

1 **Mixtures of rare earth elements show antagonistic interactions in *Chlamydomonas***  
2 ***reinhardtii***

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15 **ABSTRACT**

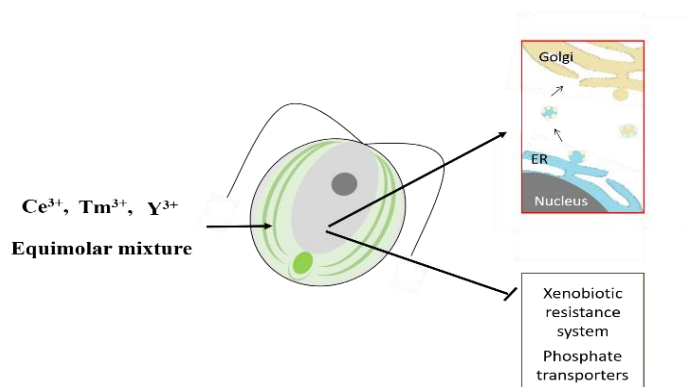
16 In order to better understand the environmental risks of the rare earth elements  
17 (REEs), it is necessary to determine their fate and biological effects under environmentally  
18 relevant conditions (e.g. at low concentrations, REE mixtures). Here, the unicellular  
19 freshwater microalga, *Chlamydomonas reinhardtii*, was exposed for 2 h to one of three  
20 soluble REEs (Ce, Tm, Y) salts at 0.5  $\mu$ M or to an equimolar mixture of these REEs. RNA  
21 sequencing revealed common biological effects among the REEs. Known functions of the  
22 differentially expressed genes support effects of REEs on protein processing in the  
23 endoplasmic reticulum, phosphate transport and the homeostasis of Fe and Ca. The only  
24 stress response detected was related to protein misfolding in the endoplasmic reticulum.  
25 When the REEs were applied as a mixture, antagonistic effects were overwhelmingly  
26 observed with transcriptomic results suggesting that the REEs were initially competing  
27 with each other for bio-uptake. Metal bio-uptake results were consistent with this  
28 interpretation. These results suggest that the approach of government agencies to regulate  
29 the REEs using biological effects data from single metal exposures may be a largely  
30 conservative approach.

31 **KEY WORDS**

32 Rare earth elements, mixture, transcriptomic analysis, microalgae

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34 **GRAPHICAL ABSTRACT**



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36

37 **INTRODUCTION**

38 Rare earth elements (REEs) are strategic metals used in the development of the low-  
39 carbon energy sector.<sup>1,2</sup> They include the lanthanide metals, in addition to yttrium (Y) and  
40 scandium (Sc). In the aquatic environment, REE concentrations vary from  $\text{ng L}^{-1}$  in non-  
41 contaminated waters<sup>3</sup> to  $\text{mg L}^{-1}$  in the more contaminated ones.<sup>4</sup> Nonetheless, REE  
42 contamination levels appear to be increasing in the environment, due largely to increasing  
43 e-waste discharges<sup>5</sup> and agricultural applications, such as REE enriched fertilizers.<sup>6,7</sup> In  
44 addition, REE contamination has been observed in streams close to REE mines.<sup>8</sup>

45 In natural aquatic systems, the light lanthanides (lanthanum (La) to europium (Eu))  
46 are generally found at higher concentrations than the heavy lanthanides (gadolinium (Gd)  
47 to lutetium (Lu)), largely due to their greater solubilities.<sup>9</sup> Heavy REEs are generally more  
48 strongly complexed than light REEs,<sup>10</sup> potentially decreasing their free ion concentration  
49 (and thus their bioavailability). Although there are relatively few exposure data available  
50 to define the risk of REEs to environmental and human health, early reports have indicated  
51 that the heavy REEs are more toxic than the light ones.<sup>11</sup> For example, La, cerium (Ce) and  
52 neodymium (Nd) were less toxic to juvenile rainbow (*Oncorhynchus mykiss*) trout than Y,  
53 samarium (Sm), erbium (Er) and Gd with LC50 values in the range of 0.1 to  $1 \text{ mg L}^{-1}$  for  
54 Y (i.e. 1.1 to  $11 \mu\text{M}$ ); 1 to  $10 \text{ mg L}^{-1}$  for Sm, Er and Gd and  $>40 \text{ mg L}^{-1}$  for La, Ce and Nd  
55 (i.e.  $> 286 \mu\text{M}$  for Ce).<sup>12</sup>

56 Nonetheless, several factors complicate risk determinations for the REEs including:  
57 REE speciation cannot be predicted solely from thermodynamic considerations;<sup>13,14</sup> some  
58 REE complexes appear to be bioavailable (e.g. those formed with small hydrophilic  
59 ligands);<sup>15,16</sup> and toxicological responses vary across biological species.<sup>10</sup> In addition,

60 numerous toxicological experiments that have been performed in the presence of phosphate,  
61 which is known to precipitate the REEs.<sup>17</sup>

62 Organisms inhabiting metal-contaminated natural waters are almost always  
63 exposed to metal mixtures.<sup>18</sup> This is especially true for metals within the REE series, which  
64 are nearly always found together in natural systems.<sup>19-22</sup> In metal mixtures, uptake may be  
65 both competitive and non-competitive, resulting in biological uptake and toxicological  
66 impacts that can be antagonistic, additive or even synergistic.<sup>18, 23-25</sup> For algae and plants,  
67 the few reports on the biouptake of REE mixtures have shown antagonistic effects of one  
68 on the uptake of the other.<sup>16, 26</sup> However, biological effects are poorly understood,  
69 especially at environmentally relevant REE concentrations and for REE mixtures. The  
70 interpretation of bioaccumulation or toxicity results for REE mixtures is complex due to  
71 complex interactions at the site(s) of toxicity, interactions among physiological processes  
72 and potential chemical interactions with constituents in the media, affecting chemical  
73 speciation.<sup>10</sup>

74 In this study, a chemically simple exposure medium was used in order to facilitate  
75 the control of REE speciation. Three REEs were examined: Ce (light REE), Tm (heavy  
76 REE) and Y (chemically similar REE). Exposure concentrations of 0.5  $\mu\text{M}$  (equivalent to  
77 70.1  $\mu\text{g}\cdot\text{L}^{-1}$  of Ce, 84.5  $\mu\text{g}\cdot\text{L}^{-1}$  of Tm and 44.5  $\mu\text{g}\cdot\text{L}^{-1}$  of Y) were used to simulate moderate  
78 contamination. These REE concentrations are slightly higher than the maximum  
79 permissive exposure concentrations for freshwater systems (1.8-22.1  $\mu\text{g}\cdot\text{L}^{-1}$ , i.e.  $\sim 0.01$ - $0.1$   
80  $\mu\text{M}$ ).<sup>27</sup> Biological effects of the REEs, individually and in a ternary mixture, were revealed  
81 by transcriptome profiling (RNA-Seq) analysis performed on *Chlamydomonas reinhardtii*.  
82 RNA-Seq has been previously used to gain a more mechanistic understanding of metal

83 homeostasis in *C. reinhardtii* for both essential nutrients (*e.g.* copper<sup>28</sup>, iron<sup>29</sup>, zinc<sup>30</sup>) or  
84 toxic metals (*e.g.* methyl mercury<sup>31</sup>, Cd<sup>32</sup>, Pb<sup>33</sup>). In this study, transcriptomic effects of the  
85 REEs were inferred from the known functions of the differentially regulated genes in  
86 comparison to unexposed microalgae. Expression levels for an equimolar mixture of the  
87 REEs were then compared to predictions based upon the single metal exposures.

88

## 89 **MATERIALS AND METHODS**

### 90 **MATERIALS**

91 All experiments were performed in polymerware (polypropylene or polycarbonate),  
92 which was first soaked in 2% v/v HNO<sub>3</sub> for 24 hours, rinsed 7x with Milli-Q water (total  
93 organic carbon < 2 µg L<sup>-1</sup>; resistivity > 18 MΩ cm) and dried under laminar flow conditions.  
94 Chemicals were molecular biology grade or higher, including acetic acid (analytical grade,  
95 Fisher Scientific, CA); chloroform (99,8%, Acros organics, CA); nuclease-free water  
96 (Qiagen, USA); K<sub>2</sub>HPO<sub>4</sub> and KH<sub>2</sub>PO<sub>4</sub> (ACS reagent grade, Fisher Chemical, CA); Tris  
97 (Tris-(hydroxymethyl)-aminomethane, USP/EPgrade, BDH, CA); EDTA disodium salt  
98 (Bioultra grade, Sigma-Aldrich, CA); Isotone (VWR, CA); HNO<sub>3</sub> (67–70%; Aristar Ultra,  
99 BDH), NaOH (Acros Organics, CA), NaMES (2-(N-morpholino)ethanesulfonic sodium  
100 salt, Acros Organics, CA); NaHEPES (4-(2-hydroxyethyl)-1-piperazineethanesulfonic  
101 sodium salt, Acros Organics, CA). Single element (1.0 g.L<sup>-1</sup>; Ce(NO<sub>3</sub>)<sub>3</sub>, Tm(NO<sub>3</sub>)<sub>3</sub>,  
102 Y(NO<sub>3</sub>)<sub>3</sub>) and multielement (10 mg.L<sup>-1</sup>; CMS-1) ICP-MS standards were acquired from  
103 Inorganic Ventures (USA).

### 104 **CULTURE AND EXPOSURE CONDITIONS**

105 *C. reinhardtii* is a green microalga that is ubiquitous to fresh waters and often used  
106 for studies examining the toxicology of pollutants in natural waters. Details on its specific  
107 culture conditions and preparation for experiments involving trace metals have been  
108 described previously.<sup>15</sup> In brief, the wild-type strain CC-125 (aka 137c, *Chlamydomonas*  
109 resource center) was cultured in 4×diluted TAP at 20°C under conditions of 12 h light/12  
110 h dark (60 mmol s<sup>-1</sup> m<sup>-2</sup>) using orbital shaking (100 rpm), until algae reached their mid-  
111 exponential growth phase. Cells were then washed (3×) by pelleting them by centrifugation  
112 (2000xg for 3 min) then resuspending them in an exposure medium (see below) that  
113 contained no metal. The concentrated cell suspension was then diluted to 6.5-10 x 10<sup>4</sup> cells  
114 mL<sup>-1</sup> (i.e. 0.15 cm<sup>2</sup>.mL<sup>-1</sup>) in an exposure solution containing the appropriate metal  
115 concentration. Culture densities and cell surface areas were measured using a Multisizer 3  
116 particle counter (50 µm aperture; Beckman Coulter, Mississauga, CA).

117 Cells were exposed for 2 h to 0.5 µM of **Ce**, **Tm**, **Y** or their equimolar mixture (**Mix**)  
118 in 10.0 mM NaHEPES (pH buffered at 7.0 in a solution also containing 10.0 µM  
119 Ca(NO<sub>3</sub>)<sub>2</sub>).<sup>34</sup> The exposure duration and concentration were selected to minimize  
120 physicochemical modifications to the exposure medium, while being long enough to obtain  
121 significant biouptake and resulting genomic response. Indeed, a plateau in the  
122 internalization flux was observed at ~0.5 µM for exposures of *C. reinhardtii* to: Ce<sup>35</sup>, Nd<sup>36</sup>,  
123 Sm<sup>16</sup> and Tm<sup>15</sup>. The use of a simplified exposure medium allowed the chemical speciation  
124 of the REEs to be precisely controlled, however, it may stress the microalgae at longer time  
125 exposure times due to nutrient deficiency (e.g. induction of *PSRI* after 4-8 h of phosphate  
126 starvation)<sup>37</sup>; therefore, short term exposures (2 h) were favored. Speciation was modeled  
127 using Visual MINTEQ v3.1, taking into account equilibration with atmospheric CO<sub>2</sub>. In

128 order to evaluate adsorptive losses and/or contamination, dissolved ( $< 0.45 \mu\text{m}$ ,  
129 nitrocellulose membrane, Millipore, CA) metal concentrations in the experimental media  
130 were measured: (i) after preparation of solutions but before the addition of the algae; (ii)  
131 following the addition of the algae and (iii) at the end of the 2h algal exposure to the REEs.  
132 Four hundred  $\mu\text{L}$  of  $\text{HNO}_3$  (67–70%) was added to 1 mL of sample followed by 5 h of  
133 heating at  $80^\circ \text{C}$  (DigiPREP, SCP Science, Baie d'Urfe, CA). Samples were then diluted  
134 to 10 mL in Milli-Q water and analyzed by inductively coupled plasma mass spectrometry  
135 (ICP-MS, PerkinElmer; NexION 300X, Waltham, US). A calibration curve for each  
136 element was run every 20 samples, while blanks and quality control standards were run  
137 every 10 samples. Indium was used as the internal standard to correct for instrumental drift.

138 Cell densities ( $6.5\text{-}10 \times 10^4 \text{ cells mL}^{-1}$ ) were low enough to ensure that REE  
139 concentrations did not decrease significantly over the duration of experiment (**Figure S1**).  
140 Following the 2 h exposure, cells were pelleted from 200 mL of the exposure medium by  
141 centrifugation ( $2000\times g$ , 2 min,  $4^\circ\text{C}$ ). Cell pellets were resuspended in 1 mL nuclease-free  
142 water before being transferred into 1.5 mL microtubes where cells were again pelleted by  
143 centrifugation. Cell pellets were frozen on dry ice and stored at  $-80^\circ \text{C}$ .

144 For metal biouptake experiments, cells were exposed in medium described above  
145 for 2 h to  $0.5 \mu\text{M}$  of Ce, Tm, Y or different equimolar binary mixtures (6 biological  
146 replicates, each in technical duplicate). Bioaccumulation was stopped at 120 min by adding  
147 5 mL of 0.1 M ethylenediaminetetraacetic acid (EDTA) to 45 mL of the exposure medium  
148 at 2 h, in order to stop further bioaccumulation and to wash weakly surface-adsorbed metal  
149 from the cell surface. One minute after the addition of EDTA, algae were filtered over two  
150 stacked  $3 \mu\text{m}$  nitrocellulose filters (Millipore). The filters were rinsed three times with 5



151 mL of 0.01 M EDTA. The top filter retained the algae, while the lower one was used to  
152 quantify adsorptive losses. Metal biouptake was determined from the difference between  
153 two filters. The filters were collected in 15 mL centrifugal tubes and transferred into 0.3  
154 mL of ultrapure HNO<sub>3</sub> (70% v/v) and digested at 85°C overnight. Metal concentrations in  
155 the filter digests and in the exposure media were diluted to 1-2% HNO<sub>3</sub> in Milli-Q water  
156 prior to analysis by ICP-MS. Flasks were also rinsed with 50 mL of 1% HNO<sub>3</sub> in order to  
157 verify mass balances. Mass balances were considered acceptable when recoveries were  
158 between 80% and 110%.

159

#### 160 **TOTAL RNA EXTRACTION**

161 Frozen cell pellets were thawed and immediately resuspended in freshly prepared  
162 lysis buffer (0.3 M NaCl, 5.0 mM EDTA, 50 mM Tris-HCl (pH 8.0), 2.0% (w/v) SDS, 3.3  
163 U mL<sup>-1</sup> proteinase K), where they were incubated at 37°C for 15 min with orbital shaking  
164 (300 rpm). Total RNA was isolated by extracting the sample 3x with  
165 phenol:chloroform:isoamyl alcohol (25:24:1, pH 6.8), followed by 1x with  
166 chloroform:isoamyl alcohol (24:1). At each step, samples were centrifuged (12000xg, 10  
167 min, 4°C) and supernatants were transferred into new tubes. Total RNA was precipitated  
168 from the final aqueous phase by isopropanol, then washed with 75% ethanol. After a final  
169 centrifugation, the pellet was resuspended in 20-30 µL of nuclease-free water. A 3 µL  
170 aliquot was analyzed by automated electrophoresis for RNA quality (RIN number > 7; 1.8  
171 < ratio 260/280 < 2.1; ratio 260/230 > 1.8; Bioanalyzer, Agilent, Mississauga, CA) and  
172 spectroscopy (OD260) in order to determine the RNA concentration (Nanodrop,  
173 Wilmington, US). RNA samples were stored at -80°C until RNA-Seq analysis.

174 **RNA-SEQ ANALYSIS**

175 DNase treatment, mRNA selection, library preparation (NEB/KAPA mRNA  
176 stranded library preparation) and Illumina sequencing were carried out at the Genome  
177 Quebec facilities ([www.gqinnovationcenter.com](http://www.gqinnovationcenter.com), Montreal, CA). Two lanes on a HiSeq  
178 (v.4) were used for the paired-end sequencing ( $2 \times 100$  base pairs) of 25 samples (5  
179 replicates for each treatment: Ce, Tm, Y, Mix, controls). All replicates were from  
180 independent algal cultures.

181 For each sample, *ca.* 55 million of reads with their sequences, identification and  
182 quality scores were stored in two FastQ files. Read quality was explored with FastQC  
183 (<https://www.bioinformatics.babraham.ac.uk/projects/fastqc>), while filtering quality and  
184 adapter trimming were carried out with Trim Galore!  
185 ([https://www.bioinformatics.babraham.ac.uk/projects/trim\\_galore](https://www.bioinformatics.babraham.ac.uk/projects/trim_galore)). Only paired reads  
186 obtained after the cleaning step (phred >20, length > 21 bp) were conserved. Reads were  
187 aligned to the *C. reinhardtii* genome v5.3 assembly using TopHat2 with standard presets  
188 except that intron size was between 30 and 28000 bpb.<sup>38</sup> Approximately 45 million reads  
189 were mapped for each sample (around 82% of raw data) with concordant pair alignment  
190 accounting for 85% of the total mapped reads in each sample. GeneBody coverage python  
191 script (RSeQC) was used to calculate the number of reads for each nucleotide position and  
192 to generate a plot illustrating the coverage profile along the gene (**Figure S2**).<sup>39</sup> The  
193 number of reads per gene were determined using the Python package HTSeq.<sup>40</sup> Raw RNA  
194 sequencing data and the associated count matrix can be found under the data deposit  
195 number : GSE176268 (Gene Expression Omnibus platform).

196 Differentially expressed genes (DEGs) were identified using DESeq2<sup>41</sup> for log<sub>2</sub> fold  
197 change (Log<sub>2</sub>FC) values exceeding |3| and false discovery rates (p<sub>adj</sub>) < 0.001. Gene  
198 annotations were retrieved from MapMan ontology.<sup>42,43</sup> The JGI Comparative Plant  
199 Genomics Portal was also used to explore the function of the gene sets of interest, including  
200 some of the “not assigned” genes obtained from MapMan.<sup>44</sup> The Fisher exact test was  
201 used to identify enriched metabolic pathways. The Algal Functional Annotation Tool was  
202 used to convert gene and transcript IDs, when necessary.<sup>45</sup>

203

#### 204 COMBINED EFFECT MODELING

205 Due to limitations in the applicability of the Concentration Addition (CA) model  
206 for transcriptomic data (e.g. no knowledge of expression ranges for a given gene),<sup>46</sup> an  
207 Independent Action (IA) model was used to predict transcriptomic effects associated with  
208 the mixture, in spite of expected similar modes of action among the REEs. The conceptual  
209 approach depicted by Song *et al.* in the case of binary toxicant mixtures<sup>47</sup> was adapted to a  
210 ternary mixture and the combined effects of the mixture treatments were evaluated for each  
211 differentially expressed gene (DEG). Additive interactions among the REEs were obtained  
212 when the Log<sub>2</sub>FC (fold change) observed for a given gene in the mixture treatment was  
213 equal to the Log<sub>2</sub>FC predicted by the IA model (**Eq. 1**), defined as the sum of the Log<sub>2</sub>FC  
214 of individual REE treatments ( $x_i$  represents the different REEs: Ce, Tm or Y). Gene  
215 expression was considered to be synergistic for  $|\text{Log}_2\text{FC}_{\text{observed}}(\text{Mix})| > |\text{Log}_2\text{FC}_{\text{predicted}}(\text{Mix})|$   
216 and antagonistic when  $|\text{Log}_2\text{FC}_{\text{observed}}(\text{Mix})| < |\text{Log}_2\text{FC}_{\text{predicted}}(\text{Mix})|$ . Different  
217 patterns of combined effects were also discriminated by taking into account the direction  
218 of the transcriptional regulation.<sup>47</sup>

$$219 \quad \text{Log}_2\text{FC}_{\text{observed}}(\text{Mix}) = \text{Log}_2\text{FC}_{\text{predicted}}(\text{Mix}) = \sum \text{Log}_2\text{FC}_{\text{observed}}(x_i) \quad (\text{Eq. 1})$$

## 220 RESULTS AND DISCUSSION

### 221 CHEMISTRY OF THE EXPOSURE SOLUTIONS

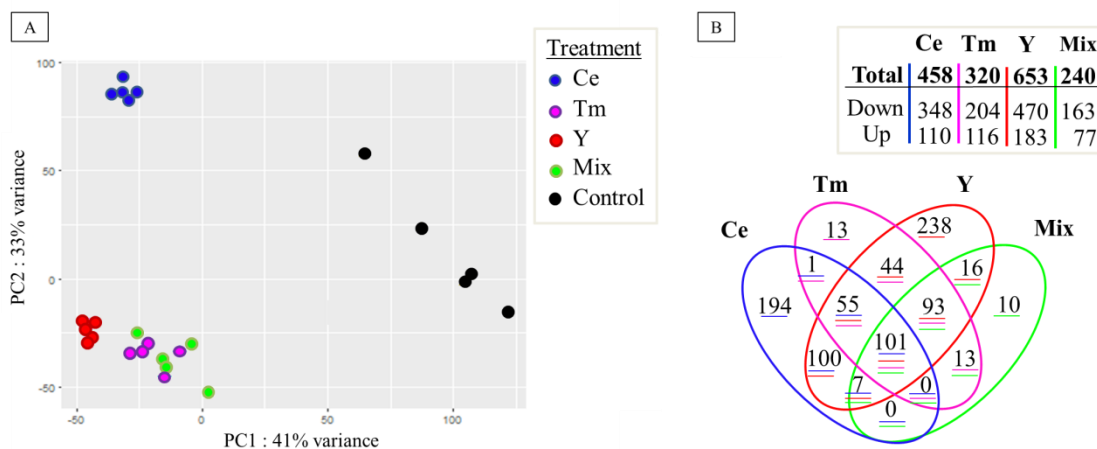
222 Speciation calculations indicated that the REEs should exist mainly as free metal  
223 ions in the simplified exposure solutions (72% to 85% of the total metal), with small  
224 contributions of the carbonate and hydroxide complexes (**Figure S3**). Nonetheless, in  
225 preliminary filtration controls of solutions containing the individual metals or the mixtures,  
226 important losses of REEs were observed when filtering over 0.45  $\mu\text{m}$  nitrocellulose  
227 membranes ( $91 \pm 4\%$  of Ce;  $84 \pm 4\%$  of Tm and  $70 \pm 18\%$  of Y). Losses were attributed  
228 to the adsorption of the trivalent metals to the flasks or filters, potentially following the  
229 formation of metastable (non-equilibrium) particles.<sup>10,35</sup> In spite of a thorough acid wash  
230 prior to use, losses were much more important when re-using older polycarbonate flasks,  
231 again reinforcing the hypothesis that adsorptive losses were occurring. In order to ensure  
232 consistency between added and measured concentrations in the exposure solutions: new  
233 flasks were employed; solutions were pre-equilibrated at least 24 hours prior to exposure;  
234 and concentrations were measured at the start and the end of the exposure period (**Figure**  
235 **S1**). In this manner, REE concentrations were stabilized, ranging from 0.45-0.49  $\mu\text{M}$  prior  
236 to the introduction of the algae (nominal concentration = 0.5  $\mu\text{M}$ ).

237

### 238 RNA-SEQ ANALYSES

239 Transcriptome profiling with RNA-Seq was used to compare effects of Ce, Tm, Y  
240 or an equimolar mixture of the three metals (2 h exposure to a nominal REE concentration  
241 of 0.5  $\mu\text{M}$ ). Of the 19,526 predicted transcripts in *Chlamydomonas reinhardtii*,<sup>48</sup> 16,855  
242 (86%) were detected, indicating sufficient coverage of the genome. Principal component

243 analysis (PCA), performed on expression levels of these transcripts, revealed that samples  
 244 exposed to Tm, Y and the ternary mixture could be distinctly grouped from those exposed  
 245 to Ce (PC2, 33% of variance) (**Figure 1A**). Results of PCA also indicated that the mixture  
 246 effect was most strongly influenced by Tm (and to a lesser extent by Y).

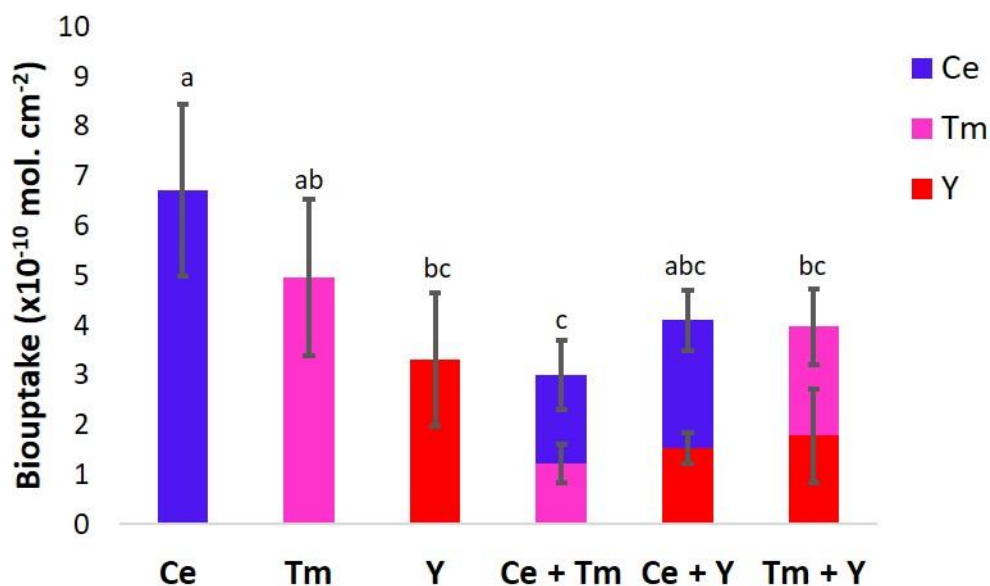


247 **Figure 1.** (A) PCA scores from RNASeq analysis resulting from 16,855 detected  
 248 transcripts following a 2 h exposure of *C. reinhardtii* to 0.5  $\mu$ M of: Ce (blue), Tm (pink),  
 249 Y (red), a ternary mixture of the three REE (green) and the control (i.e. no added metal,  
 250 black). (B) Differentially expressed genes (DEGs) with respect to the control ( $\text{Log}_2\text{FC} >$   
 251  $|3|$ ,  $p_{\text{adj}} < 0.001$ ), following a 2 h exposure of *C. reinhardtii* to 0.5  $\mu$ M of Ce, Y, Tm or their  
 252 mixture at pH 7.0.

254 Eight hundred and eighty-four (884) genes showed at least an 8-fold change (FC)  
 255 with respect to unexposed cells, due to one or more of the treatments ( $\text{Log}_2\text{FC} > |3|$ ,  $p_{\text{adj}} <$   
 256 0.001) (**Supplemental Data 1**). Fewer differentially expressed genes (DEGs) were  
 257 observed in the ternary metal mixture than for any of the individual metal treatments,  
 258 decreasing in the order Y (653 DEGs) > Ce (458 DEGs) > Tm (320 DEGs) > Mix (240  
 259 DEGs) (**Figure 1B**). These differences can only partly be attributed to free ion

260 concentrations in solution ( $[Ce^{3+}] > [Y^{3+}] > [Tm^{3+}]$ ) or the biouptake associated with the  
 261 individual treatments ( $[Ce] > [Tm] > [Y]$ ) (**Figure 2**) The proportion of up-regulated and  
 262 down-regulated DEGs were similar in all treatments, with about 70% down-regulated  
 263 genes (76% for Ce; 72% for Y; 64% for Tm and 68% for the ternary mixture; **Figure 1B**).  
 264 Below, we explore first the common effects of the REEs, based upon the annotated  
 265 functions of the differentially expressed genes. Second, we discuss the results that led us  
 266 to believe that some of the biological effects are distinct for the REEs. Finally, we  
 267 examined the nature of the interactions in the REE mixture.

268



269

270 **Figure 2.** Biouptake of Ce, Tm, Y by *C. reinhardtii* when exposed for 2 h to 0.5  $\mu$ M of  
 271 either the individual REEs or equimolar binary mixtures of the REEs. A one-way analysis  
 272 of variance paired with a Tukey test were used to highlight significant differences between  
 273 treatments (different letters when  $P < 0.05$ ) (Sigma Plot, v14).

274

275

276 **INSIGHT INTO COMMON BIOLOGICAL EFFECTS OF THE REES BASED ON**  
277 **TRANSCRIPTOMIC PROFILING**

278 The REEs tested showed some overlap in their biological effects supporting  
279 common REE targets. Of the 884 genes that were differentially expressed with respect to  
280 the controls, 156 (18%) were regulated by all three of the REEs (**Figure 1B, Supplemental**  
281 **Data 2**). For each of the REEs and the equimolar ternary mixture, around 70% of the  
282 responding genes had no assigned function (**Table 1**). Among the common annotated  
283 functions for all three metals, a large number of DEGs were related to protein metabolism,  
284 RNA metabolism and the transport of metals and small molecules (**Table 1**). It is not the  
285 goal here to discuss, in detail, the mechanism of interaction of the REEs with  
286 *Chlamydomonas*. Instead, the common transcriptomic effects are summarized, with a more  
287 detailed discussion provided in the Supplementary Information (**S2.2**).

288 Functional annotation revealed that an important proportion of the commonly  
289 induced genes (14 of the 40 up-regulated DEGs) encoded proteins that were involved in  
290 protein processing in the endoplasmic reticulum (ER) (**Table S1**). Genes involved in  
291 protein targeting to secretory pathways were significantly enriched for all treatments  
292 (Fisher exact test,  $p < 0.05$ ) (**Table 1**). These results suggest that REEs impact proteome  
293 homeostasis in the endomembrane system.<sup>49</sup> REEs down-regulated genes related to the  
294 mechanisms of stress resistance (e.g. xenobiotic resistance system, **Figure 3**), with the  
295 exception of those related to proteins acting in the ER (**Table S1**). Important impacts of  
296 the REEs on calcium, phosphate or iron homeostasis in *C. reinhardtii* were also noticed  
297 (**Figure 3, Supplemental Data 2**). The nature of the interactions between the REEs and  
298 those elements will nonetheless require future investigation (**S2.2**).

299 **Table 1.** Number of DEGs (with respect to control conditions) for several important  
 300 metabolic pathways and sub-pathways (MapMan) following a 2 h exposure of *C.*  
 301 *reinhardtii* to Ce, Tm, Y or their equimolar ternary mixture. Numbers in bold are associated  
 302 with enriched pathways/sub-pathways (Fisher exact test ,  $p < 0.05$ ).

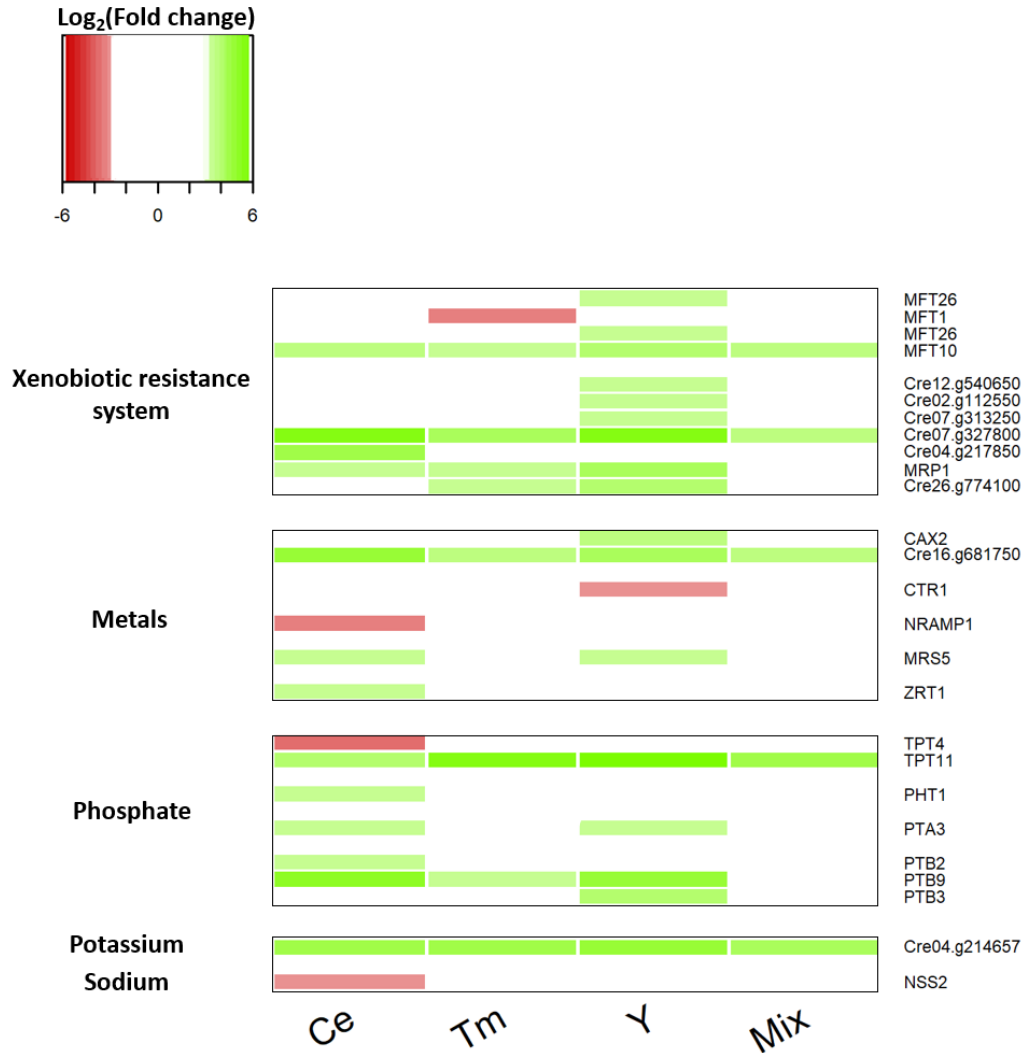
Metabolic pathways	<b>Versus Control</b>			
	<b>Ce</b>	<b>Tm</b>	<b>Y</b>	<b>Mix</b>
<b>Amino acid</b>	<b>5</b>	4	6	3
Degradation	0	0	1	0
Synthesis	<b>5</b>	3	5	2
*Glutamate synthesis	<b>4</b>	1	1	1
<b>Cellular processes</b>	6	6	10	4
<b>Cell development</b>	5	3	7	3
<b>DNA</b>	7	3	12	4
<b>Hormone</b>	1	2	2	1
<b>Lipid</b>	4	5	9	3
<b>Major CHO</b>	1	0	3	0
<b>Minor CHO</b>	4	1	2	1
<b>Miscellaneous enzyme families</b>	6	6	8	4
<b>Not assigned</b>	<b>317</b>	224	451	175
<b>Nucleotide</b>	2	1	4	1
<b>Protein</b>	<b>41</b>	32	<b>67</b>	16
Activation	1	0	3	0
Degradation	9	6	14	2
Folding	6	7	11	5
Posttranslational modification	13	12	23	6
Synthesis	4	0	5	0
Targeting	<b>8</b>	<b>7</b>	<b>11</b>	<b>3</b>
<b>Photosynthesis</b>	2	2	7	3
<b>Redox</b>	3	2	2	1
<b>RNA</b>	20	11	28	9
<b>Signaling</b>	8	8	10	5
<b>Stress</b>	<b>6</b>	2	4	1
Abiotic	<b>5</b>	2	4	1
Biotic	1	0	0	0
<b>Organic acid transformation</b>	1	2	3	2
<b>Tetrapyrrole</b>	1	0	3	1
<b>Transport of metals and small molecules</b>	24	11	25	6

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304



305           It should be noted that no clear evidence of acute toxicity was observed for the  
306 present exposure conditions. This was expected given the sub-lethal exposure  
307 concentrations (0.5  $\mu\text{M}$ ) and the short exposure times (2 h) that were used. Indeed, higher  
308 REE concentrations and longer exposure times are generally required to observe toxic  
309 endpoints such as growth inhibition in microalgae (e.g.  $\text{EC}_{50}$  of  $> 2000 \mu\text{M}$  Ce for  
310 *Pseudokirchneriella subcapitata* in a medium containing phosphates where some  
311 uncertainties are present with respect to REE speciation).<sup>17</sup> For *C. reinhardtii* exposed to  
312 ionic  $\text{Ce}^{50}$ , biomarkers such as reactive oxygen species overproduction, changes in the  
313 membrane permeability and changes in cell sizes were observed when higher  
314 concentrations (1  $\mu\text{M}$  Ce and 5  $\mu\text{M}$  Ce) or longer exposure times were applied (6 h at 0.5  
315  $\mu\text{M}$ ).<sup>50</sup> Analysis of transcriptomic effect alone are not sufficient to draw any conclusions  
316 on the impact of the REEs on the fitness of the organisms.



317

318 **Figure 3.** Heat maps depicting fold changes in the transcript levels with respect to control  
 319 ( $\text{Log}_2\text{FC} > |3|$ ,  $p_{\text{adj}} < 0.001$ ) for transport-related genes that were regulated by Ce, Tm, Y  
 320 or their equimolar ternary mixture, following a 2 h exposure of *C. reinhardtii*. Genes  
 321 encoding proteins involved in the transport of metabolites, sugars and those forming  
 322 channels were excluded from this representation (**Supplemental Data 1**). Red represents  
 323 the genes that were induced by the treatment ( $\text{Log}_2\text{FC} < -3$ ), while green represents those  
 324 that were repressed ( $\text{Log}_2\text{FC} > 3$ ), white:  $-3 \geq \text{Log}_2\text{FC} \leq 3$ . Acronyms are given for genes with  
 325 annotated functions.

326 **CE ANOMALY AMONG THE REES**

327 In contrast to the common pathways above, genes involved in amino acid  
328 metabolism, stress and numerous non assigned genes were enriched only as a result of the  
329 Ce exposures (FET,  $p < 0.05$ ). Further analyses indicated that Ce stimulated glutamate  
330 metabolism and regulated abiotic stress related genes, though the genes responded to a  
331 lesser extent than the other REEs (**Table 1, Supplemental Data 1**). Indeed, 4 genes  
332 encoding enzymes in arginine synthesis (i.e. glutamate metabolism) were up-regulated by  
333 Ce ( $\text{Log}_2\text{FC} < (- 3.2)$ ,  $p_{\text{adj}} < 0.001$ ), including one that also responded to Tm and Y. Ce  
334 also specifically down-regulated two genes encoding stress-induced heat shock proteins  
335 (HSPs): a putative HSP70 (*Cre02.g141186*) and a chloroplast targeted *HSP22C*, in  
336 addition to *HSP90B* that was up-regulated by all of the tested REEs (i.e. ER localized).

337 Finally, although PCA analysis and the Venn's plot strongly indicated a unique  
338 response of *Chlamydomonas* to Ce (PC2, 33% of variance) (**Figure 1A**), differences  
339 among the REEs represented only 8% of the explained variance when PCA analysis was  
340 restricted to the 884 DEGs (PC2: 8% of variance) (**Figure S4**). This indicates that much of  
341 the difference between Ce and the two other REEs (Tm and Y) is found in the overall gene  
342 expression and not the simply within the subset of differentially expressed genes.

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346 **ANTAGONISTIC EFFECTS IN THE REE MIXTURE**

347 For cells exposed to an equimolar mixture of Ce, Tm and Y, only 240 DEGs were  
348 significantly up- or down-regulated and among those, 101 were common to DEGs that  
349 were seen during the treatments with the individual metals (**Figure 1B**). Given that for any  
350 given REE, the mixture contained the same concentration of that metal in addition to the  
351 other two complementary metals, the observation that there were far fewer DEGs in the  
352 mixture (i.e. 240 DEGs) than for any of the individual REE treatments (i.e. > 320 DEGs)  
353 is already strong evidence that competitive interactions were occurring among the metals.  
354 Furthermore, cells exposed to the ternary mixture had far more DEGs in common with the  
355 Tm exposed cells (207 out of 320 DEGs, 64%) than with those exposed to Y (217 out of  
356 653 DEGs, 33%) or Ce (108 out of 420 DEGs, 25%) (**Figure 1B**).

357 The expression profiles of the 240 DEGs induced by the ternary mixture were  
358 compared to the predictions of an IA model. The results strongly indicated antagonistic  
359 interactions among the three REEs with 162 DEGs showing antagonistic downregulation,  
360 75 showing antagonistic upregulation and one showing a synergistic interaction (i.e.  
361 enhanced downregulation) (**Supplemental Data 3**). For example, none of the stress and/or  
362 damage biomarkers showed additive or synergistic interactions among the REEs in the  
363 ternary mixture (**Table 2**). Similar results were obtained for entire dataset of 884 DEGs  
364 with >99% of the genes displaying antagonistic interactions among Ce, Tm and Y  
365 (**Supplemental Data 3**).

366

367

368 **Table 2.** Annotated functions and log<sub>2</sub>FC of several stress-related genes that were  
369 differentially expressed in response to the equimolar REE mixture (Mix) (**Supplemental**  
370 **Data 3**). Metal interactions (synergistic or antagonistic) for a specific gene were deduced  
371 from a deviation of the calculated log<sub>2</sub>FC value with respect to the expected value obtained  
372 for the ternary mixture using an independent action model. Numbers in bold indicate the  
373 differentially expressed genes with respect to the controls (Log<sub>2</sub>FC > |3|, p<sub>adj</sub> < 0.001).

Gene		Annotated function	Observed Log <sub>2</sub> FC				Expected Log <sub>2</sub> FC	Interaction
ID	Symbol	MapMan	Ce	Tm	Y	Mix	CA	
<i>Cre17.g732533</i>		Biodegradation of xenobiotics	-1.7	<b>-5.2</b>	<b>-4.6</b>	<b>-4.9</b>	-11.5	A. up
<i>Cre12.g518200</i>	<i>PDII</i>	Thioredoxin	<b>-4.2</b>	<b>-3.6</b>	<b>-4.5</b>	<b>-3.1</b>	-12.2	A. up
<i>Cre02.g090850</i>	<i>CLPB3</i>	Stress abiotic	2.2	<b>3.3</b>	<b>4.2</b>	<b>3.3</b>	9.7	A. down
<i>Cre17.g707950</i>	<i>HEP2</i>	HSP70s co-chaperones	2.9	<b>3.5</b>	<b>3.6</b>	<b>3.4</b>	10.1	A. down
<i>Cre07.g327450</i>	<i>DNJ34</i>		2.7	2.9	<b>3.2</b>	<b>3.1</b>	8.7	A. down
<i>Cre03.g204577</i>	<i>DNJ31</i>		2.6	<b>3.4</b>	<b>3.8</b>	<b>3.2</b>	9.8	A. down
<i>Cre07.g327800</i>		ABC transporter	<b>5.6</b>	<b>4.3</b>	<b>5.4</b>	<b>3.7</b>	15.2	A. down
<i>Cre02.g095076</i>	<i>MFT10</i>	Major facilitator transporter	<b>3.5</b>	<b>3.3</b>	<b>3.9</b>	<b>3.4</b>	10.7	A. down
<i>Cre04.g214657</i>		Osmotic stress potassium transporter	<b>4.5</b>	<b>4.6</b>	<b>4.8</b>	<b>4.3</b>	14.0	A. down
<i>Cre08.g367400</i>	<i>LHCSR 3.2</i>	Light harvesting for photosynthesis	2.8	<b>3.4</b>	<b>3.8</b>	<b>3.2</b>	10.0	A. down

374 Log<sub>2</sub>FC <-1 represent the genes that were induced by the treatment while Log<sub>2</sub>FC >1  
375 represents those that were repressed, ABC= ATP Binding Cassette, A.= antagonistic  
376 interaction.

377 Given the high proportion of DEGs that were common between the mixture and the  
378 individual REE (25% to 64%, **Figure 1B and above**) and the paucity of DEGs that were  
379 specific to the mixture (i.e. 10 DEGs, **Figure 1B**); the near absence of additive or  
380 synergistic interactions is surprising. This observation likely results from competitive

381 interactions that occurred during REE biouptake, as indicated by **Figure 2**. Indeed, for the  
382 mixture, similar or lower intracellular concentrations of REEs were observed as compared  
383 to exposures for the individual REE. Similar antagonistic binding of La, Ce and Y to the  
384 active sites of plant roots have been reported for wheat (*Triticum aestivum*), when exposed  
385 to binary mixtures.<sup>26</sup> For microalgae, biouptake experiments in the presence of binary REE  
386 mixtures have generally shown that as the concentration and biouptake of one REE is  
387 increased, biouptake of the secondary REE is reduced.<sup>16</sup> Furthermore, affinity constants  
388 for the binding of REEs to the biological uptake sites are similar, with only a small apparent  
389 increase as one goes from left to right in the periodic table (i.e.  $K_{La}$  of  $10^{6.8} M^{-1}$ ;  $K_{Ce}$  of  $10^{6.9}$   
390  $M^{-1}$ ;  $K_{Sm}$  of  $10^{7.0} M^{-1}$ ;  $K_{Eu}$  of  $10^{7.0} M^{-1}$ ).<sup>16</sup> The similarity of the affinity constants is  
391 consistent with the observation that the sum of accumulated REEs remained nearly  
392 constant for the binary mixtures (**Figure 2**). The observation that fewer genes were  
393 significantly differentially expressed and that fold changes were generally lower in the  
394 ternary mixture as compared to the individual metal exposures strongly indicates that  
395 competitive interactions were occurring at the level of biouptake (common transporter,  
396 perhaps related to Ca uptake (**S2.2**), e.g. Cre16.g681750). On the other hand, the presence  
397 of DEGs that were specific to a single metal treatment (i.e. 194 of 458 DEGs for Ce and  
398 238 of 653 DEGs for Y; **Figure 1B**) suggests independent targets of the REEs, once they  
399 are inside the cells.

400

401

## 402 **IMPLICATIONS FOR THE RISK ASSESSMENT OF RARE EARTH ELEMENTS**

403           The antagonistic effects of REE mixture on both biouptake and the transcriptome  
404 of *C. reinhardtii* suggests that estimates of toxicity and effects derived from single metals  
405 assays may be largely conservative for the rare earth metals. Given that nearly all  
406 contamination of the REE occurs as mixtures, the use of the single metal data will be  
407 overprotective. Moreover, our results diminish concern for potential synergistic effects or  
408 emergent toxicity of REE mixtures in natural waters. This should be considered in the  
409 establishment of governmental regulation. Nonetheless, several caveats need to be noted:  
410 data were obtained for a single organism (*i*), using short-term exposures (*ii*) and at sub-  
411 lethal exposure levels. Furthermore (*iii*), RNA sequencing alone does not provide sufficient  
412 information on the overall fitness of the organism. Finally, the (initial) observation of  
413 adsorptive losses to container walls and the untested role of complexes, strongly suggests  
414 that great care should be made when extrapolating results obtained in the laboratory to real  
415 world conditions.

416

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## 423 **ASSOCIATED CONTENT**

424 **Supporting Information.pdf** Supplemental methods and supplemental results

425 **Supplemental Data.xlsx** Differential gene expression analyses, MapMan ontologies,  
426 functional complementary information and combined effects modelling.

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431

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433 The manuscript was written by Elise Morel, critical review and editing were provided by  
434 William Zerges and Kevin J. Wilkinson. Lei Cui participated in some aspects of the data  
435 acquisition. All authors have given approval to the final version of the manuscript.

436

## 437 **ABBREVIATIONS**

438 REEs, rare earth elements; RNA-Seq, RNA sequencing; DEGs, differentially expressed  
439 genes; IA, independent action.

440

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