a combination of both. Unfortunately, sub-cellular fractionation  
398 protocols are limited for the dinoflagellates, and there are two potential issues that must be  
399 overcome for this to be useful. First, the organelles must remain intact after breaking the  
400 cellulosic cell wall which often requires considerable destructive force, and second, a means of  
401 following the organelles through the procedure and determining their purity must be available.  
402 Plastids in *Lingulodinium* were found to be unstable and cannot be purified intact (Wang et al.,  
403 2005b), although a cell wall preparation (Bertomeu et al., 2003) and the bioluminescent  
404 organelles termed scintillons (Desjardins and Morse, 1993) have been prepared from this species.  
405 Purifications of nuclei have been reported from a number of dinoflagellate species (Bhaud et al.,  
406 1999; Mendiola et al., 1966; Rizzo et al., 1982; Sun et al., 2012). The proteomics of  
407 dinoflagellate nuclei is a potential gold mine of information given their many unusual features,  
408 and proteomics has begun to provide insight into how the dinoflagellate chromatin may be  
409 organized by cataloging the proteins identified in purified chromatin preparations (Beauchemin  
410 and Morse, 2017). For example, this latter study found a surprisingly high proportion of RNA  
411 binding proteins in the chromatin, suggesting the high levels of RNA reported in the  
412 dinoflagellate nucleus (Soyer and Haapala, 1974) may play a structural role.

413  
414 Finally, proteins and their binding partners can be analyzed using affinity purification (Dunham  
415 et al., 2012). There are many versions of this but, due to the lack of widespread transformation  
416 technologies that would allow proteins carrying a tag to be expressed in the cell, not all are  
417 applicable to the dinoflagellates. The techniques that remain may still be powerful, however, as  
418 they include antibodies that are able to recognize an epitope of a naturally occurring protein.  
419 Furthermore, this would also include the use of natural tags such as phosphate groups on protein  
420 or peptide residues whose purification has been discussed above. However, apart from  
421 purification of phosphorylated proteins, affinity purification has not been widely used in study of  
422 dinoflagellate proteins. An affinity column, p13<sup>suc1</sup> that has been used to purify cyclin-dependent

423 kinases in yeast, was tested in *Lingulodinium* but was not successful in binding the dinoflagellate  
424 enzyme (Bertomeu et al., 2007).

425

#### 426 *Quantitative comparisons*

427

428 Quantitation of the amount of each peptide identified in the LC-MS/MS is conceptually easy but  
429 technically challenging (Bantscheff et al., 2012), and a number of different techniques have been  
430 developed to determine protein levels. Isotopic labeling is currently the gold standard in protein  
431 quantitation, and it generally involves mixing two samples, one of which has been labeled with a  
432 stable isotope that changes the mass of a peptide, and analyzing the ratio of the labeled and  
433 unlabeled peptides in the mixture (Figure 4). The isotopically labeled version of the peptide is  
434 chemically identical to the label normal version and thus has an identical LC retention time, and  
435 differs only in mass. This technique has two variants, one in which proteins in the cell are  
436 labeled (so called metabolic labeling) and one where proteins (or peptides) are labeled after  
437 extraction of the cells. A second class of techniques, termed label-free methods, mostly estimate  
438 the amount of the protein from either the intensity of the peak in the MS<sup>1</sup> spectrum, or the  
439 number of MS<sup>2</sup> spectra that can be identified as peptides belonging to a given protein.

440

441 Isotopic labeling in living cells can be performed in two ways. In one, a non-radioactive  
442 isotopically labeled substance is administered for a short time period, essentially a pulse-labeling  
443 approach similar to what has been often used with radioactive isotopes. If the substance chosen is  
444 an amino acid and the labeling time is short, then only a small proportion of a protein pool will  
445 be labeled with the proportion depending on the speed of synthesis. While radio-labeled amino  
446 acids and 2D gels have been used to study protein synthesis *in vivo* in dinoflagellate cultures  
447 (Morse et al., 1989; Wang et al., 2005a), stable isotope-labeled amino acids have so far only  
448 been used to to test for incorporation of an amino acid into the toxin molecule in a dinoflagellate  
449 toxin biosynthesis study (Macpherson et al., 2003). As an alternative to the pulse labeling  
450 approach, cultures can be grown in the presence of a heavy isotope such as <sup>15</sup>N-NaNO<sub>3</sub> for many  
451 generations, which ensures all proteins in the cell are uniformly and completely labeled. The  
452 labeled proteins are mixed in with unlabeled proteins, and the mixture then hydrolyzed and

453 analyzed. This technique has been shown in plants to be highly reproducible for quantification  
454 (Nelson et al., 2007) and has recently been employed in the dinoflagellates (Tse and Lo, 2017a).

455  
456 Isotope-coded affinity tags (ICAT) contain (1) reactive groups that can be used to label specific  
457 amino acids in proteins (typically cysteine residues), (2) linkers with different mass tags, and (3)  
458 an affinity tag (generally biotin) that can be used to purify labeled from unlabeled peptides (Gygi  
459 et al., 1999). Because different protein samples can carry different mass tags (heavy or light),  
460 two different protein samples can be mixed, processed and analyzed concurrently, and the  
461 relative amounts of the two peptides can be determined simply from the peak intensities for the  
462 different mass-tagged peptides in the precursor ion spectra. A variant of this, termed isobaric  
463 (meaning equal weights) mass tags, also have a reactive group that are covalently linked to  
464 amino acids. However, in contrast to ICAT, in which the linkers are with different masses,  
465 linkers in isobaric mass tags have the same mass in MS<sup>1</sup> analyses. Instead they are distinguished  
466 when they enter the second MS, as they fragment to produce tags whose masses differ in the MS<sup>2</sup>  
467 spectra, and the ratios between different mass forms are again used for quantitation. These tags  
468 can be used either with intact proteins or with tryptic peptides. Two types of labels exploiting  
469 this technology are iTRAQ; (Ross et al., 2004) and tandem mass tags (TMT; (Thompson et al.,  
470 2003)), and they are sold with eight or ten different mass forms, respectively. This means that  
471 either 8 or 10 different samples can be labeled separately and subsequently being mixed and  
472 analyzed together, facilitating quantitation between samples. TMT Labeling of proteins prior to  
473 digestion has been used to examine the response of coral symbionts (genus *Symbiodinium*) to  
474 thermal stress (Weston et al., 2012). iTRAQ Labeling of peptides following digestion has been  
475 used to compare the protein complement of toxin producing and non-producing strains of  
476 *Alexandrium* (Zhang et al., 2015b).

477  
478 In label-free methods, the height of the peak in the MS<sup>1</sup> spectrum is conceptually the easiest way  
479 to estimate and compare protein abundances. However, there are several potential problems  
480 associated with this approach. One of which lies in ensuring that peptides of very similar but  
481 actually different masses can be adequately resolved in the MS<sup>1</sup>. In this case, peak intensity  
482 measurements could reflect a contribution from multiple peptides. A second problem is that a  
483 peptide is spread out along the time axis of the chromatograph during the LC step. Therefore, a



484 particular peptide will be found in multiple MS<sup>1</sup> spectra, and the intensities in each must be  
485 collated and summed together. A third important issue is that of standardizing different samples  
486 to accommodate differences in the total amount of sample loaded, and here a general solution is  
487 to select peptides whose levels are not expected to change between the different samples and to  
488 use them to adjust the amount of signal obtained for all the peptides in the sample. In high  
489 resolution LC-MS/MS, such as the Orbitrap Fusion Lumos (Thermo Scientific), most of these  
490 difficulties are reduced by the high performance of the instrument with higher consistency of  
491 intensities of mass peaks over samples. Several different (and free) computer programs are  
492 available for normalizing and quantifying peptides using MS intensity data, including MaxQuant  
493 (Tyanova et al., 2016) and Skyline (Schilling et al., 2012). MaxQuant is designed to work with  
494 large numbers of peptides, while Skyline is better suited for detailed analysis of a smaller  
495 number of peptides.

496

497 A second method for measuring peptide abundances is called spectral counting, where the  
498 number of MS<sup>2</sup> corresponding to a given peptide sequence are simply counted (Zybailov et al.,  
499 2005). This is based on the principle that an abundant peptide will constitute a larger peak in the  
500 LC chromatogram, and in consequence, will have levels above the preset threshold in a larger  
501 number of MS<sup>1</sup> spectra and will be selected more often to generate MS<sup>2</sup> spectra. One problem  
502 associated with this measure is that there is a decreased dynamic range compared to peptide  
503 intensity measurements, although the correlation in-between the two approaches seems to be  
504 strong (Zybailov et al., 2005). Furthermore, the number of MS<sup>1</sup> spectra from a given peptide that  
505 are actually selected to produce an MS<sup>2</sup> spectrum has been found to be influenced by the other  
506 peaks in the MS<sup>1</sup> spectrum, suggesting that the quantitation of a given peptide may differ  
507 depending on the sample in which it is found.

508

509 Moving up from quantitation of individual peptides to proteins, one measure of protein quantity  
510 can be made on the basis of the number of peaks among the MS1 spectra that can be identified to  
511 correspond to a given protein; this measure is termed the exponentially modified protein  
512 abundance index (emPAI) (Ishihama et al., 2005). Similar to the spectral counting method, the  
513 idea is that a more abundant protein will produce more above-threshold value peptides that will  
514 be selected for to produce an MS<sup>2</sup> spectrum. This method is rooted in the fact that different

515 peptides usually ionize to different degrees, making greater levels of the original protein capable  
516 of producing more of a difficult-to-ionize peptide and thus an increase in the number of peptides  
517 identified for the protein. Similar to spectral counting, this measurement has a reduced dynamic  
518 range compared to MS<sup>1</sup> peak intensities, as there are a finite and discrete number of peptides that  
519 can be produced from a given protein. Other approaches, such as using weighted averages of the  
520 amount of the individual peptides making up a given protein, are also available (ProteoProfile;  
521 <http://www.thibault.irc.ca/proteoprofile/files/TechnicalGuide.pdf>) for calculating protein  
522 concentrations (Beauchemin and Morse, 2017).

523

### 524 *Conclusions*

525

526 Dinoflagellate proteomes are vastly understudied and deserve much wider attention. What can  
527 we learn from proteomics? Proteins are more relevant indicators of cellular behavior than are  
528 RNA levels, so proteomics techniques allow the biochemical abilities of a cell to be probed more  
529 directly than ever before, thus have the potential to address a number of outstanding issues in  
530 dinoflagellate biology (Table 1). Comparisons of the types and amounts of proteins found in  
531 toxic and non-toxic strains can allow uncovering how such toxins are synthesized. Furthermore,  
532 levels of proteins and phosphoproteins monitored over the course of the cell cycle can provide  
533 valuable clues into mechanisms of cell division and how this process is regulated. Proteomics  
534 has become a force to be reckoned with first because the wide spread availability of  
535 transcriptome sequencing can easily produce the databases on which protein identification relies,  
536 and second because the continuing advances in LC-MS/MS technologies allow identification and  
537 quantification of an ever-increasing number of proteins. The current state of the art has reached a  
538 stage where it now handily outperforms 2D gel electrophoresis for analysis of complex mixtures,  
539 and the use of simple and rapid fractionation protocols prior to analysis increases the number of  
540 proteins identified substantially. Prices of the service in academic institutions are typically  
541 around \$100- \$150 US per sample, which can be either a single purified protein or a complex  
542 mixture from which several thousand proteins can be identified. While these analyses do not  
543 include mass-tagging or fractionation, they often do include data analysis (peptide identification  
544 and quantification). This is in the same range as the price for outsourcing 2D gels, although  
545 unlike 2D gels, the cost of purchasing high-end MS technology for an individual investigator

546 would be prohibitive. It must also be kept in mind that biological triplicates (at least) must be  
547 prepared for each sample to ensure reliable quantitation, which thus triples the cost per sample.  
548 Proteomics promises to be very useful for the dinoflagellates, for which transcriptional control  
549 may not be the primary means of regulating gene expression. However, the techniques lend  
550 themselves to a plethora of other microorganisms, opening the doors to extensive analyses of  
551 protein levels and post translational modifications under different environmental conditions for  
552 entire ecosystems.

553

554

555

556

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562 **Figure Legends**

563

564 **Figure 1 Some unusual features of the dinoflagellate *Lingulodinium polyedra*.** (A) A  
565 confocal micrograph of a night-phase cell shows numerous blue scintillons (sc) and pale orange  
566 chloroplasts (p). The location of the nucleus (n) appears black because plastids are excluded  
567 from this compartment. The entire cell is 35-40  $\mu\text{m}$  in diameter. (B) A scintillon observed by  
568 transmission electron microscopy (TEM) is seen as an electron dense sphere in the cytoplasm in  
569 contact with the vacuole. (C) Chloroplasts in day-phase cells have two compartments, one rich in  
570 thylakoid membranes (p) and the other, termed a pyrenoid, which is enriched in Rubisco (py).  
571 This section was labeled with an anti-Rubisco, and the antibody visualized using 20 nm gold-  
572 conjugated secondary antibodies. (D) A part of the nucleus (n) showing the permanently  
573 condensed chromosomes.

574

575

576 **Figure 2 Peptide identification by MS fragmentation.** (A) A typical liquid chromatogram  
577 (LC) profile for a complex mixture of tryptic peptides prepared from a dinoflagellate protein  
578 extract. At the time shown by the dotted line, the sample is fed into the first mass spectrometer  
579 for precursor fragment detection ( $\text{MS}^1$ ). (B) The MS spectrum of the components found at the  
580 time indicated in A, shows three main mass peaks. The peak with the highest m/z ratio has, at  
581 higher resolution, a pattern of masses consistent with a +2 ion (inset). (C) The peak containing  
582 the +2 ion in B is then fragmented in the second MS, and the masses of the different observed  
583 fragments (red lines) are compared with the masses predicted for a peptide from the peridinin  
584 chlorophyll *a* protein (PCP). The masses predicted for the y series and the b series are shown by  
585 the vertical blue and green lines, respectively. (D) A graphical display of peptides in a manner  
586 analogous to that of a two-dimensional electrophoresis gel. Tools developed for picking and  
587 comparing spots between different gels can be applied to these m/z vs. retention time plots to  
588 uncover peptide precursor peaks and hence protein identification and quantitation. Each spot, if  
589 examined at higher magnification, would have a series of mass peaks similar to those in the inset  
590 in B. (E) A region containing a peptide derived from the bioluminescence-related protein LBP  
591 (boxed in red), examined at four times over a light-dark (LD) cycle. LBP levels are low during  
592 the day (LD 0 and LD 6) and high at night (LD 12 and LD 18).

593

594

**595 Figure 3 Phosphoprotein and phosphopeptide enrichments.**

596 Phosphopeptides can be enriched in a sample destined for MS analysis either by enriching  
597 phosphorylated peptides from a tryptic digest (left-hand side) or by first enriching  
598 phosphorylated proteins from which tryptic peptides are produced (right-hand side).

599

600

**601 Figure 4 Labeling strategies for quantitative proteomics.**

602 Cells in culture can be labeled (red) as a pulse, such as with labeled amino acids, in which case  
603 only newly synthesized proteins become labeled. The relative amounts of labeled and unlabeled  
604 peptides is indicative of protein synthesis rates. Cells can be labeled for many generations, such  
605 as with  $^{15}\text{NO}_3^-$ , in which case all the proteins are uniformly labeled. The amount of the labeled  
606 peptides can be measured relative to a second unlabeled sample that is mixed in with the first  
607 before analysis. Unlabeled proteins can also be labeled following digestion, and the use of  
608 different mass tags permits up to ten different samples to be analyzed concurrently and their  
609 abundances compared.

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Figure  
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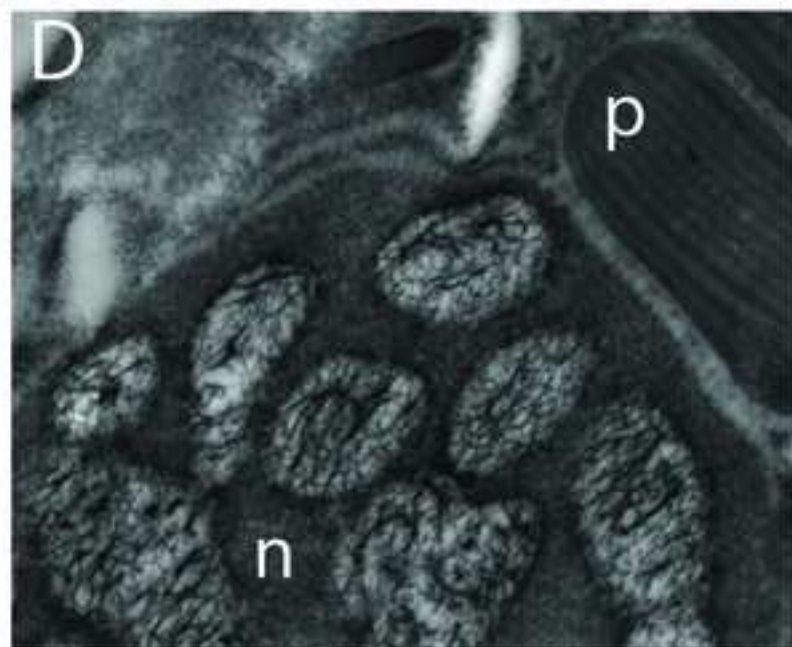
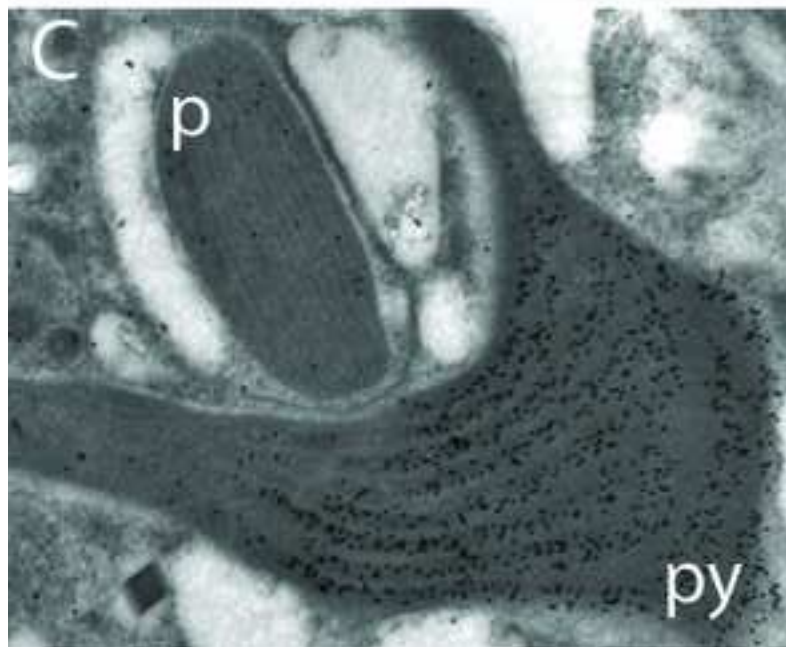
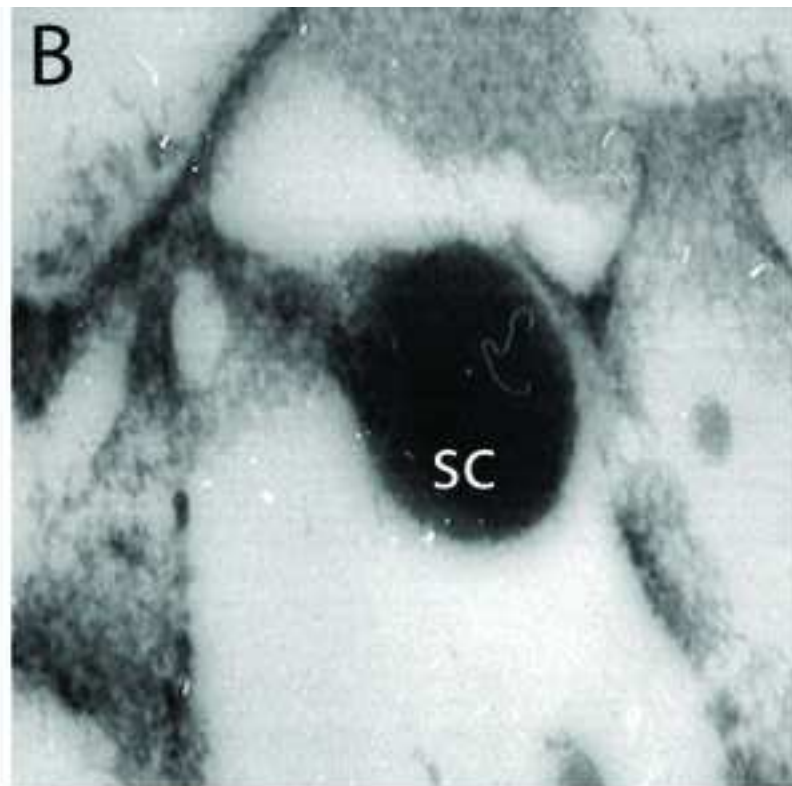
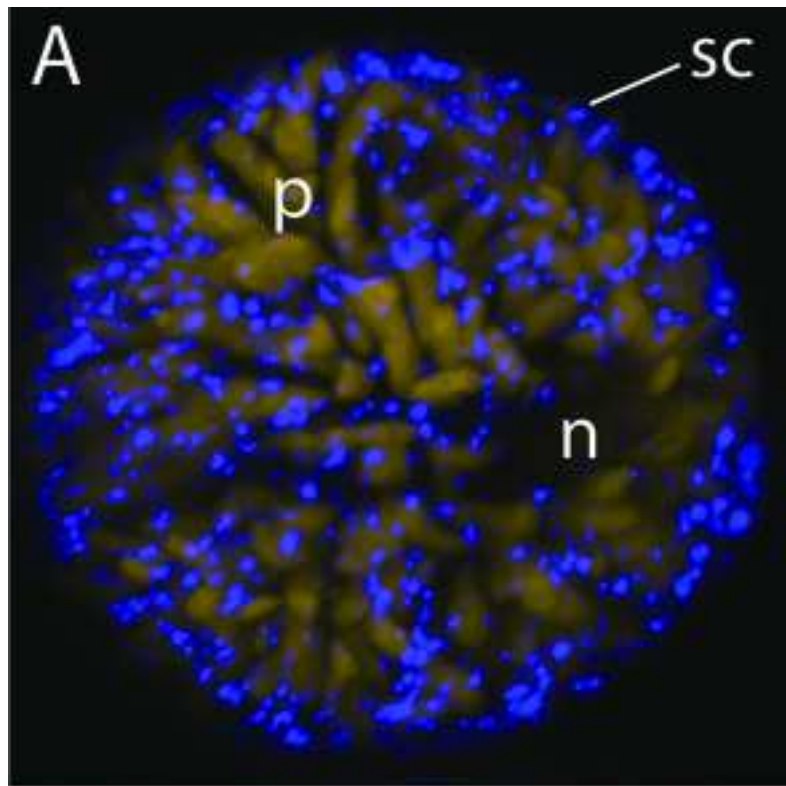




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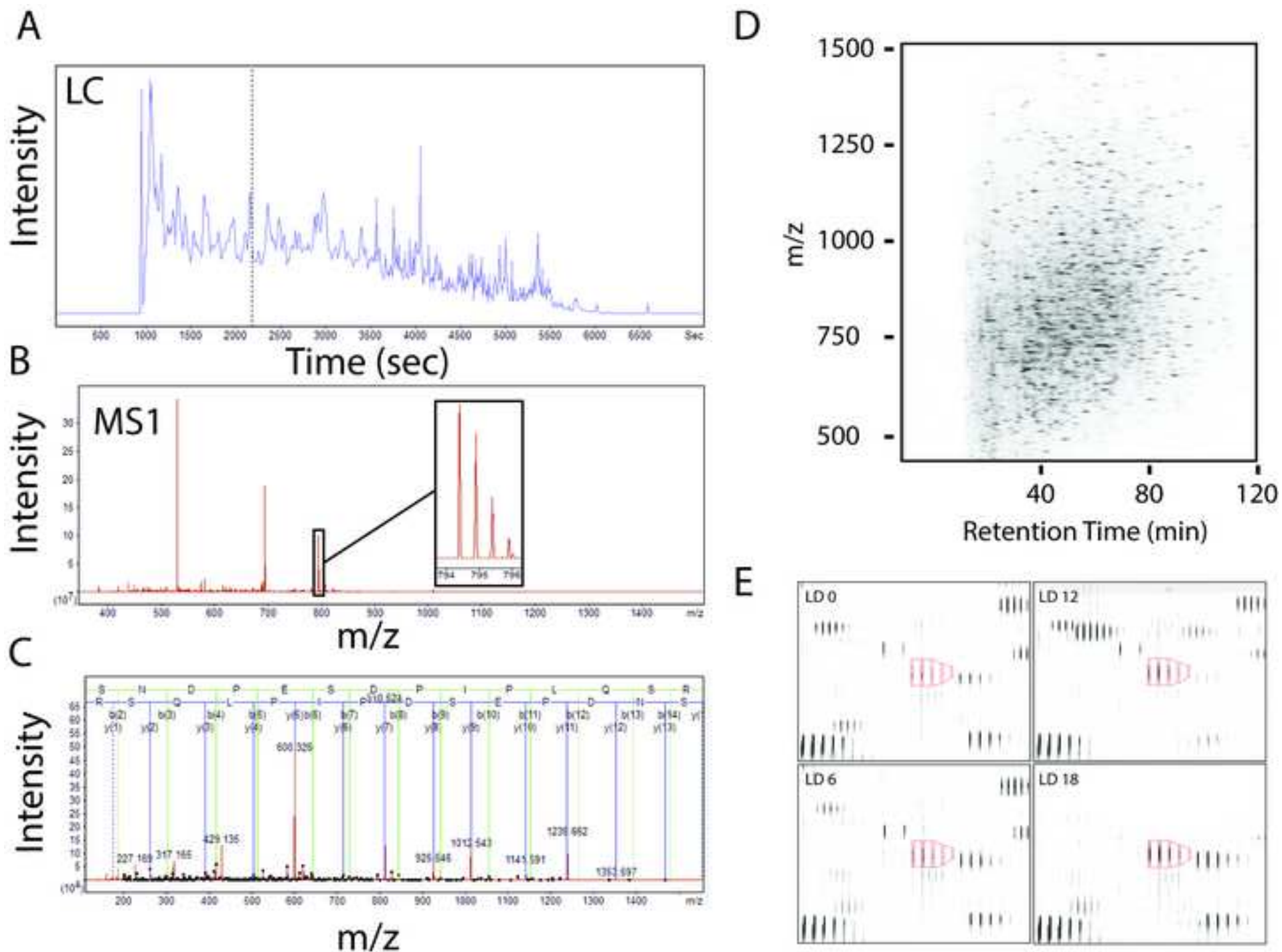


Figure 3

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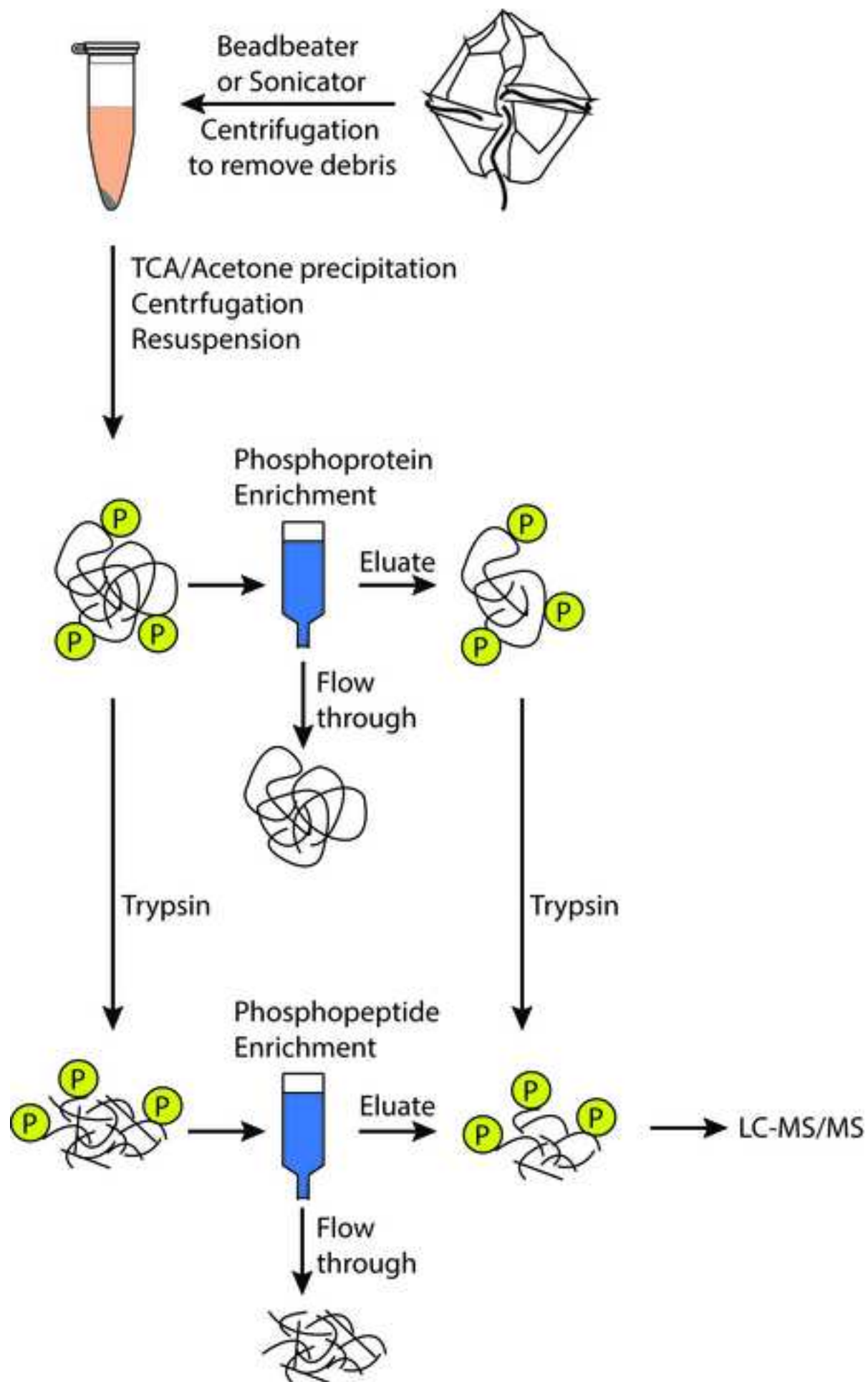
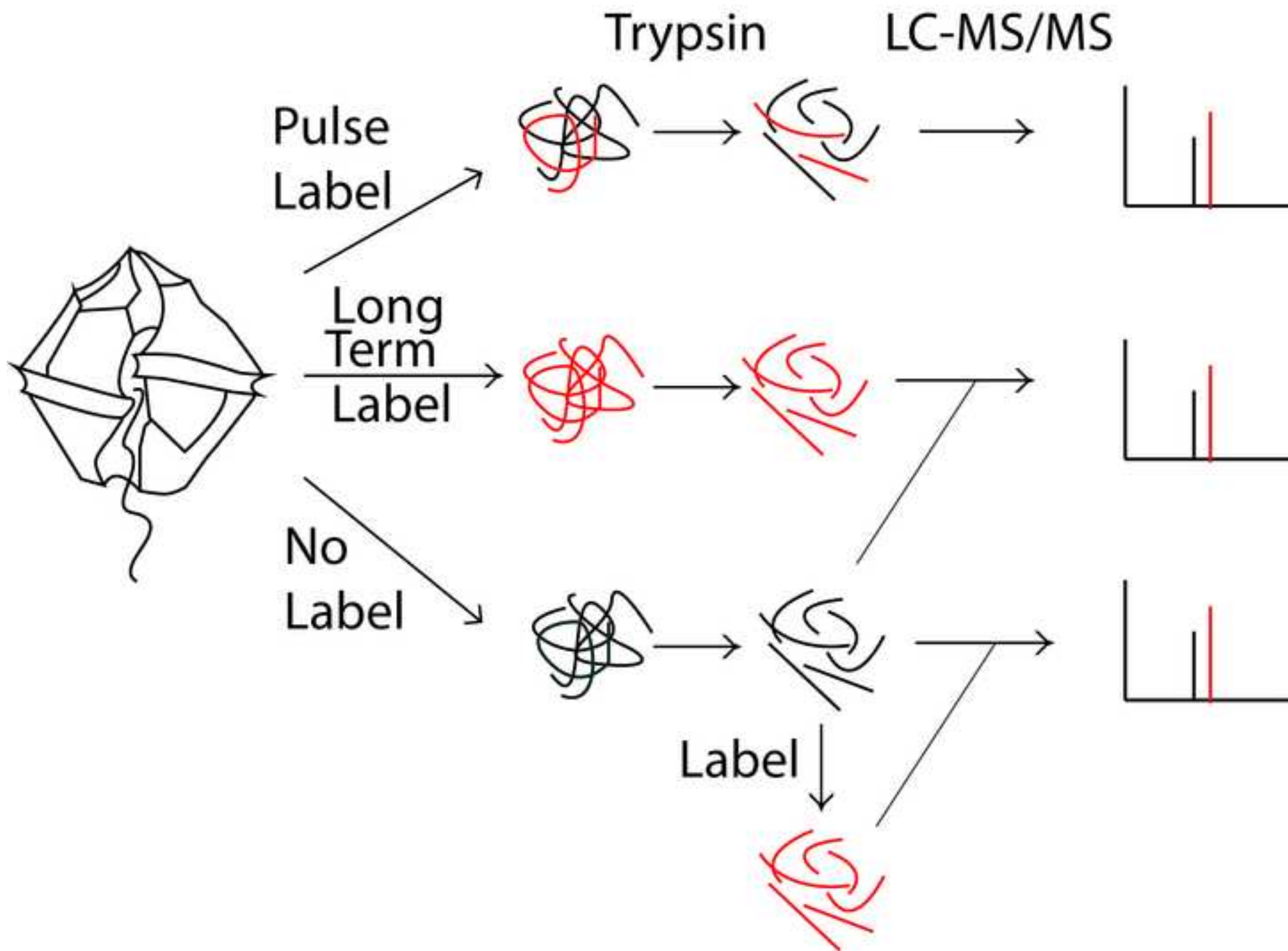




Figure 4  
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**Table 1:** Key questions in dinoflagellate biology and the potential of proteomic technologies of solving the corresponding questions.

<b>Questions</b>	<b>Proteomic strategies</b>	<b>Targets</b>
Bloom initiation and progression	Fractionation shotgun proteomics; Metaproteomics of field samples	Protein changes during bloom progression Differences between field and culture samples
Toxin production and role	Fractionation shotgun proteomics; Posttranslational modifications	Differences between toxic and nontoxic strains Protein changes correlated with differences in toxin production
Circadian rhythms	Fractionation shotgun proteomics; Protein targeted proteomics; Posttranslational modifications	Differences over a 24h cycle in light-dark and constant conditions
Species identification In field blooms	Peptide mass fingerprinting	Housekeeping protein fingerprints