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**PD-1 endocytosis unleashes the cytolytic potential of
check-point blockade in tumor immunity**

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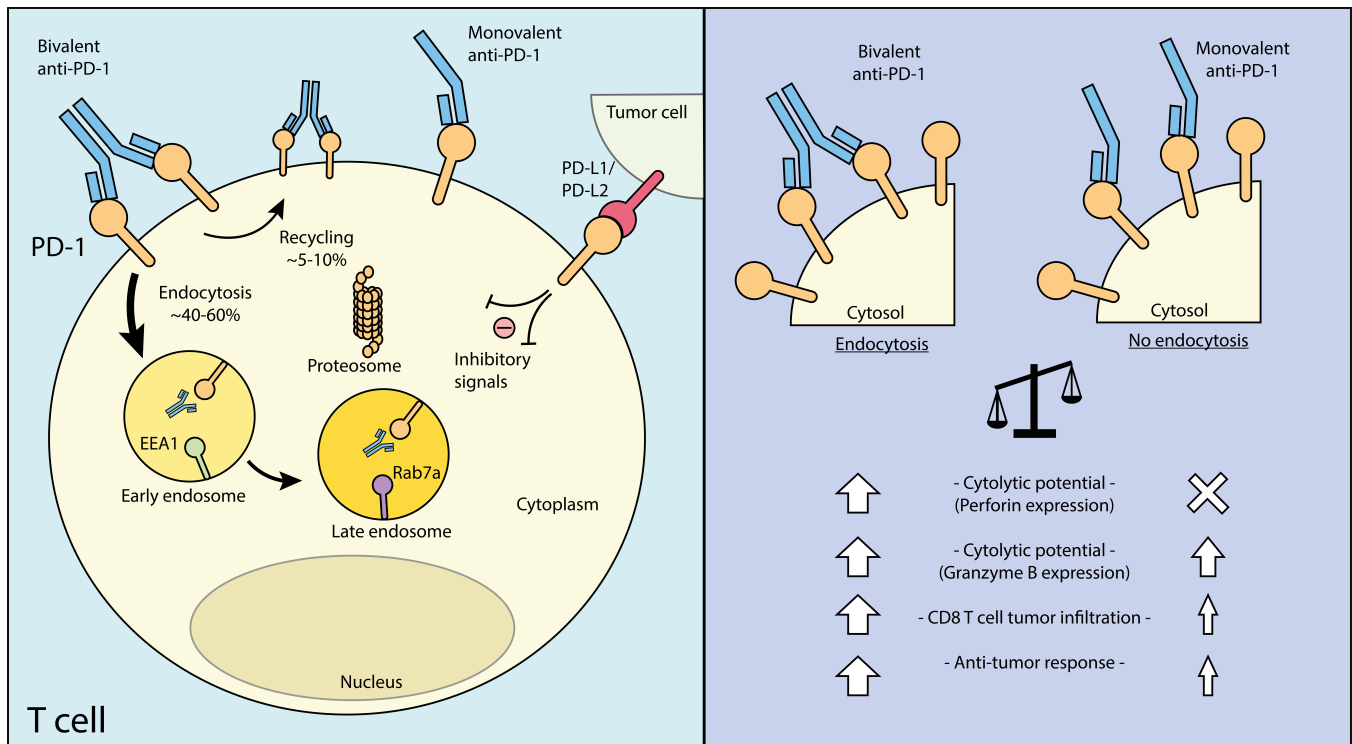
34 **Summary**

35

36 PD-1 immune checkpoint blockade (ICB) is now a promising first-line treatment for many cancers.
37 While the steric blockade of PD-1 binding to its ligand plays a role, the role of internalisation in
38 promoting the efficacy of ICB has not been explored. In this study, we show that PD-1 internalisation
39 also contributes by unlocking the full cytolytic potential of ICB in cancer immunotherapy. We found that
40 anti-mouse and human PD-1 downregulate a subset of PD-1 surface receptors on T-cells with high-
41 density surface PD-1 leaving T-cells with intermediate expression resistant to further internalisation.
42 Down regulation was seen on both CD4 and CD8 cells but was maximally effective on CD8 effector
43 cells. In human T-cells, nivolumab outperformed pembrolizumab in terms of rate and efficacy. We also
44 found that PD-1 internalisation depended on bivalent antibody (Ab)-induced crosslinking, while
45 monovalent Ab sterically blocked PD-1 without inducing endocytosis. Immunologically, while both
46 monovalent and bivalent Ab limited B16-PD-L1 tumor growth, bivalent Ab was significantly more
47 effective. In molecular terms, while both antibodies increased granzyme B (GZMB) expression in CD8+
48 cytolytic T-cells, the induction of the second key cytolytic pore-forming mediator, perforin, was
49 dependent on the blockade and internalisation mediated by bilavent anti-PD-1. Our findings unveil a
50 novel mechanism in checkpoint blockade where steric blockade combined with the removal of PD-1
51 from the cell surface by endocytosis can complement and optimize therapy. The targeting of PD-1
52 internalisation holds promise for enhancing anti-tumor immunity and improving PD-1 checkpoint
53 blockade therapy.

54

55 **Graphical Abstract**



56

57

58 **In brief**

59 Ben Saad et al define the mechanism of PD-1 inhibitory endocytosis and show that the removal of
60 surface PD-1 by endocytosis plays a role in complementing and optimizing checkpoint blockade.
61 Targeting PD-1 internalisation holds promise for enhancing anti-tumor immunity and improving the
62 efficacy of PD-1 checkpoint blockade therapy.

63

64 **Introduction**

65

66 The programmed cell death 1 (PD-1; PDCD1) coreceptor, belonging to the B7 gene family, plays a
67 role in the inhibition of T-cell function^{1,2}. The co-receptor binds to the programmed cell death ligands 1
68 and 2 (PD-L1/L2) which are expressed on both lymphoid and nonlymphoid cells^{3,4}. Following T-cell
69 activation, PD-1 is expressed and contributes to T-cell exhaustion⁵⁻⁷. Anti-PD1, in turn, can reverse
70 exhaustion and restore T cell functionality^{8,9}. In this context, the blockade of PD-1 and PD-L1 with
71 monoclonal antibodies in immune checkpoint blockade (ICB) has shown therapeutic benefits in patients
72 with various cancers. These tumors include non-small cell lung carcinoma (NSCLC), melanoma, and
73 bladder cancer^{10-13,11}. Response rates have been observed with anti-PD-1 alone, or in combination
74 therapy with anti-cytotoxic T-lymphocyte-associated antigen 4 (CTLA-4)^{11,14-16}. The two most
75 commonly used antibodies, Nivolumab and Pembrolizumab are effective despite having distinct binding
76 affinities to different epitopes on PD-1^{17,18}. PD-1 expression on tumor-infiltrating CD8⁺ T cells correlates
77 with impaired effector cell function¹⁹, while PD-L1 expression on tumors can facilitate escape from
78 immunity¹ and serves as a prognostic factor²⁰. Nevertheless, despite the success of ICB, a significant
79 proportion of patients are not cured, emphasizing the ongoing need for a better understanding of
80 checkpoint blockade mechanisms to facilitate the development of more effective therapies.

81

82 Over the years, there has been a focus on understanding the intracellular signaling pathways the
83 govern PD-1 function in T cells. We and others showed that T cells are activated by tyrosine
84 phosphorylation activation cascade involving the protein tyrosine kinases p56^{lck} and ZAP-70^{21,22}. p56^{lck}
85 binds to coreceptors CD4 and CD8^{23,24} and phosphorylates immune receptor activation motifs (ITAM)
86 needed for ZAP-70 recruitment to the TcR-CD3 complex²⁵. In this context, the co-ligation of PD-1 can
87 dephosphorylate substrates of TCR and CD28 induced signaling^{26,27}. The cytoplasmic domains of PD-
88 1 have immunoreceptor tyrosine-based inhibition motifs (ITIMs) and immunoreceptor tyrosine-based
89 switch motifs (ITSMs)²⁸. These domains serve as docking sites for SH2 domain-containing protein
90 tyrosine phosphatase (Shp)-2 (encoded by the Ptpn11 gene) and its homologous counterpart, Shp-1²⁹.
91 Both phosphatases contain SH2 domains that interact with phospho-tyrosine motifs on receptors³⁰⁻³².
Further, mutation of the YESM motif disrupts the negative signaling mediated by PD-1 in B and T-cells

92 ^{3,33-41}. However, surprisingly, PD-1 is still functional in shp-2 deficient mice ⁴². Moreover, CD8+ T cells
93 lacking both phosphatases have been reported to still differentiate into exhausted cells and to respond
94 to PD-1 blockade ⁴³. On the other hand, Pdcd1 expression is regulated by a diverse interplay of
95 transcription factors, including Nuclear Factor of Activated T cells (NFAT), Forkhead Box Protein O1
96 (FoxO1), Notch, Activator Protein 1 (AP1), and B-lymphocyte Maturation Protein 1^{21,44-47}. More
97 proximally, we showed that glycogen synthase kinase 3 (GSK-3) can downregulate PD-1 expression via
98 the upregulation of the transcription factor, Tbet which inhibits PD-1 and LAG-3 transcription⁴⁸⁻⁵⁰. GSK-3
99 is a serine/threonine kinase that is active in resting T cells and becomes inactivated with T-cell
100 activation⁵¹. Further, we showed that the inhibition of GSK-3 with small molecule inhibitors (SMIs) can
101 suppress tumor growth, comparable to PD-1 antibody treatment ^{48,49}. Recent studies have shown that
102 PD-1 is also expressed on myeloid cells where it may also contribute to the effects of ICB in the control
103 of tumor growth^{52,53}.

104 The ligation of receptors by ligand or antibody causes their endocytosis and removal from the
105 surface of cells. Receptors differ in their ability to undergo endocytosis ⁵⁴. In immune cells, endocytosis
106 begins with kinase-mediated signaling, followed by the translocation of the microtubule-organizing
107 center (MTOC) and endosomes to the immunological synapse ⁵⁵. Receptors have been reported to use
108 clathrin-coated pits or caveolae for internalisation ^{56,57}, enter intracellular endosomes and may undergo
109 proteolytic degradation in lysosomes and/or be recycled to the cell surface ⁵⁸. Receptor endocytosis is
110 also influenced by its association with intracellular proteins. For instance, the binding of CD4 to the
111 protein-tyrosine kinase p56^{lck} can inhibit clathrin-dependent entry (38). Further, we showed that CD28
112 binding to phosphatidylinositol 3 kinase (PI-3K) affects the efficiency of CD28 internalisation⁵⁹. Further,
113 CTLA-4 is released to the cell surface by orphan adaptors TRIM and LAX from the trans-Golgi network
114 ^{60,61}. The movement of vesicles containing the LAT protein is associated with protein micro-cluster
115 organization ⁶², while the intra-flagellar transport system protein IFT20 facilitates LAT and TCR
116 transport back to the cell surface ⁶³. In the case of PD-1, steady-state endocytosis is mediated by the
117 E3 ligase FBXO38 ⁶⁴.

118 Surprisingly, despite the importance of checkpoint blockade in sterically preventing PD-1 binding to
119 PDL-1, the role of PD-1 internalisation in promoting the efficacy of ICB has not been fully explored. In
120 this paper, we define aspects of PD-1 internalisation and show for the first time that the removal of
121 surface PD-1 by endocytosis complements and optimizes checkpoint blockade. We show that the
122 internalisation of PD-1 requires receptor crosslinking which leads to a more potent cytolytic program
123 than seen with mere steric blockade of PD-1. Targeting PD-1 internalisation holds promise for
124 enhancing anti-tumor immunity and improving the efficacy of PD-1 checkpoint blockade therapy.

125

126 **Results**

127 **Anti-PD-1 binding induces the endocytosis of PD-1 on T-cells**

128

129 To investigate PD-1 internalisation, we initially conducted an internalisation analysis on human T-
130 cells, following a methodology previously utilized for CD28⁵⁹. Human T-cells were activated with anti-
131 CD3/CD28 for 2 days to induce PD-1 expression, rested for 24 hours before commencing the down-
132 modulation studies. In the first approach, cells were incubated with anti-PD-1 (clone J110) (5ug/ml) at
133 4°C on ice for 30 minutes, washed to remove unbound antibody, and then incubated at 37°C for
134 varying times. Subsequent staining of surface anti-PD-1 with AF488-anti human IgG enabled the
135 detection of remaining surface-bound anti-PD-1 molecules (Fig. 1A). This revealed a reduction of 15-
136 20% in surface PD-1 levels by 15 minutes, 20-25% within 30 minutes, and 35-40% within 60 and 90
137 minutes (Fig. 1B). Notably, a significant portion of PD-1 was resistant to down-modulation, aligning with
138 the concept of spare receptors or density-dependent endocytosis⁶⁵. The down-modulation of anti-
139 CD28, as previously described⁵⁹, demonstrated similar levels of internalisation for both CD28 and PD-1
140 in response to their respective antibodies (Fig. 1C).

141 Using a second alternate approach, human T-cells were incubated with the PE-conjugated anti-
142 PD-1 J110 at 37°C for different durations. Surface anti-PD-1 was removed using an acidified
143 dissociation solution, and the presence PE-conjugated antibody was measured to assess
144 internalisation (Fig. 1D). The low residual staining for T-cells at 4°C following acid treatment showed the
145 effectness of the acidified solution in removing surface-bound PD-1 (right panel). Employing this
146 method, we it was observed that 29% of PD-1 internalisation by 15 minutes, increasing to 34% by 30-
147 60 minutes and up to 48% by 90 minutes (left FACs plots and right histogram). The internalisation of
148 antibody-PD-1 complexes was confirmed by confocal microscopy (Fig. 1E). Notably when cells that had
149 been incubated with anti-PD-1 at 4°C for 60min followed by treatment with acid showed no
150 internalisation (panel ii vs. i). By contrast, cells that had been incubated at 37°C for 60 minutes showed
151 a significant amount of internalised signal (i.e., iv vs. iii). The down-modulation was seen in CD4 and
152 CD8 T-cells (Fig. S1). These findings demonstrate that antibody binding to PD-1 triggers its
153 internalisation from the surface of human T-cells.

154 Further, we assessed whether the internalised anti-PD1 antibody complexes co-localized with the
155 early endosome marker EEA1 (Rab5a) or the late endosome marker Rab7a (Fig. 1E, F). C57BL6J
156 mice-derived splenic T-cells were incubated with anti-PD-1 (clone RMP1-14) for 1h followed by
157 treatment with acidic PBS (pH-2) to remove cell surface antibody followed by fixation and the staining
158 with either anti-EEA1 or Rab7a Abs. In the case of EEA1, many cells showed internalised PD-1 in
159 large vesicles that co-localized with EEA1 (Fig. 1E). More than 75% of cells with internalised PD-1 co-

160 localized with anti-EEA1 (see Table S1). Further, internalised anti-PD1 also colocalized with the late
161 endosome marker Rab7a (Fig. 1F). In this case, we documented that 64% of cells with internalised PD-
162 1 showed co-localized Rab7A (table S 1). These data suggested that anti-PD-1 complexes are
163 internalised via the endosomal pathway.

164

165 **Nivolumab is more effective in inducing PD-1 internalisation than pembrolizumab**

166 We were next interested in the behaviour of nivolumab and pembrolizumab since the two
167 therapeutic reagents are used in cancer immunotherapy^{11,15}. Previous studies have shown that
168 nivolumab and pembrolizumab target distinct epitopes on the PD-1 molecule⁶⁶⁻⁶⁸. To confirm this, we
169 conducted an experiment where pembrolizumab (1 µg/ml) was initially bound to T-cells followed by anti-
170 human Alexa Fluor-488 at 4°C, washing and the addition of nivolumab at fivefold higher levels (5 µg/ml)
171 for 30 minutes. Notably, the addition of nivolumab did not displace the binding of pembrolizumab,
172 thereby supporting the notion that they bind to distinct sites on the PD-1 molecule (Fig. 2A). Further, we
173 found that nivolumab exhibited higher binding levels when compared to pembrolizumab (Fig. 2B). This
174 difference was evident in the higher mean fluorescence intensity (MFI) for nivolumab (MFI of 754) in
175 contrast to pembrolizumab (MFI of 487) (upper and lower panels, respectively). Nevertheless, despite
176 targeting different epitopes on the PD-1 molecule, both antibodies were able to induce internalisation of
177 PD-1 from the surface of T-cells within 30-120 minutes (Fig. 2C, upper and lower FACs profiles). As
178 seen in the lower histogram, Nivolumab was generally more effective, causing a more significant
179 reduction within 30 minutes. Further, this was also observed over a range of antibody concentrations
180 from 10 µg/ml to 1 µg/ml (Fig. 2D). Further, we found the rate of endocytosis of nivolumab was more
181 rapid than pembrolizumab when measured over 0-30 minutes (Fig. 2E). Further, the combination of
182 nivolumab and pembrolizumab did not increase in efficacy of PD-1 down-regulation relative to
183 nivolumab alone (Fig. 2F). These findings indicated that nivolumab was generally more effective in the
184 downregulation of PD-1 than pembrolizumab.

185 We next employed multi-dimensional ViSNE analysis to assess potential effects on subsets of T-
186 cells (Fig. 2G). Distinct clusters of CD4 and CD8 T-cells were evident (see red). Further, anti-PD-1
187 identified a subset of CD4 and CD8 cells in clusters i and ii, respectively. Incubation with nivolumab for
188 90-minute at 37°C resulted an marked reduction in staining in both CD4 and CD8 cell clusters, leaving
189 new clusters of cells with low levels of staining. This was seen by the light blue staining in CD4 cluster
190 iii and CD8 cluster iv (middle panels; see arrows). Pembrolizumab also caused this shift, albeit less
191 completely than nivolumab, as evidenced by the presence of some remaining cells with high PD-1
192 expression in clusters i and ii. These findings show visually that the anti-PD-1 antibodies convert T-cells
193 with high PD-1 surface expression to cells with low-intermediate PD-1 expression.

194 **Anti-PD-1 internalizes PD-1 on effector memory and effector subsets**

195 Our results confirmed that both nivolumab and pembrolizumab decreased PD-1 expression in
196 CD4+ T-cells (Fig. 3A, upper and lower panels, respectively) and CD8+ T-cells (Fig. 3B, upper and
197 lower panels, respectively) (also see fig. S1). CD4 cells routinely showed higher levels of expression
198 than CD8+ T-cells and in both cases, nivolumab bound at higher levels than pembrolizumab. This was
199 also indicated by the MFI values for CD4+ T-cells (MFI=790 for nivolumab and 520 for pembrolizumab)
200 and CD8+ T-cells (MFI=348 for nivolumab and 230 for pembrolizumab (Fig. 3C). Down-modulation by
201 both antibodies left a lower residual population of PD-1 on human CD8 than CD4+ T-cells.

202 Different T-cell subsets were evident based on gating strategies with anti-CD45RA and CCR7
203 staining (Fig. 3D). Due to a limited number of cells available, we used nivolumab to assess effects on
204 effector memory (EM) and effector CD4+ and CD8+ T-cells (Fig. 3E). CD4+ cells showed higher levels
205 of expression on EM and effector CD4 cells than on the same subsets of CD8+ T-cells. To our surprise,
206 EM showed higher levels of staining than effector T-cells. Nivolumab exhibited a greater affinity for
207 binding to EM cells compared to effector T-cells. Consequently, this downregulation led to a smaller
208 residual surface staining of PD-1 on effector T-cells. With a focus on CD8+ T-cells, a similar effect was
209 observed in comparing the binding and downregulation of PD-1 by nivolumab and pembrolizumab (Fig.
210 3F). Both antibodies bound at higher levels to effector memory and than effector cells. Further, the
211 down-regulation of PD-1 resulted in the smallest level of residual PD-1 expression on effector CD8+ T-
212 cells. These findings are consistent with previous studies that attribute the anti-tumoral effects of anti-
213 PD-1 to the effector CD8 subset^{69,70}.

214
215 **Antibody-induced PD-1 endocytosis is clathrin/caveolar-independent.**

216 Various cell surface internalisation pathways like clathrin-mediated endocytosis, caveolar
217 endocytosis, and micropinocytosis deliver their cargoes to intracellular endosomes^{54,71}. To investigate
218 this in the context of PD-1, T-cells were initially co-incubated at 37°C with hypotonic sucrose, which
219 inhibits clathrin-mediated endocytosis and other caveolar mediated pathways. The hypotonic solution
220 disrupts the osmotic gradients and water flux required for the invagination process⁷¹. As in Figure 1D,
221 T-cells were incubated with the PE-conjugated anti-PD-1 J110 at 37°C followed by antibody removal
222 using an acidified dissociation solution, and the remaining PE-conjugated antibody was measured to
223 assess internalisation. Cells incubated with sucrose showed lower levels of internalized PD-1. While
224 48% of T-cells internalised PE-tagged anti-PD-1 by 30min, only 32% of cells internalised the antibody in
225 the presence of sucrose (Fig. 4A). This pattern was similar to the reduction in anti-CD28 from 42% to
226 32%, as we previously reported (Fig. 4B) (38).

227 Given this, we also conducted endocytosis assays using cells co-incubated with the dynamin
228 inhibitor dynasore (DN) (Fig. 4C, D). DN inhibits clathrin-mediated endocytosis at the transition from a
229 half and fully formed pit to an endocytic vesicle^{72,73} but also been reported to inhibit the caveolar
230 pathway⁷³. Anti-CD28-AF647 or anti-PD-1 AF488 was incubated with human T-cells for 90min followed
231 by the measurement internalised antibody-antigen complexes. Control (i.e., DMSO) treated cells
232 showed an increase in antibody upake in 56% of T-cells (histogram, left panel) and FACs plot (right
233 panel). The incubation with dynasore partially inhibited anti-CD28 internalisation, showing uptake in
234 only 38% of T-cells (Fig. 4C). By contrast, DN had no detectable effect on the internalization of PD-1
235 when assessed at 90 minutes (Fig. 4D) and other time points (data not shown). The internalisation of
236 PD-1 was seen in 78% of T-cells when assayed at 90min following incubation at 37°C (histogram: left
237 panel) and FACs plot (right panel). The incubation with DN had no effect on the level of internalised
238 PD-1. These data showed that the incubation with a specific inhibitor of clathrin and caveolar mediated
239 internalisation did not affect the endocytosis of PD-1 in human T-cells.

240 We also tested the effect of a second inhibitor Pitstop2 on internalisation (Fig. 4E). Pistop2 is an
241 inhibitor of clathrin-dependent and independent endocytosis⁷⁴ As a control, activated murine T-cells
242 were assessed for the internalisation of MHC class 1 antigen, a surface antigen known to depend on
243 clathrin. T-cells were incubated with the PE-conjugated anti-murine MHC class 1 at 37°C for 30min in
244 the presence and absence of 15uM Pitstop2 followed by removal using an acidified dissociation
245 solution, and the remaining conjugated antibody was measured to assess internalisation. MFI values
246 were expressed as a % of the maximal binding. From this, after a 4°C binding on ice, acidified PBS
247 reduced the flourescent intensity of staining to 26% of T-cells. A 30min incubation at 37°C resulted in
248 an increase in the MFI level of internalised anti-MHC1 increased to 72%. As expected, an incubation
249 with Pitstop2 inhibited the iincrease in internalised anti-MHC1. These data confirmed that Pitstop2
250 could inhibit MHC class 1 internalisation.

251 Given this, we next assessed the effects of Pitstop2 on anti-PD-1 internalisation (Fig. 4F). In this
252 case, due to the absence of an effective tagged anti-mouse PD-1 antibody, we followed the
253 internalisation protocol in Fig. 1-A-C. Anti-PD-1 (RMP1-14) was preincubated at 4°C on ice for 30
254 minutes with T-cells, washed to remove unbound antibody, and then incubated at 37°C for 30min.
255 Subsequent staining of surface-bound anti-PD-1 with AF488-anti rat which recognises the rat RMP1-14
256 for detection of the remaining surface-bound anti-PD-1. As expected, anti-PD1 incubation at 37°C
257 reduced the presence of surface from 51 to 22% in the absence of inhibitor. In the presence of Pitstop2,
258 anti-PD1 induced a similar level of internalisation (i.e. 19%; histogram (upper panel) and FACs plot
259 (lower panel). We also observed no difference in the MFI of expression. Given that neither Dynasore
260 nor Pitstop2 affected PD-1 internalisation, using human or mouse cells with different assays, our data

261 indicate that anti-PD-1 induced internalisation not being mediated by a conventional clathrin or
262 caveolae-mediated endocytosis pathway. In this context, a new mobile endocytic network connecting
263 clathrin-independent receptor endocytosis to recycling has been reported which promotes T cell
264 activation ⁷⁵. In either case, PD-1 endocytosis was seen to be eventually incorporated into early and
265 late endosomes as shown by EEA1 (Rab5a) and Rab7a colocalization (Fig. 1E, F).

266 To evaluate the potential re-expression of anti-PD-1-PD-1 complexes on the cell surface following
267 internalization (Fig. 4F), the cells were treated with the antibody for 90 minutes to allow for
268 internalization. Cell surface antibody was removed through acid treatment, and the cells were then
269 incubated overnight for 12 hours. To identify the presence of recycled anti-PD-1 on the cell surface, the
270 cells were re-stained with anti-IgG. The results revealed that 16% of the initial anti-PD-1 signal was
271 detected as complexes. As a control, anti-IgG was used to detect complexes after acid treatment,
272 which exhibited only 6.5% staining compared to the original non-acid-treated cells. This result indicated
273 that some 9-10% of anti-PD1 complexes could be recycled to the surface of T-cells.

274 Finally, we investigated whether anti-PD-1-induced endocytosis involved PD-1 ubiquitination
275 (Fig. 4G). FBXO38, an E3 ligase has been reported to mediate Lys48-linked poly-ubiquitination of PD-
276 1⁶⁴. Activated human T-cells were subjected to immunoprecipitation with either control rabbit anti-mouse
277 (lane 1) or anti-PD-1 (lane 2) followed by blotting with anti-PD-1. Cell lysate extracts were also run and
278 subjected to anti-PD1 blotting (lane 3). Anti-PD-1 blotting identified a clear band at 45-50Kda in anti-PD-1
279 precipitates and cell lysates corresponding to PD-1. In the same vien, we also used an anti-ubiquitin
280 antibody to blot against cells lysates (lane 4), and anti-PD1 precipitates at time 0 (lane 5) and over a time
281 course of anti-PD-1 down-regulation (lanes 5-8). Anti-ubiquitin showed the presence of numerous bands
282 across a spectrum of Mrs as expected ⁷⁶. From this, it was evident that anti-Ub antibody could recognize an
283 spectrum of unquitynated proteins in the cell lysate (lane 4). Despite this, the immunoprecipitation of PD-1
284 from cells incubated with anti-PD1 over a time course of 0 to 120min failed to show the presence of bands
285 identified by anti-Ub blotting (lanes 5-8). (for Full length blots Fig. S2). These findings suggest that PD-1
286 ubiquitination is unlikely to be the primary mechanism underlying anti-PD-1-induced internalization in
287 pre-activated T-cells.

288

289 **PD-1 crosslinking is needed for receptor internalization.**

290 Since current therapeutic antibodies are generally bivalent, it was of interest to determine whether
291 receptor dimerization was needed to induce PD-1 internalisation. In this context, we generated a
292 monovalent form of anti-mouse and human antibody composed Fabc' chains according to the
293 manufacturer's instructions (Pierce Chemicals, Rockford, IL) and as we previously published for anti-
294 CD28 ⁵⁹. Monovalent and bivalent anti-mouse J43 showed similar binding to preactivated mouse T-cells

295 expressing PD-1 (Fig. 5A, left panel). viSNE plots confirmed binding CD4 (middle panel) and CD8+ T-
296 cells (right panel).

297 In addition, we showed that bivalent but not monovalent anti-PD-1 induced internalisation. As an
298 example, when incubated at 37°C for 30 min, bivalent nivolumab identified two distinct clusters (cluster i
299 and ii) on CD8 and CD4 cells respectively (upper panel). However, when incubated at 37°C for 60min
300 bivalent antibody caused the disappearance of clusters i and ii leading to the appearance of two new
301 clusters (iii and iv) with intermediate-low PD-1. By contrast, the monovalent antibody showed a pattern
302 that was similar to that seen for bivalent control staining at 4°C (also shown in the histogram, lower
303 panel). These findings are consistent with the notion that the downregulation of PD-1 is dependent on
304 the dimerization and crosslinking of PD-1 by antibodies.

305 Given this, we next examined the relative effects of monovalent versus bivalent antibodies on
306 tumor regression (Fig. 5C). PDL1+ B16 F10 melanoma cells were implanted in mice and allowed to
307 develop for 6 days before receiving injections of monovalent or bivalent anti-PD-1 (J43) every 2 days
308 (upper and lower panels). Both antibodies were administered at saturating concentrations of 200 µg/ml
309 (n=3) or 300 µg/ml (n=1). It was observed that both antibodies were able to limit tumor growth from
310 days 12 to 16. However, bivalent anti-PD-1 demonstrated greater efficacy in restraining tumor growth
311 compared to monovalent anti-PD-1, as indicated by tumor volume measurements. These findings
312 suggest that the role of mere steric hindrance in PD-1 binding to PD-L1 differs from the combined
313 effects of steric hindrance and receptor internalization in the efficacy of checkpoint blockade for
314 controlling tumor growth. In an anti-PD-1 resistant model, the growth of Lewis lung carcinoma tumor
315 was unaffected by both bivalent or monovalent anti-PD-1 treatment (Fig. S3).

316 To elucidate the observed distinctions in the B16 F10 model, we proceeded with an analysis of the
317 tumor-infiltrating lymphocytes (TILs). Our findings revealed that treatment with the bivalent antibody
318 effectively increased the presence of CD45+ T-cells within the TIL population, unlike the univalent
319 antibody (as illustrated in Fig. 5D). Additionally, we observed a similar augmentation in the abundance
320 of CD8+ T-cells among the CD45+ TILs following bivalent antibody treatment (as shown in Fig. 5E). By
321 contrast, the proportion of CD4+ TILs was relatively reduced in tumors treated with the bivalent
322 antibody compared to those treated with the univalent antibody (as depicted in Fig. 5F). Taken
323 together, these results indicate that PD-1 crosslinking and endocytosis lead to greater infiltration of
324 CD8+ T-cells compared to CD4+ T-cells within tumors.

325

326 **Anti-PD1 steric blockade suffices to increase GZMB expression in CD8+ TILs**

327 Our subsequent focus revolved around investigating whether different expression events were
328 regulated by steric hindrance or internalization. Notably, we observed that both mono- and bi-valent

329 anti-PD-1 treatments led to an increase in the expression of GZMB, a crucial cytolytic mediator, among
330 CD8+ TILs. This increase was evident in the percentages (Fig. 5G) and mean fluorescence intensities
331 (MFIs) (Fig. 5H). While bivalent antibody exhibited greater efficacy than univalent antibody in some
332 experiments (2/5), this trend was not consistently observed. Instead, both mono- and bivalent anti-PD-1
333 consistently augmented the numbers and levels of expression of GZMB. We also analyzed several
334 other markers, including CD44, CD62L, Tbet, TCF1, Tox, Eomes, and Ki67 (Fig. 5N). Our findings
335 indicated some variation, with occasional increases in CD44, Tox, and Ki67 induced by both antibodies.
336 However, these events were enhanced by both reagents and exhibited variability across experiments.
337 Likewise, the presence and distribution of different subsets of immune cells displayed variability (Fig.
338 5O). Generally, the bivalent antibody induced an increase in T-cells and occasionally led to a decrease
339 in the number of B-cells. Regarding antigens on other TILs, we tended to observe an increase in B-cells
340 and DCs expressing MHC class II and PD-L1 (Figs. 5P and M, respectively). DCs and monocytes, on
341 the other hand, tended to exhibit a decrease in CD80 expression (Figs. 5Q and R, respectively).
342 However, these findings also demonstrated variability across experiments.

343

344 **Anti-PD1-induced endocytosis is needed for increased cell division and perforin expression**

345 In contrast, among the various markers examined within the CD8+ subset, it was observed that the
346 expression of the key cytolytic mediator perforin exhibited an exclusive increase in response to bivalent
347 antibody rather than monovalent antibody (Fig. 5I-J). This finding was supported by standard FACs
348 profiling (Fig. 5I) and was evident in both the percentage of CD8+ TILs expressing perforin (i.e., from
349 20% to 65%) (Fig. 5J) and the mean intensity of fluorescence (MIF) for perforin expression (i.e., from
350 1010 to 3030) (Fig. 5K). viSNE profiles further confirmed this observation, particularly within the CD8+
351 subset (Fig. L). Within these profiles, three distinct clusters of cells based on perforin expression were
352 identified: cluster i with low perforin expression, cluster ii with intermediate expression, and cluster iii
353 with high expression in untreated cells. Bivalent J43 treatment was found to enhance the expression
354 and presence of perforin specifically in cluster i. On the other hand, monovalent anti-PD-1 did not
355 consistently increase perforin expression in terms of the number of perforin-expressing cells or the
356 intensity of expression (MIF values, lower panel).

357 Additionally, a correlation was observed between the levels of perforin expression and CD8
358 expression (Fig. 5M). While this correlation was not apparent in the control sample, it was observed in
359 tumors from both monovalent and bivalent-treated mice. These findings suggest that bivalent antibody,
360 which induces steric blockade and internalization, exerts a preferential regulatory effect on the
361 expression of granzyme B in CD8+ T-cells compared to monovalent antibody. In other words, the

362 combined impact of steric blockade and internalization selectively influences the cytotoxic capabilities
363 of CD8 TILs within tumors, thereby accounting for its more potent ability to restrain tumor growth.

364 To explore this further, we investigated whether bivalent anti-PD1, in contrast to monovalent anti-
365 PD1, could induce the expression of perforin in human cells within a short timeframe of 24 hours (Fig.
366 5S). In this experiment, human T-cells were activated for 24 hours, followed by a period of rest and
367 incubation with either monovalent or bivalent anti-PD-1. Remarkably, bivalent Nivolumab treatment
368 increased perforin expression, whereas univalent Nivolumab did not produce a similar effect (middle
369 and lower panels). viSNE profiles revealed the presence of two distinct clusters of untreated CD8+ T-
370 cells. Cluster i exhibited high levels of PD-1 expression in the untreated control CD8+ cells (middle
371 panel), while cluster iii showed no PD-1 expression but displayed perforin expression. Treatment with
372 bivalent Nivolumab led to the disappearance of cluster i and the emergence of a new cluster ii with low
373 to intermediate PD-1 expression. Correspondingly, bivalent antibody induced an augmentation in
374 perforin expression in clusters iii and ii. On the other hand, monovalent Nivolumab had no impact on
375 promoting perforin expression and instead exhibited a pattern similar to the untreated control.
376 Measurement analysis indicated that the mean fluorescent intensity (MFI) of perforin expression
377 increased in response to bivalent anti-PD-1 but not univalent anti-PD-1 (lower histogram). These
378 findings demonstrate that the bivalent dimerization of PD-1 on human cells also triggers the in vitro
379 expression of perforin in CD8+ T-cells within a relatively short period of 24 hours. This timeframe could
380 hold significant relevance for therapeutic interventions, as it suggests that the administration of bivalent
381 anti-PD-1 antibodies could potentially rapidly enhance the cytotoxic activity of CD8+ T-cells.

382

383

384 **Discussion**

385 Our study has explored the impact of PD-1 internalization on the effectiveness of PD-1 checkpoint
386 blockade (ICB) in immunotherapy. We observed that conventional anti-PD-1 treatment downregulates
387 PD-1 expression by targeting a subpopulation of CD4 and CD8 T-cells with high initial PD-1 surface
388 receptor density, resulting in the generation of a new population of T-cells with intermediate-low
389 expression. Further, CD8+ cytolytic T-cells were most affected, exhibiting the lowest residual PD-1
390 levels following down-regulation. In comparing two anti-PD-1 drugs, Nivolumab displayed superior
391 proficiency in downregulating PD-1 expression in human cells compared to Pembrolizumab. Most
392 importantly, we discovered that anti-PD-1 internalization was dependent on PD-1 receptor crosslinking.
393 While both monovalent antibodies (which facilitated steric blockade) and bivalent antibodies (which
394 promoted steric blockade and endocytosis) limited tumor growth, the bivalent antibody routinely
395 surpassed the monovalent antibody in reducing tumor size. At the molecular level, while both mono and
396 bivalent antibodies increased the expression of granzyme B, only bivalent antibody increased the
397 expression of the second key cytolytic pore protein, perforin. These findings unveil a novel mechanism
398 that extends beyond steric blockade in checkpoint blockade, emphasizing the potential of targeting PD-
399 1 endocytosis to optimize checkpoint blockade for anti-tumor immune responses.

400 Our initial observations revealed that all antibodies used in checkpoint blockade, whether in mouse
401 (J43, RPMI) or in human (Nivolumab and Pembrolizumab), induce the internalisation of PD-1.
402 Unexpectedly, the effects were observed primarily on T-cells expressing high levels of PD-1 leading to
403 the generation of a population of cells with low to intermediate PD-1 expression. This was particularly
404 evident in viSNE analysis where we observed the visible loss of clusters with high PD-1 expression
405 accompanied by emergence of a new clusters of CD4 and CD8 T-cells with intermediate PD-1
406 expression. On a broad scale, approximately 50-60% of PD-1 molecules were internalized from the cell
407 surface. The reason for this selectivity is not entirely clear, it is likely related to the need for crosslinking
408 of PD-1 for internalization. Once the receptor density falls below a certain threshold, the density of
409 receptors is insufficient to support effective crosslinking between adjacent PD-1 receptors for
410 internalization. Alternatively, it is possible that certain receptors are resistance to internalization,
411 aligning with the concept of spare receptors reaching maximum response before receptor saturation.
412 Overall, these findings demonstrate that anti-PD-1 checkpoint blockade involves more than simple
413 steric interference of PD-1 binding to PD-L1, as it operates in conjunction with the active removal of
414 PD-1 from the surface of T-cells.

415 In terms of anut-human antibodies, nivolumab was routinely found to bind at higher levels of
416 activated human T-cells and to be more effective in down-regulating PD-1 from the cell surface than

417 pembrolizumab. This was seen in CD4 and CD8+ T-cells and in terms of the rate and level of
418 internalisation. This may be related to the binding of each antibody to different sites on the PD-1
419 receptor. An unexpected N-terminal loop in PD-1 dominates binding by Nivolumab⁷⁷. Pembrolizumab
420 binding may involve a unique and large patch of interactions engaging the C'D loop of PD-1⁶⁸.
421 Whether this difference in the efficacy of internalisation affects the killing of cancer targets awaits future
422 experiments. In either case, we found that anti-PD-1 internalisation affected CD4 and CD8+ T-cells as
423 well as their effector memory and effector subsets. In this sense, there was no inherent predisposition
424 for any specific subset, such as memory or effector subset, to downregulate the receptor. However, at
425 the same time, surprisingly, we observed less binding to effector CD8+ T-cells relative to effector
426 memory cells. Further, the downregulation on CD8, and particularly CD8 effectors, resulted in a smaller
427 residual level of PD-1 expression than seen on CD4+ T-cells. This observation aligns with the finding
428 that anti-PD-1 ICB primarily affects CD8 effector T-cells¹¹. In this model, the preferential effect could in
429 part be related to the greater reduction of residual PD-1 on the surface of CD8+ cells.

430 Mechanistically, our findings revealed that PD-1 downregulation required receptor crosslinking.
431 Monovalent anti-PD-1 antibodies, such as J43 for mice and Nivolumab for humans, were unable to
432 downregulate the receptor. Instead, the same bivalent antibody was effective. Importantly, this
433 characteristic enabled us to investigate the impact of steric hindrance mediated by monovalent
434 antibodies relative to the combined effects of steric hindrance and receptor internalization as mediated
435 by bivalent antibodies in tumor immunotherapy. Both antibodies exhibited comparable binding affinity to
436 T-cells, as demonstrated through a titration ranging from 0.01 to 5 µg/ml during incubation. High levels
437 of antibody (200-300 µg/mouse) were administered every 2 days over a span of 20 days. Under these
438 conditions, both monovalent and bivalent antibodies impeded tumor growth, emphasizing the
439 significance of steric blockade. However, the bivalent antibody exhibited greater effectiveness (n=4),
440 each experiment consisting of five mice per treatment group. In most cases, this efficacy of tumor
441 regression was correlated with a relative increase in the proportion of CD8+ versus CD4+ TILs.
442 Consistent variations in other cell populations, such as dendritic cells (DCs) or polymorphonuclear
443 leukocytes (PMNs), were not observed. In addition, consistent differences in other markers, such as
444 CD44 and CD62L, were not detected. In the case of a PD-1 resistant tumor such as LLC, neither mono-
445 valent nor bivalent antibody was effective.

446 One parameter that was consistently shared on CD8+ T-cells in response to monovalent and
447 bivalent antibodies was the cytolytic mediator GZMB on CD8+ T-cells. This is a prime effector required
448 for the killing of tumor cells and could account for the protective effects against tumor growth are
449 needed to accommodate the increased expression of GZMB⁷⁸. The fundamental property therefore
450 appears linked to the steric blockade effect of anti-PD-1. By contrast, the action of bivalent antibody

451 could be distinguished from the monovalent antibody with the former consistently upregulating perforin
452 expression. Perforin is a critical protein involved in the immune response, particularly in the cytotoxic
453 activity of CD8+ T-cells ⁷⁸. It plays a crucial role in the elimination of virally infected or cancer cells, by
454 creating pores in their cell membranes and then facilitating the entry of cytotoxic molecules. This
455 consistent pattern was observed in TILs across all experiments. Interestingly, the effects could both *in*
456 *vivo* and in *in vitro* activation using preactivated PD-1 expressing human cells that were subsequently
457 rested, and incubated with the antibody for only 24-48 hours. Further, it was observed for bivalent J43
458 in mouse and Nivolumab in human T-cells. This observation highlights the importance of receptor
459 mediated crosslinking and removal of PD-1 from the cell surface in the induction of perforin expression.
460 of the structural conformation of PD-1 in modulating its functional outcomes. It might be linked to a
461 threshold effect where the steric blockade combined with PD-1 removal from the cell surface reduces
462 negative signaling more effectively that impair T-cell activation. Another possibility is that receptor
463 crosslinking could induce downstream signaling pathways involved in perforin expression compared to
464 the monovalent interaction. In this later context, receptor crosslinking is known to be essential for
465 signaling through multiple receptors ^{79,80}. Other possible mechanisms could involve internalized
466 endosomes that can transmit signals, as exemplified by the continued activation of the internalized
467 tyrosine kinase receptor TrkA in signaling endosomes ⁸¹. The precise contribution of each mechanism,
468 or whether both mechanisms work in tandem to generate signals, remains to be elucidated. Either way,
469 our findings unveil a novel mechanism in checkpoint blockade where steric blockade combined with the
470 removal of PD-1 from the cell surface by endocytosis can complement and optimize therapy via a
471 perforin mediated mechanism. In this way ,the targeting of PD-1 internalisation holds promise for
472 enhancing anti-tumor immunity and improving PD-1 checkpoint blockade therapy.

473 Lastly, our study provided information on how PD-1 is internalised into cells. PD-1 endocytosis
474 was seen to be eventually incorporated into early and late endosomes as shown by EEA1 (Rab5a) and
475 Rab7a colocalization. Further small amounts could be re-cycled to the cell surface. Although sucrose
476 was found to inhibit internalization, neither inhibitor, Dynasore nor Pitstop2 affected PD-1
477 internalisation, using human or mouse cells and different assays. This despite observable effects on
478 CD28 or MHC class 1 internlisation. These observations suggest that conventional clathrin or caveolae-
479 mediated endocytosis pathways are not major mediators of PD-1 internalisation. In this context, a new
480 mobile endocytic network connecting clathrin-independent receptor endocytosis to recycling has been
481 reported which promotes T cell activation ⁷⁵. Further we observed no detectable ubiquitination despite
482 seeing the presence of multiple ubiquinated bands in cell lysates from cells. Our antibody induced
483 effects therefore clearly operate deifferently from the E3 ligase FBXO38 ubiquitination mechanism that
484 has been reported to control steady-state PD-1 turnover ⁶⁴. Another possible pathway involves flotillin-1

485 as a determinant of a clathrin-independent endocytic pathway in mammalian cells⁸² or possibly a
486 mechanism involving the AP2 complex as we previously reported for CD28 and CTLA-4^{59,83}. However,
487 the receptor nevertheless was found in an internalized form to co-localize with the early endosome
488 marker EEA1 (Rab5a) and the late endosome marker Rab7a and is therefore eventually incorporated
489 into the endosomal pathway.

490 Future studies will be necessary to further elucidate the relative benefits of enhanced PD-1
491 signaling in different clinical scenarios. Some studies have indicated that Nivolumab leads to better
492 overall survival outcomes in this specific patient subgroup compared to Pembrolizumab. Additionally,
493 Nivolumab has shown effectiveness in advanced renal cell carcinoma, including patients who have
494 previously received anti-angiogenic therapy, with better overall survival benefits observed in certain
495 studies when compared to alternative treatments, including Pembrolizumab. While Pembrolizumab has
496 also demonstrated activity in Hodgkin lymphoma, Nivolumab has a specific approved indication in this
497 context. Overall, these findings contribute to our understanding of the intricate interplay between PD-1
498 signaling, perforin expression, and CD8+ T-cell function. They provide valuable insights into the
499 potential mechanisms by which bivalent anti-PD-1 antibodies can influence immune responses and
500 pave the way for further investigations and therapeutic advancements in the field of immunotherapy.

501

502 **Materials and Methods**

503

504 **Cells and Reagents**

505

506 Blood samples of healthy donors were provided by Héma-Québec as LRS (LeucoReduction
507 System) chamber. Peripheral blood mononuclear cells (PBMC) were isolated from human samples
508 using Ficoll-Paque density centrifugation (Corning, Fisher Scientific, CA). PBMC were cultured in
509 RPMI 1640 medium (Corning, Fisher Scientific, CA) supplemented with 10% heat-inactivated FBS
510 (Fetal Bovine Serum), 1% glutamine (200mM) and 1% penicillin/streptomycin (10,000U/ml) (Gibco,
511 ThermoFisher, CA) (complete RPMI media). Cells were maintained at 37°C in an atmosphere of 5%
512 CO₂. To express PD-1 receptor, cells were stimulated with soluble anti-human CD3 (clone OKT3) and
513 anti-human CD28 (clone 9.2) at 3 and 2µg/ml respectively, for 2 days. Concanavalin A (Con A) (Sigma
514 Aldrich, CA) was also used at 10 µg/ml for 2 days to activate cells, which were then washed with
515 complete RPMI1640 medium and maintained overnight in 5% CO₂ incubator.

516

517 **Antibodies and chemicals**

| Reagent | Source | Identifier |
|--------------------------------|--------------------------|------------|
| Antibodies | | |
| AF488 anti-Ki67 | Biolegend | 151204 |
| AF488 goat anti-human IgG | invitrogen | A-11013 |
| AF488 goat anti-rat | invitrogen | A11006 |
| AF594 anti-rab7a | Biolegend | 850405 |
| AF594 goat anti-mouse | Biolegend | 405326 |
| AF647 anti-TCF-1 | cell signaling | 6709 |
| AF647 donkey anti-mouse IgG | Thermo Fisher Scientific | A-31571 |
| AF700 anti-mouse CD45 | Biolegend | 103128 |
| AF700 anti-mouse CD90.2 | Biolegend | 105320 |
| anti-human CD28 clone 9.3 | Bioxcell | BE0248 |
| anti-human CD3 clone OKT3 | Bioxcell | BE0001-2 |
| anti-human PD-1 clone J110 | Bioxcell | BE0193 |
| anti-human PD-1 clone: D4W2J | Cell signaling | 86163S |
| Anti-mouse PD-1 clone: J43 | Bioxcell | BE0033-2 |
| Anti-mouse PD-1 clone: RMP1-14 | Leinco | P362 |
| APC anti-human CD45RA | Biolegend | 304150 |
| APC anti-mouse CD11b | Biolegend | 101212 |
| APC anti-mouse Perforin | Biolegend | 154404 |
| APC C7 anti-mouse CD62L | BD | 560514 |
| APC Cy7 anti- mouse Ly6G | Biolegend | 127624 |
| APC goat anti rat | Biolegend | 405407 |
| BV421 anti-human CCR7 | BD | 562555 |
| BV421 anti-mouse MHC-II | Biolegend | 107632 |
| BV605 anti-mouse CD4 | BD | 563151 |
| BV605 anti-mouse CD44 | BD | 563058 |
| BV605 anti-mouse Ly6C | Biolegend | 128036 |
| BV650 anti-mouse CD11C | Biolegend | 117339 |
| BV650 anti-mouse CD8a | Biolegend | 100742 |
| BV711 anti-human CD8a | BD | 563676 |
| FITC anti-mouse CD80 | Biolegend | 104706 |
| mouse anti-EEA1 | invitrogen | MA5-31575 |
| PE anti-human CD3e | Biolegend | 300308 |
| PE anti-mouse CD19 | Biolegend | 115508 |
| PE anti-mouse Granzyme B | ebiosciences | 12-8898-82 |
| PE anti-TOX | ebiosciences | 12-6502-82 |
| PE Cy7 anti-mouse Tbet | ebiosciences | 25-5825-82 |
| PE-cy7 anti-human CD4 | BD | 557852 |
| Pecy7 anti-mouse CD31 | BD | 561410 |
| PE-eFluor 610 anti-Eomes | ebiosciences | 61-4875-82 |
| Percpcy5.5 anti-mouse PD-L1 | Biolegend | 124334 |
| Chemicals | | |
| 7-AAD | ebiosciences | 00-6993-50 |
| AF647 WGA | invitrogen | W32466 |
| chloroquine | Sigma Aldrich | |

| | | |
|---|---------------------|---|
| Alexa Fluor® 647 conjugated Donkey anti-rabbit IgG (minimal x-reactivity) Antibody | Biolegend | Cat. #: 406414; RRID: AB_2563202 |
| Normal Rabbit IgG Control | R&D Systems | Cat. #: MAB1050-500 |
| Ultra-LEAF™ Purified Mouse IgG1, κ Isotype Ctrl Antibody | Biolegend | Cat. #: 400166 |
| Ultra-LEAF™ Purified Mouse IgG2b, κ Isotype Ctrl Antibody | Biolegend | Cat. #: 400348 |
| Bacterial and virus strains | | |
| Pseudotyped ΔG-DsRed (G*ΔG-DsRed) rVSV | Kerafast | Cat. #: EH1018-PM |
| NEB® Stable Competent E. coli | New England Biolabs | Cat. #: C30401 |
| Biological samples | | |
| Human Peripheral blood mononuclear cells | HÉMA-QUÉBEC | https://www.hema-quebec.qc.ca/index.fr.html |
| Chemicals, peptides, and recombinant proteins | | |
| Fixable Viability Stain 575V | BD Biosciences | Cat. #: 565694 RRID: AB_2869702 |
| Fixable Viability Dye eFluor™ 780 | Invitrogen | Cat. #: 65-0865-14 |
| X-tremeGENE™ HP DNA Transfection Reagent | Roche | Cat. #: 6366236001 |
| Tag-it Violet™ Proliferation and Cell Tracking Dye | Biolegend | Cat. #: 425101 |
| X-VIVO™ 15 Serum-free Hematopoietic Cell Medium | Lonza | Cat. #: 04-418Q |
| Protamine sulfate salt from salmon | Sigma-Aldrich | Cat. #: P4020 |
| Human Recombinant IL-2, ACF | STEMCELL | Cat. #: 78145 |
| Protein Transport Inhibitor (Containing Brefeldin A) | BD Biosciences | Cat. #: 555029 RRID: AB_2869014 |
| Phorbol 12-myristate 13-acetate | Sigma-Aldrich | Cat. #: P8139 |
| Ionomycin from Streptomyces congolobatus | Sigma-Aldrich | Cat. #: I9657 |
| IVISbrite D-Luciferin Ultra Bioluminescent Substrate in RediJect Solution (XenoLight) | PerkinElmer | Cat. #: 770505 |
| Hygromycin B | Gibco | Cat. #: 10687010 |
| Critical commercial assays | | |
| Intracellular Fixation & Permeabilization Buffer Set | Invitrogen | Cat. #: 88-8824-00 |
| Foxp3 / Transcription Factor Staining Buffer Set | Invitrogen | Cat. #: 00-5523-00 |
| CytoTox 96® Non-Radioactive Cytotoxicity Assay | Promega | Cat. #: G1780 |
| Platinum™ SuperFi II Green PCR Master Mix | Invitrogen | Cat. #: 12369010 |
| Wizard® SV Gel and PCR Clean-Up System | Promega | Cat. #: A9281 |
| Wizard® Plus SV Minipreps DNA Purification Systems | Promega | Cat. #: A1330 |
| Q5 Site-Directed Mutagenesis Kit | New England Biolabs | Cat. #: E0554S |
| NucleoBond® Xtra Maxi EF Kit | TAKARA | Cat. #: 740424.10 |
| Quick Ligation™ Kit | New England Biolabs | Cat. #: M2200S |
| Experimental models: Cell lines | | |
| Jurkat E6-1 | ATCC | Cat. #: TIB-152 |

| Software and algorithms | | |
|-------------------------------|------------------------------|---|
| FlowJo V10.0 | Tree Star | https://www.flowjo.com/ |
| Premium Cytobank | Cytobank | https://www.cytobank.org/ |
| ZEN Lite | Zeiss | https://www.zeiss.com/microscopy/int/home.html |
| Aura Imaging Software V3.2 | Spectral Instruments Imaging | https://spectralin vivo.com/ |
| Living Image Software | PerkinElmer | https://www.perkinelmer.com/ |
| GraphPad Prism® software v8.0 | GraphPad | https://www.graphpad.com |
| Other | | |
| cover-glass bottom dish | SPL life sciences | Cat. #: 100350 |

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524 **Internalization and down-modulation assays**

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To assess the internalization of PD-1 and CD28 in human T cells, we followed the methodologies outlined in Céfalai et al. (1998) and Walter et al. (2008). Human peripheral blood mononuclear cells (PBMCs) were activated using either anti-CD3/CD28 or Con A. To block Fc receptors, the cells were treated with PBS containing 10% donkey serum for 20 minutes at room temperature. Subsequently, the cells were washed and incubated with 1 µg/ml of anti-human PD-1 monoclonal antibody (mAb) for 30 minutes at 4°C. After three washes with cold PBS, we added AF647-conjugated donkey anti-mouse IgG antibody in PBS containing 1% donkey serum. Following a 30-minute incubation on ice, the cells were washed and divided into multiple tubes (typically 2.5-3x10⁶ cells per tube) and incubated at 37°C for various durations. One set of cells was kept on ice to represent the total cell-associated fluorescence, while another set was incubated with acidified PBS (pH 2.0) supplemented with 0.03M sucrose and 10% FBS to indicate intracellular fluorescence. The cells were then washed three times with cold RPMI 1640 containing 10% FBS and once with FACS buffer. Additionally, one sample was incubated solely with AF647-conjugated anti-mouse IgG antibody as a negative control.

For PBMCs activated with Con A, the cells were also stained with PE-anti-human CD3 antibody for 30 minutes on ice. To exclude nonviable cells, all samples were stained with 7-AAD and analyzed using flow cytometry. For CD28 internalization, freshly isolated PBMC were incubated with 1 µg/ml anti-human CD28 Ab for 30min on ice. After washing with cold PBS, AF647 conjugated anti-mouse IgG Ab was added. The next steps were the same as described in PD-1 internalization.

544 For the down-modulation assays, following the Fc receptor blocking step described earlier,
545 human peripheral blood mononuclear cells (PBMCs) activated with Con A were exposed to 1µg/ml of
546 either anti-human PD-1 (specifically J110) or anti-human CD28 (9.2) monoclonal antibodies (mAbs) for
547 30 minutes on ice. The cells were then washed and suspended in cold RPMI 1640 medium. They were
548 divided into multiple tubes, with approximately 2.5x10⁶ cells per tube, and incubated at 37°C for
549 various durations. At the designated time points, the samples were washed with cold PBS and
550 incubated with 1µg/ml of AF647-conjugated anti-mouse IgG antibody for 30 minutes on ice. This
551 allowed the detection of any remaining PD-1 or anti-PD-1 on the cell surface. After washing with cold
552 FACS buffer, the cells were stained with PE-anti-human CD3 for 30 minutes on ice. Subsequently, the
553 cells were washed, stained with 7-AAD, and analyzed using flow cytometry.

554 For the downmodulation involving the use of inhibitors of endocytosis, murine T-cells were
555 activated for 48h with Con A 2.5 µg/ml, washed, and rested overnight. Cells were treated with either
556 DMSO, Pitstop2 (15 µM, clathrin-dependent endocytosis), or Filipin III (2.5 µg/ml, inhibitor of caveolae-
557 mediated endocytosis) for 1h at 37°C in complete media. Cells were then washed and incubated with
558 anti-PD-1 (clone: RMP1-14; 20 µg/ml) on ice for 1h in complete media. Cells were washed and then
559 kept on ice or incubated at 37°C for 1h in complete media. The cells were stained with secondary
560 APC-conjugated anti-rat, then washed and stained with AF700 anti-CD90.2, BUV395 anti-TCRb,
561 BV605 anti-CD4 and BV650 anti-CD8a.

562 For humanized nivolumab and pembrolizumab treatments, cells were exposed to a concentration
563 of 1µg/ml of the respective antibodies for 30 minutes on ice. As a negative control, cells were solely
564 incubated with AF488-conjugated anti-human IgG secondary antibody. At the designated time points,
565 samples were collected on ice, washed with cold PBS, and then incubated with 1µg/ml of AF488-
566 conjugated goat anti-human IgG antibody (previously diluted in PBS containing 1% goat serum) for 30
567 minutes on ice. Subsequently, the samples were washed twice with cold PBS and stained with fixable
568 viability stain 510 (FVS 510) for 20 minutes on ice. The cells were then washed and stained for 30
569 minutes with the indicated antibodies: PE-anti-CD3, PE-Cy7-anti-CD4, BV711-anti-CD8, APC-anti-
570 CD45RA, and BV421-anti-CCR7. Following another round of washing with FACS buffer, the samples
571 were fixed in 2% paraformaldehyde (PFA) before undergoing flow cytometry analysis. Fluorescence
572 minus one (FMO) controls were included to establish positive thresholds.

573

574 **Generation of Monovalent Antibodies**

575 Monovalent anti-PD-1 were generated from the bivalent anti-PD-1 mAbs (clone-RMP1-14) using the
576 Pierce™ Fab and Fabc Micro Preparation Kit according to the manufacturer's instructions. Briefly, the
577 disulfide bonds connecting the two heavy chains of RMP1-14 were reduced using 2-mercaptoethylamine-

578 HCl (catalog number 20408) at 37°C for 1 hour. Approximately 3mg of RMP1-14 was used per bottle of
579 2-Mercaptoethylamine-HCl (6 mg), a mild reducing agent commonly used to selectively cleave antibody
580 hinge region disulfides and separate the antigen binding fragments (Fabs and Fabcs). Following the
581 antibody reduction step, the solution was then passed through PBS-EDTA-pre-charged Zeba™ Spin
582 Desalting Columns (Thermo Fisher Scientific, catalog number 89889) where samples were buffer
583 exchanged into the cleavage buffer provided in the kit. The Zeba columns contain a proprietary size
584 exclusion resin that enables buffer exchange and removal of salts through centrifugation of RMP1-14
585 antibody was added to a 2mL Zeba column and spun at 1500 x g for 2 minutes to elute the buffer
586 exchanged sample. The monovalent anti-PD-1 Fab fragments were then analyzed by SDS-PAGE under
587 non-reducing and reducing conditions to confirm cleavage of the antibody hinge region and separation
588 of heavy and light chains from the parent bivalent RMP1-14 antibody.
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590

591 **Tumor growth assays**

592
593 C57BL/6 mice were housed at the Hôpital Maisonneuve Rosemont animal facility (Montreal, QC,
594 Canada). Mice were housed in individually ventilated cages (IVC) and all experiments were approved by
595 the CR-HMR Ethical Approval (Le Comité de protection des animaux du CIUSSS de l'Est-de-l'Île-de-
596 Montréal (CPA-CEMTL), F06 CPA-21061 du projet 2017–1346, 2017-JA-001/2). Mice, aged 7–8 weeks,
597 were implanted intradermally with 50,000 B16-F10, B16-PD-L1 melanoma cells that overexpress PD-L1
598 or LCC tumors. B16 F10 melanoma tumor cells and LLC tumor cells were obtained from American Type
599 Culture Collection (ATCC). B16-PD-L1 melanoma cells were kindly provided by Dr. M. Ardolino, Ottawa,
600 Canada. The cells were cultured in Dulbecco's modified Eagle's medium (DMEM; Gibco) supplemented
601 with 10% fetal bovine serum (FBS; Gibco) and 1% penicillin/streptomycin (P/S; Gibco). Cells were
602 incubated at 37°C in a humidified atmosphere containing 5% CO₂. When cells reached approximately
603 70% confluency during the logarithmic growth phase of in vitro cultivation, they were prepared for
604 injection. The culture medium was aspirated and the cells were washed twice with 1X phosphate-buffered
605 saline (PBS; Gibco) to remove residual serum. Trypsin-EDTA (Gibco) was used to detach the cells from
606 the culture flask surface. FBS was added to neutralize the trypsin and the cells were collected by
607 centrifugation at 200 x g for 5 minutes. The cell pellets were resuspended in PBS and cell counts were
608 determined using a hemacytometer. Only single-cell suspensions with >90% viability by trypan blue
609 exclusion were used for injection. B16-F10 is a mouse melanoma line while Lewis lung carcinoma cells
610 are hypermutated Kras/Nras-mutant⁸⁴
611

612 **Isolation of tumor infiltrating lymphocytes (TILs).**

613

614 Solid tumors were harvested from mice 16-20 days post tumor challenge under sterile conditions. Tumors
615 were collected and measured to ensure they reached approximately 100-200 mm³ in size. Tumors were
616 placed in sterile phosphate-buffered saline (PBS) on ice. Tumors were manually disrupted and minced
617 into small pieces (<1 mm³) using sterile surgical blades and dissection scissors. Minced tissue was then
618 digested in RPMI 1640 medium supplemented with 5% fetal bovine serum (FBS), 1% Penicillin-
619 Streptomycin, 200 U/mL collagenase type IV (Gibco), and 30 U/mL DNase I (Sigma) at 37°C for 1 hour
620 with periodic agitation. Following digestion, the cell suspension was passed through a 70 µm cell strainer
621 into a 50 mL conical tube to obtain a single-cell suspension and remove remaining tumor fragments and
622 debris. The cell suspension was then centrifuged at 300xg for 8 minutes at 4°C. The cell pellet was
623 resuspended in 40% ficoll-paque (GE Healthcare) and overlaid carefully on top of 60% ficoll-paque
624 solution. Tubes were centrifuged at 700xg for 20 minutes at room temperature with the brakes off. The
625 lymphocyte layer containing tumor infiltrating lymphocytes (TILs) was collected from the interface
626 between the ficoll layers and transferred to a new 50 mL conical tube. TILs were washed twice with cold
627 RPMI medium by centrifuging at 300xg for 8 minutes at 4°C to remove residual ficoll. TILs were then
628 counted, assessed for viability by trypan blue exclusion, and either used immediately for staining or
629 cryopreserved in liquid nitrogen until further use.

630

631 **Flow cytometry**

632 Flow cytometry analysis involving antibody staining of surface receptors was performed using a
633 suspension of 10⁶ cells in 100µl of PBS. The cells were then exposed to a 1:100 dilution of the primary
634 antibody at 4°C for a duration of 2 hours. Subsequently, the cells were washed twice with PBS. In
635 certain cases, the cells were suspended in 100µl of PBS containing a secondary antibody and
636 incubated for an additional 1 hour at 4°C. Cell staining data was analyzed using a Beckman Coulter
637 CytoFLEX S flow cytometer and the CytExpert software. For intracellular staining, cells were fixed
638 using 4% paraformaldehyde (PFA) and permeabilized with 0.3% saponin (Sigma-Aldrich). The cells
639 were then stained with the desired antibody in PBS containing saponin for 2 hours at 4°C. If primary
640 antibodies were not conjugated, a secondary antibody incubation was carried out subsequently.

641

642 **Visualisation of internalised receptors by fluorescence microscopy**

643

644 PD-1 internalization was also conducted by fluorescence microscopy. Briefly, activated cells were
645 incubated with 1µg/ml of anti-PD1 Ab (J110) for 30 minutes on ice, followed by a washing step and
646 incubation with AF647-anti-IgG secondary Ab. Cells were then washed, resuspended in cold RPMI
647 1640 and incubated at 37°C for 30min or at 4°C for 90min. After incubation, cells were washed in cold
648 PBS and divided into two parts: one was kept on ice while the other was acid-stripped with PBS (pH2)

649 for 5 min and washed 3 times in cold RPMI 1640/10%FBS. Samples (45×10^4 /tube) were then washed
650 with cold PBS, fixed in 2% PFA, and centrifuged by cytospin at 600rpm for 7 min. Subsequently, slides
651 were washed for 2 min in 70% followed by 100% ethanol, and 10 μ l of Vectashield anti-fade mounting
652 medium (containing 1 μ g/ml of DAPI) (Vector laboratories) was added on the cells spot. Then,
653 coverslips were sealed by nail polish before visualization in fluorescence microscopy.

654 Further, for an assessment of anti-PD1 antibody complex co-localization with the early endosome
655 marker EEA1 (Rab5a) and the late endosome marker Rab7a, pre-activated 48hr C57BL6J mice-
656 derived splenic T-cells were incubated with anti-PD-1 (clone RMP1-14) for 1h followed by treatment
657 with acidic PBS (pH: 2) to remove cell surface antibody followed by fixation and the staining with either
658 mouse anti-EEA1 followed by AF594-anti mouse or AF594-Rab7a Abs.

659

660 **Recycling determination**

661

662 Activated PBMCs expressing PD-1 were incubated with primary Ab for 30min on ice, followed by
663 washing in cold PBS and incubation with AF488-anti human IgG or AF647-anti-mouse IgG secondary
664 Abs, respectively. After 30 minutes on ice, samples were washed twice in cold PBS, resuspended in
665 cold complete RPMI 1640 medium, and incubated for 60 minutes at 37°C. One sample was left on ice
666 for 60 minutes to determine the starting level of PD-1 expression and to control the efficacy of acid
667 stripping. As a negative control, cells were incubated only with secondary Abs.

668 Subsequently, samples were washed twice with cold complete RPMI1640, acid stripped with PBS
669 (pH:2) and resuspended in warm complete medium in the absence or presence of 100 μ M chloroquine
670 (CQ) (Sigma Aldrich, CA). Cells were then transferred to a 12-well plate (4×10^6 /well) and re-incubated
671 at 37°C in 5% CO₂ for the indicated time periods (0, 12h, 60h). After each time point, cells were
672 collected, washed twice in cold PBS, left untreated, or acid-stripped where indicated (in the presence of
673 CQ and MG132). Next, all samples were stained with 7-AAD before flow cytometry analysis.

674

675 **Drug inhibition of endocytosis**

676

677 To evaluate the effect of dynamin inhibitor on PD-1 and CD28 internalisation, activated PBMCs with
678 Concanavalin A were incubated with 1 μ g/ml of Nivolumab or anti-hCD28 Ab for 30 minutes on ice. Cells
679 were then washed and incubated with 1 μ g/ml AF488-anti human IgG or AF647-anti-mouse IgG Abs,
680 respectively, for 30 minutes on ice. Next, samples were washed twice in cold PBS, resuspended in
681 serum-free RPMI medium in the presence of DMSO or Dynasore (100 μ M) (ab120192, Abcam), and
682 incubated at 37°C from 0 to 90 min. After each time, cells were washed and stripped or not with acidified

683 PBS (pH 2). All samples were then stained with PE-anti-CD3 for 30 min on ice, washed, and analyzed
684 by flow cytometry after the addition of 7-AAD.

685 To evaluate the effect of Pitstop2 on PD-1 downmodulation, activated splenocytes with Con A were
686 incubated with 15 μ M Pitstop2 or DMSO for 15 min at 37°C. After the cells were washed, they were
687 incubated with 1 μ g/ml of anti-mouse PD-1 (clone RMP1-14). Next, samples were washed twice in cold
688 PBS, resuspended in complete with 15 μ M Pitstop2 or DMSO, and incubated at 37°C from 0 to 60 min.
689 Subsequently, samples were stained with APC-conjugated anti-rat IgG, CD4, CD8 and TCR β and
690 analyzed by FACs.

691 To evaluate the effect of Pitstop2 on MHCI-H2K^b endocytosis, activated splenocytes with Con A
692 were incubated with 15 μ M Pitstop2 or DMSO for 15 min at 37°C. After the cells were washed, they were
693 incubated with 1 μ g/ml of FITC-conjugated anti-mouse MHCI-H2K^b (Cat# 116505, Biolegend). Next,
694 samples were washed twice in cold PBS, resuspended in complete with 15 μ M Pitstop2 or DMSO, and
695 incubated at 37°C for 60 min. After this incubation, cells were washed and stripped or not with acidified
696 PBS (pH 2) and analyzed by flow cytometry.

697

698 **Immunoprecipitation and Western blotting**

699

700 To carry out an immunoprecipitation, 25 x10⁶ cells were lysed in 500 μ l of 1% Triton X-100 lysis buffer
701 (1% Triton X-100, 25 mM Tris pH 8, 150 mM NaCl, 2 mM Na₃VO₄, 10 mM NaF) containing a mixture of
702 protease inhibitor (Halt™ Protease Inhibitor Cocktail, Thermofisher) as described^{85,86}. After incubation for
703 30 min at 4°C, lysates were pelleted and supernatants were used for immunoprecipitation and/or western
704 blot directly. For immunoprecipitation, 2.5 μ g of rabbit anti-PD-1 (clone D4W2J, 86163S Cell signaling) or
705 rabbit IgG (ab6709, Abcam) were coupled to Protein A-Sepharose beads for 3h at 4°C. Lysates were then
706 incubated with antibody-coupled beads and rotated at 4°C for 1 h. Beads were washed three times in cold
707 lysis buffer, they were resuspended in 70 μ l of loading buffer (2X) and boiled for 10 min at 95°C. Proteins
708 were separated on 10% SDS-PAGE and transferred to nitrocellulose for immunoblotting. Proteins were
709 transferred from the gel onto a nitrocellulose membrane using an electric current and fixed to the
710 nitrocellulose and probed with antibodies against the target proteins (anti-PD and anti-ubiquitin; Invitrogen
711 Ubi-1, Cat #13-1600). Bound antibody was revealed with horseradish peroxidase-conjugated rabbit anti-
712 mouse antibody using enhanced chemiluminescence (Amersham Biosciences).

713 **Data analysis**

714

715 Results from the different studies were presented as means \pm standard deviation (SD) from at least
716 three independent experiments. Flow cytometry data were analysed by FlowJo software (version 10).

717 For viSNE plots, data were exported from FlowJo as FCS files to Cytobank premium and viSNE

718 analysis was performed with perplexity set to 50. Graphs and statistical analysis were performed using
719 GraphPad Prism software (version 8). The rate of PD-1 internalization at an indicated time (tx) was
720 determined as follows: (MFI of acid-treated samples at tx / MFI of untreated samples at tx) x100. The
721 rate of PD1 or CD28 down-modulation was determined as follows: (MFI of samples at tx/ MFI of
722 samples at t0) x100. The study of PD-1 internalization by fluorescence microscopy was performed by
723 Zeiss widefield imaging system using AxioVision software. Images were processed using Fiji software
724 (Fiji is just ImageJ, version 1.0)

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Author Contributions

Conceptualisation: CER, AO, NPA, MB and EBS.

Investigation: EBS, MB, NPA and AO conducted most experiments.

Methodology: CER coordinated arrangements for the processing of samples. EBS and CER coordinated access to healthy donors from HemaQuebec (agreement between the CER and HemaQuebec). CER coordinated ethical approval to work with samples at the HMR.

Supervision: CER

Writing: C.E.R. wrote the manuscript with assistance from EBS, NPA and AO.

Competing interests: The authors declare no competing interests.

Data and material availability: All data, code, and materials used in the analyses will be available to any researcher for purposes of reproducing or extending the analyses.

Figures and legends

Figure 1

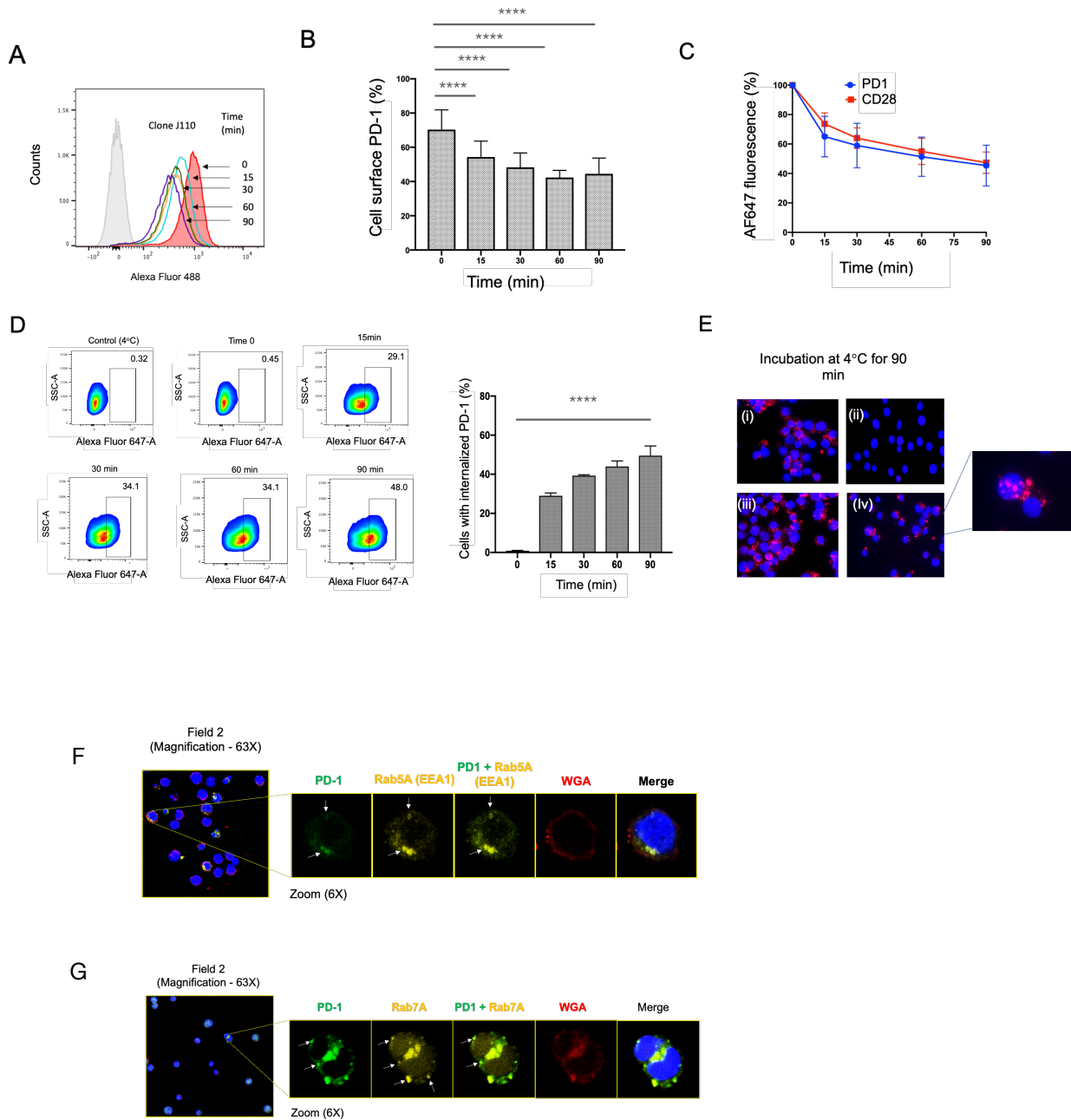


Figure 1: Internalisation of PD-1 Induced by Anti-PD1 on Human T-Cells

Panel A: Standard FACS profile demonstrating that anti-human PD1 (J110) induces the internalization of a portion of PD1 over a time period of 0-90 minutes. Human T-cells were activated with anti-CD3/CD28 for 2 days to induce PD-1 expression, followed by a 24-hour rest period before conducting internalization studies. The cells were pre-incubated with saturating amounts of anti-PD-1 (clone J110) at 4°C, washed,

and then incubated at 37°C for varying durations. Subsequently, samples were stained with a secondary antibody (AF647 anti-mouse IgG or AF488-anti-human IgG) to detect the presence of surface-bound anti-PD-1 (n=4).

Panel B: Time course analysis of PD1 internalization presented as a percentage of the total original staining. The J110 antibody induced the down-regulation of 40% of surface PD1 within 30 minutes of incubation (n=4).

Panel C: Time course comparison of PD1 and CD28 internalization from the surface of human T-cells (n=3).

Panel D: Time course showing internalization using an alternate technique involving an acidified dissociation solution. Human T-cells were incubated with PE-conjugated anti-PD-1 J110 for varying durations at 37°C and then treated with an acidified dissociation solution to remove surface-bound anti-PD-1. Left panel: Anti-PD1 induced the internalization of 45-50% of the receptor within 30 minutes, followed by 50-60% within 70-90 minutes (n=4). Right panel: FACs panels indicating acquired resistance to dissociation.

Panel E: Immunofluorescence microscopy demonstrating the internalization of PD1 from the surface of T-cells. While antibody bound to cells at 4°C was easily removed with acid treatment (panels iii vs. i), an immunofluorescence signal was observed in cells subjected to incubation at 37°C followed by acid treatment (n=3).

Panel F: Internalised anti-PD1 antibody complexes co-localize with the early endosome marker EEA1 (Rab5a). C57BL6J mice-derived splenic T-cells were incubated with anti-PD-1 (clone RMP1-14) incubated briefly with acidic PBS (pH-2) to remove cell surface antibody followed by fixation and the staining with either anti-EEA1. Left panel: overview of multiple cells; right panels: PD-1, EEA1, PD1-EEA1 overlap, WGA to stain cell surface; merge including DAPI staining for the nucleus.

Panel G: Internalised anti-PD1 antibody complexes co-localized with the late endosome marker Rab7a. As above.

Figure 2

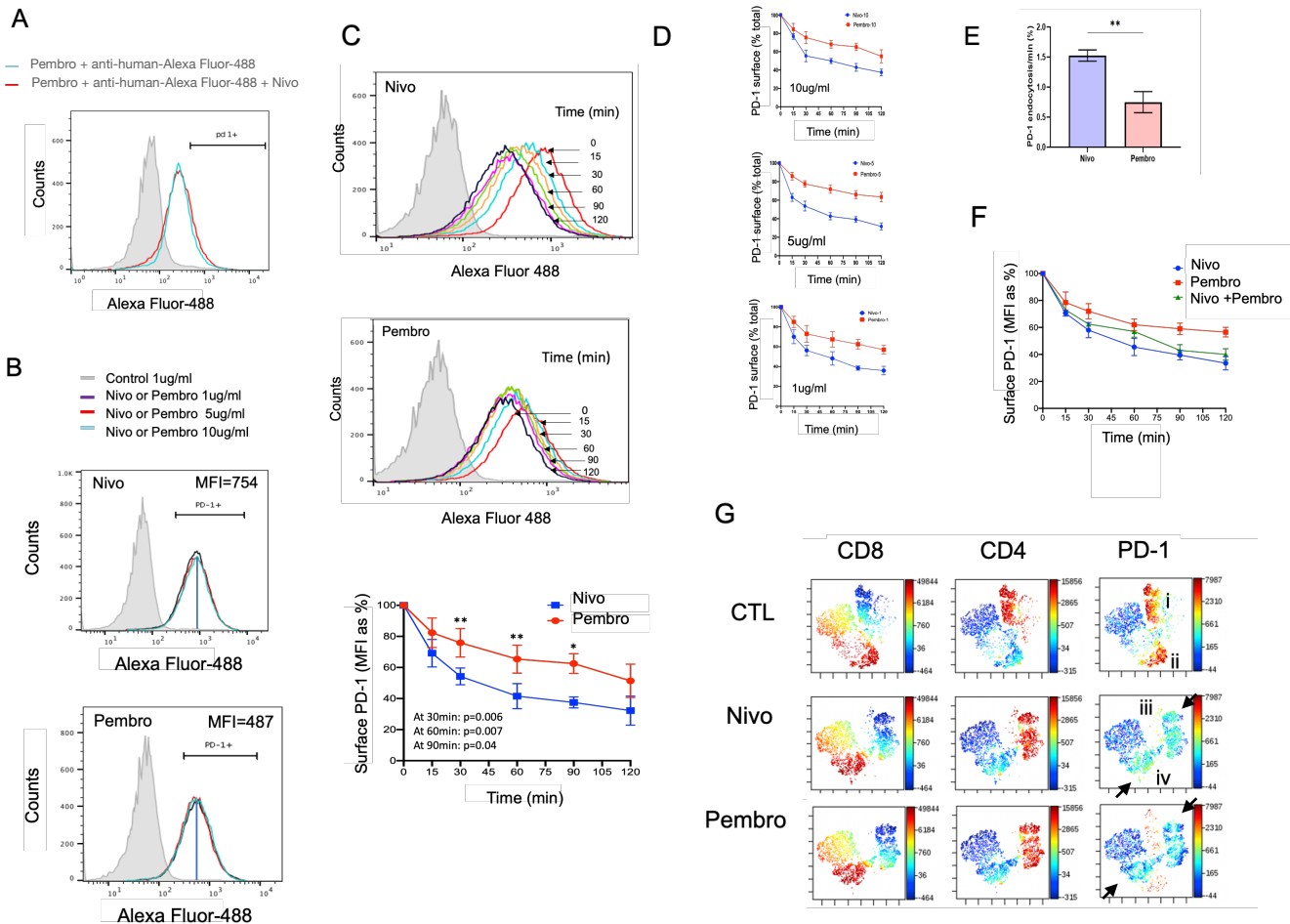


Figure 2: Nivolumab is more effective than Pembrolizumab causing the conversion of high-density PD-1 to low-density T-cells

Panel A: Confirmation of distinct binding sites on PD-1 for Nivolumab and Pembrolizumab. The binding of Pembrolizumab (Alexa Fluor-488) (1ug/ml) is not displaced by unlabeled Nivolumab (1ug/ml). (n=2)

Panel B: Nivolumab binds more to T-cells than Pembrolizumab. Nivolumab (1-10ug/ml) showed a higher mean fluorescence intensity (MFI) on T-cells compared to Pembrolizumab. Nivolumab has an MFI value of 754, while Pembrolizumab has an MFI of 487 (n=3).

Panel C: Both Nivolumab and Pembrolizumab induce PD-1 internalization. T-cells are activated with anti-CD3/CD28 to express PD-1, followed by a rest period. Cells are then exposed to saturating amounts of Nivolumab or Pembrolizumab at 4oC, washed to remove excess antibody, and incubated at 37oC for various times. Staining with secondary antibodies detects surface-bound anti-PD-1. Upper and middle panels show the time-dependent internalization of PD-1 induced by Nivolumab and Pembrolizumab. The lower panel displays a histogram of the time course of PD-1 down-regulation by both antibodies (n=4).

Panel D: Histograms indicating the loss of Nivolumab or Pembrolizumab-induced internalization over time (0-120min) at different antibody concentrations (1, 5, 10ug/ml) as a percentage of the original binding (MFI) (n=3).

Panel E: Histogram representing the rate of endocytosis as a percentage relative to total binding over 6-minutes (n=2).

Panel F: Nivolumab induces PD-1 internalization more rapidly than Pembrolizumab, including when used in combination (n=4)

Panel G: viSNE profiles show the loss of PD-1 high-intensity clusters. Control (CTL) samples show the presence of a high-intensity PD-1 cluster on CD4 and CD8+ clusters (upper panel, clusters i and ii, respectively). Nivolumab (middle panel) and pembrolizumab (lower panel) incubation resulted in the loss of both clusters accompanied by the appearance of two new clusters with intermediate PD-1 expression (clusters iii and iv). These data show that anti-PD1-induced endocytosis causes the loss of high-density PD-1 from the surface of T-cells.

Figure 3

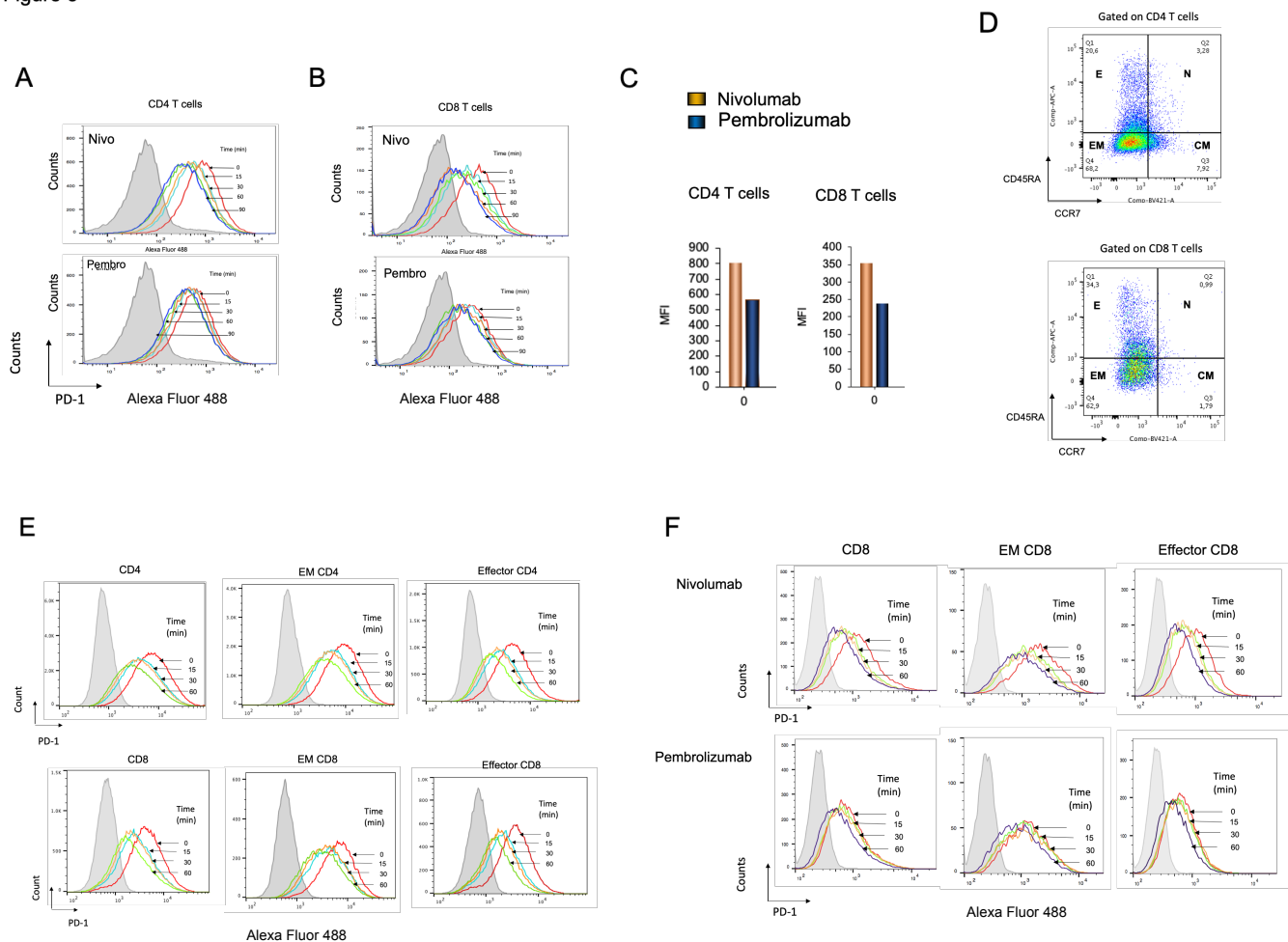


Figure 3: Anti-PD1 downregulates PD-1 from different T-cell subsets

Panel A: Histogram profiles showing the down-regulation of PD-1 expression on CD4+ T-cells by nivolumab (upper panel) and pembrolizumab (lower panel) (n=4).

Panel B: Histograms demonstrating the down-regulation of PD-1 expression on CD8+ T-cells by nivolumab (upper panel) and pembrolizumab (lower panel) (n=4).

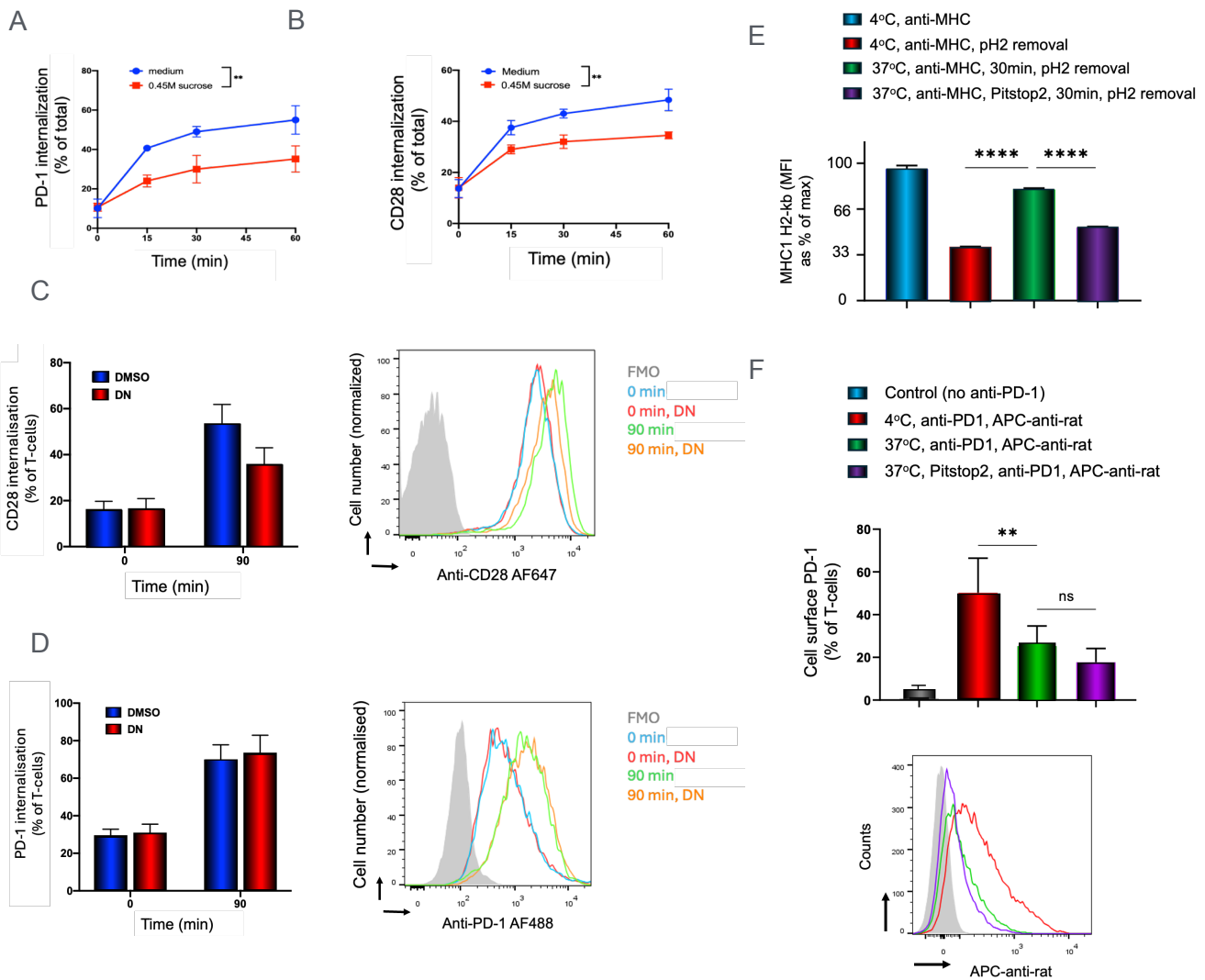
Panel C: Nivolumab binds at higher levels to activated human CD4 and CD8 T-cells than pembrolizumab, as demonstrated by the histogram depicting mean fluorescence intensity (MFI) values.

The left panel illustrates the MFI values for nivolumab and pembrolizumab binding to human CD4 T-cells, while the right panel shows the MFI values for the same antibodies binding to CD8+ T-cells (n=3).

Panel D: Gating strategy for effector memory and effector CD4 and CD8+ human T-cells. The figure displays FACS profiles employed to identify effector memory CD4 and CD8+ T-cells, based on the staining of CD45RA and CCR7 markers. The experiment was conducted with a sample size of four (n=4). Upper panels: CD4 cells; Lower panels: CD8 T-cells

Panel E: FACS analysis of PD-1 expression on effector memory (EM) and effector (E) CD4 and CD8+ T-cells. The figure illustrates the impact of nivolumab on the down-regulation of PD-1 expression in effector memory and effector CD4 (upper panels) and CD8+ (lower panels) T-cells (n=4).

Panel F: FACS analysis of nivolumab and pembrolizumab induced downregulation of PD-1 on effector memory (EM) and effector (E) CD8+ T-cells over time. Upper panel: Nivolumab-induced PD-1 down-regulation; Lower panel: Pembrolizumab induced PD-1 down-regulation.



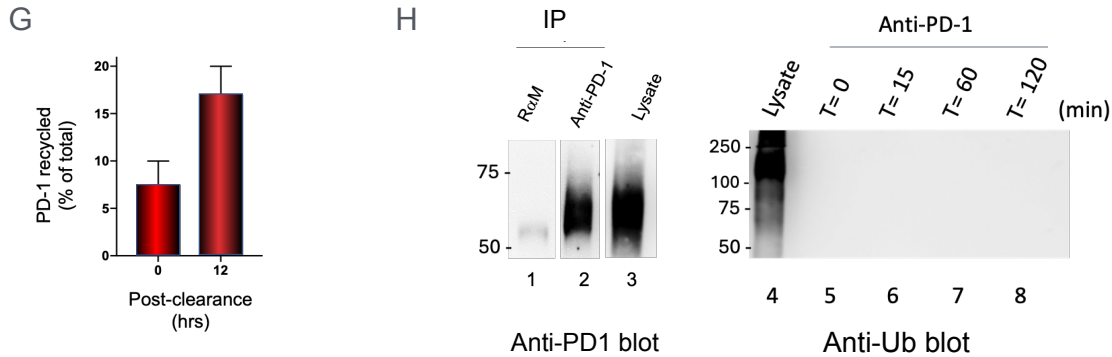


Figure 4: Anti-PD1 induced internalisation and recycling is proteasome-dependent but independent of clathrin, caveolae, and ubiquitination

Panel A: PD-1 induced internalisation is inhibited by hypotonic sucrose (n=3). In this assays, as outlined in Figure 1D, Human T-cells were activated with anti-CD3/CD28 for 2 days to induce PD-1 expression. Following washing away stimulatory antibodies and a rest period of 24 hours, T-cells were incubated with the PE-conjugated anti-PD-1 J110 at 37°C for different durations. Surface anti-PD-1 was then removed using an acidified dissociation solution, and the remaining PE-conjugated antibody was measured to assess internalisation. Cells incubated with sucrose showed lower levels of internalized PD-1.

Panel B: CD28 induced internalisation is inhibited by hypotonic sucrose (n=3). The internalization of CD28 was measured using the same approach as in Panel A. Cells incubated with sucrose showed lower levels of internalized CD28.

Panel C: Anti-CD28 induced internalisation is inhibited by incubation with Dynsore (n=3). CD28 internalisation was assessed in activated human T-cells for 90min in the absence or presence of DN following the protocol as in panel B. Left panel: histogram showing the percent PD-1 internalization; right panel: FACs profile.

Panel D: Anti-PD1 induced internalisation was not affected by incubation with Dynsore (DN)(n=3). PD-1 internalisation was assessed in activated human T-cells for 90min in the absence or presence of DN as in panel A.. Left panel: histogram showing the percent PD-1 internalization; right panel: FACs profile.

Panel E: Pitstop inhibits MHC class 1 antigen induced internalization (n=2). As outlined in Figure 1A-C, mouse activated T-cells were then incubated with anti-PD-1 (clone RMP1-14) at 4°C on ice for 30 minutes, washed to remove unbound antibody, and then incubated at 37°C for varying times.

Panel F: Pitstop fails to affect anti-PD1 induced internalization (n=2). As outlined in Figure 1A-C, mouse activated T-cells were then incubated with anti-PD-1 (clone RMP1-14) at 4°C on ice for 30 minutes, washed to remove unbound antibody, and then incubated at 37°C for varying times. Subsequent staining of surface-bound anti-PD-1 with AF488-anti rat enabled the detection of remaining surface-bound anti-PD-1. Left panel: FACs profile; right panel: histogram showing the percent PD-1 internalization.

Panel G: Internalized anti-PD1-PD1 complexes can be recycled to the surface of T-cells. Activated human T-cells were incubated with the anti-PD-1 J110 at 37°C for 60min followed by surface anti-PD-1 removal using an acidified dissociation solution. Cell were then left to incubate in RPM1 1640 media with 10% FCS and antibiotics overnight followed by staining of cells with PE-conjugated anti-mouse antibody to measure surface PD-1.

Panel H: Anti-PD1 internalisation occurs without detectable ubiquitination (n=3). Anti-PD1 internalisation occurs without detectable ubiquitination (n=3). Activated human T-cells were bound by anti-PD-1 at 4°C followed by an incubation from 0 to 120 min at 37°C. Cells were then solubilized in Triton X-100 containing lysis buffer, followed by the addition of anti-PD-1 or IgG and precipitation using Protein A Sepharose beads. Rabbit anti-mouse and anti-PD1 precipitates as well as cell lystsates were subjected to immunoblotting for PD-1 (lanes 1-3). Similarly, cell lysates (lanes 4) or anti-PD-1 precipitates over times of 0 to 120min were subjected to anti-Ubiquitin (Ub) blotting (lanes 4-8).

Figure 5

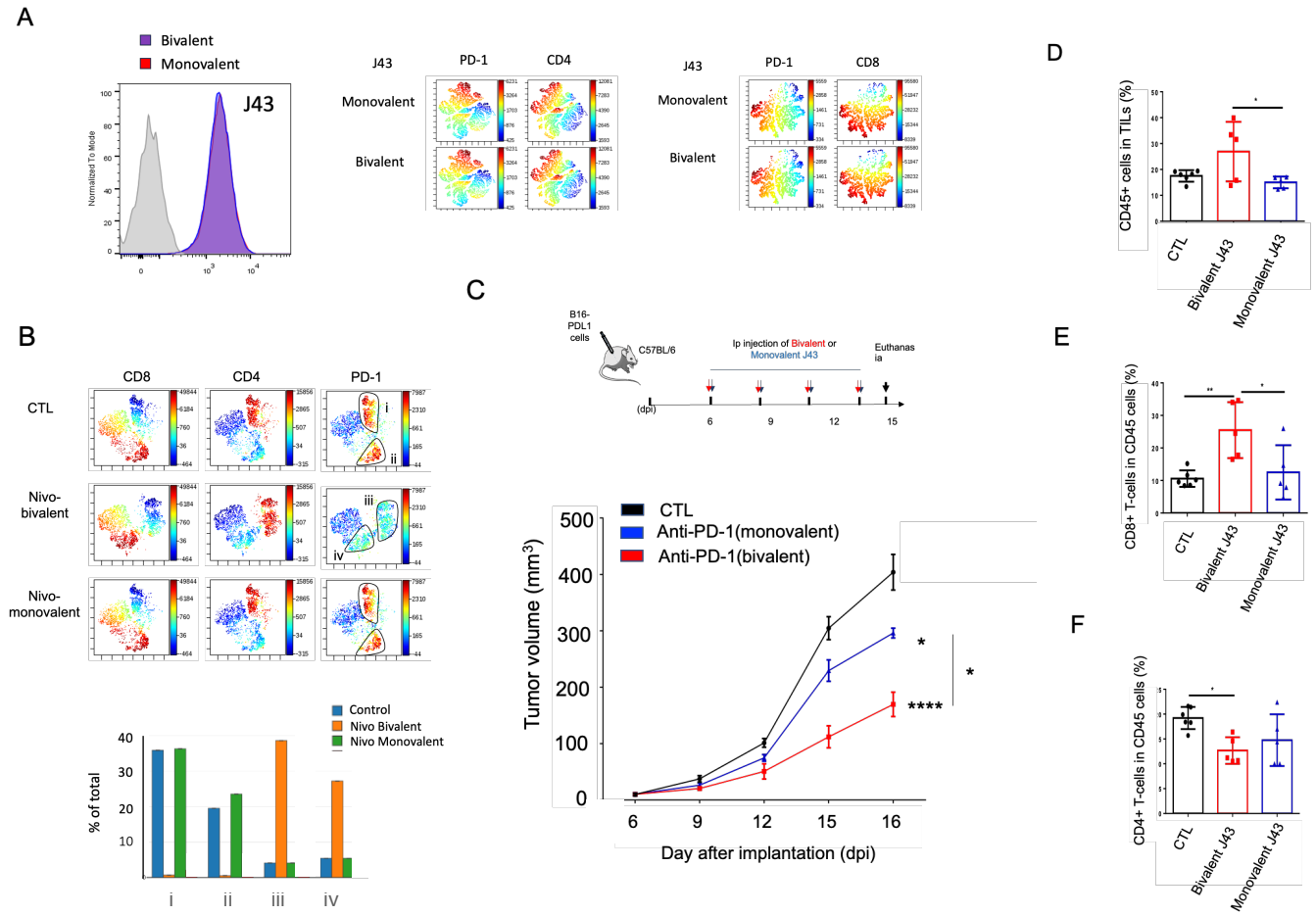


Figure 5: The relative effects of monovalent vs bivalent antibody on tumor elimination. Bivalent anti-PD-1 preferentially affects the expression of perforin for CD8+ cytolytic function.

Panel A: Monovalent and bivalent J43 bind to CD4 (upper panel) and CD8 (lower panel) T-cells. 1ug/ml of monovalent and bivalent was incubated for 5min (blue) and 30min (dark red) with cells before washing and analysis by flow cytometry (n=2).

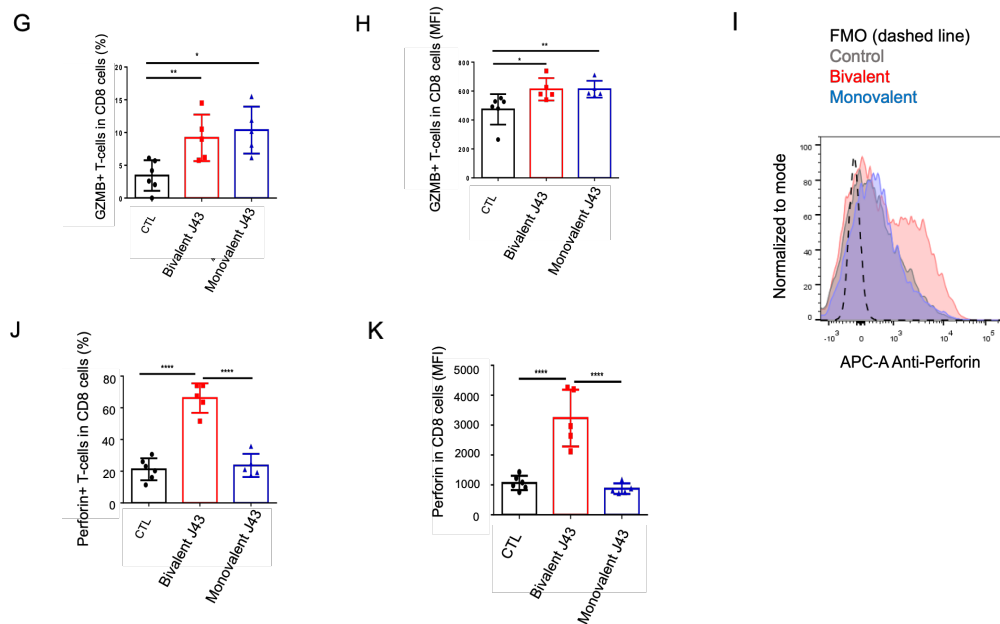
Panel B: viSNE profiles showing that bivalent but not monovalent nivolumab down-regulates PD-1 on human activated human T-cells. Upper panel: Bivalent nivolumab control staining of T-cells for 30min at 4°C. The staining identified two clusters of high PD-1 (clusters i and ii) on CD4 and CD8 cells respectively (control). Middle panel: Bivalent nivolumab incubation with T-cells for 60min at 37°C. viSNE profile shows that clusters i and ii were largely reduced and replaced by the presence of new clusters with low-intermediate PD-1 expression (clusters iii and iv). Lower panel: monovalent nivolumab was incubated with T-cells for 60 minutes at 37°C and showed no downregulation of anti-PD-1 staining (n=5).

Panel C: Histogram showing the growth of B16-F10 tumors in mice in the absence and presence of biovalent and monovalent anti-PD-1. Upper panel: Regime for the treatment of mice with B16-PD-L1+ tumors; Lower panel: While monovalent and bivalent J43 anti-PD-1 limited B-16 F10 tumor growth, bivalent anti-PD1 had a greater effect (n=4).

Panel D: Bivalent but not monovalent J43 promotes the presence of increased numbers of CD45+ T-cells in tumors (n=3).

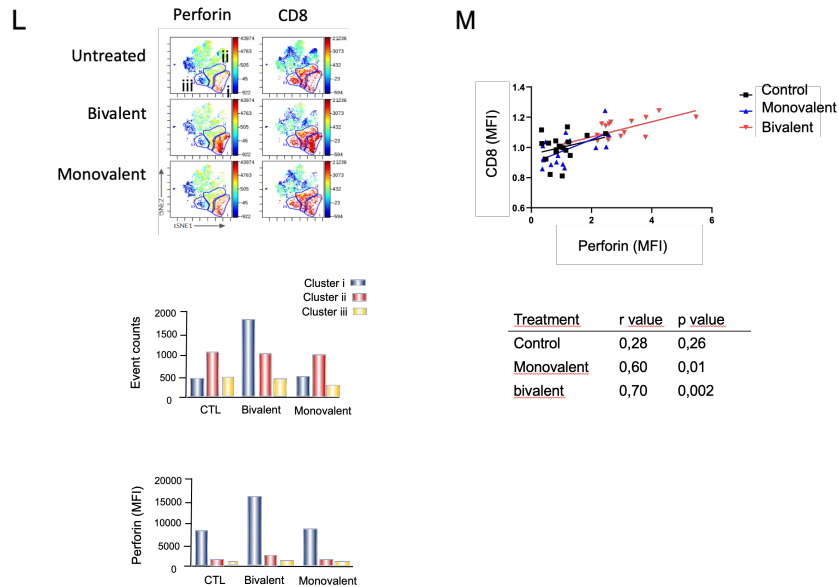
Panel E: Bivalent but not monovalent J43 therapy increases the presence of CD8+ T-cells within the CD45+ TIL population (n=3).
Panel F: Bivalent but not monovalent J43 therapy reduces the relative presence of CD4+ T-cells within the CD45+ TIL population.

Figure 5



Panel G: Both bivalent and monovalent J43 therapy promote an increased proportion of GZMB expressing cells within the CD8+ TIL population.
Panel H: Both bivalent and monovalent J43 therapy promote an increased expression of GZMB in the CD8+ TIL population.
Panel I: FACS profile of the effects of bivalent but monovalent J43 therapy on the expression of perforin within the TIL population.
Panel J: Bivalent but not monovalent J43 therapy promotes a major increase in the percentage of CD8+ T-cells expressing perforin within the CD8+ TIL population.
Panel K: Bivalent but not monovalent J43 therapy promotes a major increase in the level of expression (MFI) of perforin within the CD8+ TIL population.

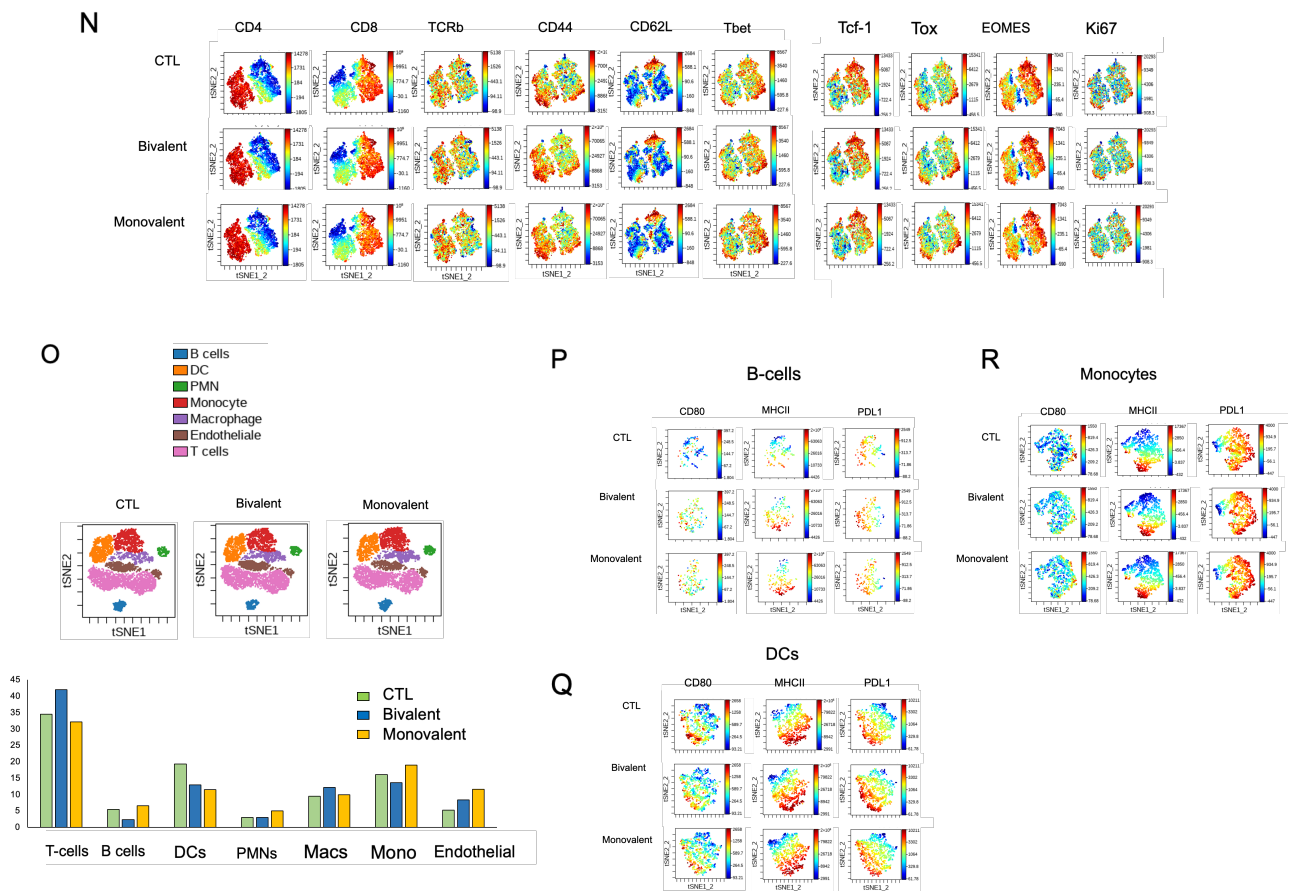
Figure 5



Panel L: viSNE profiles showing the effects of bivalent J43 antibody crosslinking and endocytosis on therapy on perforin expression on CD8+ TILs. Upper panel: viSNE profiles showing the presence of 3 clusters (i-iii) in which bivalent J43 increases the signal in cluster i relative to the untreated and monovalent therapy. Middle panel: histogram shows the increase in the event count (number of cells) in cluster 1 caused by bivalent J43; lower panel: histogram showing the increase in the MFI for perforin expression in cluster 1 caused by bivalent J43.

Panel M: Regression profile showing the correlation between CD8 and perforin expression induced by both monovalent and bivalent anti-J43. Lower table: The r value and p values for bivalent J43 were stronger than for monovalent J43.

Figure 5



Panel N: viSNE profiles showing that bivalent J43 did not affect other aspects of TILs as defined by a comparison of CD44, CD62L, Tbet, TCF-1, Tox, Eomes and Ki67 expression.

Panel O: Bivalent J43 does not have major effects on other TIL cells. Upper tSNE profile showing the representation of different populations of TILs in response to bivalent vs. monovalent engagement of PD-1. Bivalent showed an increase in numbers of T-cells with a reduction in B-cells and little effect on the presence of PMNs, macrophages (Macs), monocytes (Mono), and endothelial cells.

Panel P: viSNE profiles showing that bivalent and monovalent therapy both increased the presence of MHC class II and PDL1 expression on B-cells.

Panel Q: viSNE profiles showing that bivalent and monovalent therapy showed a trend in decreasing CD80 expression while having little effect on MHC class II or PDL1 expression on DCs.

Panel R: viSNE profiles showing that bivalent and monovalent therapy showed bivalent and monovalent therapy had little effect on the monocytic populations.

Figure 5

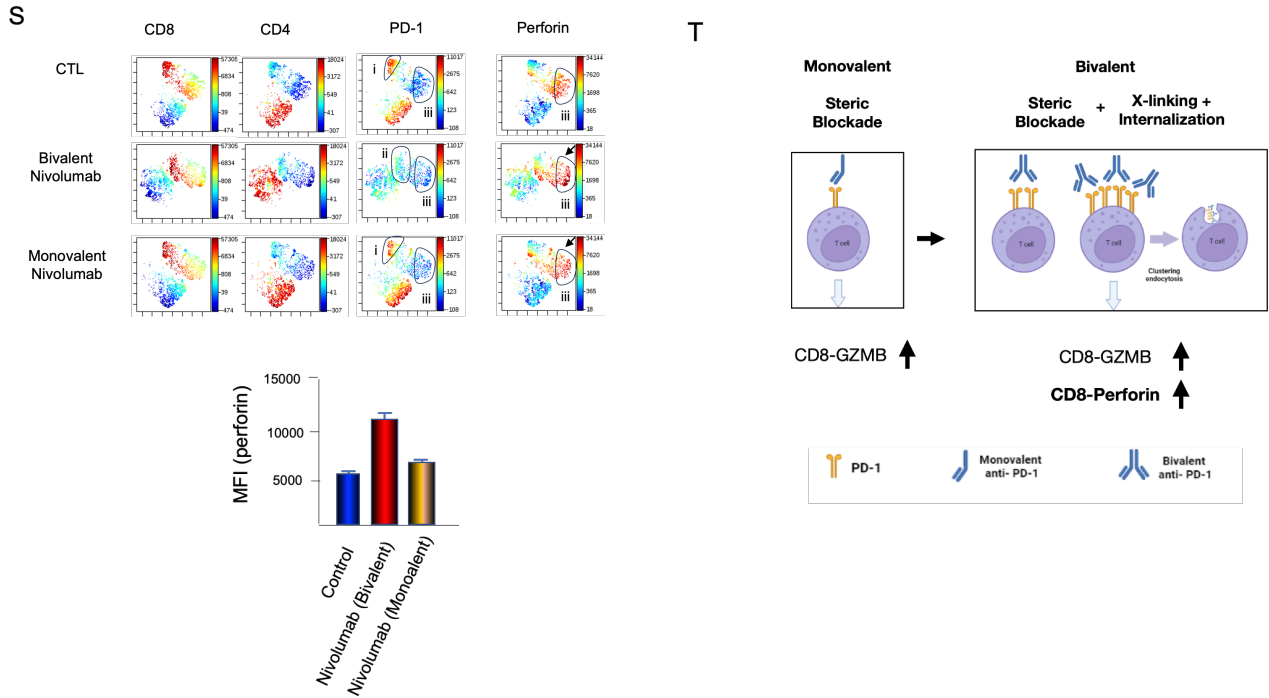


Figure 5: Perforin expression in human CD8+ T-cells requires bivalent Nivolumab.

Panel S: Human T-cells were initially activated for 24 hours, followed by a period of rest and incubation with either monovalent or bivalent Nivolumab. Afterward, the expression of perforin was analyzed. viSNE profiles revealed the presence of two distinct clusters (i and iii) of CD8+ T-cells. As seen in untreated control cells, cluster i expressed high levels of PD1 and no perforin. Cluster iii expresses high levels of perforin and low PD1. The downregulation of PD-1 with bivalent antibodies resulted in the loss of cluster I, the emergence of a new cluster ii with intermediate PD-1 levels, and an increase in the signal intensity for perforin in cluster iii. This change was not seen with monovalent Nivolumab which instead resembled the pattern in control samples. Lower panel: a histogram illustrating the increase in mean fluorescence intensity (MFI) of perforin in response to bivalent antibody treatment.

Panel T: Model illustrating the differential effects of steric blockade on CD8+ T-cells. The model compared the impact of monovalent steric blockade (achieved through anti-PD1 antibodies) with steric blockade combined with internalization (achieved through bivalent anti-PD1 antibodies). The model shows that steric blockade with either monovalent or bivalent anti-PD-1 can regress tumors and induce an increase in GZMB expression. By contrast, bivalent induced anti-PD-1 internalisation can regress tumors more effectively and an increase in the expression of perforin. This increase in perforin was observed in both *in vivo* and *in vitro* assays, showing a requirement for steric blockade combined with receptor crosslinking and internalisation. These data show that anti-PD-1 induced endocytosis unleashes the cytolytic potential of check-point blockade in tumor immunity. It further suggests that the targeting PD-1 endocytosis can optimize the potential of checkpoint blockade for anti-tumor immunity.

Supplementary Figures **for:**

PD-1 endocytosis unleashes the cytolytic potential of
check-point blockade in tumor immunity

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Table S1
Figures S1-3

PD1 + Rab5A (EEA1)

| Broad view field | I | II | III | IV | V | VI | VII | VIII | IX | X | Total |
|---|---|----|-----|----|---|----|-----|------|----|----|-------|
| Cell count | 8 | 9 | 20 | 21 | 7 | 41 | 27 | 37 | 30 | 22 | 222 |
| No. of cells with PD-1 internalized | 6 | 7 | 14 | 11 | 5 | 15 | 8 | 15 | 14 | 14 | 109 |
| No. of cells with PD-1/EEA1 colocalized | 4 | 3 | 9 | 8 | 2 | 11 | 8 | 14 | 13 | 12 | 84 |

% of PD-1 internalized cells – 49 %

% of PD-1/EEA1 colocalized cells – 37.8 %

% of cells with internalised PD-1 that showed >25% co-localised EEA1 clusters/cell – 77%

PD1 + Rab7A

| Broad view field | I | II | III | IV | V | VI | VII | VIII | IX | X | Total |
|--|----|----|-----|----|----|----|-----|------|----|----|-------|
| Cell count | 20 | 16 | 24 | 12 | 13 | 18 | 20 | 22 | 25 | 27 | 197 |
| No. of cells with PD-1 internalized | 16 | 10 | 21 | 9 | 10 | 12 | 14 | 17 | 18 | 21 | 148 |
| No. of cells with PD-1/Rab7A colocalized | 11 | 8 | 14 | 7 | 6 | 5 | 9 | 12 | 11 | 13 | 96 |

% of PD-1 internalized cells – 75.1 %

% of PD-1/Rab7A colocalized cells – 48.7 %

% of cells with internalised PD-1 that showed >25% co-localised Rab7A clusters/cell– 64%

table S1: Table showing the numbers of T-cells with internalized PD-1, percent with PD1/ Rab5A (EEA1) or Rab7A colocalization and percent of cells in which greater than 25% of PD-1 clusters colocalized with EEA1 or Rab7A. Upper panel: PD1 and Rab5A (EEA1); lower panel: PD1 and Rab7A (n=3).

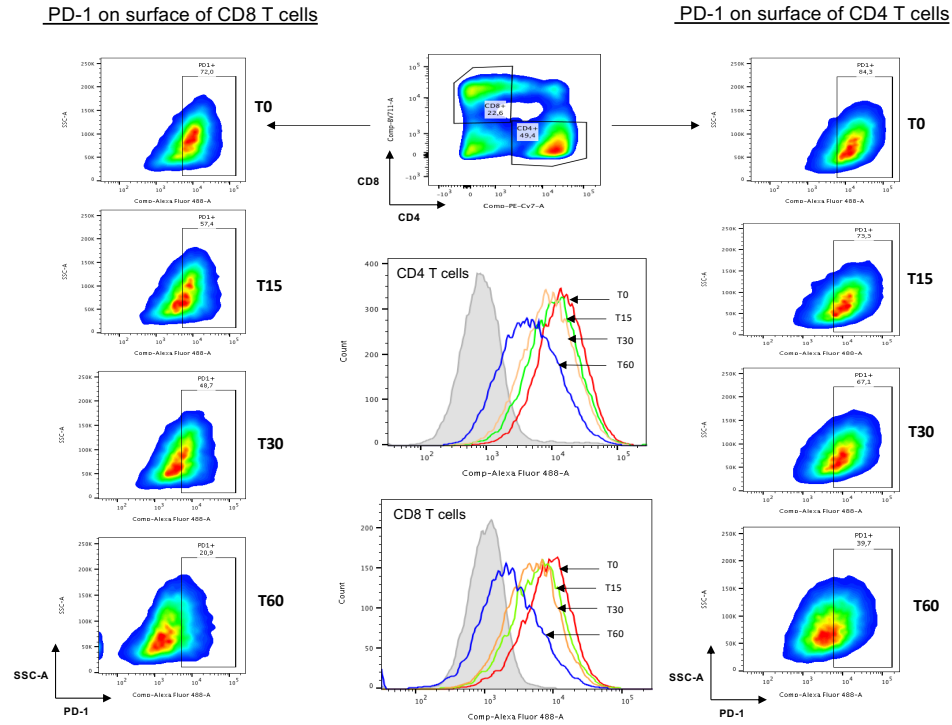


Figure S1: Cytometry analysis of PD-1 expression on CD4 and CD8+ T-cells. The figure illustrates the gating and images of the impact of nivolumab on the down-regulation of PD-1 expression in effector memory CD8 (left panel) and CD4+ (right panel) T-cells. The middle panel shows the histograms showing the reduction in PD-1 expression. The representative experiment was conducted 4 times (n=4).

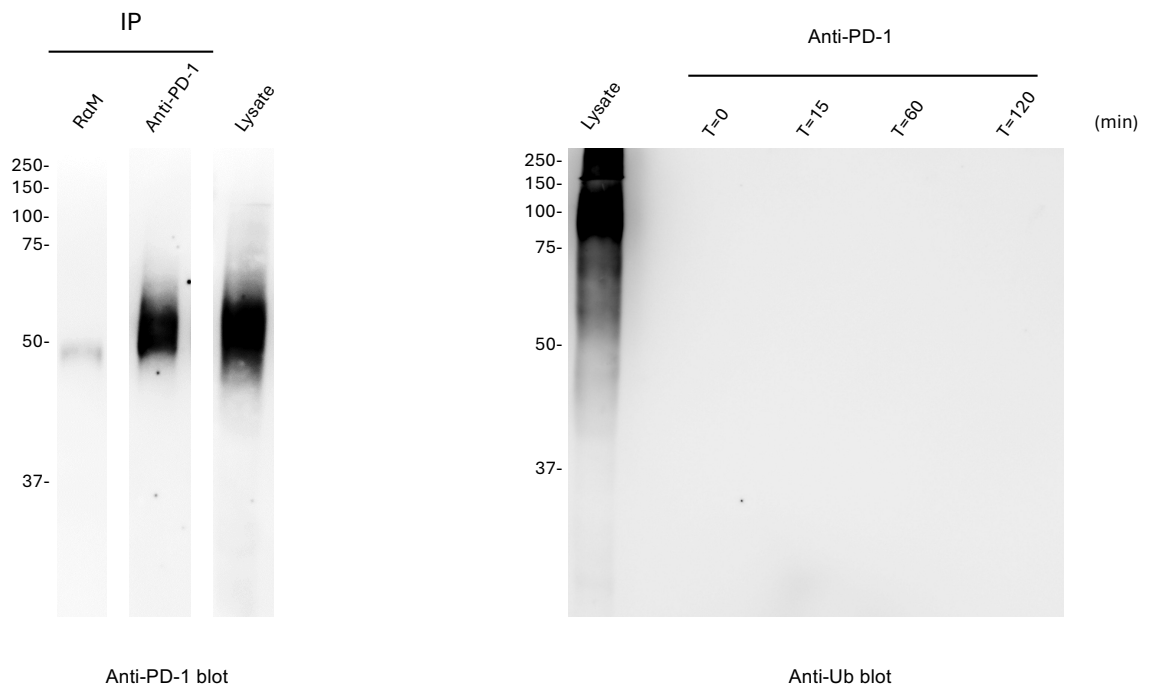


Figure S2: Full length blots for anti-PD1 and anti-Ub blotting (match for Figure 4H).

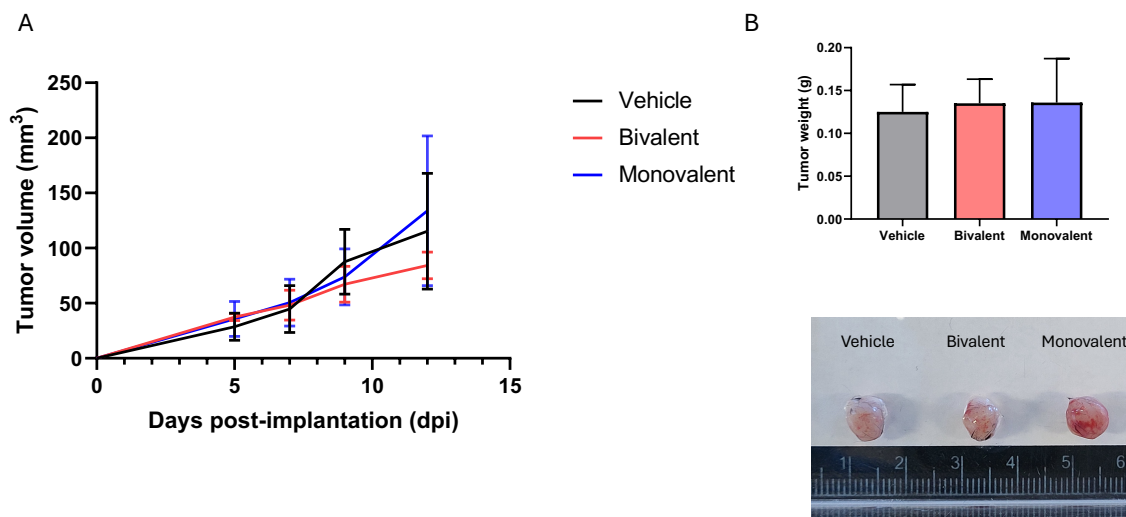


Figure S3: Histogram showing the growth of Lewis lung carcinoma (LLC) tumors in mice in the absence and presence of biovalent and monovalent anti-PD-1. LLC cells were expanded in vitro following implantation in C57/Bl mice subcutaneously followed by growth of tumor until day 6 at which time mice were injected every 2nd day with either bilvalent (red) or monovalent (blue) anti-PD-1 (3 mice/group). Panel A: Tumor growth curve for the LLC tumor. Neither bivalent nor monovalent anti-PD-1 altered tumor growth. Panel B: Upper panel: Tumor weight of LLC tumors on day 12. Lower panel: Example of images of tumors treated with vehicle (PBS), monovalent or bivalent antibody.