

Université de Montréal

**PHYSIOPATHOLOGIES CARDIOMÉTABOLIQUES  
ASSOCIÉES À L'OBÉSITÉ : MÉCANISMES SOUS-  
JACENTS ET THÉRAPIE NUTRITIONNELLE**

par Schohraya Spahis

Département de Nutrition  
Faculté de Médecine

Thèse présentée en vue de l'obtention du grade de PhD  
en Nutrition

Mai 2018

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## Résumé

Le tractus digestif et le foie interagissent continuellement, non seulement à travers les connexions anatomiques, mais également par des liens physiologiques/fonctionnels. Le déséquilibre de l'axe intestin-foie apparaît de plus en plus comme un facteur primordial dans les désordres cardiométaboliques, à savoir l'obésité, le syndrome métabolique, le diabète de type 2 et la stéatose hépatique non alcoolique (NAFLD), pour lesquels la prévalence demeure alarmante, les mécanismes moléculaires encore méconnus, et les traitements peu efficaces.

L'hypothèse centrale du présent projet de recherche est que la combinaison d'anomalies génétiques et nutritionnelles affecte la sensibilité de l'insuline intestinale, ce qui conduit à une surproduction des chylomicrons, à une dyslipidémie, une insulinorésistance systémique et des répercussions sur le foie. Dans cet agencement, le foie développe une NAFLD progressive, impliquant plusieurs sentiers métaboliques intrinsèques et des mécanismes comprenant le stress oxydatif, l'inflammation et l'insulinorésistance. En revanche, des nutriments, comme les acides gras polyinsaturés (AGPI) n-3, peuvent présenter des effets bénéfiques en ciblant plusieurs circuits pathogéniques.

L'objectif central de cette thèse consiste à : *(i)* Démontrer que des gènes codant pour les protéines intestinales clés associées au transport des lipides, comme c'est le cas du *Sar1b GTPase*, peuvent interagir avec l'environnement nutritionnel pour produire l'obésité et des dérangements cardiométaboliques, incluant la NAFLD ; *(ii)* Explorer les mécanismes hépatiques sous-jacents à la NAFLD; et *(iii)* Identifier les effets et les cibles thérapeutiques des AGPI n-3 sur la NAFLD. Ces objectifs seront soutenus par une prospection de la littérature scientifique disponible dans les champs du syndrome métabolique et de la NAFLD afin d'en disséquer les forces et les faiblesses au bénéfice de la communauté scientifique.

À ces fins, nous avons utilisé des modèles animaux et cellulaires manipulés génétiquement, des animaux exposés de façon chronique à des diètes riches en lipides, des

spécimens de tissus hépatiques obtenus durant la chirurgie bariatrique d’obèses morbides, et une cohorte d’adolescents obèses souffrant de NAFLD et qui seront traités avec les AGPI n-3.

L’ensemble de nos expériences ont soutenu nos hypothèses et ont mis en évidence les concepts et mécanismes suivants : *(i)* L’abondance d’un gène crucial (notamment *Sar1b GTPase*) au niveau de l’intestin, en synergie avec une alimentation obésogène, perturbe l’homéostasie locale et mène à des dérangements cardiométaboliques, défiant même l’axe intestin-foie ; *(ii)* Les causes développementales de la NAFLD comprennent les dérangements du métabolisme des acides gras, du statut redox et inflammatoire, de la sensibilité à l’insuline, des sentiers métaboliques (lipogenèse,  $\beta$ -oxydation, gluconéogenèse) et de l’expression des facteurs de transcription; et *(iii)* Les AGPI n-3 représentent un robuste arsenal thérapeutique des dérangements cardiométaboliques, notamment la NAFLD, en agissant sur plusieurs cibles pathogéniques.

Globalement, nos résultats montrent le rôle indéniable de l’intestin comme organe insulino-sensible interagissant de près avec les aliments et capable de déclencher des troubles métaboliques. Plusieurs mécanismes gouvernant les désordres métaboliques ont été dévoilés par nos travaux. En outre, nos études cliniques ont pointé la force thérapeutique des AGPI n-3 qui interviennent dans de nombreux processus de régulation métaboliques et notamment dans le stress oxydatif et l’inflammation.

**Mots-clés :** Obésité; Syndrome métabolique; Nutrition; NAFLD; Stéatose; Lipogenèse; Gluconéogenèse; Résistance à l’insuline; Inflammation; Stress oxydatif; Dysfonction mitochondriale; Endotoxémie; Traitement; Aliments fonctionnels; Acides gras Omega-3; Protéomique; Cardioméabolisme.

# Abstract

The digestive tract and liver interact continuously, not only through anatomical connections, but also through physiological / functional links. The imbalance of the intestine-liver axis is increasingly emerging as a key factor in cardiometabolic disorders (CMD), namely obesity, metabolic syndrome, type 2 diabetes, and non alcoholic fatty liver disease (NAFLD), for which prevalence remains alarmingly high, molecular mechanisms are poorly understood, and treatments are largely inefficient.

The central hypothesis of this research project is that the combination of genetic and nutritional abnormalities affect intestinal insulin sensitivity, leading to overproduction of chylomicrons, dyslipidemia, systemic insulin resistance and dysregulated intestine-liver axis. In this situation, the liver develops progressive NAFLD, implicating several intrinsic metabolic pathways and mechanisms, including oxidative stress, inflammation and insulin resistance. In contrast, functional foods, such as omega-3 polyunsaturated fatty acids (n-3 PUFA), may have beneficial effects by targeting several pathogenic pathways.

The central objective of this thesis is to: *(i)* Demonstrate that genes coding for key intestinal proteins associated with lipid transport, as is the case with Sar1b GTPase, can interact with the nutritional environment to produce obesity and CMD, including hepatic steatosis; *(ii)* explore the mechanisms underlying NAFLD; and *(iii)* identify the effects and therapeutic targets of n-3 PUFA. These objectives will be supported by a critical review on metabolic syndrome and NAFLD in order to dissect their strengths and weaknesses for the benefit of the scientific community.

For these purposes, we used genetically engineered animal and cell models, chronic exposure of animals to high-fat diets, liver tissue specimens obtained during bariatric surgery of morbidly obese patients, and treatment of obese NAFLD adolescents with n-3 PUFA.

All of our experiments supported our hypotheses and highlighted the following concepts and mechanisms: (i) The abundance of a crucial gene (notably *Sar1b GTPase*) in the intestine, in synergy with an obesogenic diet, disrupts local homeostasis and leads to CMD, challenging even the intestine-liver axis; (ii) Developmental causes of NAFLD include disturbances of fatty acid metabolism, redox and inflammatory status, insulin sensitivity, metabolic pathways (lipogenesis,  $\beta$ -oxidation, gluconeogenesis), and expression of transcription factors; and (iii) n-3 PUFA represent a robust therapeutic arsenal of CMD, including NAFLD, by acting on several pathogenic targets.

Overall, our results show the undeniable role of the intestine, as an insulin-sensitive organ, interacting closely with obesogenic food, and capable of triggering CMD, including perturbations of the intestine-liver axis. Several mechanisms governing metabolic disorders have been unveiled by our work. In addition, our clinical studies have pointed to the therapeutic potential of n-3 PUFA involved in many regulatory processes, including oxidative stress and inflammation.

**Keywords :** Obesity; Metabolic syndrome; Nutrition; NAFLD; Steatosis; Lipogenesis; Gluconeogenesis; Insulin resistance; Inflammation; Oxidative stress; Mitochondria dysfunction; Endotoxemia; Treatment; Functional foods; Omega-3 Fatty acids; Proteomic profile; Cardiometabolic disorders.

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## Liste des abréviations

AA	Acide arachidonique
ACC	Acetyl-CoA carboxylase
AG	Acide gras
AGCC	Acide gras à chaîne courte
AGPI	Acides gras polyinsaturés
AGT	Acide gras <i>trans</i>
AL	Acide linolénique
ALA	Alpha-linolénique
ALT	Alanine aminotransférase
AMPK	«Adenosine 5'-monophosphate-activated protein kinase »
Apo	Apolipoprotéine
AST	Asparate aminotransferase
ChREBP	«Carbohydrate response element binding protein »
CM	Chylomicron
CoQ	Coenzyme Q
CRP	« C Reactive Protein »
DHA	Acide docosahexaénoïque
DT2	Diabète de type 2
EPA	Acide eicosapentaénoïque
FAS	«Fatty acid synthase»
FoxO1	«Forkhead box O1»
GGT	Gamma-glutamyltransférase
GPR	«G protein-coupled receptors»
GPx	«Glutathione peroxidase»
HDL	high-density lipoprotein cholesterol
HNE	«4-hydroxy-2,3-nonenal»
HTA	Hypertension artérielle
IMC	Indice de masse corporelle

JS	Jonction serrée
LPS	Lipopolysaccharide
MCV	Maladies cardiovasculaires
MDA	Malondialdehyde
NAFLD	Stéatose hépatique non alcoolique
NASH	Stéatohépatite
NF- $\kappa$ B	«Nuclear factor- $\kappa$ B»
NLRP3	«NOD-like receptor family, pyrin domain containing 3»
NOX	«NADPH oxydase»
Omega-3	n-3
oxLDL	LDL oxydés
PL	Phospholipides
PGC-1 $\alpha$	«peroxisome proliferator-activated receptor- $\gamma$ coactivator-1 $\alpha$ »
PNPLA3	<u>«Patatin like phospholipase domain containing 3»</u>
PPAR	«Peroxisome proliferator-activated receptor»
PPRE	«PPARG response element»
PUFA	Polyunsaturated FA
RE	Réticulum endoplasmique
RI	Résistance à l'insuline
ROS	Espèces d'Oxygène Réactives
SCD1	«Stearoyl-CoA desaturase 1»
SOx	Stress oxydatif
SREBP-1c	«Sterol regulatory element-binding protein-1c»
SyM	Syndrome métabolique
TG	Triglycérides
TNF- $\alpha$	«Tumor Necrosis Factor- $\alpha$ »
UCP2	«Uncoupling protein 2»
VLDL	«Very low density lipoproteins»

*“Connaitre, ce n'est point démontrer, ni expliquer. C'est accéder à la vision”*

**Antoine de Saint-Exupéry/Pilote de guerre**

*«Nul ne peut atteindre l'aube sans passer par le chemin de la nuit»*

**-Gibran Khalil Gibran-**

*À Ceux qui m'ont quittée trop tôt pour mieux  
veiller sur moi!*

*A toi maman **Hamida**, à toi mon frère **Azarias** et  
à vous **Dr Claude C. Roy** je vous dédie cette  
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# Introduction

La surcharge pondérale et l'obésité sont étroitement associées à de nombreux désordres métaboliques et à des risques élevés de développer des maladies chroniques. Les répercussions les plus répandues de l'excès de poids incluent le syndrome métabolique (SyM), le diabète non insulino-dépendant ou diabète de type 2 (DT2) et les maladies cardiovasculaires (MCV) (1-7). Actuellement, les défis de taille pour les scientifiques et les intervenants en santé consistent non seulement à réduire substantiellement la prévalence de l'obésité notamment en s'attaquant aux habitudes de vie, mais également en améliorant la prise en charge des sujets obèses menacés par la gravité des morbidités énoncées (1, 6, 8-18). L'approche nutritionnelle demeure le moyen par excellence privilégié pour atteindre un indice de masse corporelle acceptable (17, 19-22). Depuis la dernière décennie, l'engouement de toutes les couches de la société se maintient pour les aliments fonctionnels, un puissant moyen naturel de combattre les troubles métaboliques. Sans porter atteinte à l'alimentation saine, la tendance de plusieurs professionnels de la santé est de recommander certains aliments fonctionnels ou produits nutraceutiques qui vraisemblablement s'élèvent au-dessus des propriétés de base nutritive, en agissant en tant que nutriments thérapeutiques. Ainsi, des composantes bioactives sont présentement conseillées car elles exerceraient des effets bénéfiques sur la santé et le bien-être. Cependant, il est primordial de préciser les principaux sentiers et mécanismes impliqués dans le développement de l'obésité et ses complications afin de découvrir si les aliments fonctionnels peuvent efficacement les cibler et les corriger.

Le but central de cette thèse est (*i*) *d'apporter plus de lumière sur certains défauts cellulaires et moléculaires intervenant dans le tractus gastro-intestinal et le système hépatique dans des conditions cardiométraboliques anormales telles que l'obésité, le SyM et la stéatose hépatique non alcoolique (NAFLD); et* (*ii*) *d'examiner les mécanismes et l'action préventive/thérapeutique des acides gras polyinsaturés oméga-3 (AGPI n-3), un agent puissant antioxydant et anti-inflammatoire.*

Pour ce faire, un modèle animal et des cohortes humaines ont été utilisés pour évaluer les mécanismes édifiant le lien entre l’obésité et ses complications cardiométaboliques associées à l’environnement nutritionnel : 1) Un modèle de souris transgéniques soumises à une diète riche en lipides pour évaluer la prédisposition génétique face à une nutrition défavorable; 2) Des patients obèses avec NAFLD subissant une chirurgie bariatrique, mettant ainsi à notre disposition des spécimens biologiques (foie) pour dévoiler les mécanismes sous-jacents à la stéatose; et finalement 3) Un groupe d’enfants/adolescents avec obésité et NAFLD faisant partie d’un essai clinique pour examiner l’impact des AGPI n-3 sur plusieurs paramètres cardiométaboliques.

En outre, la dernière tâche de cette thèse est de prospecter la littérature scientifique disponible dans les champs du SyM et de la NAFLD afin d’en disséquer les forces et les faiblesses et d’en faire des synthèses extrêmement critiques publiables dans des journaux prestigieux au bénéfice de la communauté scientifique.

# 1. SYNDROME MÉTABOLIQUE

## 1.1 Définition

Le SyM représente, par définition, la coexistence d'un ensemble de conditions métaboliquement anormales qui augmentent considérablement le risque de développer le DT2, les MCV et la mort précoce. Selon le NCEP ATP III (National cholesterol education program-Adult Treatment Panel III), l'Organisation Mondiale de la Santé, et Fédération Internationale du Diabète, le SyM comprend au moins trois composantes parmi les critères suivants dans un contexte de stress oxydatif (SOx) et d'inflammation : obésité abdominale, intolérance au glucose/résistance à l'insuline (RI), dyslipidémie et hypertension artérielle (HTA) (**Tableau 1**), mais d'autres désordres peuvent s'y ajouter (prématurité, malformation cardiaque, cancer, etc.). L'intérêt grandissant pour le SyM proviendrait de sa prévalence très élevée (ex. USA : 22-24% et Canada : 18% chez les 17-79 ans) (23, 24), du risque de deux et cinq fois plus grand de causer le DT2 ou les MCV, respectivement (25-27), accompagnés de coûts socioéconomiques excessifs (28).

**Tableau 1: Critères d'évaluation du syndrome métabolique**

Critères	NCEP ATP III (Adultes)	OMS (Adultes)	FID (Adultes)	FID (E/A)
<b>Obésité abdominale</b>	Tour de taille: ->102 cm (H) ->88 cm (F)	Taille/hanche: ->0,90 (H) ->0,85 (F)	Tour de taille: ->90-94 cm (H) ->80 cm (F)	6-15 ans ≥ 90 <sup>ème</sup> percentile 16+ ans Critères adultes
<b>Tension artérielle</b>	≥130/85 mm Hg	≥140/90 mm Hg	≥130/85 mm Hg	6-15 ans: si HF/DT2 16+ ans Critères adultes
<b>Glycémie À jeun</b>	≥5,6 mM	DT2 IG/RI	≥5,6 mM Diagnostic de DT2	6-15 ans: si HF/DT2 16+ ans Critères adultes
<b>TG</b>	≥1,7 mM	≥1,7 mM	≥1,7 mM	6-15 ans: si HF/DT2 16+ ans Critères adultes
<b>HDL-C</b>	<1,0 mM (H) <1,3 mM (F)	<0,9 mM (H) <1,0 mM (F)	<1,0 mM (H) <1,3 mM (F)	6-15 ans: si HF/DT2 16+ ans <1,03 mM

A : adolescents; CT : Cholestérol total; DT2 : diabète de type 2; E : enfants; F : femme; FID : Fédération Internationale du Diabète; H : homme; HF : histoire familiale; IG : intolérance au glucose; TG : triglycérides; OMS : Organisation Mondiale de la Santé

Même si la combinaison des caractéristiques cliniques du SyM indique une étiologie commune, l'évidence demeure encore vague. Certains mécanismes sont suggérés et, parmi eux, on souligne l'idée que l'obésité centrale et la RI sont au premier plan de la physiopathologie. À eux deux, ces facteurs peuvent faire affluer les autres composantes du SyM en faisant intervenir les dérangements hormonaux et la dérégulation de la signalisation cellulaire (29). Par ailleurs, il n'est pas improbable de penser que ces composantes, une fois amorcées, intensifieraient à leur tour l'accumulation de la graisse adipeuse et la perte de la sensibilité à l'insuline. Par exemple, la dyslipidémie ou mobilisation intense des acides gras (AG), en réponse à la RI, induirait l'intolérance au glucose et diminuerait davantage la sensibilité à l'insuline (30). D'autres écoles de pensée situent la RI à la base de la plupart des caractéristiques du SyM (31). En fait, la résistance aux actions métaboliques de l'insuline peut aisément expliquer l'intolérance au glucose, l'hypertriglycéridémie, les faibles concentrations des lipoprotéines à haute densité (HDL), le DT2 et même l'athérogénèse (31), ce qui conduirait donc à la maladie coronarienne et à la maladie cérébro-vasculaire associées toutes deux au SyM. Il est à espérer qu'au fur et à mesure que la compréhension de la pathogenèse du SyM évolue, les approches thérapeutiques soient plus percutantes. C'est d'ailleurs un des desseins de ce travail de doctorat.

## 1.2 Obésité

### 1.2.1 Épidémiologie de l'obésité

L'obésité est une préoccupation de santé publique mondiale et résulte d'une accumulation excessive de graisse corporelle qui peut nuire à la santé (4, 32). L'augmentation globale de la prévalence de l'obésité a des conséquences graves, contribuant à un nombre important de maladies dont le SyM, le DT2, les MCV, la NAFLD et même certains cancers (4, 6). Au-delà

des comorbidités, l'obésité a un impact social important et des coûts directs et indirects dramatiques dans les services de soins de santé (6). Plusieurs études définissent l'obésité comme un déséquilibre entre l'apport énergétique et la dépense énergétique (33). Aujourd'hui, cette définition apparaît simpliste car le bilan énergétique représente un conglomérat de traits, chacun d'entre eux étant influencé par de nombreuses variables telles que le comportement, le régime alimentaire, l'environnement spatial, le statut économique, les structures sociales, et les facteurs métaboliques et génétique (34). Le résultat de cette interaction complexe entre l'ensemble de ces variables contribue vraisemblablement aux différences individuelles dans le développement de l'obésité.

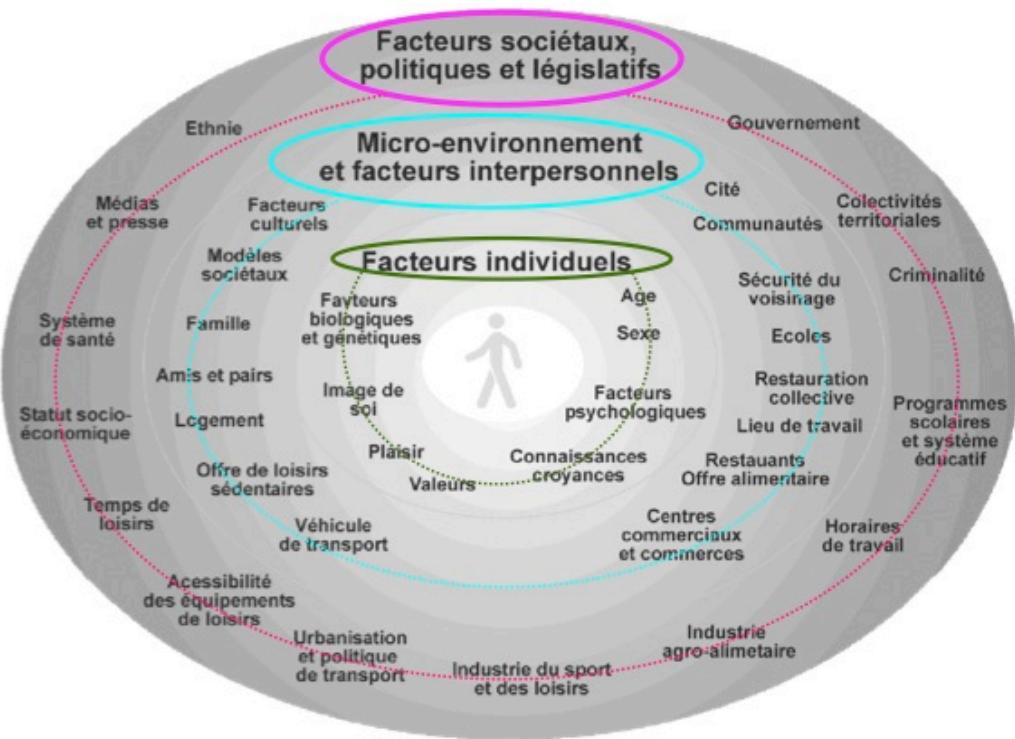
Le constat actuel indique que la prévalence de l'obésité augmente continuellement malgré les campagnes de sensibilisation et les efforts pour convaincre les personnes avec surpoids de réduire l'apport énergétique et d'augmenter la dépense énergétique (35). Si l'augmentation de la prévalence de l'obésité a commencé à émerger dans les années 80, de plus en plus de pays s'incorporent maintenant dans la "pandémie mondiale" de l'obésité (35, 36). Entre 1980 et 2008, le changement global par décennie pour l'IMC moyen normalisé selon l'âge a été accru de  $\sim 0.4 \text{ kg/m}^2$  chez les hommes et  $\sim 0.5 \text{ kg/m}^2$  chez les femmes (35). En 2013, la prévalence globale du surpoids ( $\text{IMC} > 25 \text{ kg/m}^2$ ) et l'obésité ( $\text{IMC} > 30 \text{ kg/m}^2$ ) chez les hommes et les femmes était de 36,9 et 38,0 %, respectivement, rivalisant avec 28,8 et 29,8 %, respectivement, en 1980 (7). Si on ne considère que la prévalence de surpoids et d'obésité chez les adultes ( $> 18$  ans) dans le monde entier, on note un bond de 857 millions de personnes en 1989 à 2,1 milliards en 2013 (36). Malheureusement, les enfants et adolescents ne sont pas épargnés puisqu'à la fois la prévalence dans cette couche de la société, le surpoids et l'obésité ont grimpé de 1980 à 2013 dans les pays développés de 23,8% chez les garçons et de 22,6 % chez les filles. Ironiquement, les pays en développement connaissent également une hausse de la prévalence d'obésité d'environ 5 % (1/4) pour l'ensemble des garçons et des filles car ils adoptent progressivement le mode de vie occidental. Ces valeurs représentent une augmentation moyenne d'environ 41% en 33 ans (36). Encore plus exagérée (80%) est présentement la prévalence chez les indiens Pima de l'Arizona depuis qu'ils ont adopté le mode de vie occidental alors que l'obésité était inexistante durant leur mode de vie traditionnel (8).

## 1.2.2 Étiologie de l’obésité

### 1.2.2.1 Facteurs environnementaux

Comme mentionné auparavant, l’obésité est définitivement une maladie complexe qui résulte d’une interaction entre une multitude de facteurs génétiques et environnementaux (4, 37). Si les progrès combinés de la génétique quantitative, de la génomique et de la bio-informatique ont permis de mieux comprendre les bases génétiques et moléculaires de l’obésité, la multitude de travaux concentrés sur l’environnement a permis d’en définir plusieurs facteurs (38-40).

Pour de nombreux pays, l’environnement est caractérisé par (i) un accès facile aux aliments peu nutritifs et très caloriques (gras, excès de sel et de sucre); (ii) une sédentarité et un milieu quotidien peu approprié et des aménagements peu favorables à la pratique de l’exercice (ex. vélo et marche); et (iii) le stress (38-40). À cela s’ajoute l’effet du genre, de l’âge, le tableau hormonal, les habitudes alimentaires et l’histoire familiale (13, 41, 42). Tous ces facteurs sont modifiables et nous disposons d’un bagage de connaissances formidable pour agir de façon préventive sur tous ces éléments de risque connus et abondamment documentés qui influencent notre « niche écologique » (**Figure 1**).



**Figure 1. Facteurs influençant l'obésité via la niche écologique des individus.**

La niche écologique d'un individu s'étend de son environnement personnel, comme le sexe, la tradition, la génétique, en passant par son environnement immédiat; le quartier, les amis, l'école, etc. jusqu'à l'environnement spatial et social élargi, incluant les lois gouvernementales, les infrastructures bâties ou économiques dans lequel vit l'individu, adaptée de (43, 44).

### 1.2.2.2 Facteurs génétiques

Les éléments qui militent en faveur d'une dimension génétique de l'obésité sont : (i) la concentration de cas dans une même famille étant donné que le risque d'obésité est ~2-8 fois plus élevé chez un individu présentant des antécédents familiaux comparativement à un individu sans histoire familiale d'obésité; (ii) le taux élevé de concordance chez les jumeaux monozygotes en ce qui a trait à la masse corporelle; et (iii) la découverte de gènes associés à l'obésité.

S'il existe des différences dans la susceptibilité de l'obésité chez les individus exposés au même environnement obésogène, impliquant les facteurs de risque génétiques, seulement un nombre limité de gènes défectueux a été décrit jusqu'à maintenant (45). Ces cas génétiques s'inscrivent dans le répertoire d'obésité monogénique qui fait référence à un certain nombre de formes rares d'obésité sévère résultant des mutations d'un gène individuel avec un effet amplifié (**Tableau 2**). Malgré la rareté de ces formes monogéniques de l'obésité, l'examen de leur base génétique sous-jacente a contribué significativement à notre compréhension de la pathogenèse de l'obésité puisqu'il a mis en lumière plusieurs voies et mécanismes impliqués dans le développement de cette condition (46-49). En outre, l'étude des formes monogéniques de l'obésité a également modifié notre perception de l'obésité, considérée actuellement comme une maladie mettant en évidence la contribution des facteurs neurologiques et endocriniens à son développement.

**Tableau 2: Génétique de l'obésité monogénique**

Gène	Région	Phénotype	Mutation/ individus	Références
<i>LEP</i>	7q32.1	Obésité sévère, Hyperphagie, hyperinsulinémie	2/6	(50, 51)
<i>LEPR</i>	1p31.3	Obésité sévère, croissance anormale	1/3	(50)
<i>POMC</i>	2p23.3	Obésité sévère, hyperphagie	3/8	(52)
<i>MC4R</i>	18q21.32	Obésité sévère, hyperinsulinémie	51/143	(50, 53)
<i>MC3R</i>	20q13.2-3		1/2	(54)
<i>PCSK1</i>	5q15	Obésité sévère chez les enfants, homéostasie anormale du glucose, hyperinsulinémie	1/1	(55)
<i>SIM1</i>	6q16.3	Obésité sévère	2/2	(56)

Mis à part ces cas rares, les formes les plus courantes d'obésité sont sans doute dues à la forme polygénique de l'obésité. C'est la combinaison de ces polymorphismes avec le milieu obésogène, qui entraîne le développement de l'obésité (**Tableau 3**). Environ 127 variantes

génétiques ont été proposées d'être associées avec l'obésité ou des phénotypes d'obésité (9), mais la réPLICATION n'a pu être vérifiée que pour quelques-uns des polymorphismes (**Tableau 3**). Probablement, le manque de validation, évoqué par plusieurs études, pourrait provenir des différences dans la taille de l'échantillon, la caractérisation des patients, la puissance statistique des travaux, et les critères d'inclusion et d'exclusion (57). Pour ne donner qu'un exemple, mentionnons le gène *FTO* dont les variantes génétiques sont largement associées à l'obésité. Les études fonctionnelles montrent sa localisation dans le noyau cellulaire et son rôle dans la déméthylation de l'ADN, ce qui suggère son potentiel à réguler l'expression de plusieurs gènes (58). Les analyses du profil d'expression de *FTO* ont, de leur côté, révélé son expression dans de nombreux tissus, y compris l'hypothalamus, en association avec le volume du cerveau (59-61).

**Tableau 3: Variantes génétiques de gènes spécifiques associées à l'obésité**

Gènes	Région	Polymorphismes	Références
<i>ADCY3-DNAJC27</i>	2p23.3	rs6545814	(62, 63)
<i>ADCY9</i>	16p13.3	rs2531995	(63)
<i>ALPK1</i>	4q25	rs4833407	(64)
<i>ARGI</i>	6q23.2	rs2807278	(65)
<i>ASAHI</i>	8p22	rs17126232	(65)
<i>BC041448</i>	4q28.2	rs4864201	(64)
<i>BDNF</i>	11p14.1	rs2030323, rs988712, rs10767664, rs6265	(63, 65-68)
<i>C5orf37</i>	5q13.3	rs2307111, rs2112347	(63)
<i>CADM2</i>	3p12.1	rs13078807	(63, 68)
<i>CAMK1G</i>	1q32.2	rs12130212	(69)
<i>CMYA5</i>	5q14.1	rs259067	(70)
<i>DNAJC27</i>	2p23.3	rs4665736	(71)
<i>ETV5</i>	3q27.2	rs9816226, rs1516725	(63)
<i>FANCL</i>	2p16.1	rs887912, rs6731302	(63, 68)
<i>FAT1, MTNR1A</i>	4q35.2	rs925642	(65)

<i>FBN2</i>	5q23.3	rs374748	(72)
<i>FLJ20309</i>	2q33.3	rs7603514	(72)
<i>FLJ39743</i>	15q26.3	rs970843	(69)
<i>FLJ35779, HMGCR</i>	5q13.3	rs2112347	(68)
<i>FTO</i>	16q12.2	rs8043757, rs7185735, rs1421085, rs1558902, rs17817449, rs9941349, rs9939609, rs8050136, rs12149832, rs7202116, rs1121980, rs9930506, rs9940128	(63, 72-75)
<i>GIPR</i>	19q13.32	rs1800437, rs10423928	(63)
<i>GNAT2</i>	1p13.3	rs17024258	(63)
<i>GNPDA2</i>	4p12	rs10938397, rs13130484	(63, 68)
<i>GPRC5B</i>	16p12.3	rs12444979, rs11639988, rs12446554	(63, 68)
<i>HNF4G</i>	8q21.11	rs4735692	(63)
<i>HOXB5</i>	17q21.32	rs9299	(64)
<i>HS6ST3</i>	13q32.1	rs7989336	(63)
<i>INHBB</i>	2q14.2	rs7581710	(75)
<i>IRSI</i>	2q36.3	rs2943650	(76)
<i>ITPR3</i>	6p21.31	rs999943	(72)
<i>KC6</i>	18q12.3	rs17697518	(64)
<i>KCNMA1</i>	10q22.3	rs2116830	(65)
<i>KCTD15, CHST8</i>	19q13.11	rs29941	(66, 68)
<i>LHFPL3</i>	7q22.3	rs10953454	(75)
<i>LINGO2</i>	9p21.1	rs10968576, rs1412239	(63)
<i>LOC144233</i>	12q13.12	rs7138803, rs10875976	(63)
<i>LRP1B</i>	2q22.2	rs2890652	(68)
<i>LRRN6C</i>	9p21.1	rs10968576	(68)
<i>MAF</i>	16q23.2	rs1424233	(73)
<i>MAP2K5</i>	15q23	rs8028313, rs2241423	(63, 68)

<i>MC4R</i>	18q21.32	rs538656, rs11152213, rs10871777, rs17782313, rs571312, rs12970134	(63, 66, 68, 73)
<i>MDFIC</i>	7q31.2	rs7784447	(70)
<i>MLN</i>	6p21.31	rs2274459	(72)
<i>MRPS33P4</i>	20q13.2	rs13041126	(63)
<i>MUC15</i>	11p14.2	rs12295638	(72)
<i>NCAM2</i>	21q21.1	rs11088859	(75)
<i>NEGR1</i>	1p31.1	rs7531118, rs2815752, rs2568958	(63, 66, 68)
<i>NPC1</i>	18q11.2	rs1805081	(73)
<i>NRXN3</i>	14q31.1	rs7141420, rs2370983	(63)
<i>NRXN3</i>	14q24.3	rs11624704	(75)
<i>OLFM4</i>	13q14.3	rs9568867, rs9568856	(63, 64)
<i>PCDH9</i>	13q21.32	rs17081231	(75)
<i>PITPNB</i>	22q12.1	rs5762430	(75)
<i>PRF1</i>	10q22.1	rs10999409	(72)
<i>PROX1</i>	1q32.3	rs1704198	(70)
<i>PTER</i>	10p13	rs10508503	(73)
<i>RABEP2,SH2B1</i>	16p11.2	rs7184597	(63)
<i>RAFTLIN</i>	3p24.3	rs12635698	(72)
<i>RARB</i>	3p24.2	rs1435703	(72)
<i>RGS6</i>	14q24.2	rs699363	(69)
<i>RPL27A</i>	11p15.4	rs11042023	(63)
<i>RPTOR</i>	17q25.3	rs7503807	(63)
<i>RTN4</i>	2p16.1	rs6726292	(72)
<i>SEC16B</i>	1q25.2	rs633715, rs543874, rs10913469	(63, 66, 68)
<i>SH2B1</i>	16p11.2	rs7498665	(63, 73)
<i>TFAP2B</i>	6p12.3	rs2206277, rs987237, rs734597	(63, 68, 69)
<i>TMEM18</i>	2p25.3	rs6711012, rs10189761, rs3810291, rs2867125, rs6548238, rs7561317	(63, 68, 69)
<i>TNNI3K</i>	1p31.1	rs1514177, rs1514174, rs1514175	(63, 68)

<i>TRAM1L1</i>	4q26	rs10433903	(72)
<i>UBE2E3</i>	2q31.3	rs16867321	(75)
<i>WWOX</i>	16q23.1	rs9923451	(75)
<i>ZNF248</i>	10p11.21	rs7474896	(72)
<i>ZZZ3</i>	1p31.1	rs17381664	(63)

Les connaissances actuelles permettent de conclure que les facteurs génétiques peuvent être impliqués dans l'étiologie de l'obésité, et qu'à l'exception de très rares cas d'obésité sévère, les gènes en cause sont sans doute des gènes qui interagissent avec les facteurs de l'environnement. Des investigations supplémentaires sont absolument requises pour l'identification de polymorphismes fonctionnels (mutations), ce qui permettra de mieux cerner l'étiologie de l'obésité et de ses complications métaboliques, de repérer les individus ou les groupes à risque en fonction de leur profil génétique, d'évaluer les résultats des traitements actuels de l'obésité, de mettre en place la prise en charge personnalisée, et de développer des stratégies de prévention et de traitements individualisés.

### 1.2.3 Comorbidités associées à l'obésité

Les personnes obèses peuvent manifester divers symptômes et anomalies médicales dépendamment de la sévérité de l'obésité et des facteurs individuels. Nous ne mentionnerons que certains parmi les plus fréquents :

- Complications cardiométraboliques et cardiovasculaires: les marqueurs susceptibles de révéler ces anomalies sont; l'hyperglycémie à jeun, la RI, le DT2, un bilan lipidique anormal/dyslipidémie (comprenant l'élévation de facteurs comme les triglycérides (TG), l'HTA, le cholestérol total et le LDL-cholestérol en plus de la baisse du HDL-cholestérol (5, 77-79).
- Problèmes hépatiques: des cholestases et une NAFLD qui se complique en évoluant vers une stéatohepatite non-alcoolique (NASH) (12, 80);

- Troubles respiratoires: essoufflement important, syndrome d'apnée du sommeil associées à une somnolence, maladie pulmonaire obstructive chronique (81-84);
- Désordres squelettiques, articulaires et rhumatologiques : maux de dos, scoliose, arthrose de la colonne vertébrale, hernies discales, arthrose de la hanche et des genoux, etc.;
- Reflux gastro-œsophagien (85-87);
- Certains types de cancers, ex. le cancer de la prostate et de l'intestin pour les hommes, le cancer du sein et de l'utérus pour les femmes (88-90);
- Dérèglements endocriniens: TSH, cortisol, syndrome des ovaires polykystiques (règles irrégulières, acné, pilosité excessive), anomalies de la puberté : retard pubertaire chez le garçon et puberté avancée chez la fille, gynécomastie (développement des seins chez le garçon) et infertilité (91-93).

En plus des anomalies cliniques, l'obésité est aussi liée à des problèmes psychosociaux comme la faible estime de soi, la dépression, les troubles anxieux, la déscolarisation, l'isolement social et les troubles du comportement alimentaire. Des dizaines d'articles scientifiques et synthèses alertent quant au fardeau économique de l'embonpoint et de l'obésité en mettant en évidence les coûts directs liés à la prestation de services de santé et les coûts indirects relatifs aux pertes de productivité résultant de l'absentéisme du travail, de l'invalidité ou de la mortalité prématurée. Ces coûts indirects sont surtout calculés sur la base de la contribution que ces individus auraient pu apporter à l'économie, en l'absence de leurs problèmes de santé. Pour le Canada, on estime que les coûts de soins de santé supplémentaires associés à l'embonpoint et l'obésité représentaient en 2006 près de 4,1 % des dépenses totales de santé du pays (1). L'estimation du fardeau économique équivalait à 1,5 milliard de dollars pour l'année 2011, et supposément ces résultats sont des estimations conservatrices puisque les montants annuels n'incluent pas les autres coûts directs tels que ceux dus à la consommation de médicaments ou les services de professionnels de la santé autres que les médecins, ni d'ailleurs les coûts indirects tels que l'absentéisme et l'invalidité (94).

## 1.3 Obésité dans le Syndrome Métabolique

Si l'indice de masse corporelle (IMC) sert de mesure pour définir l'obésité, c'est principalement l'obésité abdominale ou centrale qui est qualifiée de « moteur » du SyM (95). L'IMC, équivalant au rapport du poids (en kg) sur la taille au carré (en mètre<sup>2</sup>), se situe entre 18,5 et 24,9 pour le poids normal, entre 25 et 29,9 pour le surpoids, et  $\geq 30$  pour l'obésité. Cette dernière prend de nos jours la forme de pandémie et est devenue dans plusieurs pays un problème majeur de santé publique en vue de sa contribution à de nombreuses maladies chroniques (96). Si on prend comme exemple le risque d'infarctus du myocarde non fatal, il semble que l'obésité abdominale contribue à elle seule à 20% du risque (97). Par conséquent, plusieurs intervenants insistent sur la mesure de la circonférence (tour) de la taille et le ratio taille/hanche (**Tableau 1**) pour définir plus précisément les risques liés à l'obésité (98-101). Cette recommandation n'est pas superflue car elle tient compte du lien de l'obésité avec les désordres du métabolisme des lipides et des hydrates de carbone, ainsi que des perturbations de l'HTA, de l'inflammation et du SOx.

### 1.3.1 Obésité et résistance à l'insuline

L'accumulation des graisses à l'intérieur de la cavité abdominale (tissu adipeux intra-abdominal) est associée à l'intolérance au glucose et à une hyperinsulinémie découlant vraisemblablement d'un état de RI due à l'incapacité de l'insuline à stimuler l'entrée du glucose dans les tissus, à réduire la production hépatique de glucose et à réguler son métabolisme (102). La réaction amoindrie de l'organisme aux effets de l'insuline pour garder le contrôle sur les taux de glucose sanguin est surtout mise en évidence par le test d'intolérance au glucose qui révèle un état pré-diabétique et qui accroît les risques de MCV et d'HTA (103). Comme mentionné précédemment, les mécanismes impliqués dans la RI liée à l'obésité incluent les défauts pré-récepteurs, récepteurs et post-récepteurs. Ces derniers limitent par conséquent l'incorporation de l'insuline au muscle, secondaire à la surcharge des AG (pré-récepteur), à une modulation négative du récepteur de l'insuline suite à l'hyperinsulinémie (récepteur) et

l'inhibition des cascades de signalisation intracellulaire par plusieurs facteurs (AG, adipokines) liés à l'adiposité (post-récepteur) (104). Les AG en grande concentrations dans le tissu adipeux abdominal peuvent atteindre le foie via la veine porte induisant la RI hépatique et par conséquent la stimulation de la production de glucose (105). De même, les cytokines inflammatoires, libérées par la graisse viscérale dans la veine porte, provoquent l'insulinorésistance hépatique et même systémique (106). Dans le cas d'obésité avec la capacité réduite du tissu adipeux sous-cutané à se développer, il y aurait un débordement des AG (« spillover ») vers le compartiment graisseux viscéral et dans les tissus non adipeux (foie, muscle, pancréas, rein, os), résultant en une RI, lipotoxicité et apoptose cellulaire, compromettant ainsi la fonction des organes impliqués (107-109). D'autres facteurs interviennent dans le développement de l'insulinorésistance, tels que les aberrations de clairance de l'insuline circulante (104), le statut de la vitamine D dans l'altération de l'action de l'insuline (110), et l'expression du gène CEACAM1, un régulateur clé de la clairance hépatique de l'insuline (111), pour n'en citer que quelques-uns.

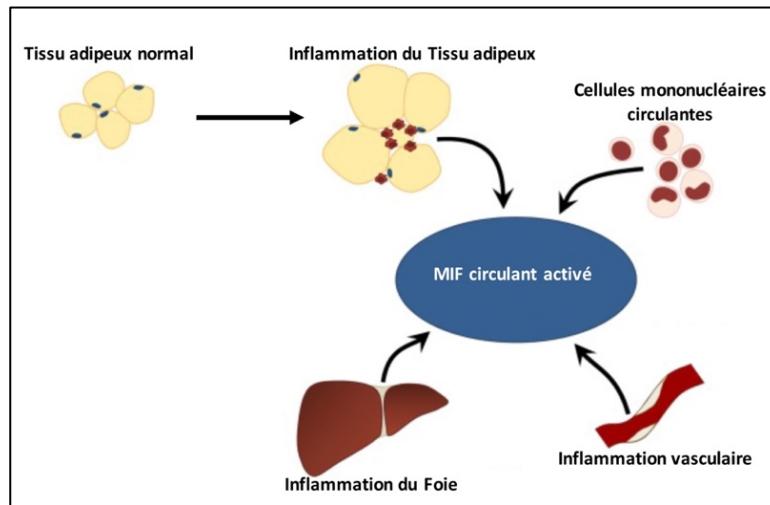
### 1.3.2 Obésité et hypertension

Un constat largement rapporté par la littérature est que 30% des patients avec une surcharge pondérale ou obèses présentent une HTA et que, d'un autre côté, 30% des hypertendus deviendront obèses (112, 113). Même si les deux entités apparaissent inter-reliées sur le plan épidémiologique, les liens causaux sous-tendant cette association sont insuffisamment établis. Certains mécanismes potentiels par lesquels l'obésité exerce une influence sur l'HTA ont été évoqués: (i) surcroît du tonus vasculaire dicté par le SOx accru (114); (ii) augmentation des concentrations de la diméthylarginine asymétrique (un inhibiteur endogène de l'oxyde nitrique) (115); et (iii) renforcement de l'expression de l'angiotensinogène par le tissu adipeux menant à une activation du système rénine-angiotensine (116). Néanmoins, le lien entre obésité et l'HTA est largement influencé par le type de tissu adipeux et sa distribution puisque les individus avec une obésité androïde présentent plus souvent des HTA plus sévères (117), soulignant que les facteurs induisant l'augmentation de l'HTA dans ces conditions sont ceux qui augmentent la fréquence cardiaque

et donc le débit ou les résistances vasculaires périphériques. Comme le nombre de cas d'obésité est en ascension depuis 1975 selon l'OMS, la prévalence de l'HTA a augmenté de façon spectaculaire (118). Par conséquent, le focus est sur le développement de stratégies censées prévenir ces maladies non transmissibles, notamment le SyM et l'obésité, et de traiter leurs complications telles que l'HTA, ce qui amoindrira les décès prématurés.

### 1.3.3 Obésité et inflammation

Dans le cas de l'association causale de l'obésité à l'inflammation, l'exemple souvent cité est surtout celui de la cytokine inflammatoire TNF- $\alpha$  (Tumor Necrosis Factor- $\alpha$ ) étant donné (i) sa présence constante dans le tissu adipeux; (ii) son hyper-expression dans l'obésité; (iii) son effet médiateur de l'insulinorésistance dans de nombreux modèles animaux (119); et (iv) la restauration de la sensibilité à l'insuline via sa neutralisation (119). Cela démontre le concept du rôle de l'obésité dans la pathogenèse de la RI via l'intervention de mécanismes inflammatoires. Le contenu d'autres facteurs inflammatoires en grandes concentrations dans la circulation renforce ce concept (120-123). Le contenu de nombreux médiateurs inflammatoires a été documenté dans le tissu adipeux. Par conséquent, l'expansion de l'obésité crée un environnement pro-inflammatoire capable d'influencer l'émergence de la RI et d'autres composantes du SyM. Dans ce contexte, il est à noter que les macrophages résidant dans le tissu adipeux peuvent également être une source de facteurs pro-inflammatoires aptes à moduler l'activité sécrétatoire des adipocytes (124). Un élément important qui peut contribuer à l'inflammation métabolique est le facteur inhibiteur de la migration des macrophages des cytokines (MIF). Plusieurs observations suggèrent la libération de MIF par le tissu adipeux et son implication dans les processus métaboliques et inflammatoires qui sous-tendent le développement de pathologies liées à l'obésité (125-128) (**Figure 2**).



**Figure 2. L'inflammation métabolique accentuée par l'activation du MIF dans l'obésité.**

Le facteur inhibiteur de la migration des macrophages des cytokines (MIF) est libéré par le tissu adipeux et participe au processus inflammatoire et au développement des pathologies liées à l'obésité, adaptée de (125).

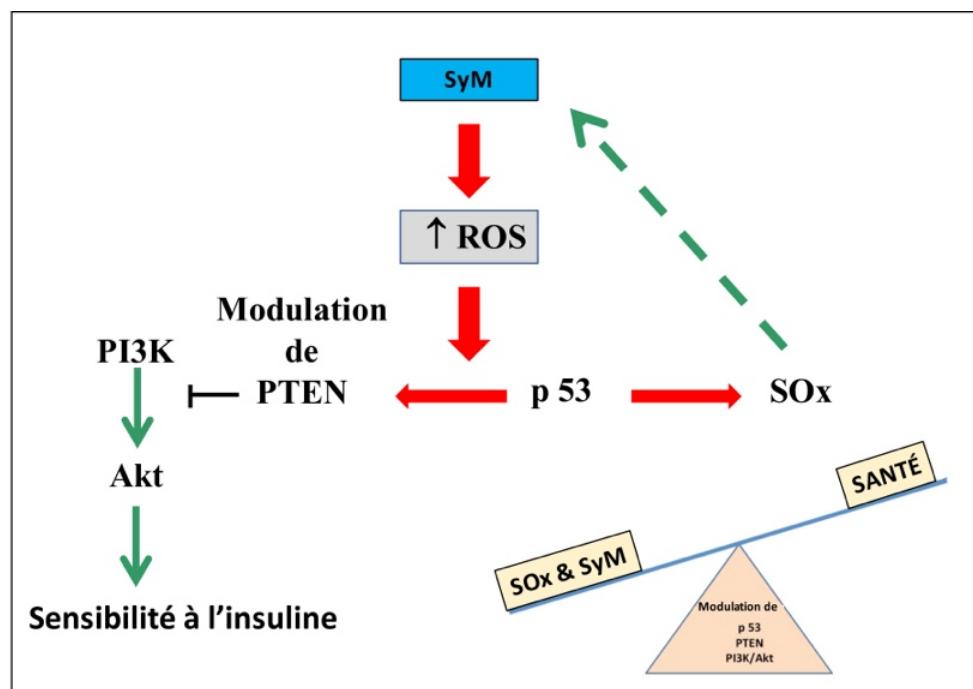
Par ailleurs, on ne pourrait passer sous silence les adipokines telles que la leptine, l'adiponectine et la résistine qui exercent une influence métabolique évidente dans ce contexte (129). Si la leptine est connue comme signal de satiété bien capable d'inhiber la consommation alimentaire et d'exercer une modulation de la fonction sexuelle et immunitaire, elle détient également un rôle pro-inflammatoire et pro-agrégatif plaquettaire (129, 130). Dès lors, des concentrations élevées de leptine peuvent contribuer à l'état pro-inflammatoire de l'obésité et à l'athérogenèse à long terme. Par contre, l'adiponectine, sécrétée en abondance par les adipocytes chez les sujets sains, est anti-inflammatoire et potentiellement anti-athérogène. Contrairement à la leptine, sa concentration diminue avec le gain pondéral et encore plus avec l'obésité (130, 131). On considère actuellement que la production de médiateurs inflammatoires par un tissu adipeux dysfonctionnel entraîne le développement de formes plus complexes de désordres tels que le DT2 et la NAFLD (125).

### **1.3.4 Obésité et stress oxydatif**

La prévalence croissante de l'obésité est liée au dérèglement de l'apport calorique face à la dépense énergétique. Un bilan énergétique positif favorise le SOx qui représente probablement un lien notable entre l'obésité et les complications connexes, y compris la RI. Par exemple, les AG libres qui résultent d'un apport élevé en graisses et en hydrates de carbone chez les rongeurs et les humains obèses peuvent favoriser la production d'espèces réactives oxygénées (ROS) en raison de la saturation de la chaîne de transport des électrons dans les mitochondries (132, 133). À son tour, le SOx peut amplifier l'accumulation de graisse en stimulant la prolifération pré-adipocytaire, la différenciation adipocytaire et la taille des adipocytes matures (133-135). Il a également été observé que le SOx contrôle la prise alimentaire et le poids corporel en exerçant divers effets sur les neurones hypothalamiques avec un impact concomitant sur la satiété et la faim (136). Lorsque l'obésité s'installe, le tissu adipeux devient un site idéal capable de produire des ROS via la modulation de NADPH oxydase (NOX) (133, 137).

De faibles niveaux de molécules antioxydantes (138, 139) ainsi que l'augmentation des produits protéiques à oxydation élevée, des lipoprotéines de basse densité oxydées (oxLDL), des substances réactives à l'acide thiobarbiturique (140) et d'autres indicateurs cellulaires/systémiques ont été rapportés chez des sujets obèses. Les activités des enzymes antioxydantes, y compris le glutathion peroxydase (GPx) et la catalase, se sont révélées être nettement diminuées dans l'obésité (141, 142). Les défenses antioxydantes, qui normalement protègent contre les processus infectieux et contribuent aux fonctions normales telles que la prolifération, la différenciation et la signalisation cellulaire (143-146), sont moins capables de piéger les ROS lorsqu'elles sont diminuées. Bien qu'une relation inverse ait été observée entre la capacité antioxydante totale et la graisse viscérale, indépendamment des autres variables (147), la relation inverse entre l'IMC et le pourcentage de graisse corporelle totale n'a pas pu être clairement démontrée (148). Une explication possible est que différents tissus tentent à travers des mécanismes compensatoires d'augmenter les défenses antioxydantes afin de contrer le SOx.

Les NOX dans les cellules vasculaires constituent un système de ROS majeur qui peut déclencher des composantes en aval telles que l'oxyde nitrique synthase et l'induction du SOx dans les mitochondries (149). Fait intéressant, des ROS vasculaires élevées, chez des souris surexprimant la p22phox et sous un régime riche en graisses, déclenchent l'obésité et même un phénotype du SyM en augmentant l'inflammation, en favorisant l'adipogenèse et en développant une intolérance à l'exercice (150). Le SOx induit une accumulation de graisse et participe à la pathogenèse du SyM associé à l'obésité par la synthèse dérégulée d'adipocytokines (**Figure 3**).



**Figure 3. Rôle du SOx dans le SyM.**

Les concentrations élevées de ROS pourraient inactiver PTEN « Phosphatase and tensin homolog », un membre de la famille des protéines tyrosine phosphatase, soit de manière directe en oxydant son résidu de cystéine, soit indirectement en stimulant sa phosphorylation, interférant ainsi avec la présence de p53 à la membrane plasmique et entraînant l'activation de la cascade de signalisation PI3K/Akt (151), (© Spahis).

Par ailleurs, l'accumulation de graisse dans le tissu adipeux induit une production de ROS qui affecte l'intégrité génomique et modifie l'expression de p53, conduisant à une inflammation du tissu adipeux, à une RI locale et à des troubles cardiométaboliques.

Alors que plusieurs groupes conviennent que l'obésité peut causer des dérangements métaboliques et qu'elle précède et prédispose au développement du SyM via la résistance à la leptine (150), l'induction de la résistance à la leptine par les ROS vasculaires peut altérer la biogenèse et la sécrétion de l'insuline par les cellules  $\beta$ , constituant ainsi un cycle vicieux exacerbant le gain de poids (152). Un appui supplémentaire de ce concept peut être reflété par la diffusion de ROS générée par NOX aux mitochondries pour activer le SOx, provoquant ainsi un dysfonctionnement mitochondrial, limitant la capacité d'exercice musculaire et favorisant le gain de poids (153). En conséquence, les souris dépourvues de l'enzyme antioxydante mitochondriale « manganese-dependent superoxide dismutase (MnSOD) » présentent de graves perturbations (154). Collectivement, ces résultats suggèrent l'existence de multiples mécanismes d'élaboration du SOx par les ROS dans le SyM.

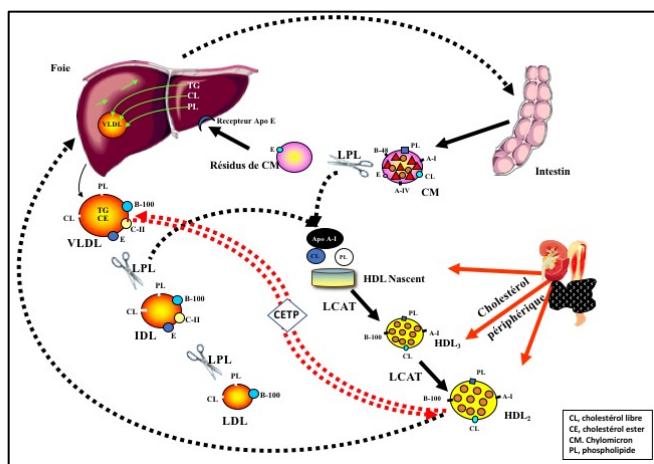
## 1.4 Dyslipidémie dans le Syndrome Métabolique

Comme mentionné auparavant, le foisonnement des AG libres dans la circulation contribue fortement à la pathogenèse de la RI. Les fortes concentrations bloquent la transduction du signal d'insuline par des actions spécifiques (155, 156). Par ailleurs, l'accumulation des AG libres entraîne l'induction du SOx, de l'inflammation et de la réactivité vasculaire, en plus de provoquer une RI (157). La RI active la lipase hormono-sensible adipocytaire qui stimule la lipolyse des TG dans le tissu adipeux et augmente la concentration de AG libres, ce qui finit par produire un cercle vicieux de lipolyse, d'augmentation d'AG libres, de RI, de SOx et d'inflammation.

Une des caractéristiques du SyM est la faible concentration des HDL en association avec le taux élevé des TG circulants. On pense que c'est le résultat d'une diminution de la biosynthèse

de l'apolipoprotéine (Apo) A-I étant donné la présence de la RI (158); l'insuline étant l'activateur de la transcription du gène Apo A-I (159). Une autre raison de la baisse des niveaux des HDL dans la circulation sanguine proviendrait de l'hydrolyse des TG (comme composantes des HDL) par la lipase hépatique, réduisant ainsi la taille des particules de HDL qui sont alors filtrées par les reins (160).

En parallèle avec la prévalence du SyM, la dyslipidémie s'accroît de façon déconcertante, et plus de 85% des adultes de race blanche présentent une élévation légère à modérée des TG et un faible taux de HDL-cholestérol circulants (161). Il est probable que la perte de la sensibilité à l'insuline attise la production des lipoprotéines hépatiques de très basse densité (VLDL), tout en limitant l'activité de la lipoprotéine lipase, ce qui favorise l'hypertriglycéridémie (162, 163). En outre, des patients avec le SyM sont caractérisés par des hausses de LDL petits et denses connues pour accélérer l'athérosclérose (164). La **Figure 4** illustre bien le portrait des anomalies lipoprotéiniques dans les conditions de SyM.



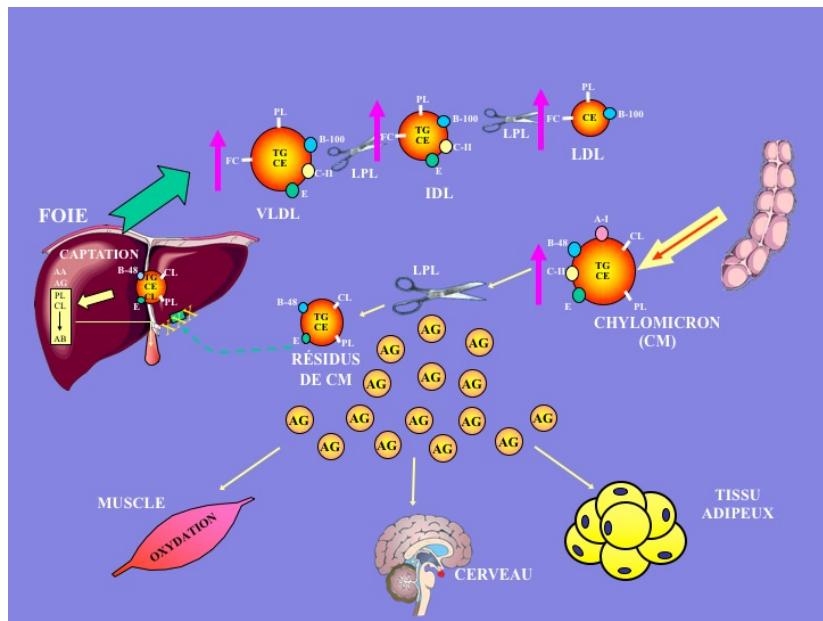
**Figure 4. Anomalies lipidiques dans le SyM.**

Augmentation de la production hépatique des VLDL riches en triglycérides, des LDL petites et denses et un enrichissement en cholestérol des LDL et HDL (© Spahis).

Un profil lipidique normal (A) se présente avec une sécrétion minime de lipoprotéines VLDL & LDL et une plus grande richesse en HDL. Dans un état de dyslipidémie (B), il y a une production accrue de lipoprotéines (VLDL & LDL) petites et denses, riches en TG et une diminution de quantité de HDL Adapté de (165).

Comme l'hypertriglycéridémie engendre un risque non négligeable d'induire des accidents vasculaires, il devient important d'être vigilant à son égard surtout chez les sujets porteurs de SyM. D'ailleurs, des études de randomisation mendélienne montrent le rôle des TG dans l'association entre la variation génétique des gènes APOA5 et LPL et l'infarctus du myocarde (166, 167). Un des mécanismes causaux de cette manifestation pourrait être l'infiltration des résidus de chylomicrons (CM) dans l'espace sous-endothélial de la paroi artérielle, conduisant à leur phagocytose rapide par les macrophages qui se transforment en cellules spumeuses et initient le processus athérosclérotique (168). D'autre part, l'hypertriglycéridémie contribue au dysfonctionnement endothérial, à la coagulopathie, à la thrombose, à la stéatose hépatique, à l'inflammation et au SOx (169, 170).

L'autre désordre lipidique contribuant à l'augmentation du risque cardiométabolique dans le SyM est la dyslipidémie postprandiale, surtout dans les conditions d'excès du tissu adipeux viscéral et de l'insulinorésistance (171-177) (**Figure 5**).



**Figure 5. Dyslipidémie postprandiale et émergence du SyM.**

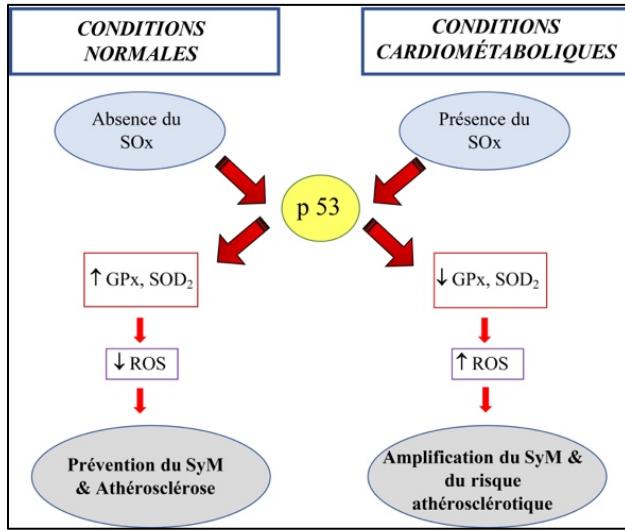
La surproduction hépatique de particules VLDL riches en TG, induite par l'excès des AG libérés du tissu adipeux, l'intestin grêle est responsable du développement de la dyslipidémie postprandiale (© Spahis).

La réponse exacerbée de l'intestin en période postprandiale a été démontrée dans le modèle murin (172, 178, 179), les gerboises *Psammomys obesus* (171, 175, 180, 181) et chez les obèses morbides (177), tous affichant les caractéristiques du SyM. Nous avons proposé que l'insulinorésistance des entérocytes soit à l'origine des aberrations de l'absorption des graisses alimentaires. Des efforts ont été déployés dans le présent projet de recherche pour approfondir les mécanismes de la surproduction des CM dans les conditions de SyM et complications cardiométaboliques tout en évaluant l'impact des aliments fonctionnels.

## 1.5 Stress Oxydatif dans le Syndrome Métabolique

Comme pour l'obésité, les patients avec SyM se caractérisent par une augmentation inappropriée des AG libres (182, 183) capables d'activer la production de ROS (184). Bien que le mécanisme soit encore assez mal compris, il a été démontré que l'augmentation des AG libres intensifie la production de précurseurs de radicaux libres tels que les anions superoxydes ( $O_2^{\bullet-}$ ), les radicaux hydroxyles ( $OH^{\bullet}$ ) et les peroxydes d'hydrogène ( $H_2O_2$ ) dans les cellules musculaires lisses et endothéliales vasculaires (184). D'autre part, l'exposition des tissus adipeux au SOx dans des conditions de SyM entraîne une diminution de l'adiponectine anti-inflammatoire et une augmentation des cytokines proinflammatoires (185), ce qui compromet la signalisation de l'insuline via l'induction de la phosphorylation en sérine des récepteurs de l'insuline et la détérioration de la translocation du transporteur de glucose 4 et de la transcription de son gène (186).

Des études supplémentaires ont rapporté le rôle régulateur du facteur de transcription p53, un suppresseur de tumeur et un agent protecteur du génome, en relation avec l'expression de GPX1, l'isoforme le plus abondant de la famille GPX capable de détoxifier  $H_2O_2$  (187). Dans des conditions pathophysiologiques telles que le SyM, la suppression de p53 régule à la baisse l'expression basale de GPX1 et de SOD2, ce qui déclenche la production cellulaire de ROS, conduisant à des dommages oxydatifs, alors que la restauration des niveaux physiologiques de p53 rétablit les enzymes antioxydantes et décroît la formation des ROS (188 , 189 , 190) (**Figure 6**).

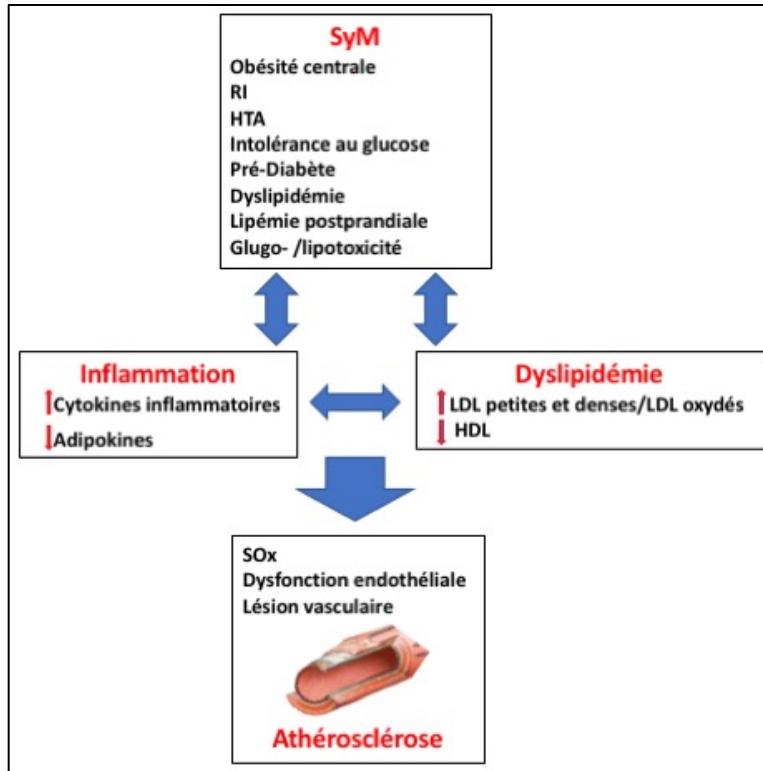


**Figure 6. Implication du p53 en présence ou absence du SOx.**

L'expression du p53 a de larges incidences sur la santé. La protéine p53 peut diminuer ou augmenter le SOx, influençant ainsi le contrôle ou la progression de l'obésité, du SyM et des MCV. p53 peut aussi agir à la fois comme un sensibilisateur et un activateur du SOx. Dans ce contexte, ROS peut induire p53, qui favorise l'activité antioxydante dans des conditions normales et l'activité pro-oxydante dans les conditions cardiométraboliques (© Spahis).

## 1.6 Inflammation dans le Syndrome Métabolique

De nombreuses preuves sont présentes pour souligner l'association étroite entre l'inflammation et le SyM (191). Les marqueurs inflammatoires ont la prépotence d'instruire sur la sensibilité des patients avec le SyM à développer les processus athérosclérotiques et à connaître les événements coronariens et cardiovasculaires (192). Ces marqueurs inflammatoires comprennent, par exemple, la C Reactive Protein (CRP), le fibrinogène, les interleukines, le TNF- $\alpha$ , le PAI-1, l'amyloïde sérique A et les adipokines (leptine, resistine, adiponectine). Ces derniers peuvent, en présence du SOx, déployer l'effet multiplicatif des composantes du SyM à engendrer les lésions vasculaires (193, 194). À l'image du CRP, les protéines inflammatoires montrent un pouvoir de prédiction et une excellente corrélation, non seulement avec la RI mais aussi avec les caractéristiques du SyM (195-197) (**Figure 7**).



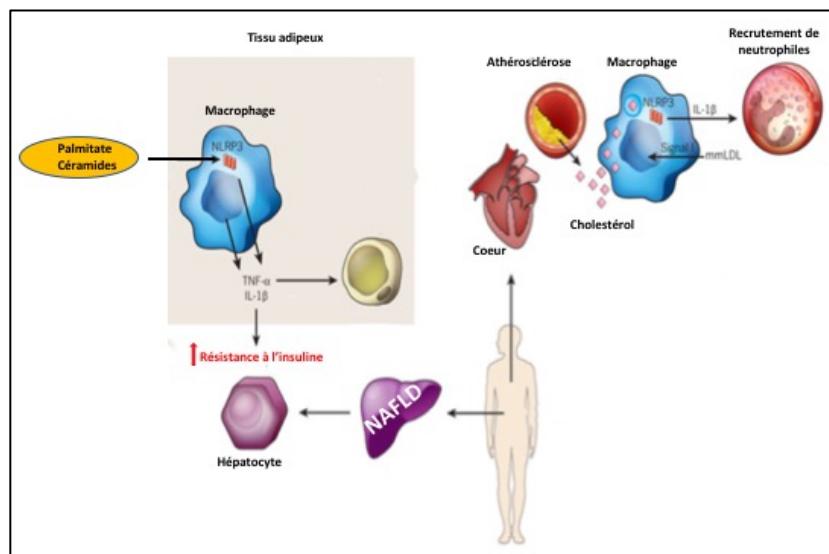
**Figure 7. Dyslipidémie, Inflammation émergente et processus athérosclérotique dans le SyM.**

L'inflammation constitue le lien entre les composantes du SyM et les événements cardiovasculaires, adaptée de (198).

Il est important de documenter sur la portée de l'inflammation émanant du tissu adipeux dans le SyM. L'obésité induit l'accumulation marquée de cellules immunitaires (p. ex. macrophages) dans le tissu adipeux des humains et des rongeurs (199-201). Bien qu'il ait été démontré que les adipocytes eux-mêmes produisent des cytokines pro-inflammatoires et les chimiokines (202), les macrophages au niveau du tissu adipeux participent activement à l'état pro-inflammatoire, et leur recrutement est corrélé avec le degré d'obésité et l'inflammation systémique, la RI et le SyM (203). De plus, un nombre plus faible de lymphocytes T régulateurs anti-inflammatoires a été rapporté dans le tissu adipeux viscéral d'individus obèses avec SyM comparativement à des individus obèses sans SyM (métaboliquement sains) (203). D'autres tissus, comme le foie et le muscle, peuvent être porteurs de facteurs pro-inflammatoires et

contribuer, durant le SyM, à la progression de NAFLD/NASH (204, 205). Finalement, l'inflammasome NLRP3 « NOD-like receptor family, pyrin domain containing 3 » constitue une autre voie contribuant à la RI et au SyM (203, 206) (**Figure 8**).

L'inhibition du NLRP3 a montré des effets pléiotropiques combinant une meilleure signalisation de l'insuline dans le tissu adipeux, le foie et le muscle squelettique, et une augmentation de la sécrétion d'insuline par le pancréas (206, 207). Actuellement, on considère le SyM comme une maladie inflammatoire de bas grade (193).



**Figure 8. Rôle de l'inflammasome NLRP3 dans le SyM.**

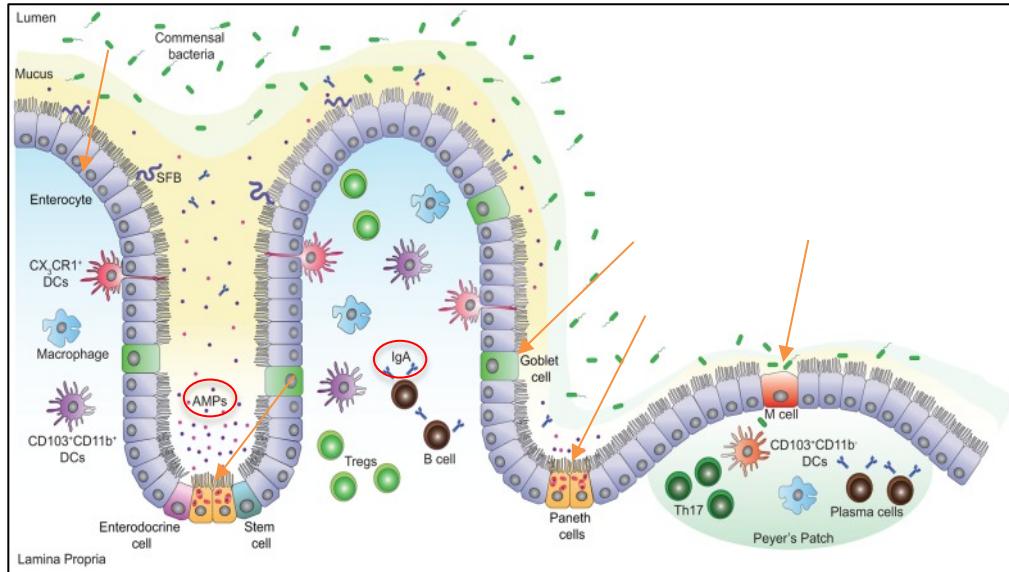
Durant l'obésité, l'inflammasome NLRP3 est activé dans de multiples tissus. Dans le tissu adipeux, le palmitate et les céramides activent NLRP3 dans les macrophages, ce qui conduit à une augmentation de la résistance à l'insuline et l'émergence de la NAFLD. De plus, des cristaux de cholestérol dans les artères activent NLRP3 dans les macrophages, favorisant l'infiltration des cellules inflammatoires et l'augmentation de la progression de l'athérosclérose, adaptée de (208).

## 2. BARRIÈRE INTESTINALE ET ENDOTOXÉMIE

Selon la définition la plus communément acceptée, la muqueuse intestinale fait figure de barrière intestinale. Si la fonction première de la muqueuse intestinale est d'assumer la fonction d'absorption des aliments, elle assume simultanément un contrôle rigoureux de la translocation du contenu luminal, empêchant ainsi le passage de produits indésirables ou nocifs à la circulation. Dans le cas d'obésité et de troubles cardiométaboliques, des perturbations de la barrière intestinale ont été rapportées, ce qui entraîne son dysfonctionnement et permet l'accès des microbes, des produits microbiens et des antigènes étrangers à la paroi intestinale, à la circulation sanguine et à l'ensemble de notre organisme (209).

### 2.1 Épithélium Intestinal

Les travaux des dernières années ont révélé la compartmentation impressionnante et la complexité structurelle et fonctionnelle de l'épithélium intestinal. Ce dernier est composé de différents types cellulaires tels que les entérocytes absorbant les nutriments, les cellules caliciformes « goblet cells » produisant du mucus, les cellules de Paneth synthétisant les peptides antimicrobiens et les cellules entéro-endocriniennes générant les peptides gastro-intestinaux régulateurs. Ces diverses cellules sont toutes enfouies dans la monocouche épithéliale et se renouvellent tous les 4-5 jours (210). Par ailleurs, cette monocouche et le tissu sous-jacent abritent une pléthora de cellules immunitaires telles que les cellules B, les cellules lymphoïdes innées, les macrophages, les cellules dendritiques, les cellules T $\gamma\delta$  et les cellules T $\alpha\beta$ , qui s'intercalent entre les cellules épithéliales et juste au-dessous de la membrane basale (211, 212). Cependant, l'élément central de la barrière intestinale demeure la cellule épithéliale吸収性 (entérocyte) qui migre à partir des cellules souches à la base de la crypte jusqu'à la pointe des villosités. (**Figure 9**).



**Figure 9. Muqueuse intestinale en état d'équilibre homéostatique.**

La barrière épithéliale intestinale est une muqueuse de surface hautement organisée qui empêche l'entrée des microbes dans la lamina propria. L'épithélium est constitué d'une seule couche de cellules épithéliales intestinales (CEI) recouvertes d'une nappe de mucus stratifiée. Contrairement à la couche de mucus externe qui est colonisée par des espèces commensales, la couche de mucus interne est principalement dépourvue de bactéries. Elle contient l'immunoglobuline A (IgA) et des peptides antimicrobiens (AMP) qui empêchent les espèces commensales d'interagir avec la surface des CEI. Il existe cinq lignées de CEI : des entérocytes, des cellules caliciformes productrices de mucus, des cellules entéroendocrines fournissant les peptides hormonaux, des cellules de Paneth formant l'AMP à la base des cryptes, et enfin des cellules M qui échantillonnent les antigènes de la lumière intestinale pour les présenter aux cellules immunitaires voisines. Un grand nombre de lymphocytes T, de macrophages, de cellules B sécrétant des IgA et de plasmocytes sont présentes dans la lamina propria et dans les plaques de Peyer. De plus, les cellules dendritiques (CD) favorisent le développement des cellules T auxiliaires (Th17) et T régulatrices (Treg), adaptée de (213).

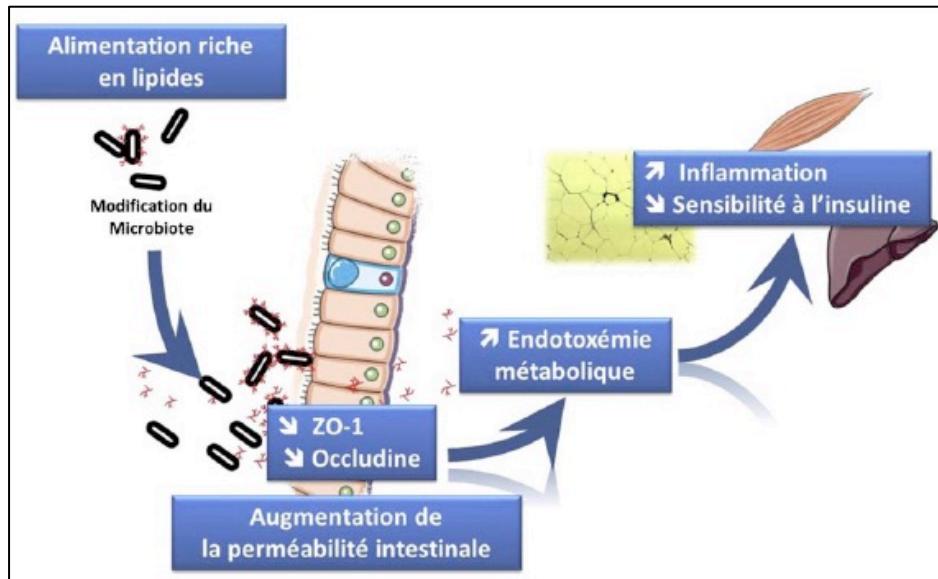
Pour maintenir l'homéostasie et l'intégrité de l'axe crypte-vilosités, l'exfoliation des cellules épithéliales après le processus apoptotique est contrebalancée par la division cellulaire

dans la région de la crypte (214, 215). La migration épithéliale est intimement liée à l'excrétion cellulaire impliquant des mécanismes complexes régulés par des intégrines (216, 217), des héparanes sulfate protéoglycane (218), des facteurs de croissance (219), des cytokines (220) et des récepteurs de chimiokines (221) ainsi qu'une matrice extracellulaire formée entre autres des laminines et du collagène IV (222).

### 2.1.1 Jonctions serrées

Les cellules épithéliales sont mises en réseau par des contacts adhésifs protéiniques appelés jonctions. Ce sont les zones étroitement associées de deux cellules dont les membranes se rejoignent pour former une barrière pratiquement imperméable aux fluides. Ce scellement paracellulaire, constitué de 5-7 sites de fusion membranaire appelés « points d'embrasement » dicte le flux d'ions à travers le tissu et maintient la polarité cellulaire (223, 224). En fait, les jonctions serrées (JS) régulent d'une part le flux des ions et des solutés « gate function », et maintiennent d'autre part la polarité cellulaire « barrier function » (225). Remarquablement, les JS servent de barrière aux bactéries et aux produits bactériens en arrimage avec les protéines de la membrane plasmique apicale et avec les mucines glycocalyx, au niveau de la lumière des cellules épithéliales. Comme exemple, citons les protéines de la famille des claudines, des composants essentiels aux JS. Les claudines (~24 types chez l'homme) forment des brins de JS en se polymérisant dans la membrane plasmique et en se dimérisant avec des claudines sur des cellules apposées, à travers l'espace extracellulaire, pour générer le scellement paracellulaire (226). On pense que la perméabilité des JS dérive au moins en partie des quantités relatives de claudines formant ou scellant les pores dans l'architecture décrite (225). Outre les claudines, il existe trois classes supplémentaires de protéines transmembranaires dans les JS: l'occludine, la tricelluline et les molécules d'adhésion jonctionnelles (227). Une "plaque" dense de molécules d'échafaudage est également ancrée aux protéines transmembranaires et comprend les protéines Zonula occludens « ZO-1 » et les protéines de la famille MAGUK (225). Ces protéines d'échafaudage relient les protéines transmembranaires aux kinases et aux molécules de signalisation qui se localisent à la jonction. Ces dernières contrôlent à leur tour non seulement

les contacts cellule-cellule, mais aussi la machinerie de polymérisation de l'actine et l'appareil de contractilité de l'actine et de la myosine apicales (225). Des observations associent un dérèglement de la barrière mucosale à l'architecture des JS et à l'expression des claudines, en particulier dans l'étiologie des maladies cardiométaboliques (228, 229) (**Figure 10**).



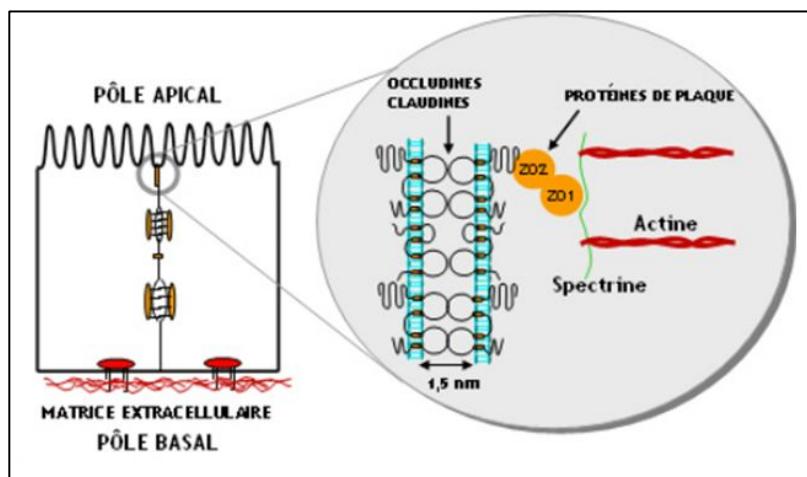
**Figure 10. Altération de la barrière intestinale dans l'obésité.**

Une alimentation riche en gras et en sucre peut altérer la barrière intestinale, en diminuant les protéines clés des JS (ZO-1, occludine), en augmentant l'endotoxémie, tout en activant l'inflammation et l'instauration d'une RI systémique, adaptée de (230).

## 2.1.2 Endotoxémie

Récemment, l'endotoxémie métabolique est apparue comme un facteur possible dans l'étiologie du SyM. Les endotoxines circulantes produites par la microflore intestinale et absorbées dans la circulation peuvent stimuler les récepteurs de type Toll, entraînant l'activation

du facteur nucléaire κB (NF- κB), la sécrétion de cytokines pro-inflammatoires et la RI (231, 232). L'endotoxémie est probablement causée par 2 facteurs principaux. Premièrement, les altérations de la microflore intestinale sont susceptibles d'augmenter la production d'endotoxines dans l'intestin. Effectivement, des études animales et humaines ont démontré qu'en présence d'un régime alimentaire riche en graisses, l'obésité et le DT2 peuvent altérer la composition du microbiote intestinal et la production d'endotoxines intestinales (230, 233-240). Deuxièmement, la perméabilité intestinale élevée facilite l'absorption des endotoxines paracellulaires dans la circulation via les JS épithéliales (232, 241). Une confirmation est obtenue chez les souris exhibant une altération de l'expression des protéines épithéliales des JS, concomitamment à l'élévation de la perméabilité dans des conditions de SyM, d'obésité et de diabète (230, 236, 238) (**Figure 11**). Actuellement, on considère que la santé intestinale est devenue une nouvelle frontière en médecine préventive et thérapeutique visant divers troubles gastro-intestinaux et métaboliques.



**Figure 11. Principales familles de molécules d'adhésion dans l'intestin.**

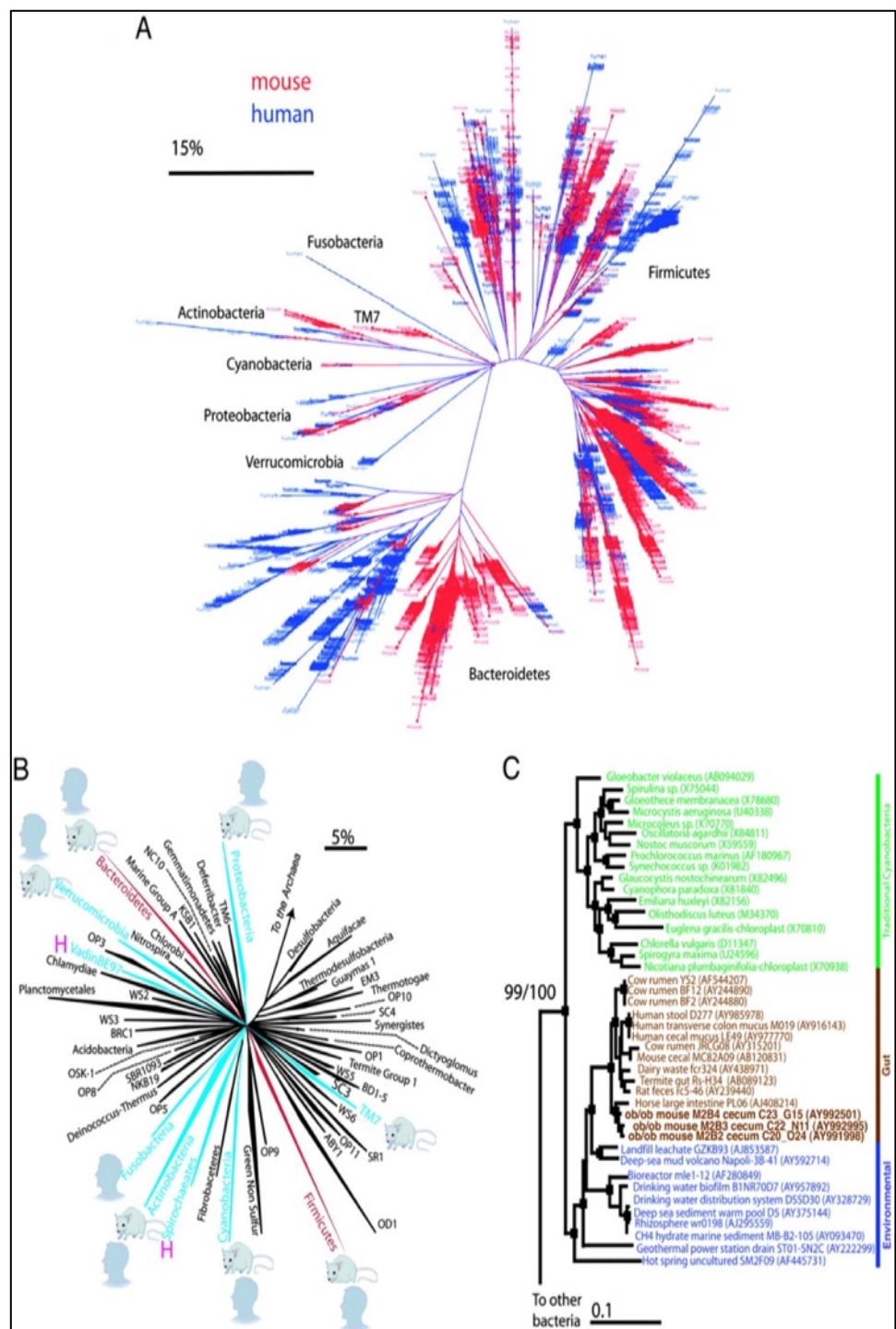
Les JS sont composées de plusieurs types d'occludines, claudines et de protéines d'adhésion jonctionnelle (JAM). Toutes les protéines jonctionnelles transmembranaires interagissent avec des protéines d'échafaudage intracellulaires (telles que ZO-1, -2 et -3) qui interagissent avec d'autres protéines, y compris l'actine dans le cytosquelette, adaptée de (242).

### **2.1.3 Microbiote intestinal**

L'intestin humain fourmille de nombreux microbes tels que les bactéries, les virus et les levures composant le microbiote, précédemment nommée flore intestinale. On estime que 100 000 milliards de bactéries colonisent de façon permanente le tractus digestif d'un seul individu et appartiennent à ~1000 espèces, ce qui illustre bien la grande diversité taxonomique (243). Le métagénomme ou nombre total de gènes du microbiote semble dépasser de 150 fois le génome humain (3,3 millions vs. 25000); le côlon abritant la plus importante concentration de bactéries, avec une densité atteignant  $10^{11}$  bactéries pour un gramme de contenu (244, 245). L'exploration de cette écologie microbienne a connu une expansion considérable grâce au développement de techniques dites métagénomiques faisant appel au séquençage de l'ADN (**Figure 12**).

Des équipes françaises ont analysé 396 échantillons de selles provenant d'espagnols et de danois et ont été capables d'identifier 741 espèces de bactéries dont 85% étaient jusque-là inconnues (240). L'analyse métagénomique a aussi conduit à la reconstitution du génome complet de 238 bactéries intestinales et la mise en évidence de maintes associations de dépendance entre ces différents groupes bactériens (246). La multitude de connaissances émergeant de nombreuses études nous approchent de la compréhension du fonctionnement global de la population microbienne intestinale et de ses interactions avec l'organisme qui les héberge. Par contre, seules certaines espèces peuvent être cultivées à partir des selles alors que la majorité demeure non cultivable (247).

(A) Arbre phylogénétique de 5,088 séquences d'ARNr 16S associées au cæcum de souris rapportées dans cette étude et 11 831 séquences d'ARNr 16S associées au côlon humain. Les divisions dominantes chez les souris et les humains sont colorées en rouge, les divisions plus rares en bleu et les divisions non détectées en noir. B) Arbre phylogénétique de la bactérie montrant les divisions décrites. (C) Arbre de parcimonie maximale montrant des taxons représentatifs des cyanobactéries en vert, y compris les séquences chloroplastiques des eucaryotes. Les séquences détectées dans les tractus gastro-intestinaux des animaux sont en brun, et celles détectées dans d'autres environnements sont en violet.



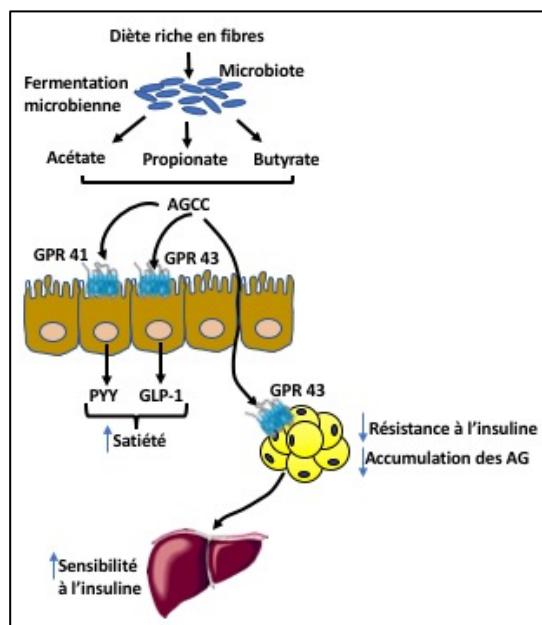
**Figure 12. Diversité bactérienne chez l'humain et la souris, adaptée de (240).**

La communauté de microorganismes dont le poids est de 1 à 5 kg vit en symbiose avec son hôte et s'alimente de substrats végétaux et animaux issus de notre alimentation, ainsi que de substrats endogènes (mucines) propres à l'hôte. De façon intéressante, on se rend de plus en plus compte que la composition de la flore s'adapte aux changements de nourriture. Par exemple, contrairement à ce que l'on pourrait penser, le poids du microbiote dépend bien plus du type d'alimentation que du poids total de l'individu (248, 249). À cet effet, la privation alimentaire et l'apport de protéines ou de fibres solubles à long terme modifient la biodiversité des bactéries, stimulent la dépense énergétique et préviennent l'accumulation des lipides (249-252). Par contre, on réalise que des comportements alimentaires déviants ou des écarts radicaux des saines habitudes alimentaires menacent la biodiversité des microorganismes commensaux qui assurent une symbiose avec l'hôte et le maintien de sa santé et de son bien-être (248, 250). De même, la sédentarité, la surconsommation, la prise régulière de médicaments et l'exposition aux polluants causent une dysbiose intestinale et affecte considérablement la santé (248-251, 253).

La diversité du microbiome a servi d'agent comparatif dans l'identification des signatures associées à diverses maladies. En fait, les corrélations entre l'existence/disparition de souches bactériennes dans des désordres spécifiques permettent de formuler des postulats reliant la dysbiose et l'étiologie d'un certain nombre de pathologies. C'est ainsi que le débalancement du microbiote a aidé à détecter des mécanismes relatifs aux troubles chroniques non transmissibles majeures (254-258). Par exemple, dans l'obésité, le SyM et le DT2, la dysbiose microbienne du tractus gastro-intestinal est en mesure de modifier le profil de fermentation intestinale et l'intégrité de la paroi intestinale tout en provoquant une endotoxémie métabolique, une inflammation de bas grade, une auto-immunité en association avec d'autres anomalies métaboliques (230, 233, 236, 239, 240, 243).

On est finalement arrivé à la conclusion que les symbiotes résidents contrôlent le métabolisme de l'hôte de multiples façons, intégrant l'homéostasie physiologique, la signalisation immuno-inflammatoire et la compliance énergétique (230, 233, 234, 236, 239, 243). Il est important de noter que la fermentation microbienne recueille de l'énergie pour la croissance microbienne et génère des monosaccharides et des acides gras à chaîne courte (AGCC). Ceux-ci sont capables de se lier aux récepteurs GPR41 (ffar2) et GPR43 (ffar3)

couplés aux protéines G et de les activer afin d'inhiber les cytokines inflammatoires (259, 260). Il a été démontré que les AGCC, composés essentiellement de butyrate, propionate et acétate, peuvent avoir des fonctions complémentaires. Le butyrate est principalement utilisé comme source d'énergie par les entérocytes alors que l'acétate et le propionate sont transférés au foie pour la lipogenèse et la gluconéogenèse. La supplémentation en butyrate chez les souris prédiabétiques obèses a significativement amélioré la barrière épithéliale intestinale et la sécrétion d'insuline des cellules bêta, tout en diminuant l'adiposité corporelle ainsi que le gain de poids, la RI, l'hyperinsulinémie et l'hyperglycémie (261). De leur côté, les aliments prébiotiques fonctionnels qui altèrent le profil de fermentation du microbiome, améliorent l'équilibre énergétique et la sensibilité à la leptine en modulant les sécrétions des cellules entéro-endocrines dans les modèles d'obésité et de souris diabétiques (262) (**Figure 13**).



**Figure 13. Régulation du microbiote intestinal et du métabolisme de l'hôte.**

L'activation de GPR41 et GPR43 par les AGCC (i) stimule la production des neuropeptides (PYY et GLP-1) pour augmenter (i) la satiété, (ii) réduit la signalisation de l'insuline dans le tissu adipeux; assurant ainsi la prévention subséquente de l'accumulation de graisse ectopique, et (iii) augmente la sensibilité à l'insuline au niveau du foie, adaptée de (263).

D'autres évidences montrent que la colonisation de rongeurs axéniques (exempts de germes) avec des microbes intestinaux, obtenus à partir de rongeurs conventionnels (en bonne santé métabolique), a favorisé l'expression de gènes impliqués dans l'absorption des nutriments, l'intégrité de la barrière muqueuse, l'angiogenèse et les enzymes du métabolisme xénobiotique (264).

## 2.2 Axe Intestin-Foie

L'anatomie du foie permet de nombreuses interactions physiologiques avec l'intestin, méritant ainsi l'appellation "axe intestin-foie" par la communauté scientifique. Alors que le quart de l'apport sanguin provient de la circulation systémique et atteint le foie par l'artère hépatique, les trois autres quarts reflètent le sang riche en nutriments dérivé de l'intestin qui pénètre dans le foie par la veine porte (7). Les nutriments, molécules de signalisation et métabolites bactériens dérivés de l'intestin sont transférés au foie par la circulation portale et contribuent à la santé hépatique en maintenant un métabolisme local optimal. En fait, le flux sanguin ralenti dans les sinusoides du foie favorise des interactions entre les substances issues de l'intestin et les cellules hépatiques, soit les hépatocytes et cellules immunitaires. On arrive actuellement à la conclusion que le dysfonctionnement de l'axe intestin-foie constitue un facteur critique dans le développement et la progression de plusieurs désordres hépatiques tels que la NAFLD (265-268).

### 2.2.1 Composantes de l'axe intestin-foie

Les cellules immunitaires hépatiques incluent les cellules de Kupffer, les cellules tueuses naturelles, les cellules dendritiques, les lymphocytes, et même les cellules non parenchymateuses (endothéliales et étoilées) (269). De solides observations ont particulièrement démontré que les composantes microbiennes dérivées de l'intestin constituent des signaux de

danger pour les cellules hôtes dans le foie puisqu'ils activent la cascade inflammatoire des cellules immunitaires et détériorent la fonction des cellules parenchymateuses (270).

Par ailleurs, les microbes influencent le système immunitaire de la muqueuse qui assiste l'hôte dans la distinction des signaux de sécurité et de danger (271). Étant donné que le foie est irrigué entre autres par le sang de provenance intestinale, les bactéries intestinales peuvent également exercer un effet sur le milieu immunitaire dans le foie. En effet, l'exposition des cellules hépatiques aux produits de la digestion, aux antigènes et aux métabolites bactériens (lipopolysaccharides, ADN microbiens, peptidoglycans, PAMP et même des bactéries intactes) contenus dans le sang veineux portal (272) est susceptible d'altérer la tolérance et de moduler l'environnement immunitaire dans le foie (273, 274). Par exemple, des modifications dans la composition du microbiome en association avec les altérations de la perméabilité intestinale favorisent la translocation des bactéries dans la circulation portale (7). Ainsi, les changements compositionnels du microbiote intestinal en association avec les altérations de la perméabilité intestinale peuvent renverser l'environnement tolérogène hépatique via l'impact sur les cellules immunitaires hépatiques (269).

Nous avons décrit comment la dysbiose est impliquée dans la pathogenèse de désordres hépatiques et de leurs complications. Cependant, la maladie du foie peut de son côté modifier le profil des bactéries intestinales. La proposition d'un cercle vicieux est possible à l'instar de la cirrhose qui altère le microbiote, ce dernier contribuant, de son côté, à de nombreuses complications de la cirrhose.

## 2.3 Microbiote et Maladies du Foie

Indiscutablement, le microbiote intestinal recèle de fonctions critiques pour la physiologie du foie et l'homéostasie métabolique (270). Ses produits toxiques bactériens dérivés de l'intestin peuvent agir comme déclencheurs d'inflammation hépatique et agraver la stéatose hépatique (275, 276). Inversement la stérilisation intestinale aide à prévenir la stéatose hépatique

après ligature des voies biliaires (276). Le microbiote intestinal pourrait aussi participer à la progression de la NAFLD vers le NASH en favorisant la fibrose (277, 278). Par ailleurs, la défaillance de l'homéostasie intestinale contribue aux maladies hépatiques auto-immunes (279). Même si le foie est capable de réparer ses propres lésions via la prolifération hépatocytaire, la formation de tissu fibreux pourrait s'y développer et remplacer le parenchyme hépatique normal (280). Ce sont surtout les cellules stellaires hépatiques qui sont les plus responsables de ces événements, car ils acquièrent un phénotype myofibroblastique et produisent du collagène, le principal composant du tissu fibreux (281).

L'axe intestin-foie est également impliqué dans la cirrhose. La translocation bactérienne est l'une de ses manifestations (282, 283). Les mécanismes qui sous-tendent l'émergence de la translocation bactérienne dans la cirrhose comprennent : (1) la prolifération des bactéries intestinale associée au degré de dysfonction hépatique; (2) le dysfonctionnement immunitaire; (3) l'altération des facteurs luminaux (ex. acides biliaires, immunoglobuline A, mucines, défensines, lysozyme, phospholipase A2); les acides biliaires produisant notamment un effet trophique sur la muqueuse intestinale avec en plus l'inhibition de la prolifération des bactéries anaérobies (284), alors que l'administration d'acides biliaires conjugués à des rats cirrhotiques avec ascite entraîne une réduction de la prolifération bactérienne intestinale, de la translocation bactérienne et de l'endotoxémie tout en augmentant la survie des animaux (285); (4) la modification de la perméabilité intestinale impliquant l'augmentation exagérée de l'acide nitrique qui altère l'intégrité de la muqueuse intestinale par l'expansion des TJ, la destruction du cytosquelette d'actine et la réduction de l'adénosine triphosphate (286). On constate donc qu'une multitude de facteurs intestinaux, conduisant à des effets locaux et systémiques délétères en aval, jouent un rôle majeur dans les issues cliniques défavorables chez les personnes atteintes de problèmes hépatiques.

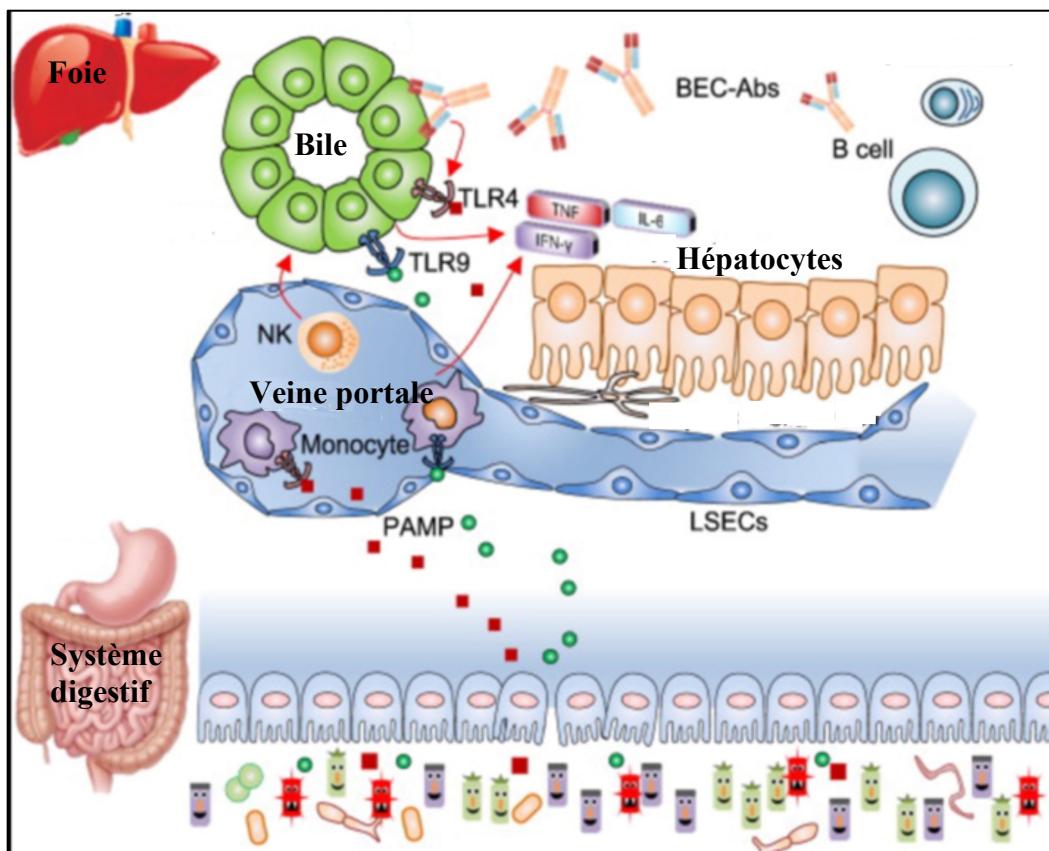
### **2.3.1 Mécanismes d'action du microbiote sur la NAFLD**

L'excès de certains nutriments et leur transport intestinal cause l'accumulation de lipides au niveau du foie comme c'est le cas dans la NAFLD. En effet, l'apport alimentaire de fructose, de graisses saturées et de cholestérol entraînent une altération de la  $\beta$ -oxydation et une augmentation de la lipogenèse (287). D'autres évidences ont ciblé les AGCC dans les maladies hépatiques et particulièrement la NAFLD, car ils peuvent représenter une source des calories supplémentaires. Les monosaccharides activent le foie via la protéine de liaison de l'élément de réponse glucidique (ChREBP), ce qui augmente la lipogenèse hépatique et l'accumulation de graisse (268).

Le microbiote intestinal est responsable de la conversion de l'acide cholique et de l'acide chénodésoxycholique en acides biliaires secondaires. En régulant les pools d'acides biliaires, le microbiote contrôle le métabolisme des lipides et du glucose dans le foie. Les acides biliaires fonctionnent également comme des molécules de signalisation et se lient aux récepteurs cellulaires. Il est bien établi que la synthèse des acides biliaires contrôle l'activation du récepteur nucléaire farnésoidé X et du récepteur 5 couplé à la protéine G (288). Ces deux facteurs sont fortement impliqués dans la modulation du métabolisme du glucose (289, 290).

Il est maintenant acquis que les endotoxines dérivant de l'intestin activent les macrophages hépatiques via la signalisation des « Toll like receptors », stimulant ainsi la production de cytokines pro-inflammatoires (ex. TNF- $\alpha$  et IL-8) aptes à déclencher la migration hépatique des neutrophiles et des monocytes (291) (**Figure 14**). Cet événement mène finalement à une lésion hépatique et à une inflammation systémique. Il induit également un dysfonctionnement immunitaire inné qui prédispose à l'infection et au développement de complications décompensatrices telles que l'hémorragie et la septicémie (292). Des analyses quantitatives de métagénomique ont récemment été utilisées pour démontrer que 75245 gènes microbiens diffèrent en terme d'abondance entre les patients atteints de problèmes hépatiques et les individus en bonne santé (293).

De ce fait, la dysbiose entérique est un joueur clé dans l'étiologie des maladies hépatiques. Des changements défavorables dans le microbiote intestinal ont été causalement liés à la pathogenèse de la NAFLD/NASH et à la progression vers une maladie hépatique avancée (273, 294, 295).



**Figure 14. Le microbiote intestinal participe à l'initiation et au maintien de maladies hépatiques auto-immunes en modulant le système immunitaire inné.**

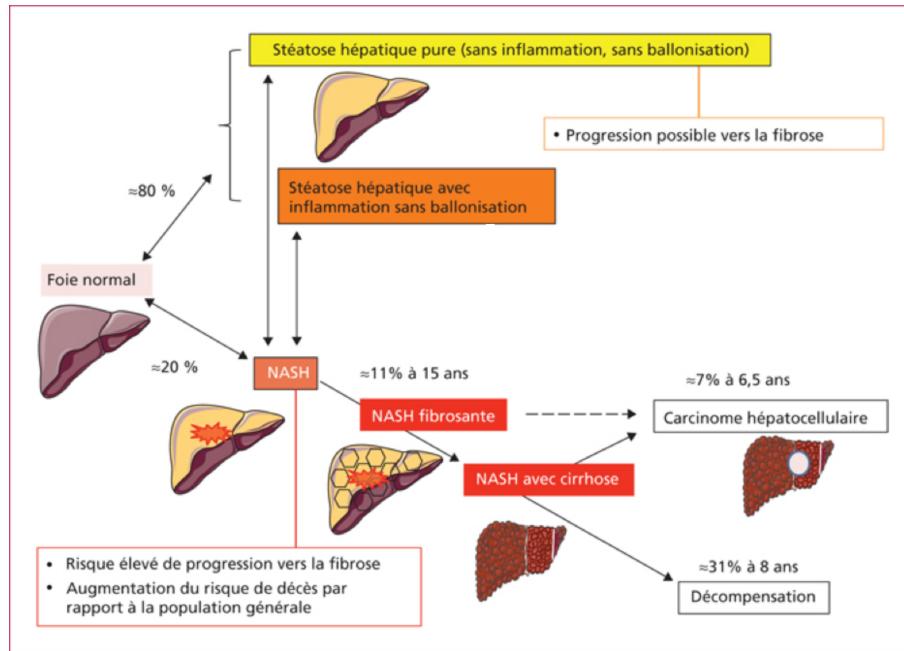
Les endotoxines dérivant de l'intestin activent les macrophages hépatiques via la signalisation des TLRs, stimulant ainsi la production de cytokines pro-inflammatoires, menant à une lésion hépatique, adaptée de (296).

### **3. STÉATOSE HÉPATIQUE NON ALCOOlique (NAFLD)**

#### **3.1 Définition et Prévalence de la NAFLD**

La NAFLD est définie comme une accumulation excessive de graisse hépatique (hépatostéatose), principalement sous forme de lipides neutres constitués de TGs, d'esters de cholestérol et de diacylglycérols. La NAFLD est aussi considérée par la communauté scientifique comme la manifestation hépatique du SyM, s'associant à l'obésité, la RI et la dyslipidémie (297) ainsi qu'au SOx (298) et à l'inflammation (299). Elle constitue aussi un problème majeur de santé publique (300, 301) et sa prévalence continue de progresser en parallèle avec l'épidémie d'obésité dans les sociétés occidentales (302-304), atteignant 20 à 30 % dans la population générale, 80 à 90 % chez les adultes obèses, 30-50 % chez les sujets atteints de T2D, et jusqu'à 90 % chez les sujets atteints d'hyperlipidémie (301, 305). Dans plusieurs cohortes pédiatriques, l'estimation de la prévalence de la NAFLD est de 3 à 10 %, passant à 40-70 % chez les enfants et adolescents en surpoids ou obèses (300, 306), avec un ratio mâle-femelle 2 :1 (307). La NAFLD manifeste une variété de symptômes allant d'une stéatose simple à l'aspect histologique simultanée de l'inflammation et d'hépatocytes ballonnés, qui définit la NASH (308, 309); ces symptômes ayant une prévalence de 10 à 56 % dans la population obèse (310). Jusqu'à 20 % des patients qui développent le NASH peuvent progresser vers la fibrose, la cirrhose et le carcinome hépatocellulaire (311-313) (**Figure 15**).

La NASH est considérée comme la troisième cause commune de la transplantation hépatique. Aux États-Unis, on estime que la NASH se projette comme la principale indication de la transplantation du foie d'ici 2020 (314). Une approche multidimensionnelle apparaît nécessaire pour cibler la NAFLD associée à plusieurs risques liés à la santé.



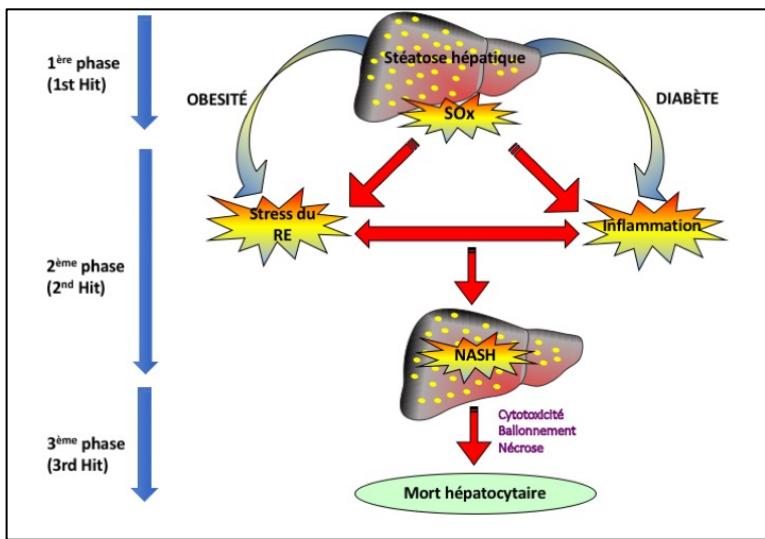
**Figure 15. Spectrum et évolution de la stéatose hépatique non alcoolique.**

La NAFLD manifeste une variété de symptômes allant d'une stéatose simple à l'aspect histologique simultanée de l'inflammation et d'hépatocytes ballonnés, qui définit la NASH, adaptée de (315).

### 3.2 Causes de la NAFLD

Même en absence de consommation d'alcool et d'infection virale, le foie peut accumuler les lipides de manière substantielle. La NAFLD en est un remarquable exemple, qui semble définitivement être associée à de mauvaises habitudes alimentaires, à la sédentarité et notamment aux désordres cardiométaboliques (297). Cependant, de nombreux sujets porteurs d'obésité, de SyM et de DT2 ne présentent pas de NAFLD/NASH. Inversement, ce ne sont pas l'ensemble des patients avec une NAFLD/NASH qui présentent une RI, une obésité et SyM. Actuellement, plusieurs équipes biomédicales à travers le monde sont activement engagées à élucider la pathogenèse, surtout que la prévalence de la NAFLD a doublé ces 20 dernières

années, alors que celle d'autres maladies hépatiques chroniques est restée stable ou a même diminué (316). Malgré les limitations dans la compréhension de la pathogenèse, on continue à se servir de facteurs de risque (DT2, IR, obésité, HTA, hyper-cholestérolémie et hypertriglycéridémie) pour dépister la présence de la NAFLD. En particulier, l'interaction réciproque entre le dépôt lipidique dans le foie et la RI est un élément clé dans le développement de la NAFLD. D'ailleurs, 50 à 60% des patients avec DT2 et affichant une RI présentent une NAFLD et, réciproquement, 20 à 30% des sujets avec une NAFLD sont diabétiques (317). Le modèle à deux étapes (ou « two-hit hypothesis » en anglais) « tient toujours la route » pour expliquer la pathogenèse de la NAFLD (318). La première étape est caractérisée par l'émergence de la RI qui conduit à la mobilisation des AG par le tissu adipeux et à la lipogenèse hépatique *de novo*. Dans la seconde étape, l'afflux élevé de ROS et l'apport de cytokines inflammatoires génèrent le SOx et un environnement inflammatoire, respectivement, concourant ainsi à produire un environnement cytotoxique favorisant la NASH et la fibrose (319). Un troisième “hit” a également été proposé et est basé sur la mort progressive des hépatocytes et au déclin de la réplication des hépatocytes matures, ce qui conduit à la cirrhose et au carcinome hépatocellulaire (318, 320) (**Figure 16**). Par conséquent, l'accumulation de lipides au niveau des hépatocytes, probablement due à un déséquilibre entre l'afflux important d'AG (par l'alimentation, la lipolyse dérivant des tissus adipeux et de la lipogenèse hépatique), et l'amenuisement de l'élimination et de l'oxydation des AG sont responsables de la NAFLD.



**Figure 16. Pathogenèse de la stéatose hépatique non alcoolique selon les atteintes ou « Hits » proposés (© Spahis).**

Il est raisonnable de penser que l'accumulation des TG intrahépatiques interfère avec les voies de signalisation intracellulaires de l'insuline et provoque donc une résistance à l'action de l'insuline au niveau hépatique. Un autre mécanisme physiopathologique observé dans la NAFLD est la dérégulation de la biogenèse et du fonctionnement des mitochondries, causant ainsi une baisse de leur capacité oxydative et participant au développement de la RI (321, 322). Globalement, l'accumulation de graisse dans le foie (1<sup>st</sup> hit), accompagnée d'une peroxydation lipidique, accroît la vulnérabilité au SOx (2<sup>nd</sup> hit), qui déclenche l'inflammation, le stress du réticulum endoplasmique (RE), le dysfonctionnement mitochondrial et l'incapacité des hépatocytes à synthétiser des antioxydants endogènes (323).

### **3.3 Symptômes et Diagnostique de la NAFLD**

Comme nous l'avons mentionné, la NAFLD en tant qu'hépato-stéatose cliniquement bénigne peut varier en gravité. Elle évolue en NASH avec une inflammation et même des lésions hépatiques. La NASH est la forme progressive de la maladie qui peut conduire à des changements de la morphologie hépatique (ballonnement des hépatocytes) avec complications (mort cellulaire et fibrose) (**Figure 15**). Dans la grande majorité des cas, la NAFLD est asymptomatique, mais durant son évolution, on peut constater un foie augmenté en volume, une sensation d'inconfort au niveau de l'abdomen, une sensibilité du foie lors de la palpation dans la région de l'hypocondre, des nausées et des vomissements.

En 2012, la Société européenne de gastroentérologie pédiatrique, hépatologie et nutrition (ESPGHAN) a publié ses lignes directrices pour le diagnostic de la NAFLD (324). La biopsie du foie est considérée comme un étalon-or pour le diagnostic de la stéatose, mais elle n'est pratiquée qu'en cas de nécessité, étant donné son caractère invasif et coûteux. En outre, le criblage demeure difficile pour déterminer la gravité de l'altération du foie et pour arriver à distinguer entre la pathogénèse de NAFLD et de NASH (324). De ce fait, pour atteindre une précision dans le diagnostic, on fait appel à un test de dépistage non invasif essentiellement basé sur des marqueurs communs pour le diagnostic de la NAFLD. Les enzymes hépatiques [aspartate aminotransférase (AST) et alanine aminotransférase (ALT)], couramment utilisées dans les études de NAFLD/NASH pédiatriques (325, 326) ainsi que l'échographie abdominale, la résonnance magnétique (IRM) et l'ultrasonographie (327) contribuent à donner plus de lumière au diagnostic sans être envahissant. Généralement, les ALT et AST sont élevées de deux à trois fois la limite supérieure de la normale avec une activité enzymatique d'ALT supérieure à celle de l'AST. L'activité de la gamma-glutamyl-transférase (GGT) sérique est souvent en hausse. L'échographie est en mesure d'indiquer la présence d'une augmentation de la taille du foie qui s'accompagne souvent d'un aspect hyperéchogène, mais l'utilité d'une échographie abdominale dans les cas non compliqués demeure controversée. Les autres tests d'imagerie tels que l'IRM ayant une valeur quantitative sont aptes à confirmer l'accumulation de graisses dans

le foie. Dans certains cas, on a recours à l'histologie suite à la biopsie hépatique afin de déterminer avec certitude le pourcentage d'atteinte hépatique.

### **3.4 Voies Métaboliques dans la NAFLD**

Comme discuté précédemment, le SyM, particulièrement chez les adultes, est défini par la présence d'obésité abdominale (tour de taille) et deux ou plusieurs des facteurs suivants: hypertriglycéridémie, faible taux de HDL, glycémie élevée à jeun/RI et HTA. En outre, alors que le SyM dans la population pédiatrique n'est pas clairement compris, deux facteurs apparaissent comme cruciaux dans sa pathogénèse : obésité centrale avec accumulation de gras dans le tissu adipeux et la RI avec dyslipidémie, inflammation et augmentation des AG libres dans la circulation (328). De plus, de nombreuses études ont montré que le SyM et les risques élevés de MCV (329) sont associés à la stéatose et la fibrose hépatiques chez les enfants et les jeunes adolescents (330, 331).

#### **3.4.1 Dyslipidémie et NAFLD**

Les lipides occupent une place centrale dans la pathophysiologie de la NAFLD, et les TG sont les premiers à être emmagasinés conséquemment à la lipogenèse hépatique et à la mobilisation des AG du tissu adipeux (332). De plus, des désordres du bilan lipidique sont présents chez la majorité des patients souffrant de NAFLD, se manifestant par une hypertriglycéridémie et des taux circulants élevés d'AG libres (333, 334).

#### **3.4.2 Insulinorésistance et NAFLD**

La RI est l'un des facteurs les plus fortement associés à la NAFLD (335). En effet, il a été rapporté que 21 à 55% des patients adultes obèses, diagnostiqués avec une NAFLD, ont un DT2

ou une intolérance au glucose (336). De plus, la majorité des études, portant sur la NAFLD associée à l’obésité et au SyM, démontrent l’instauration d’une RI chez tous les sujets avec NAFLD, indépendamment de l’existence d’une hyperglycémie (333, 334, 337). La composition corporelle chez l’enfant comme chez l’adulte et, par conséquent, la masse du tissu graisseux viscéral, influencent le degré de RI (338). Cette dernière favorise des anomalies métaboliques capables d’induire une surcharge lipidique au foie. Ainsi, elle induit une lipolyse périphérique, augmentant la libération d’AG libres dans la circulation et une production hépatique de glucose en stimulant la néoglucogenèse. À l’inverse, le traitement de l’adulte par des agents hypoglycémiants, comme la metformine, diminue l’insulinorésistance hépatique et améliore les taux sanguins des transaminases hépatiques (339). Pourtant, peu d’études se sont attardées sur le traitement de la RI chez l’enfant ou l’adolescent obèse avec NAFLD. D’autre part, le tissu adipeux est de plus en plus considéré comme un organe endocrinien et non seulement comme un tissu de stockage des TG. Une étroite association a été démontrée entre la taille des adipocytes, les adipokines et la NAFLD (340-342).

### **3.4.3 Inflammation et NAFLD**

L’obésité est associée à une inflammation de bas grade chez l’enfant comme chez l’adulte avec des niveaux augmentés de la CRP (14). De plus, une CRP plasmatique élevée est un facteur prédicteur de la NAFLD chez l’adulte (343), mais peu de données sont disponibles pour l’enfant ou l’adolescent. D’autres marqueurs de l’inflammation ont été étudiés pour la NAFLD, comme l’IL-6 qui est produite par les adipocytes et est capable d’induire la synthèse hépatique de la CRP (344). Ceci n’a pas pour autant attirer l’attention sur le besoin d’effectuer des études supplémentaires chez l’enfant. De son côté, le TNF $\alpha$  est capable d’induire les lésions histologiques de la stéatose hépatique (345). De nombreux travaux portant sur des biopsies hépatiques de sujets obèses avec NAFLD montrent une corrélation étroite entre l’expression génique du TNF $\alpha$  et la sévérité histologique de l’atteinte hépatique (346).

### **3.4.4 Stress oxydatif**

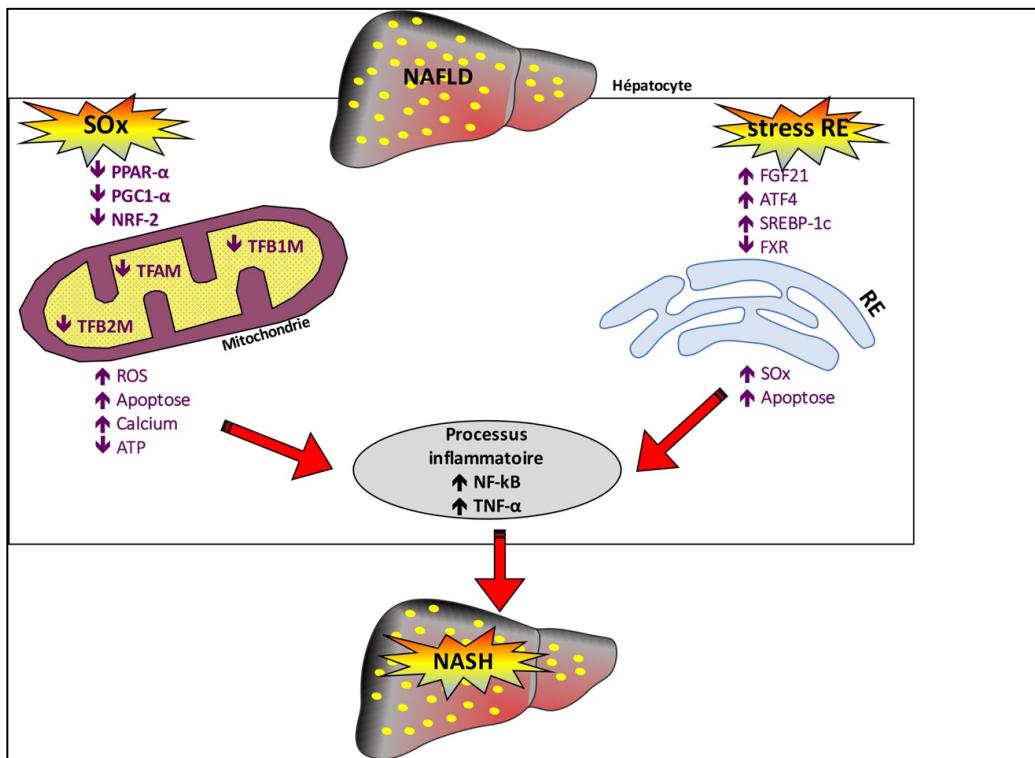
Une augmentation du niveau de SOx est une des hypothèses physiopathologiques les plus fréquemment évoquées de la NAFLD et, particulièrement, de son évolution vers la NASH (347). En effet, les ROS caractérisant le SOx sont capables d'induire des perturbations hépatiques en interagissant avec les lipides et les protéines, en altérant leurs structures et fonctions. D'autre part, les ROS sont capables d'induire des anomalies histologiques identiques à celles observées dans le foie lors d'une stéatose. Par exemple, les ROS peuvent activer des facteurs de transcription impliqués dans la mort cellulaire hépatocytaire, tandis que les aldéhydes produits par la peroxydation des lipides, comme le 4-hydroxynonenal (HNE) ou le malondialdehyde (MDA), ont le potentiel de se lier de manière covalente à des protéines hépatiques et d'induire ainsi une réponse immunitaire dirigée contre les hépatocytes (345). Un niveau accru de SOx a été démontré par plusieurs études autant chez l'animal que chez des adultes obèses au niveau sérique et au niveau hépatocytaire (348-350). Chez l'enfant, relativement peu d'études ont exploré les voies du SOx.

### **3.4.5 Adipokines**

Outre l'obésité centrale, les taux circulants des adipokines sont aussi impliqués dans la NAFLD comme dans le SyM chez les enfants. Fait intéressant, les taux sériques de la leptine augmentent en parallèle avec le grade de la stéatose et les complications de la pathogénèse de la NAFLD, particulièrement en présence de la RI (351, 352). Ce champ d'investigation demeure encore peu élaboré, et les résultats controversés portent à confusion. Par exemple, le groupe de Nobili a montré que les taux circulants d'adiponectine (favorable métaboliquement) et de la résistine (non favorable métaboliquement) sont corrélés négativement avec la stéatose (351). Indubitablement, des études plus approfondies sont nécessaires afin de clarifier le rôle des adipokines dans le développement et la progression de la NAFLD.

### **3.4.6 Mitochondrie**

Selon certains groupes, le SOx n'est pas seulement une des principales causes de l'accumulation de graisse dans le foie (353), mais il est également impliqué dans le développement de la fibrose (354 , 355). Il a été observé que dans la NAFLD, l'atteinte de la chaîne respiratoire mitochondriale, les altérations ultrastructurales mitochondrielles (356 , 357) et le déficit de synthèse de l'ATP au niveau du foie (358 , 359) sont engendrées par la présence accrue du SOx et l'absence de la défense antioxydante (360) (**Figure 17**). Le déséquilibre redox, causé par une surproduction de ROS au niveau de la mitochondrie, occasionne i) une altération de l'ADN mitochondrial ; (ii) une augmentation des taux de MDA et de 4-HNE, provenant de la peroxydation lipidique; (iii) une inhibition du cytochrome c, entraînant un dommage à la membrane mitochondriale (361 , 362); iv) une réduction de l'expression du facteur de transcription « peroxisome proliferator-activated receptor- $\gamma$  coactivator-1 $\alpha$  » (PGC-1 $\alpha$ ), un important régulateur de la biogénèse mitochondriale (363), affectant de ce fait, les autres facteurs de transcription mitochondriaux (TFAM TFB1M, TFB2M) (363 , 364 , 365) ; (v) la régulation négative de PGC-1 $\alpha$  dans la NAFLD qui résulte en l'inactivation de la défense antioxydante mitochondriale, y compris, le nuclear factor erythroid 2 (NRF2), la SOD, la catalase et le GLPx (366); et finalement (vi) le déclenchement d'une inflammation et l'instauration d'une RI hépatique.



**Figure 17. Processus intracellulaires liés à la NAFLD.**

Le processus inclut le stress oxydatif mitochondrial (SOx) et les dysfonctionnements ainsi que le stress du RE, déclenchant l'inflammation via l'activation du facteur nucléaire (NF- $\kappa$ B). Des facteurs de transcription supplémentaires sont impliqués dans les mécanismes moléculaires menant au développement de la NASH (© Spahis).

### 3.4.7 Facteurs génétiques

Le spectre de la NAFLD est multifactoriel et très complexe. Son interaction avec les facteurs génétiques, épigénétiques et environnementaux entraînent le développement et la progression de la pathologie, particulièrement, dans la population pédiatrique (367, 368). Comme pour l'obésité, il existe certainement une composante génétique dans la pathogenèse de la NAFLD, où différents polymorphismes génétiques confèrent un risque de SyM et de RI

étroitement associés à la stéatose. En fait, des études épidémiologiques et les investigations sur des jumeaux ont bien confirmé la susceptibilité génétique associée à la NAFLD (369).

Le plus important gène documenté est le « patatin like phospholipase domain containing 3 » (PNPLA3) (370) où un de ses variants génétiques, rs738409, qui contribue à l'émergence de la NAFLD chez les enfants obèses (371). Il a été aussi rapporté que le gène PNLP3 pourrait être modulé par des facteurs alimentaires, particulièrement la consommation d'AGPI n-3 (372). D'autres mutations ont été identifiées dans les gènes impliqués dans le métabolisme des lipides et les désordres reliés au SyM, à la RI, à l'inflammation et au SOx (373) (**Tableau 4**).

**Tableau 4: Gènes impliqués dans la NAFLD en association avec les événements cardiométraboliques**

Marqueurs	Gène	Implication/Mécanisme	Référence
<b>Stéatose</b>	<i>KLF6</i>	-27G>A (rs3750861 est associé à une NAFLD modérée	(374))
	<i>AGTR1</i>	Implication de rs3772622 dans la NASH et la fibrose Interaction avec le gène PNPLA3 rs2276736, rs3772630 et rs3772627 sont protecteurs pour la stéatose	(375, 376)
<b>SOx</b>	<i>ABCC2</i> <i>(MRP2)</i>	Peroxydation lipidique	(377)
	<i>GCLC;</i> <i>GCLM</i>	129C> T, rs17883901 est associé à une stéatose simple	(378)
	<i>HFE</i>	rs1800562, Cys282Tyr est associé à la NASH et une fibrose hépatique avancée	(379-381)
	<i>SOD2</i>	rs4880, codant pour Ala16Val est associé à une fibrose hépatique avancée	(382)
<b>Inflammation</b>	<i>TNF</i>	-238G> A, rs361525 est associé dans la transition de la NAFLD vers la NASH	(383, 384)

		1031T> C, rs1799964 et -863C> A, rs1800630 sont plus fréquents dans la NASH	
	<i>IL6</i>	-174G>C, rs1800795 est associé à la NASH	(385)
	<i>ADIPOQ</i>	rs266729 et rs2241766 (+45 T/G) sont associés à la sévérité de la NAFLD	(386)
	<i>LEPR</i>	rs8179183, Lys656Asn est associé à une RI dans la NAFLD rs1137100 est associé à un risque accru de NAFLD/NASH	(387 , 388)
<b>Endotoxémie</b>	<i>CD14</i>	rs2569190 augmente l'expression de CD14 dans la NAFLD	(389, 390)
<b>Lipides</b>	<i>MTTP</i>	-493G> T; rs1800591 prédispose à la NAFLD/NASH	(378, 391, 392)
	<i>NR1I2</i>	rs7643645 et rs2461823 sont associés à la NAFLD et prédisent à la sévérité de la maladie	(393, 394)
	<i>PEMT</i>	rs7946, codant pour Val175Met est associé à la NAFLD	(395, 396)
	<i>PNPLA3</i>	rs738409, codant pour Ile148Met est associé à la NAFLD/NASH	(370, 397)
	<i>PPARA</i>	rs1800234, codant pour Val227Ala est associé à la NAFLD	(398)
	<i>SLC27A5</i>	rs56225452 améliore la triglycéridémie et l'hyperglycémie associées à la NAFLD	(399)
	<i>APOC3</i>	455T>C; rs2854116 et -482C> T; rs2854117 sont associés à une augmentation de la RI et de la stéatose	(400-402)
	<i>APOE</i>	Le génotype ApoE3/3 est associé à la NAFLD ApoE3/4 avait un effet protecteur	(403-405)
	<i>SREBPI/2</i>	rs11868035 est associé au trouble du métabolisme des lipoprotéines et à l'émergence de la NAFLD rs2228314 G> C peut augmenter le risque de NAFLD et sert de biomarqueur potentiel pour le diagnostic de la maladie	(406, 407)
	<i>TM6SF2</i>	rs58542926 est impliqué dans la progression de la maladie et la gravité de la NAFLD	(408)

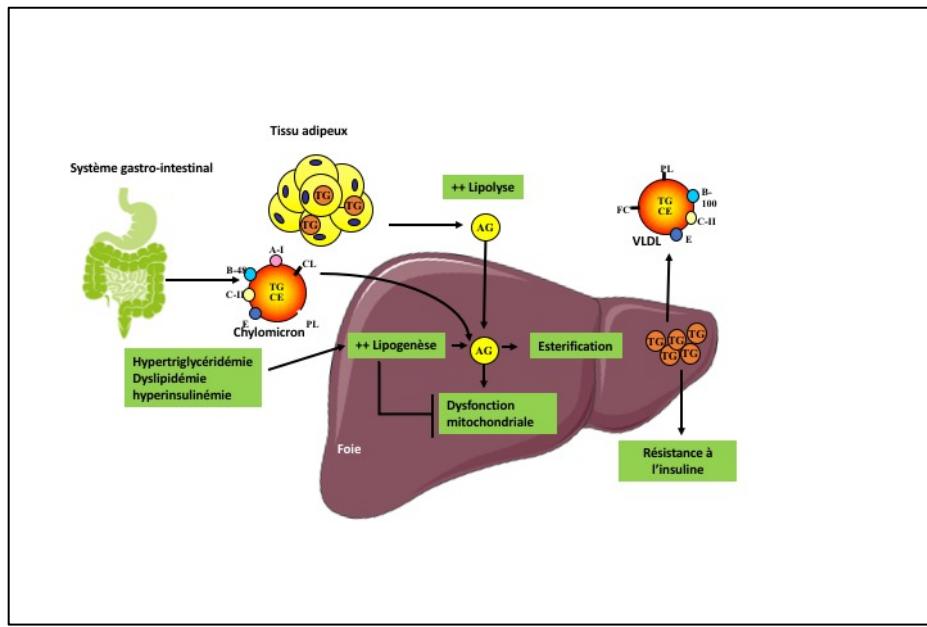
<b>Glucose et RI</b>	<i>ENPP1; IRS1</i>	rs1044498, codant pour Lys121Gln et rs1801278, codant pour Gln972Arg réduisent l'activité d'Akt et provoquent la RI associée à la NAFLD	(409, 410)
	<i>GCKR</i>	rs780094 est associé à l'accumulation des TG dans le foie	(411, 412)
	<i>LPIN1</i>	Les variantes de ce gène sont impliquées dans le SyM associé à la NAFLD	(413, 414)
	<i>PPARG</i>	Une perte de fonction de rs1805192, codant pour Pro12Ala) affecte la sensibilité à l'insuline dans la NAFLD	(415)
	<i>SLC2A1</i>	3,072 SNPs sur 92 gènes candidats ont identifié le variant <i>SLC2A1</i> comme associé à la NAFLD indépendamment de la RI ou le DT2	(416)
	<i>TCF7L2</i>	Gène clé dans la signalisation des Wnt et est impliqué dans la RI dans la NAFLD	(417)

*SLC2A1* : «solute carrier family 2» qui facilite le transport du glucose

*TCF7L2* : «transcription factor 7-like 2».

### 3.4.8 Lipogenèse

Comme nous l'avons mentionné, l'insulinorésistance est une composante centrale dans la manifestation de NAFLD. En sa présence, le tissu adipeux libère une quantité abondante d'AG, surtout saturés, qui arrivent au foie par la circulation portale et constituent des précurseurs pour la production des TGs (418). En plus de cet apport en AG libres, l'insulinorésistance stimule la synthèse *de novo* des AG tout en inhibant leur catabolisme par la voie de la  $\beta$ -oxydation dans les mitochondries des hépatocytes (419). Ces deux processus, soit l'afflux accru des AG par la mobilisation du tissu adipeux et la lipogenèse hépatique, contribuent significativement à l'accumulation excessive des TG dans le foie (349) (**Figure 18**). Si on se fie aux données disponibles dans la littérature, les AG non estérifiés circulants représenteraient environ 60% de la teneur en TG hépatiques et le restant proviendrait de la lipogenèse *de novo* (26%) ou de l'alimentation (14%) chez les patients atteints de NAFLD (420).



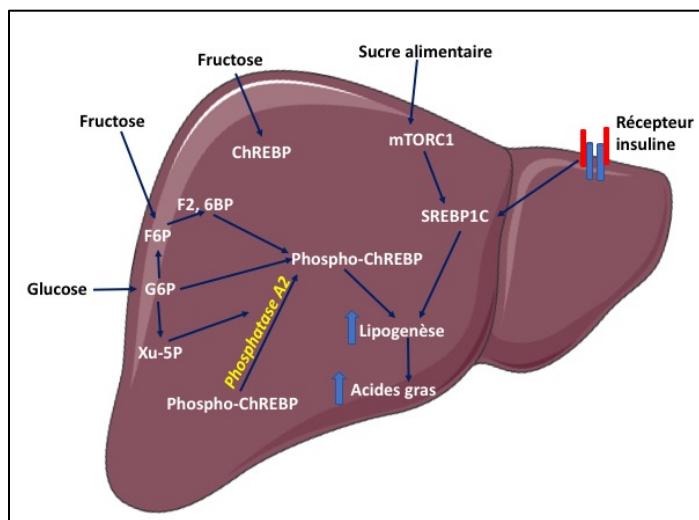
**Figure 18. Mécanismes favorisant la NAFLD.**

Un apport alimentaire riche en gras et en sucre diminue la  $\beta$ -oxydation des AG (engendrant une dysfonction mitochondriale), et favorise leur accumulation dans le foie, ce qui induit une synthèse *de novo* des TG et une surproduction des VLDL (© Spahis).

Le sentier métabolique de la lipogenèse comprend en premier lieu la conversion du glucose en acétyl-CoA par la glycolyse et l'oxydation du pyruvate. L'acétyl-CoA est ensuite converti en malonyl-CoA par l'acétyl-CoA carboxylase (ACC). Ensuite, la synthase des acides gras (FAS) catalyse la formation d'acide palmitique à partir de la malonyl-CoA et de l'acétyl-CoA. Les autres enzymes critiques de la voie de synthèse des AG sont impliquées dans l'elongation des AG à longue chaîne (ELOVL6/ $\Delta$ 6) et leur désaturation (stéaroyl-CoA désaturase 1 ou SCD1/ $\Delta$ 9) (421, 422). Indubitablement, le glucose et l'hyperinsulinémie favorisent la lipogenèse par activation de la protéine de liaison aux éléments de réponse aux glucides (ChREBP) et de la protéine de liaison aux éléments de régulation des stérols-1c (SREBP-1c), respectivement (423). Par ailleurs, l'hyperinsulinémie favorise davantage la

lipogenèse hépatique en inhibant le facteur de transcription FoxO1 qui régule normalement l'expression de SREBP-1c et favorise la dégradation de ChREBP (424, 425).

Au cours des dernières années, la consommation exagérée de fructose coïncide avec la prévalence de l'obésité, de la NAFLD et du DT2 (426-428). Il semble que son apport exagéré augmente les niveaux de substrats lipogéniques via l'induction de facteurs de transcription tels que le ChREBP qui induit la transcription de FAS, SCD1, ELOVL6 et ACC (429, 430) ainsi que SREBP1c qui exagère l'expression de FAS, ACC, SCD1 et ELOVL6 (431, 432) (**Figure 19**).

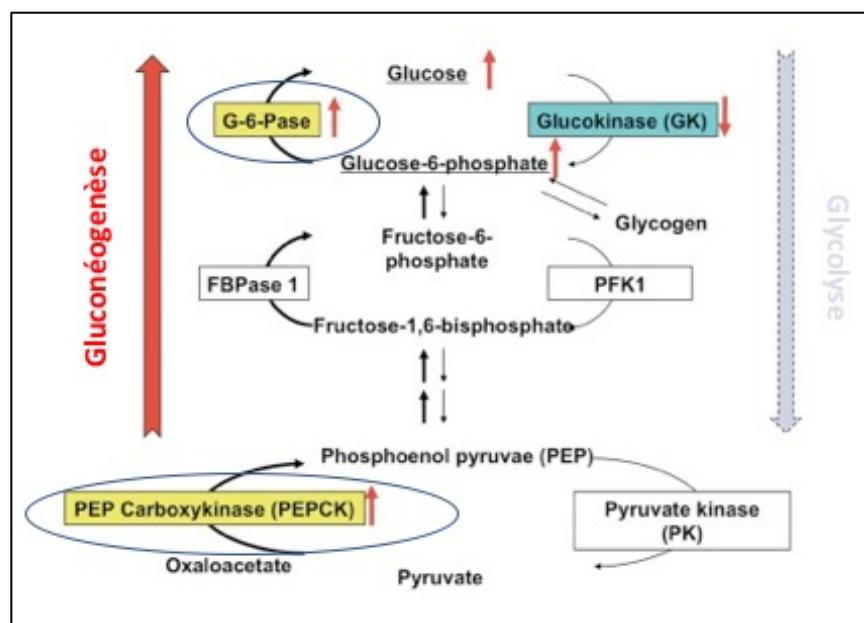


**Figure 19. Expression des gènes impliqués dans la lipogenèse hépatique suite à un apport excessif en glucides.**

(1) Activation de la protéine de liaison aux éléments sensibles aux glucides (ChREBP) via le xylulose 5-phosphate (Xu-5P), le glucose 6-phosphate (G6P) et le fructose-2,6-bisphosphate (F2,6BP) qui favorisent son expression. (2) La transcription de SREBP-1c et l'expression dépendante des enzymes lipogéniques est médiée par mTORC1 qui est induit par la signalisation du récepteur de l'insuline, mais aussi directement par l'apport exogène des glucides. La translocation de SREBP1c dépend de la signalisation de l'insuline, adaptée de (433).

### 3.4.9 Gluconéogenèse

Comme l'expression des gènes gluconéogéniques est régulée à la hausse en réponse aux AG circulants (434), on peut constater l'élévation de l'expression des enzymes PEPCK et de la G6Pase dans la NAFLD par l'intermédiaire du « peroxisome proliferator-activated receptor-alpha » (PPAR $\alpha$ ) (435). En effet, l'expression du PPAR $\alpha$  hépatique et l'activité transcriptionnelle sont induites par l'alimentation riche en graisses (436, 437) (**Figure 20**).

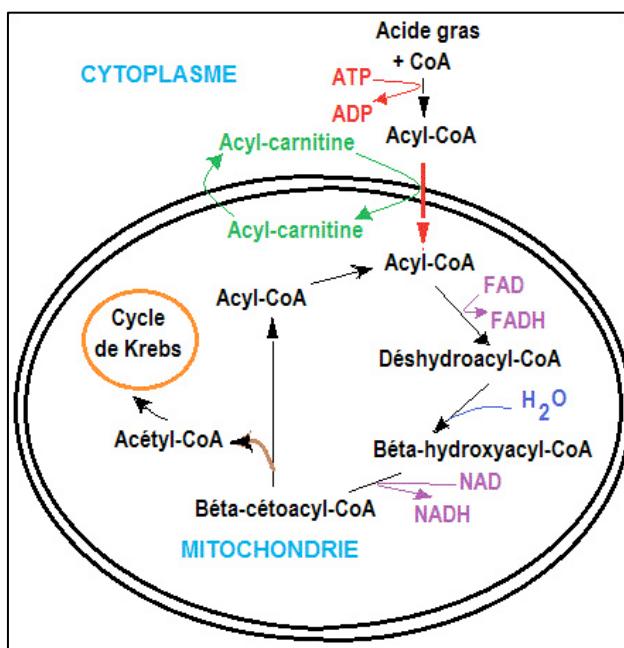


**Figure 20. Processus de production du glucose dans le foie par la voie de la gluconéogenèse ou la glycolyse.**

L'expression à la hausse de la gluconéogenèse est régulée à la hausse en réponse à l'élévation de l'expression des enzymes PEPCK et de la G6Pase dans la NAFLD, adaptée de (438).

### 3.4.10 Beta-oxydation

La  $\beta$ -oxydation des AG dans les mitochondries est une voie importante permettant de raccourcir les AG en acétyl-CoA qui peut ensuite être transformé en corps cétoniques ( $\beta$ -hydroxybutyrate ou acétoacétate). Ceux-ci sont ensuite incorporés dans le cycle TCA pour une oxydation complète (439). Pour initier ce processus, les acyl-CoA doivent être transportés au travers des membranes mitochondrielles grâce à l'activité de CPT1 qui les convertit en acyl-carnitines dans la membrane externe mitochondriale. Le CPT2 convertit les acyl-carnitines en acyl-CoA pour la  $\beta$ -oxydation des acides gras à l'intérieur de la matrice mitochondriale (Figure 21).



**Figure 21. Processus de  $\beta$ -oxydation des AG dans la mitochondrie.**

La  $\beta$ -oxydation des AG dans les mitochondries permet de raccourcir les AG en acétyl-CoA, pour ensuite être incorporés dans le cycle TCA pour une oxydation complète, adaptée de (439).

La première étape implique la déshydrogénéation  $\beta$  de l'ester d'acyl-CoA par des acyl-CoA déshydrogénases spécifiques de la longueur de chaîne : longue chaîne (LCAD), chaîne moyenne (MCAD) et très longue chaînes (VLCAD). En effet, les souris déficientes en MCAD et VLCAD développent une stéatose hépatique, soutenant le rôle de ces protéines et de la  $\beta$ -oxydation dans la teneur en TG hépatiques (440). Dans les étapes suivantes, les enzymes 2-énoyl-CoA hydratase, 3-hydroxyacyl-CoA déshydrogénase et 3-oxoacyl-CoA thiolase oeuvrent pourachever la conversion de l'ester d'acyl-CoA en acétyl-CoA.

Le PPAR $\alpha$  et son co-activateur PGC-1 $\alpha$  sont essentiels pour stimuler la  $\beta$ -oxydation en maintenant l'expression des gènes cibles tels que *CPT1*, *LCAD*, *MCAD* et *acyl-CoA oxydase*. L'activation de l'AMPK et des sirtuines pourrait également améliorer l'expression de ces gènes en modifiant directement et/ou en activant PGC-1 $\alpha$  (441, 442).

Comme cela a été évoqué précédemment, la stéatose dans la NAFLD résulte de l'accumulation de lipides hépatiques suite à une lipogenèse augmentée dans le foie. Par ailleurs, la  $\beta$ -oxydation des AG contribue de façon non négligeable à la pathogenèse de NAFLD comme l'ont rapporté plusieurs équipes (443, 444). Dans le modèle de souris soumises à un régime riche en graisses, la baisse de la  $\beta$ -oxydation des AG a conduit à l'accumulation de lipides hépatiques. En particulier, le PPAR $\alpha$ , exprimé surtout dans les tissus riches en mitochondries, agit comme le régulateur principal de la  $\beta$ -oxydation via la modulation de deux enzymes clés, soit CPT1 $\alpha$  et acyl-coenzyme A dehydrogenase (445-447). De même, des sujets obèses avec NAFLD montrent un déclin de l'expression de PPAR $\alpha$ , combiné avec des changements de microRNA, pouvant donc orchestrer la détérioration de la  $\beta$ -oxydation (448). Parmi les mécanismes sous-jacents se trouve l'insulinorésistance munie du potentiel d'inhiber le récepteur activé par le PPAR- $\alpha$  et entraîner ainsi une réduction de la  $\beta$ -oxydation (449).

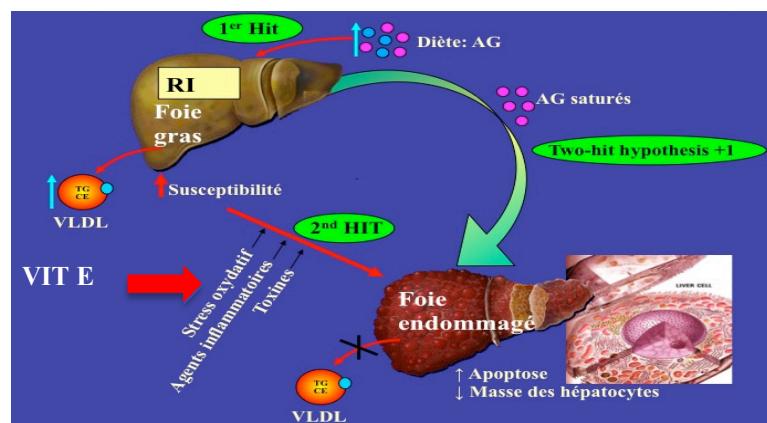
## **4. TRAITEMENTS DE LA NAFLD PAR DES NUTRIMENTS FONCTIONNELS**

Depuis les vingt dernières années, la communauté scientifique s'est penchée sur les bienfaits que procurent les aliments sur la santé. En 1998, Santé Canada a proposé une appellation spécifique pour ces aliments qui sont dorénavant dits "fonctionnels", étant donné qu'ils procurent des bienfaits physiologiques tout en atténuant le risque de maladies chroniques dans de nombreuses voies métaboliques.

Des progrès récents ont été concentrés sur le rôle de la diète favorable et des nutriments fonctionnels sur la pathogenèse de la NAFLD. Cependant, aucun traitement nutritionnel et/ou pharmacologique n'est officiellement recommandé pour la NAFLD. Dépendamment de la complexité et sévérité de la pathologie, des agents pharmacologiques puissants sont prescrits. Pour ne noter que quelques-uns, il y a la pioglitazone, insulino-sensibilisatrice, qui présente un impact bénéfique sur les paramètres biochimiques et histologiques du foie, mais malheureusement son administration est souvent accompagnée d'événements indésirables (450-453). D'autres médicaments tels que le liraglutide, l'elafibranor, l'acide obéticholique et les statines ont été évalués, mais le nombre d'essais contrôlés randomisés est très limité et des clarifications sont nécessaires quant à leurs avantages et leur innocuité à long terme (454-457). D'autres équipes ont eu recours à une combinaison pharmacologique et nutritionnelle pour améliorer la pathophysiologie. Par exemple, une supplémentation en  $\alpha$ -tocophérol et un traitement avec la metformine diminuent les niveaux d'enzymes hépatiques et les complications cardiométraboliques associées à la NAFLD/NASH (458-460). À date, les essais pédiatriques sont eux aussi peu convaincants car ils sont rares et caractérisés par une taille d'échantillon et une durée très limitée. Cependant, de saines habitudes de vie, accompagnées par une alimentation saine, de l'exercice physique et un traitement nutritionnel (non pharmacologique), semblent pouvoir améliorer la stéatose hépatique et ses complications (461-467).

## 4.1 Vitamine E

Le SOx a été identifié comme un mécanisme central contribuant au développement des lésions hépatiques de la NAFLD (468, 469). La vitamine E est reconnue pour ses propriétés antioxydantes et anti-inflammatoires (469). Chung et collaborateurs ont évalué l'effet médiateur de  $\alpha$ - et  $\gamma$ -tocophérol sur des souris avec NAFLD, induite par l'administration de LPS (470). Ils ont observé une augmentation significative de la peroxydation lipidique, du facteur inflammatoire TNF- $\alpha$ , et des taux d'ALT sérique et hépatique, ainsi qu'une exacerbation des AG libres et des TG circulants chez les animaux avec LPS. Par contre, l'apport en  $\alpha$ - et  $\gamma$ -tocophérol a contribué à réduire significativement l'inflammation et les transaminases circulantes ainsi que la peroxydation lipidique chez les souris traitées au LPS (470). La vitamine E agit donc au niveau de la seconde phase (2<sup>nd</sup> HIT) de la stéatose en diminuant les dommages au foie, la peroxydation lipidique et l'inflammation (470) (**Figure 22**). Le traitement pourrait être donc envisagé lorsque la stéatose est installée. D'autres auteurs conviennent de la capacité de la vitamine E à restreindre les taux sériques d'ALT à des valeurs normales en concordance avec les améliorations histologiques (471).



**Figure 22. Cibles de la vitamine E dans le sentier métabolique menant à la stéatose.**

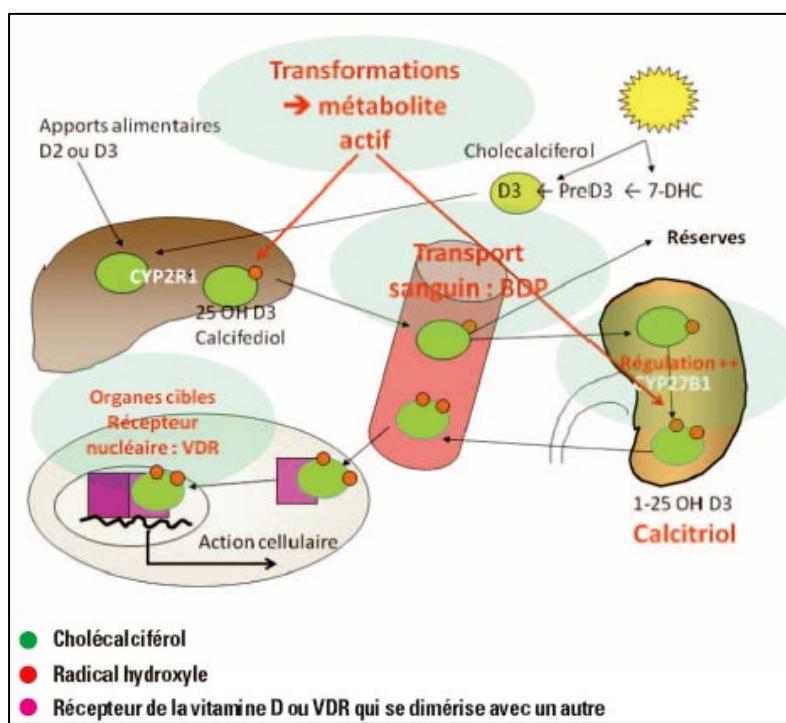
La vitamine E, en tant qu'antioxydant puissant, peut freiner l'aggravation de la NAFLD vers le NASH, en agissant sur le stress oxydatif et l'inflammation (© Spahis).

Chez l’humain, de nombreuses études cliniques ont corroboré les observations animales. À une dose de 300 UI/j pendant 12 mois, la vitamine E améliore les niveaux de transaminases et les lésions hépatiques (472). De meilleurs résultats ont été obtenus sur le plan de la stéatose avec des doses légèrement plus élevées (400-800 UI/j) et un délai de traitement plus court (473). Ce constat a été confirmé dans un autre essai clinique, soit l’étude PIVENS, où la dose de 800 UI/j de vitamine E a été administrée sur une période de 3 mois, à des patients NAFLD adultes et non-diabétiques. Des améliorations significatives des niveaux de transaminases circulantes et d’inflammation lobulaire ont été remarquées, réduisant ainsi le score d’activité de la NAFLD (453). Ces auteurs ont aussi conclu que la vitamine E pourrait constituer un traitement efficace pour les patients non diabétiques souffrant de NAFLD. En revanche, aucun effet sur les transaminases n’a été rapporté par l’étude multicentrique TONIC de 2 ans, randomisée et en aveugle, auprès d’adolescents atteints de NAFLD, bien qu’une amélioration de l’histologie hépatique ait quand même été notée (458, 459). Chez des enfants avec NAFLD, traités par la vitamine E (400-1200 UI/jour) durant 2-4 mois, les taux de transaminases sériques ont chuté indépendamment des variations de l’indice de la masse corporelle ou de l’échogénicité du foie observés par DEXA ou par échographie (458). Avec une combinaison de plus grandes doses de vitamine E (1000 UI/j) et de la vitamine C (1000 mg/j) sur une période de 6 mois, il y avait également une amélioration de la fibrose sans grands changements des facteurs inflammatoires au niveau du foie de 45 patients diagnostiqués avec NAFLD (474).

L’ensemble de ces observations nous montre que la dose 800 UI/j de vitamine E est suffisante pour exercer un effet positif sur l’histologie du foie. Tout en préconisant la prudence, il est fort nécessaire d’encourager la communauté scientifique à établir la dose optimale et la durée de supplémentation en vitamine E, à la fois chez les adultes et les jeunes obèses atteints de NAFLD, étant donné que (i) la vitamine E n’agit pas contre l’oxydation médiée par les enzymes et les radicaux oxydants comme l’hypochlorite (475); et (ii) qu’une méta-analyse a révélé une mortalité accrue avec l’administration de vitamine E (476).

## 4.2 Vitamine D

Pour devenir biologiquement active, la vitamine D nécessite deux hydroxylations; une première en position 25 au niveau hépatique et la seconde au carbone 1 dans le rein (477). La 25-hydroxyvitamine D ( $25(OH)D$ ) est produite exclusivement dans l'hépatocyte par l'entremise des cytochromes hépatiques CYP271 et CYP2R1 (**Figure 23**) ayant une grande affinité pour la vitamine D3. Les effets biologiques de la vitamine D3 sont médiés par le récepteur de la vitamine D (478).



**Figure 23. Aperçu du métabolisme de la vitamine D.**

La 25-hydroxyvitamine D ( $25(OH)D$ ) est produite dans l'hépatocyte par l'entremise du cytochrome hépatique CYP2R1 qui a une grande affinité pour la vitamine D3, adaptée de (477).

Les données expérimentales animales suggèrent que la carence en vitamine D est impliquée dans la progression de la NAFLD (479, 480) et, qu'à l'inverse, sa supplémentation exerce un bénéfice thérapeutique (479). Dans ce contexte, le modèle animal de NAFLD induit par une diète riche en lipides et en fructose, mais carencée en vitamine D, exhibe une augmentation significative de la gravité de la NAFLD; celle-ci étant associée par ailleurs à l'expression élevée des gènes médiateurs de l'inflammation. La confirmation de ces données a été obtenue dans un autre modèle animal (481), ce qui appuie l'hypothèse que la carence en vitamine D influence la régulation des gènes impliqués dans la genèse de la NAFLD, tels que ceux reliés au SOx et à l'inflammation hépatique (ex. la voie des récepteurs « Toll-like ») (479). Il est important de noter la corrélation qui a été établie entre la carence en vitamine D et le déclin de la sensibilité à l'insuline (482). D'ailleurs chez l'humain, la faible expression du récepteur de la vitamine D hépatique est étroitement associée à une histologie hépatique plus sévère (483). De plus, les faibles concentrations sériques de 25(OH)D3 ont été observées chez les sujets affectés par la NAFLD (484). Sur la base de ces considérations, le traitement avec la vitamine D pourrait donc prévenir ou même ralentir la progression de la NAFLD.

Cependant, il y a une absence d'essais randomisés, excepté celui utilisant une dose de 50,000 IU auprès de patients adultes avec NAFLD tous les 14 jours durant 4 mois (485). Les auteurs ont alors enregistré une diminution de l'inflammation et l'atténuation de la peroxydation lipidique sans par ailleurs noter l'amélioration des niveaux d'enzymes hépatiques ou de la sensibilité à l'insuline. Ces mêmes auteurs ont donc suggéré que l'apport en vitamine D pourrait servir comme complément à un traitement médicamenteux de la NAFLD (485). Bien que le mécanisme exact soit encore indéterminé, la vitamine D pourrait servir dans la prévention et le traitement de la NAFLD. Néanmoins, il demeure prématuré de la recommander pour le traitement spécifique de la NAFLD.

## 4.3 Coenzyme Q

L'accumulation des lipides et la RI hépatique influencent l'apparition du SOx et, par conséquent, la surproduction de ROS qui font défaillir les enzymes antioxydantes (486). Des analyses plasmatiques de patients atteints de NAFLD ont révélé une peroxydation lipidique accrue accompagnée d'une réduction de la capacité antioxydante (486). Par conséquent, des suppléments d'antioxydants alimentaires ont été suggérés pour protéger le patient des effets néfastes de la maladie et de ses complications cardio-métaboliques. Parmi les antioxydants lipidiques solubles, le CoQ est un puissant agent de réduction de la peroxydation lipidique à la fois dans les cellules et les tissus (487). De plus, comme la NAFLD est connue pour être associée à une dysfonction mitochondriale (488), elle pourrait probablement bénéficier du CoQ, connu pour son rôle important dans le maintien de la fonction mitochondriale via sa participation à la chaîne respiratoire (487). Toutefois, il est à préciser que les concentrations de CoQ sont susceptibles d'être perturbées par les changements dans les propriétés physicochimiques induits dans les membranes suite à l'accumulation des lipides et, notamment, dans la pathophysiologie de la NAFLD (489). Malgré ces contraintes, une étude animale a mis en évidence un allègement de la progression de la NAFLD suite à l'administration du CoQ, en atténuant les carences en choline et en augmentant l'activité de la NADH-CoQ oxydoréductase dans les mitochondries hépatiques (488). Peu d'études humaines ont évalué l'effet d'une supplémentation en CoQ sur la NAFLD. À date, les observations sont assez mitigées. Cependant, une étude a montré qu'une prise orale de CoQ<sub>10</sub> (100 mg/j durant 28 jours) contribue significativement à la diminution du tour de taille et des niveaux sériques des transaminases hépatiques (490). Dans l'ensemble, des informations sont en train d'émerger montrant que des perturbations du métabolisme du CoQ sont impliquées dans le développement de la NAFLD, ce qui souligne la nécessité de poursuivre les études humaines.

## 4.4 Polyphénols

Les polyphénols constituent une famille de molécules organiques, principalement retrouvées dans le règne végétal. Leur rôle d'antioxydants a déjà été étudié pour la prévention du cancer et des MCV, mais ils suscitent de plus en plus d'intérêt pour traiter d'autres désordres cardiométaboliques tels que la NAFLD.

Les flavonoïdes sont des composés naturels souvent étudiés dans des modèles de NAFLD car ils semblent exercer des effets bénéfiques sur la santé en partie grâce à leur pouvoir antioxydant (491). Les avancées scientifiques leur ont attribué des bénéfices additionnels. En effet, ils peuvent agir comme agents anti-inflammatoires et comme régulateurs des voies métaboliques (492).

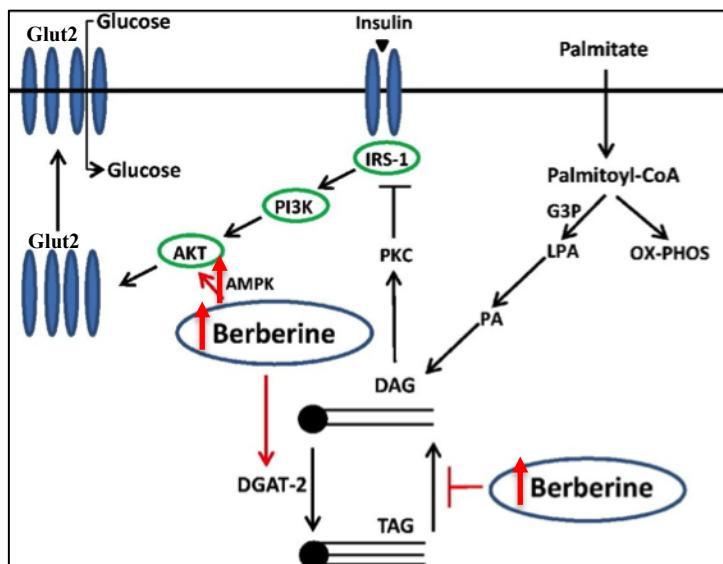
Des extraits de polyphénols de bleuets sauvages chinois ont démontré une inhibition efficace de l'accumulation des TG dans les cellules HepG2 traitées avec de l'acide oléique pour mimer une diète riche en lipides (493). Les polyphénols agiraient à travers la médiation d'enzymes et de protéines impliquées dans la synthèse des lipides au foie. En fait, les polyphénols peuvent atténuer l'accumulation des TG dans le foie via l'inhibition de l'expression de SREPB-1 (494). Ce facteur de transcription active de multiples gènes impliqués dans le transport et le métabolisme des AG, du cholestérol et des TG, dont la HMG-CoA réductase, le glycérol-3-phosphate acyltransférase, la FAS et l'ACC. Par ailleurs, les polyphénols ont le potentiel de réduire le SOx en stimulant l'expression de PPAR $\alpha$  et les enzymes antioxydantes, soient la catalase, la GPx et la SOD (494). Néanmoins, malgré leur efficience *in vitro* et *in vivo*, peu d'études humaines ont validé leur biodisponibilité et efficacité thérapeutique.

### 4.4.1 Berbérine

La berbérine (BBR), un alcaloïde issu de certaines plantes, s'est montré un puissant composé bioactif intervenant dans de nombreuses voies métaboliques et pathophysiologiques

associées à la NAFLD. Des études récentes soulignent le potentiel de la BBR dans le traitement de la NAFLD chez l'humain et l'animal (495). Pour mieux cerner son implication, il est fort utile d'abord de comprendre les mécanismes modulés par la BBR, ce qui aidera également à saisir son application clinique dans la NAFLD.

La BBR a le potentiel d'abaisser le taux de cholestérol et d'inhiber la synthèse des TGs dans les hépatocytes (496) (**Figure 24**). Par ailleurs, la BBR est capable de stimuler la sécrétion d'insuline dans des cellules HIT-T15 et les îlots pancréatiques, ce qui lui confère un potentiel antidiabétique (497).



**Figure 24. Mécanismes d'action de la Berberine dans le cardiométabolisme.**

La BBR stimule l'absorption du glucose par 1) l'activation de la AMPK et des protéines impliquées dans la cascade de la signalisation de l'insuline et par 2) l'atténuation de l'absorption du glucose induite par le palmitate en l'activité de l'enzyme DGAT-2, adaptée de (497).

Le traitement des cellules HepG2 avec la BBR contribue à inhiber la production des cytokines inflammatoires IL-6 et TNF- $\alpha$  (498) qui nuisent au processus sécrétoire et à l'action de l'insuline. Au niveau signalétique, la BBR induit l'expression génique du récepteur de l'insuline et l'activité de la protéine kinase C dans les hépatocytes (499), probablement via l'activation du facteur de transcription PPAR $\alpha$ , menant ainsi à l'atténuation de l'accumulation des TG dans le foie (500). Considérées dans leur ensemble, ces études indiquent que la BBR est un joueur dans l'homéostasie insulinique, augmentant particulièrement la sensibilité à l'insuline qui, de son côté, est capable de réguler le métabolisme hépatique.

La BBR améliore également la RI chez les souris en activant l'adenosine 5'-monophosphate-activated protein kinase (AMPK) (501) et en inhibant l'expression des gènes impliqués dans la lipogenèse hépatique, incluant ACC, FAS et SCD-1 (502). La BBR contribue également au déclin à la fois de l'expression de SREBP1c et SREBP2 et à la baisse des TG dans le foie (503). Comme les facteurs de transcription SREBPs agissent également en tant que régulateurs des niveaux du cholestérol hépatique en activant les gènes impliqués dans la synthèse du cholestérol (504), l'action de la BBR sur les SREBPs constitue donc un mécanisme potentiel pouvant être exploité dans la NAFLD. En fait, la BBR agit également sur l'expression de la protéine « uncoupling protein 2 » (UCP2) dans le foie de rats avec NAFLD, facilitant ainsi l'oxydation des graisses et la dépense énergétique (505). Finalement, la BBR semble avoir des capacités anti-oxydantes, d'où son potentiel à réduire les ROS dans le foie (506). Les travaux sur des modèles animaux ont validé les données *in vitro* décrites ci-dessus puisque la BBR peut contribuer à la prévention du développement de l'obésité et de la RI chez les rats soumis à une diète riche en lipides (507) ainsi qu'à la prévention de la NAFLD (508, 509). Comme exemples, l'injection de BBR pendant trois semaines à des souris obèses atténue l'hyperlipidémie et abaisse le poids du foie (510), et le traitement de hamsters hyperlipidémiques avec de la BBR réduit fortement l'accumulation des TG dans le foie (511) et empêche la progression de la fibrose hépatique (512).

Chez les patients hypercholestérolémiques, la BBR améliore la cholestérolémie en ciblant surtout les taux de LDL plasmatiques (513). D'autre part, les investigations cliniques ont montré que la BBR contribue à réduire les niveaux des transaminases et à restaurer la fonction

hépatique chez les patients atteints de DT2 (514). La BBR irait jusqu'à réduire la nécrose du foie à un stade avancé de la NAFLD (512). Malgré ces effets prometteurs de la BBR à la fois *in vitro* et *in vivo*, il y a absence de grandes investigations cliniques (515). Par ailleurs, des travaux sont encore nécessaires pour comprendre les mécanismes favorisant l'atténuation de la progression de la NAFLD chez l'humain.

#### 4.4.2 Resvératrol

Le resvératrol (RSV) est un polyphénol pourvu de propriétés antioxydantes, anti-inflammatoires, anti-obésogènes et antidiabétiques.

La première étude montrant les bienfaits du RSV sur la santé métabolique date de 2006 (516, 517). Le gavage de souris, soumises à un régime riche en graisse, avec de faibles doses (10 mg/jour) de RSV, a révélé l'activation de l'AMPK hépatique accompagnée d'une diminution des enzymes lipogéniques (ACC et FAS), du poids du foie et du degré de la stéatose. Des études animales subséquentes avec cet antioxydant ont validé ses effets positifs sur les processus cardiométraboliques associés à la NAFLD. On a pu montrer que le RSV atténue la progression de la NAFLD dans d'autres modèles animaux via la réduction des TG hépatiques, la prévention à la fois de l'obésité, de la dyslipidémie et de la stéatose (518-520). Il a été aussi démontré que le RSV atténue l'inflammation (521) et la sensibilité à l'insuline, par activation de l'AMPK et la régulation de la voie des MAPKs dans les hépatocytes (522, 523). En outre, les analyses métabolomiques, suite à un traitement avec du RSV à forte dose et combiné avec la quercétine, un autre composé polyphénolique, ont montré une restauration importante des voies impliquées dans le métabolisme du glucose et des lipides (glycolyse,  $\beta$ -oxydation), de l'inflammation et de la fonction hépatique chez des souris soumises à une diète riche en lipides (524).

Des expériences similaires avec le RSV sur les rats ou hamsters ont abouti aux mêmes observations. Des effets réparateurs de la NAFLD ont été observés; le RSV agissant sur le poids et l'histologie du foie, (525, 526), sur les TG hépatiques (526, 527), et sur l'accumulation du cholestérol (525, 527), en association avec l'augmentation de l'expression du récepteur LDL et

du transporteur du cholestérol SR-BI au niveau du foie (527).

Cependant, les essais cliniques évaluant les effets de la supplémentation en RSV sur la pathophysiologie de la NAFLD sont peu nombreux et sans grande confirmation des études antérieures sur les animaux. Des patients avec NAFLD supplémentés avec 500 mg de RSV durant 12 semaines exhibaient un amoindrissement de l'inflammation (528) alors que d'autres ayant reçu une dose quotidienne de 150 mg de RSV pendant 30 jours montraient une réduction des transaminases, une atténuation de la stéatose hépatique (observée par résonnance magnétique) et des effets métaboliques bénéfiques (108, 109). Dans une autre étude, l'ALT plasmatique et la teneur intrahépatique en lipides ont été significativement plus faibles après 30 jours de supplémentation (529). Sur la base de ses effets puissants sur le SOx et l'inflammation, ainsi que sa grande biodisponibilité, le RSV est considéré comme une cible thérapeutique pour la prévention des maladies hépatiques.

#### **4.4.3 Silybine/Sylimarine**

Plusieurs flavonoïdes ont été étudiés pour le traitement de la NAFLD. Au cours des dernières années, il y a eu un regain d'intérêt en leur faveur. En particulier, la silymarine et la silybine, deux produits naturels dérivés du chardon-Marie (*Silybum marianum*), ont attiré l'attention par l'usage traditionnel de la plante en Grèce antique pour traiter les maladies hépatiques et biliaires (530).

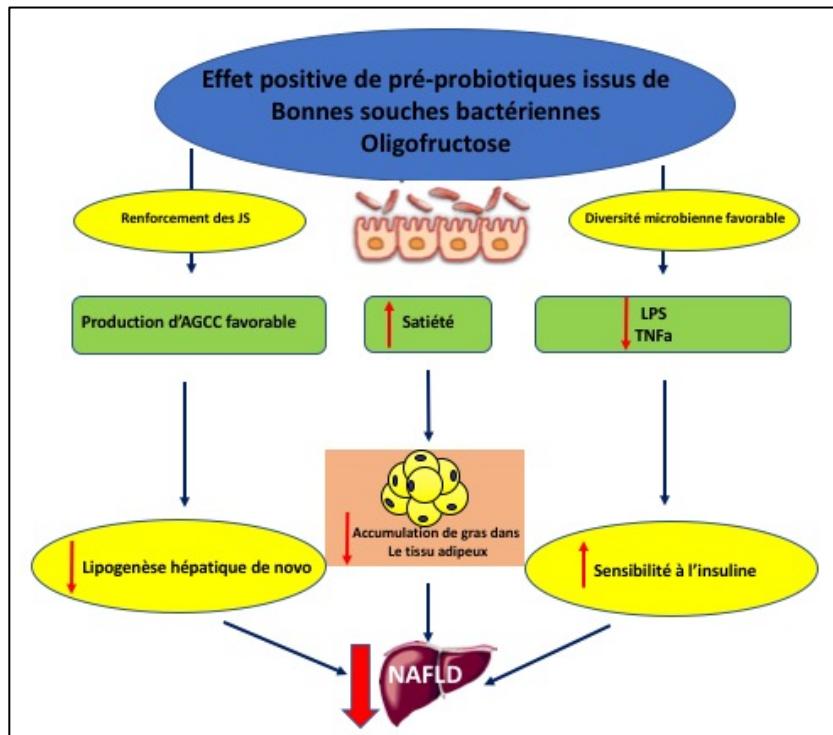
Malgré le peu d'études *in vivo*, des effets antioxydants ont été attribués à ces flavonoïdes. Par ailleurs, une diminution des lipides sériques et une baisse des cytokines inflammatoires simultanément à l'inhibition du facteur NF-κB et à la prévention de la dysfonction mitochondriale ont été rapportées (531-536). Le traitement à la silymarine a été associé à une amélioration des enzymes hépatiques chez des patients avec NAFLD dans une étude contrôlée (531). Dans un essai clinique randomisé de 12 mois, comprenant 138 patients avec NAFLD, le complexe silybine-phosphatidylcholine a induit une diminution des niveaux circulants des

enzymes hépatiques, indépendamment de la RI et de la masse corporelle (90). Même si ces observations sont encourageantes, des efforts supplémentaires doivent être investis pour investiguer le rôle et les mécanismes d'action de la silymarine et de la silybine pour la prévention et le traitement de la NAFLD.

## 4.5 Pré-Probiotiques

Les prébiotiques sont des fibres alimentaires non digestibles qui stimulent la croissance et modifient l'activité métabolique de la microflore. Les prébiotiques ont également été indiqués pour augmenter l'action des probiotiques.

Dans les études animales, Cani et al. ont montré que des souris génétiquement obèses et supplémentées avec des prébiotiques (oligofructose, un mélange de fibres alimentaires fermentescibles) montraient une amélioration de la perméabilité intestinale et des taux circulants plasmatiques de LPS et de cytokines inflammatoires (TNF $\alpha$ , IL-1B, IL-1, IL-6, et INFy) (238). Cette hypothèse a été testée sur des patients diagnostiqués NAFLD et supplémentés avec l'oligofructose à raison de 16 g/j pendant 8 semaines. L'oligofructose diminue de manière significative les enzymes hépatiques sériques et les niveaux d'insuline (537), suggérant que les prébiotiques sont capables de réguler les dérangements métaboliques dans la NAFLD (**Figure 25**).



**Figure 25. Effet des pré- et probiotiques sur la NAFLD.**

Une alimentation riche en fibres contribue à une satiété, une meilleure production d'AGCC, un renforcement de la perméabilité membranaire et aux JS. Ces mécanismes influencent de leur part, un enrichissement en souches bactériennes favorables à un métabolisme lipidique adéquat et une meilleure sensibilité à l'insuline, et par conséquent, une atténuation de la pathogénèse associée à la NAFLD, adaptée de (538).

Similairement, le groupe de Parnell et al. (539) a mené un essai randomisé, contrôlé, en double aveugle et a examiné les effets de l'oligofructose (21 g/j pendant 12 semaines) chez 48 adultes en surpoids et obèses. Les résultats ont montré que l'oligofructose a contribué à la perte de poids et à l'amélioration de la dysrégulation du glucose (539).

Chez les rats avec NAFLD soumis à une diète riche en lipides, le traitement avec le pré-biotique lactulose contribue à la diminution des niveaux d'endotoxines et d'inflammation

hépatique (540), tout en favorisant la croissance de certaines bactéries intestinales telles que le lactobacillus et le bifidobacterium (541).

De ce fait, même si peu d'investigations ont été effectuées, il semble que les prébiotiques peuvent contribuer à la normalisation de la flore intestinale, à l'amélioration du contrôle glycémique, du cholestérol et de l'accumulation hépatique des TG (237). Avec la validation des données, les prébiotiques peuvent représenter une option thérapeutique pour la NAFLD.

Comme mentionné auparavant, le corps humain compte jusqu'à cent milliards de bactéries dont la majorité se trouve au niveau du gros intestin (265, 542). L'idée que le microbiote intestinal puisse être impliqué dans la pathogénèse de la NAFLD a maintes fois été proposée. En effet, de récentes études expérimentales effectuées chez les animaux et les humains ont démontré que l'interaction entre le foie et la flore intestinale (axe intestin-foie) joue un rôle dans la pathogénèse de la NAFLD (543). D'une part, on observe que la NAFLD est associée à une faible croissance de la flore intestinale et à une augmentation de la perméabilité de l'intestin due à une perturbation de la formation des jonctions serrées (265, 542, 543). D'autre part, des études sur les sujets avec NAFLD rapportent une augmentation de la production d'éthanol et de LPS dans la lumière intestinale et une libération subséquente de cytokines inflammatoire par les cellules de Kupffer, engendrant ainsi une augmentation du SOx hépatique (543, 544). Le foie serait donc sensible à l'exposition des endotoxines et des métabolites actifs dérivés de l'intestin qui lui parviennent par l'intermédiaire du système porte (538, 543). Parmi les cytokines inflammatoires, le TNF- $\alpha$  semble jouer un rôle crucial dans la RI et le recrutement de cellules inflammatoires au foie (265, 539, 543).

Parmi les facteurs qui rendent les êtres humains sensibles à la NAFLD, on cite la fragilité de la perméabilité de l'intestin et les altérations du microbiote intestinal (545). Il a été démontré que les facteurs alimentaires peuvent altérer le profil du microbiote et ce changement permet la translocation des agents microbiens nuisibles (endotoxine) dans la circulation portale, conduisant à une réponse pro-inflammatoire via le TLR4 dans le foie. Cette voie intestin-foie a été confirmée dans plus de 49 études humaines (546) qui ont signalé des degrés importants de

prolifération bactérienne, de perméabilité intestinale, et d'endotoxémie chronique chez les enfants avec NAFLD, et même de leur éventuelle corrélation avec la gravité de la maladie (546). De ce fait, le recours à des probiotiques conduirait à un meilleur équilibre de la flore intestinale. Jusqu'à date, de nombreuses études expérimentales et des essais cliniques ont révélé des effets prometteurs (547).

De plus, il a été montré chez l'humain que la composition du microbiote diffère chez les personnes obèses par rapport aux minces et se traduit par une augmentation des firmicutes et une diminution des bacteroidetes (548). Des analyses poussées du microbiote intestinal chez les patients avec NAFLD ont été récemment réalisées, et les individus avec NAFLD exhibent une quantité réduite des bacteroidetes et un nombre élevé d'espèces *prevotella* et *porphyromonas* (549).

Dans les études expérimentales animales, il a été clairement montré que les probiotiques réduisent l'inflammation intestinale et améliorent la fonction de la barrière épithéliale chez le rat (550). Dans un autre modèle murin, le traitement par le mélange VSL # 3, un complément alimentaire probiotique, engendre une amélioration de l'histologie du foie, une teneur réduite en acides gras totaux hépatiques, et une diminution sérique de l'enzyme hépatique ALT en association avec une diminution de l'expression génique du TNF- $\alpha$  et l'activité de Jun N-terminal kinase au niveau du foie (551). Xu et al. ont démontré que la supplémentation orale avec la souche bactérienne *bifidobacterium* atténue l'accumulation des TG hépatiques chez le rat soumis à une diète riche en lipides, comparativement à la souche *lactobacillus acidophilus* (552).

Plus récemment, Alisi et al. ont effectué une étude clinique randomisée, en double aveugle, avec le VSL3 chez des enfants obèses avec NAFLD. Ils ont constaté qu'une supplémentation de 4 mois améliorait considérablement les anomalies hépatiques dues au dépôt des TG et favorisait la perte de poids d'environ 8,1% (553). Les auteurs ont établi que cette efficacité est dépendante de l'activation de GLP-1 et conclu qu'il serait important d'investiguer ce mécanisme enclenché par le VSL3. Similairement, Loguercio et collègues ont testé le VSL3 (contenant 450 milliards de bactéries dans différentes souches) auprès de patients NAFLD

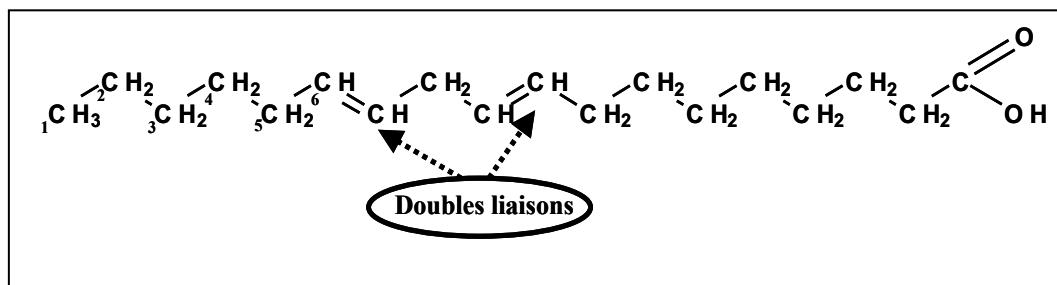
durant 3 mois pour constater la diminution des marqueurs de la peroxydation lipidique (malondialdéhyde et 4-hydroxynonénal) (554).

En résumé, la perméabilité intestinale et l'altération des jonctions serrées sont présentes dans une grande proportion de patients avec NAFLD et contribuent en grande partie à la sévérité de la pathologie (555). La modulation de la flore intestinale peut représenter une nouvelle façon de traiter ou de prévenir la NAFLD puisque le microbiote intestinal et la mauvaise alimentation contribuent aux perturbations des maladies métaboliques complexes dont fait partie la NAFLD (265, 277). Les probiotiques constituent donc un nouvel agent thérapeutique prometteur pour la NAFLD. Cependant, malgré le nombre de données sur l'efficacité des pro/prébiotiques chez les animaux et de leur utilisation sécuritaire, le manque de données chez l'humain à plusieurs niveaux ne permet pas d'établir des recommandations fermes pour la NAFLD, surtout en fonction des habitudes alimentaires, du mode de vie, de l'âge, du génotype de l'hôte et de l'exposition aux antibiotiques (265).

## 5. ACIDES GRAS

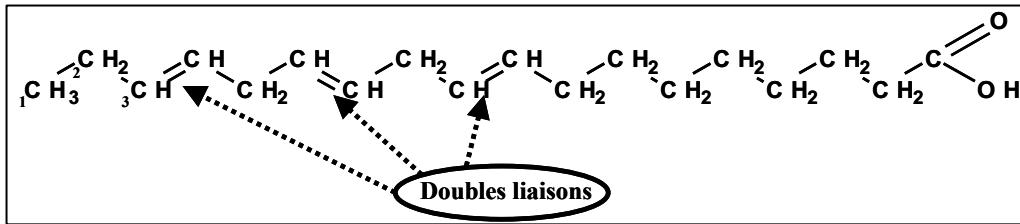
Les lipides sont classés selon 6 catégories : les AG, les TG, les glycérophospholipides, les stérols/stéroïdes, les sphingolipides et, finalement, les terpénoïdes. Les AG sont des molécules carboxyliques aliphatiques constitués de 4 à 28 atomes de carbone. Les AG se répartissent en trois grandes classes selon le degré de leur saturation : les AG saturés, n'ayant aucun double lien et exhibant la structure moléculaire générale  $[CH_3-(CH_2)_n-COOH]$  ; les AG mono insaturés avec une seule double liaison  $[CH_3-(CH_2)_x-CH=CH-(CH_2)_y-COOH]$  et les AGPI avec plus d'un double lien. Parmi les AGPI, nous distinguons les deux classes majeures (n-6) et (n-3), distinctes sur le plan physiologique et biochimique (**Figure 26**).

La nomenclature des AG se définit par le nombre de carbones (1<sup>er</sup> chiffre de la formule), le nombre de double lien (indiqué par le second chiffre) et la position du double lien à partir du groupe methyl.



**Figure 26A. Structure biochimique d'un acide gras polyinsaturé (n-6).**

Un exemple dans cette figure de la formule chimique de l'acide gras alpha-linoléique (18:2n-6). Il est formé de 18 carbones, 2 doubles liens où le 1<sup>er</sup> double lien se situe au 6<sup>ème</sup> carbone à partir du methyl ( $CH_3$ ) (© Spahis).



**Figure 26B. Structure biochimique d'un acide gras polyinsaturé (n-3).**

Un exemple dans cette figure de la formule chimique de l'acide gras alpha-linolénique (18:3n-3). Il est formé de 18 carbones, 3 doubles liens où le 1<sup>er</sup> double lien se situe au 3<sup>ème</sup> carbone à partir du methyl ( $\text{CH}_3$ ) (© Spahis).

Les AG jouent plusieurs rôles dépendamment de leur nature : (i) un rôle d'abord structural puisqu'ils servent à la synthèse d'autres lipides comme les phospholipides (PL) et satisfont aux propriétés membranaires, à savoir l'élasticité, la fluidité et la viscosité; (ii) une utilité métabolique étant donné leur potentiel à fournir de l'énergie durant la  $\beta$ -oxydation en plus de moduler plusieurs sentiers métaboliques; (iii) une tâche de messager en vertu de la communication avec le matériel génétique dans le noyau ; et (iv) une figuration de précurseur en raison de leur capacité de se convertir en eicosanoïdes ou hormones telles que les prostaglandines, leucotriènes et thromboxanes.

## 5.1 Classification des Acides Gras

### 5.1.1 Acides gras saturés

Ces derniers sont saturés en hydrogène et ne possèdent pas de double liaison carbone-carbone. Ils proviennent essentiellement des lipides d'origine animale. Ils sont les principaux constituants alimentaires responsables de l'augmentation du cholestérol sanguin et peuvent augmenter les risques de MCV (556). Parmi les AG les plus répandus dans la nature, nous trouvons l'acide palmitique, l'acide stéarique, l'acide myristique et l'acide laurique.

### **5.1.2 Acides gras insaturés**

Ce sont des molécules qui comportent une ou plusieurs doubles liaisons carbone-carbone. On parle d'AG mono-insaturés lorsqu'il n'y a qu'une seule double liaison et d'AGPI lorsqu'il y en a plusieurs. La double liaison carbone-carbone-HC=CH- peut déployer les deux formes isomériques *cis* et *trans* dans l'espace, qui modifient la structure tridimensionnelle des AG : la double liaison *cis*, majoritairement trouvée dans la nature, produit un coude dans la chaîne carbonée alors que la double liaison *trans* exhibe une structure étendue. Les AG *trans* (AGT) trouvés dans l'alimentation proviennent de trois sources. La première est essentiellement naturelle, issue de la transformation bactérienne des AG insaturés chez les ruminants (<0,5% de l'apport énergétique total) (557). L'homme les consomme sous forme de produits laitiers (3%) et de viande rouge (4,5 %). La seconde source d'AGT est sous forme d'huiles préparées à haute température, comme dans les fritures, pouvant entraîner un changement moléculaire des AG et leur transformation en « *trans* ». La 3<sup>e</sup> source, la plus répandue au monde (contribuant 2-4% à l'apport énergétique total) (558) est également issue d'une mutation moléculaire liée à un processus exclusivement industriel: l'hydrogénéation catalytique partielle d'huiles végétales.

De nombreuses observations scientifiques ont insisté sur le caractère athérogénique des AGT alimentaires en vue de leur influence positive sur les taux sanguins de LDL, leur impact négatif sur les taux de HDL, leur stimulation des marqueurs sanguins d'inflammation, et leur effet sur la dysfonction endothéliale (559-561). Santé Canada a fait des recommandations en vue de réduire la consommation d'AGT des Canadiens, considérés parmi les consommateurs d'AGT alimentaires les plus élevés au monde (562). Cette recommandation de réduire les risques en choisissant des aliments plus sains, contenant peu d'AGT, seraient encore plus fermes ou restrictives si l'état des connaissances actuelles démontre que la consommation des AGT est associée à une augmentation significative de l'obésité et des maladies cardiométaboliques dont la NAFLD.

Les AG monoinsaturés les plus répandus sont l'acide oléique qui représente 30% des AG apportés par l'alimentation (huile d'olive, huile de colza, amandes etc.). Ses vertus ont été

longuement documentées que ce soit dans des études humaines (563, 564) ou animales (565), par son rôle de protecteur contre l'athérosclérose et l'agrégation plaquettaire.

### 5.1.3 Acides gras polyinsaturés

#### 5.1.3.1 Acides gras polyinsaturés n-6

Ils sont extrêmement importants dans le fonctionnement des systèmes cardiovasculaires, nerveux et immunitaires, ainsi que dans divers processus allergiques et inflammatoires. Cependant, lorsque l'apport est excessif, les AGPI n-6 deviennent délétères. On note alors la dissipation de l'impact favorable sur l'appareil cardiovasculaire et on assiste à la manifestation de douleurs et de maladies inflammatoires comme l'athérosclérose, l'asthme ou l'arthrite (566, 567).

Dans la série des n-6, seul l'acide linoléique (LA, 18:2n-6) est considéré comme essentiel. Notre organisme possède l'habileté, par élongation et désaturation, de produire les autres AG de la famille n-6, y compris les eicosanoïdes. Notons que le LA est largement présent dans notre alimentation, incluant les huiles de maïs, tournesol, soya, carthame, pépins de raisin, et autres huiles.

**Tableau 5: Principaux acides gras polyinsaturés (n-6)**

Symbol	Nom commun	Structure chimique	MM (g)
18 :2n-6 (LA)	Linoléique	$\text{CH}_3(\text{CH}_2)_4\text{CH}=\text{CHCH}_2\text{CH}=\text{CH}(\text{CH}_2)_7\text{COOH}$	280.48
18 :3n-6	Gamma-linolénique	$\text{CH}_3(\text{CH}_2)_3(\text{CH}_2\text{CH}=\text{CH})_3(\text{CH}_2)_4\text{COOH}$	278.48
20 :4n-6 (AA)	Arachidonique	$\text{CH}_3(\text{CH}_2)_4(\text{CH}=\text{CHCH}_2)_3\text{CH}=\text{CH}(\text{CH}_2)_3\text{COOH}$	304.52

L'acide arachidonique (AA, 20:4n-6) est un des dérivés dans la chaîne de conversion de LA. Il se retrouve dans plusieurs aliments tels que le jaune d'œuf et le gras des animaux comme source directe. Sa fonction est primordiale dans plusieurs voies métaboliques (568). L'AA est impliqué dans la cicatrisation, la guérison des blessures et contribue aux mécanismes des réactions allergiques. Néanmoins, il faut noter que la synthèse de l'AA diminue avec l'âge alors que cet AG est considéré comme essentiel chez les personnes âgées (569). Cependant, un surcroit de sa consommation peut se traduire par l'amplification des symptômes de l'arthrite, l'eczéma, le psoriasis et plusieurs maladies auto-immunes et inflammatoires (570-573).

### 5.1.3.2 Acides gras polyinsaturés n-3

Depuis de nombreuses années, la communauté scientifique s'est penchée sur l'effet bénéfique d'une diète riche en produits marins sur les accidents athérosclérotiques. On a bien remarqué leurs effets bénéfiques sur des populations consommant le poisson en quantités considérables tels que les Inuits ou les Eskimos (574) et les Japonais (575). Les AGPI n-3 sont utilisés dans la production d'AG hautement insaturés et des prostanoides. Ils ont une influence favorable sur les caractéristiques physico-chimiques des membranes cellulaires ainsi que sur divers processus se déroulant dans notre organisme. Notons en particulier leurs effets sur les réactions immunitaires et anti-inflammatoires dans le cancer (576), sur le système cognitif (577, 578), sur la dégénérescence maculaire (579) ou sur l'ostéoporose chez la femme et les personnes âgées (580), pour ne citer que quelques exemples. Plusieurs mécanismes d'action ont été suggérés pour expliquer leur influence sur la régulation de la tension artérielle, l'élasticité des vaisseaux, l'agrégation plaquettaire et l'athérosclérose (581), ainsi que sur les troubles cardiométaboliques (582, 583), sans omettre la NAFLD (467, 584), une section qui sera d'ailleurs développée subséquemment dans ce mémoire.

Parmi les AGPI n-3, seul l'acide alpha-linolénique (ALA, 18:3n-3) est qualifié d'essentiel étant donné le potentiel de l'organisme humain à élaborer les autres AG de la famille des n-3. Il est d'origine strictement végétale et est particulièrement présent dans les huiles de lin, chanvre, canola (colza) et soya. Ses dérivés métabolites, les acides eicosapentaénoïque

(EPA, 20:5n-3) et docosahexaénoïque (DHA, 22:6n-3), peuvent théoriquement être synthétisés à partir du précurseur ALA. Néanmoins, plusieurs travaux scientifiques ont rapporté le faible taux de conversion, ce qui a amené à la recommandation de consommer des aliments riches en EPA et DHA, de source marine, tels que le maquereau de l'Atlantique, le saumon, le hareng, le thon blanc et les sardines. Par conséquent, compte tenu de leur biogénèse limitée, les AGPI n-3 EPA et DHA sont considérés comme essentiels et doivent donc provenir de l'alimentation et principalement des poissons gras (585). Le nombre de doubles liaisons et la longueur de la chaîne fournissent aux AGPI n-3 des propriétés uniques qui les distinguent des autres graisses insaturées (586).

**Tableau 6: Principaux acides gras polyinsaturés (n-3)**

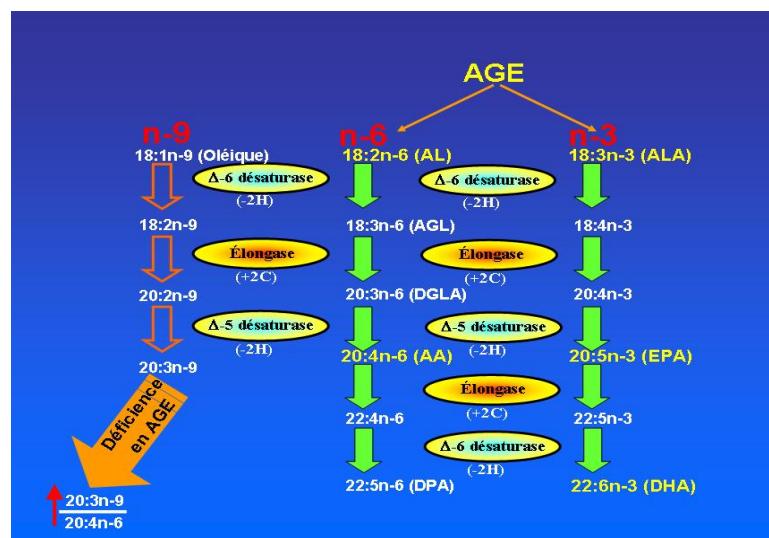
Symbole	Nom commun	Structure chimique	MM (g)
18:3n-3 (ALA)	Alpha-linolénique	$\text{CH}_3(\text{CH}_2\text{CH}=\text{CH})_3(\text{CH}_2)_7\text{COOH}$	278.48
20:5n-3 (EPA)	Eicosapentaenoïque	$\text{CH}_3\text{CH}_2(\text{CH}=\text{CHCH}_2)_4\text{CH}=\text{CH}(\text{CH}_2)_3\text{COOH}$	302.52
22:5n-3 (DPA)	Cuplanodonique	$\text{CH}_3\text{CH}_2\text{CH}=\text{CH}(\text{CH}_2)_2\text{CH}=\text{CHCH}_2(\text{CH}=\text{CH}(\text{CH}_2)_2)_3\text{C}\text{OOH}$	330.57
22:6n-3 (DHA)	Docosahexaenoïque	$\text{CH}_3\text{CH}_2(\text{CH}=\text{CHCH}_2)_5\text{CH}=\text{CH}(\text{CH}_2)_2\text{COOH}$	328.57

### 5.1.3.3 Équilibre n-3/n-6 et indice n-3

Les végétaux peuvent transformer le LA, précurseur de la famille des AGPI n-6, en ALA, précurseur des AGPI n-3. Chez l'homme et chez l'animal, ces deux précurseurs, le LA et l'ALA, ne peuvent être fournis que par l'alimentation. De plus, les voies métaboliques assurant la transformation de LA en AA et d'ALA en EPA/DHA utilisent des enzymes communes (**Figure 27**) qui sont mises à contribution pour augmenter le nombre de doubles liaisons (désaturation)

et la longueur de la chaîne carbonée (élongation) en vue de former l'EPA et le DHA à partir du ALA, ou l'AA à partir du LA, entraînant ainsi une compétition entre les deux voies de n-3 et n-6. De ce fait, le déséquilibre d'apport en faveur des n-6, comme c'est actuellement le cas dans la plupart des pays industrialisés, oriente par conséquent le métabolisme vers l'AA qui est plutôt pro-inflammatoire (**Figure 27**).

Par ailleurs, les AGPI n-3 et n-6 précurseurs des eicosanoïdes, soit le LA et l'ALA, se transforment en prostaglandines, thromboxanes et leucotriènes par désaturation alternée (delta-6 et delta-5 désaturases) et allongement de la chaîne par des élongases. Comme exemple, les prostaglandines synthétisées à partir des AGPI n-6 induisent une inflammation, tandis que certaines prostaglandines synthétisées à partir des AGPI n-3 inhibent l'inflammation. L'abondance des n-6 alimentaires inclinent la cyclooxygénase 2 à leur avantage et promeuvent le sentier inflammatoire.



**Figure 27. Élongation et désaturation des AGPI (n-6) et (n-3).**

En absence des AG : LA et ALA, seule la voie des n-9 reste fonctionnelle produisant ainsi un ratio 20:3n-9/20:4n-6 élevé, un marqueur de déficience en AG essentiels (© Spahis).

L'avènement de l'ère industriel, le développement de l'agriculture céréalière, la production bovine (principale source d'AGPI n-6) et nos habitudes de vie ont fortement contribué à augmenter l'apport en n-6 au détriment des n-3. Dans les pays industrialisés, le rapport n-3/n-6 est estimé à 1/15-1/30. Toutefois, il n'existe aucun consensus universel sur l'apport optimal en n-3 ou l'équilibre adéquat du ratio n-3/n-6. À la base, on s'est inspiré beaucoup des Inuits (587) et des Japonais (588) pour instaurer les recommandations, mais eux aussi présentent un ratio n-3/n-6 différent, à savoir 1/3 et 3/1, respectivement. Par ailleurs, malgré l'évolution des techniques analytiques dans la détermination des compositions et le dosage des AG, la quasi-totalité des tableaux alimentaires sont divergents. Pour ne citer que quelques exemples, certains auteurs se basent sur l'apport énergétique ou calorique, d'autres se concentrent soit sur la teneur en ALA, EPA ou DHA, soit sur le pourcentage des lipides contenus dans les produits marins ou végétaux (589-591).

Toutefois, un consensus scientifique met en évidence la relation étroite entre un ratio n-3/n-6 réduit et la diminution des complications cardiométraboliques et cardiovasculaires. De nombreuses études ont montré qu'un ratio de n-3/n-6 équivalent à 1/4 réduit de 70% la mortalité chez les sujets suspectés de MCV (592, 593). Il est toutefois à noter que malgré les preuves scientifiques sur les bienfaits des AGPI n-3, la compétition de ces derniers avec les AGPI n-6 pour les mêmes enzymes d'elongation ou de désaturation, servant à convertir les acides gras précurseurs en dérivés, et nonobstant les recommandations nutritionnelles des experts à optimiser l'apport alimentaire en n-3, le rapport n-3/n-6 demeure bien bas dans les sociétés nord-américaines.

Des groupes de recherche se sont aussi penchés sur l'empreinte nutritionnelle associée à la prise alimentaire des AGPI n-3 de source marine et le risque cardiovasculaire. Le groupe de Harris a développé l'indice n-3, un outil de mesure, qui fait référence au pourcentage total de EPA+DHA, par rapport aux AG totaux, dans les erythrocytes. L'indice n-3 est ainsi défini par le calcul du niveau de EPA+DHA. Si cet indice est  $> 8\%$ , il constitue une très bonne protection cardiométrabolique alors que s'il est  $< 4\%$ , il est associé à un risque cardiovasculaire (594, 595). Désormais, cet indice est proposé comme un nouveau facteur d'évaluation du risque des MCV.

## **5.2 Acides Gras Polyinsaturés n-3 et Maladies Cardiométaboliques**

Les AGPI n-3 à longue chaîne sont connus pour leurs multiples bienfaits. Leurs propriétés préventives et thérapeutiques, rapportées surtout par des études épidémiologiques, ont été soulignées pour diverses maladies, y compris les désordres cardiométaboliques regroupant l'obésité, le SyM, la NAFLD, le DT2 et les troubles cardiovasculaires (582, 583). Étant donné notre grand intérêt relatif aux nutriments fonctionnels, notamment les AGPI n-3 susceptibles de présenter des avantages pour les sujets porteurs de NAFLD, nous décrirons succinctement les caractéristiques, le métabolisme et l'impact des AGPI n-3 sur les maladies cardiométaboliques, en mettant en relief la NAFLD (467, 584).

L'intérêt pour les AGPI n-3 provient des preuves documentées que les taux de MCV chez les Esquimaux étaient bien inférieurs à ceux de la population danoise, malgré la forte consommation de graisse des Esquimaux (587). L'apport élevé en graisses des Esquimaux était dû à la consommation élevée de viande et de graisse de baleine qui contenait de grandes quantités d'AGPI n-3 (587). L'étude historique de Bang et al. a démontré les effets anticoagulants des AGPI n-3 (596). Depuis lors, il y a eu de nombreuses publications sur les avantages pour la santé cardiovasculaire engendrés par la consommation des AGPI n-3.

Des preuves supplémentaires sur les effets bénéfiques des AGPI n-3 proviennent des études observationnelles informant que les taux de décès cardiaques dans la population japonaise sont de 2,5/1000 comparativement à 17/1000 individus dans la population occidentale (597). Cet avantage extraordinaire est expliqué par le fait que les Japonais consomment en moyenne un repas de poisson par jour qui fournit environ 900 mg d'AGPI n-3 (598). Par contre, lorsque les Japonais déménagent à Hawaï et adoptent une alimentation beaucoup plus faible en AGPI n-3, les facteurs de risque des MCV s'élèvent considérablement, suggérant que l'effet protecteur japonais n'est pas uniquement dû à la génétique (599). De façon intéressante, un apport quotidien de 750 mg d'AGPI n-3 entraîne une meilleure protection contre la mortalité ajustée selon l'âge pour les MCV, la coronaropathie et les accidents cérébraux vasculaires dans une étude transversale sur 38 pays (600). De ce fait, des effets cardioprotecteurs sont attribués

aux AGPI n-3, surtout en présence des dérivés EPA et DHA : des bénéfices hypolipidémiants, anti-inflammatoires via la production d'eicosanoïdes, la modulation des canaux ioniques cardiaques et une influence évidente sur l'intégrité membranaire, des impacts anti-thrombotiques et anti-arythmiques, via probablement la génération de bons médiateurs lipidiques, et une amélioration des voies de signalisation cellulaire (601-603). Certains travaux conduits sur des rats démontrent la supériorité du DHA comparativement au EPA à réduire l'incidence et la sévérité des arythmies ventriculaires (604). Chez l'humain, la protection contre l'arythmie cardiaque et la mort subite cardiaque a été confirmée par l'essai GISSI-Prevenzione (840 mg d'AGPI n-3 au total dont 560 mg de DHA) qui a montré une réduction de 20% du nombre total de décès, une réduction de 35% des décès coronariens et une réduction de 45% de la mort subite cardiaque (605). Cependant, des ambiguïtés persistent étant donné les révélations contradictoires des méta-analyses ou de recherches cliniques prospectives visant la collecte des données nutritionnelles: certaines **sont** en appui aux effets favorables (606-612) et d'autres en défaveur (613-615) des AGPI-n3. Ces différences peuvent s'expliquer par les protocoles cliniques variables, la dimension des cohortes, les doses des AGPI n-3, et les statuts pathophysiologiques.

L'effet des AGPI n-3 sur la baisse des TG est le plus rapporté dans la littérature. Leurs mécanismes d'action consistent à réguler à la baisse la production des VLDL hépatiques. D'autre part, les AGPI n-3 sont capables d'abaisser la quantité d'AG libres qui sont livrés au foie, tout en augmentant la  $\beta$ -oxydation des AG dans le foie et même dans d'autres tissus comme le tissu adipeux, le cœur et le muscle (616). Dans le même sens, les AGPI n-3 augmentent la captation des AG (suite à la lipolyse par la lipoprotéine lipase) dans les adipocytes, les cellules musculaires cardiaques et squelettiques, ce qui en plus empêche l'hydrolyse des TG du tissu adipeux tout en en supprimant l'inflammation (616). Finalement, les AGPI n-3 ont la capacité de diminuer l'hypertriglycéridémie postprandiale (617, 618) ainsi que le contenu des CM et des VLDL en TG et la teneur des résidus de CM en cholestérol (619). Des essais cliniques abondent dans le même sens puisque le régime riche en poisson a diminué les concentrations de lipoprotéines riches en TG au cours de 24 semaines de traitement (620), et de même dans une étude d'intervention clinique, à double insu, randomisée et croisée (621).

### **5.2.1 n-3 et insulinorésistance**

La RI est définie comme une condition où les concentrations physiologiques d'insuline ne sont plus capables de maintenir des concentrations normales de glucose sanguin en raison de la moindre captation du glucose par le muscle squelettique, l'augmentation des AG dans le tissu adipeux, l'utilisation musculaire du glucose stimulée par l'insuline diminue, de même que la synthèse musculaire du glycogène et de la néoglucogenèse hépatique (622). La RI est associée à des maladies, incluant l'obésité, le SyM, le DT2, la NAFLD et sa progression en NASH. Plusieurs facteurs sont impliqués dans le développement de la RI, à savoir la prédisposition génétique, l'inactivité physique, les régimes hypercaloriques, l'inflammation, le SOx, l'altération de la signalisation insulinique et la fonction mitochondriale (623-625).

Un traitement avec les AGPI n-3 s'est révélé très efficace pour réduire ou prévenir la RI, tout en supprimant partiellement le processus inflammatoire (626, 627) via la stimulation, par exemple, du récepteur GPR120, connu dans la littérature comme un senseur du DHA (626). D'autres groupes de chercheurs indiquent que l'influence des AGPI n-3 sur la sensibilité périphérique à l'insuline est induite par des modifications de la fonction mitochondriale. En effet, les changements insulino-résistants dans le muscle, induits par la diète riche en lipides, ont été atténusés par l'huile de poisson, en agissant sur la phosphorylation des kinases [protéine kinase B (Akt) et de la AMPK], et de l'expression génique des protéines mitochondrielles (PGC1 $\alpha$ , PPAR $\alpha$ , CPT1 et UCP3) (628). Des expériences appuient aussi le déclin de la RI en réponse aux AGPI n-3 par la baisse de l'inflammation via des modifications des microARNs (629).

Cependant, dans une méta-analyse de 31 essais, seulement 9 essais ont montré une amélioration des mesures de la sensibilité à l'insuline suite à une intervention avec les AGPI n-3 avec un effet plus marquant chez les femmes (630).

## **5.2.2 n-3 et diabète**

Plusieurs études de traitement avec les AGPI n-3 démontrent un impact marqué sur le développement du diabète et des marqueurs cardiométaboliques dans des modèles animaux (631-633). Cependant, un bref aperçu des essais cliniques randomisés chez les patients diabétiques a suggéré que l'effet des AGPI n-3 sur le contrôle glycémique est mitigé (634). Certaines études montrent un effet bénéfique et une amélioration de la glycémie, d'autres un effet contraire et **même** une aggravation de la glycémie et, finalement, certains aucun effet des AGPI n-3 (634). Par contre, il est bien probable que de meilleurs résultats auraient été atteints avec des stratégies préventives plutôt que de traitement, basées sur les effets pléiotropiques des AGPI n-3 tels que leur régulation de l'inflammation, de l'immunité innée au niveau des macrophages, et la fonction paracrine ou endocrine des cytokines.

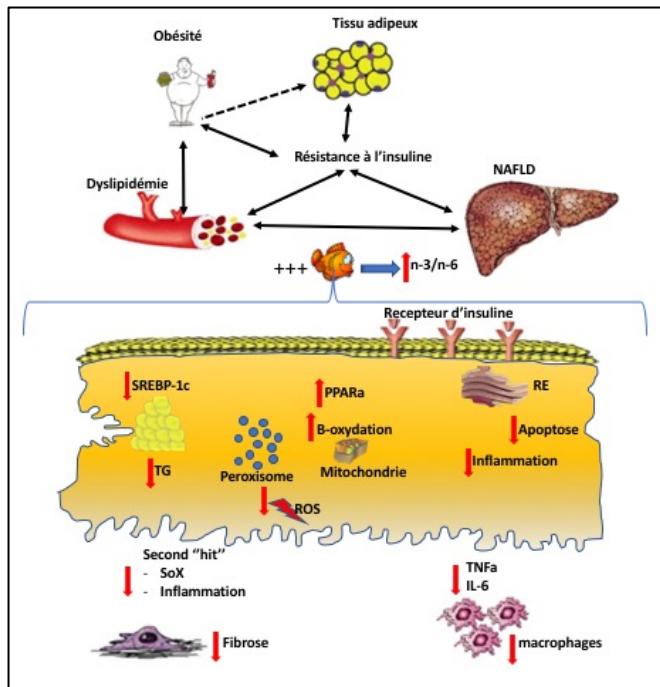
## **5.2.3 n-3 et NAFLD**

Des évidences scientifiques ont montré qu'en plus du fructose, les graisses saturées sont aussi susceptibles de stimuler l'accumulation lipidique hépatique et la progression de la NAFLD, alors que les graisses insaturées peuvent avoir un impact préventif (635, 636). En particulier, les AGPI de la famille des n-3 réduisent considérablement l'accumulation des TG, agissent sur les voies métaboliques associées à la NAFLD et freinent son expansion (637).

Reconnus surtout pour leurs effets cardio-protecteurs (638, 639), les AGPI peuvent se révéler efficaces pour le traitement de la NAFLD. L'AG essentiel  $\alpha$ -linolénique et ses dérivés [l'acide éicosapentaénoïque (EPA) et l'acide docohexaénoïque (DHA)] sont les trois principaux AGPI n-3 à chaînes longues (585). On les retrouve principalement dans les poissons gras. Le nombre élevé de leurs doubles liaisons, la longueur de leur chaîne, et la présence de la première double liaison en position 3 confère à ces AGPI des propriétés uniques qui les distinguent des autres gras insaturés (586).

Les mécanismes d'action des AGPI n-3 sur la NAFLD sont nombreux. Les AGPI n-3 affectent plusieurs étapes de la cascade physiopathologique et peuvent empêcher la progression de la NAFLD (**Figure 28**).

Les AGPI n-3 contribuent à (i) la diminution de la RI induite par l'apport calorique excessif et l'accumulation des lipides dans le tissu adipeux; (ii) l'augmentation des concentrations sériques d'adiponectine, préalablement réduites par l'effet de l'obésité qui favorise l'accumulation de graisse dans le foie; (iii) la réduction de la libération des AG libres dans la circulation, qui contribuent généralement à la dyslipidémie en condition d'obésité; (iv) l'augmentation du rapport n-3/n-6 dans les PL de la membrane cellulaire; (v) L'augmentation du nombre de récepteurs de l'insuline sur la membrane hépatique, améliorant ainsi la sensibilité à l'insuline; (vi) la modulation positive de facteurs nucléaires comme le PPAR $\alpha$  qui contrôle la transcription de gènes hépatiques impliqués dans le métabolisme des lipides, notamment la  $\beta$ -oxydation mitochondriale, résultant ainsi en une réduction de la synthèse des TG hépatiques; (vii) la modulation négative du facteur de transcription SREBP-1c (qui favorise la synthèse des TG), ce qui entraîne une réduction de l'accumulation des TG hépatiques; (viii) un effet inhibiteur sur le SOx mitochondrial et la synthèse du ROS; (ix) une réduction du stress du RE, prévenant ainsi la lipotoxicité hépatique; (x) la prévention de l'augmentation de la synthèse des eicosanoïdes pro-inflammatoires dans les hépatocytes et de la transcription des cytokines pro-inflammatoires via leurs dérivés n-3 bioactifs: protectines et résolvines; et finalement, (xi) la réduction de la fibrose hépatique et la progression de la maladie vers le NASH en agissant sur la réduction des niveaux de ROS et la suppression de la production de l'inflammation (585).



**Figure 28. Mécanismes d'action des AGPI n-3 sur la NAFLD.**

Les actions proposées sont indiquées par des flèches ↑ et ↓ pour augmentation et diminution, respectivement, adaptée de (585).

L'accumulation des TGs, qui est la principale caractéristique de la pathologie NAFLD, est fortement associée au développement de la RI (640). Actuellement, la communauté scientifique continue à explorer si l'augmentation des niveaux de TG constitue une condition préalable pour le développement de la RI (641). Les AGPI n-3 agissent sur la synthèse des lipides en inhibant l'expression des facteurs de transcription comme la SREBP-1, impliquée dans la production d'AG et l'accumulation de TG hépatiques (642) via la liaison avec PPAR $\alpha$  (585).

PPAR $\alpha$  est un récepteur nucléaire exprimé principalement dans le foie. Les AGPI n-3 sont des ligands naturels et régulateurs des récepteurs de PPAR $\alpha$ . Grâce à l'activation de PPAR $\alpha$ , ils réduisent les lipides plasmatiques et augmentent la  $\beta$ -oxydation mitochondriale au niveau hépatique (643). Dans ce contexte, des effets bénéfiques de l'EPA ont été rapportés sur la

sensibilité hépatique à l'insuline de souris soumises à une diète riche en lipides (644). De même, un traitement avec l'EPA a supprimé la lipogenèse et la stéatose hépatique chez les souris nourries avec un régime riche en graisses et en sucre (645).

Comme il a été suffisamment documenté, les AGPI n-3 ont des propriétés bioactives et sont bénéfiques pour le traitement de l'inflammation du foie associée à l'accumulation de graisses hépatiques (638). Dans la NAFLD, il a été montré que les AGPI (EPA et DHA) diminuent la production d'IL-6 et de TNF- $\alpha$  (646). Ils inhibent l'activation de la voie du NF- $\kappa$ B, un chef d'orchestre de l'inflammation (463, 646). En effet, les AGPI n-3 préviennent la phosphorylation et la dégradation d'IkB- $\alpha$ , empêchant ainsi la translocation de NF- $\kappa$ B au noyau et la production de cytokines pro-inflammatoires.

La production d'eicosanoïdes pro-inflammatoires hépatiques, principalement par le biais des AGPI n-6, augmente le statut insulino-résistant et inflammatoire dans la physiopathologie de la NAFLD (647). De ce fait, les AGPI n-3 peuvent agir en tant que médiateurs anti-inflammatoires endogènes en modulant la voie de signalisation de l'insuline entre la membrane et le noyau des hépatocytes via leurs dérivés (résolvines, protectines), modifiant ainsi la transcription des cytokines pro-inflammatoires (648). De même, les AGPI n-3 sont impliqués dans le contrôle de l'homéostasie du glucose et affectent en particulier le développement de la RI et de la progression de la NAFLD (647), en régulant négativement l'activité de ChREBP, un facteur de transcription contribuant en partie à la NAFLD et à la RI (649). Dans des modèles expérimentaux, le blocage de ChREBP a abouti à une sensibilité accrue de l'insuline et à la réduction de la stéatose (649).

Depuis les cinq dernières années, l'avancement de la recherche a permis la découverte des effets bénéfiques des résolvines, maresines et protectines, ces médiateurs lipidiques dérivés des AGPI n-3 (DHA et EPA) qui peuvent fournir une protection pour le foie, en réduisant l'activité du processus inflammatoire hépatique (650). González-Périz et al ont déterminé les effets de l'administration d'une diète enrichie en DHA à des souris ob/ob, un modèle expérimental de RI et de NAFLD induites par l'obésité (648). Ils ont observé une amplification de la formation des résolvines et des protectines accompagnée par l'inhibition de la formation

de médiateurs inflammatoires dérivés des AGPI n-6. Ce groupe de chercheurs a noté que ces dérivés stimulent la sensibilité de l'insuline et accroissent l'expression de l'adiponectine (648).

Spécifiquement chez l'humain, il a été clairement documenté qu'une accumulation d'AG au niveau du foie, incite à une régulation positive de SREBP-1c (un facteur de transcription clé de la lipogenèse) et une régulation négative de PPAR $\alpha$  (un facteur de transcription clé dans l'oxydation des AG), en association avec un déclin des AGPI n-3 chez des patients obèses en présence d'une RI (651, 652). Des méta-analyses d'essais cliniques ont été également réalisées pour déterminer si les AGPI n-3 avaient une influence bénéfique sur la NAFLD. La première, employant des essais cliniques non contrôlées, a bien confirmé cette hypothèse (653). Une seconde méta-analyse a recensé 13 études cliniques contrôlées et randomisées et a systématiquement examiné les résultats regroupant 266 patients supplémentés avec les AGPI n-3 et 402 patients non soumis à un régime d'AGPI n-3 (654). Clairement, les AGPI n-3 ont abaissé les taux sériques d'ALT et ont amélioré la fonction hépatique chez les patients avec NAFLD comparativement aux contrôles.

Les effets des AGPI n-3 ont également été évalués chez les jeunes patients diagnostiqués avec NAFLD en raison de leurs caractéristiques hypotriglycéridémiques et anti-inflammatoires. Le traitement des enfants avec le DHA a réduit les troubles métaboliques ainsi que des TG hépatiques (655). Cependant, d'autres essais cliniques avec le DHA n'ont pas montré d'amélioration au niveau des enzymes hépatiques et du poids corporel (462).

Récemment, le groupe de Nobili a évalué l'effet de différentes doses (250 mg et 500 mg/jour) du DHA sur des enfants avec la NAFLD (461). Une diminution significative du contenu lipidique du foie a été notée chez les deux groupes supplémentés sans effets secondaires notables, suggérant que les doses administrées sont sans danger et efficaces. Ils ont aussi noté une amélioration significative du profil lipidique et de la RI. D'autre part, une dose d'EPA de 2700 mg/jour a amélioré significativement les concentrations des transaminases hépatiques, d'AG libres et de cholestérol chez des sujets avec NAFLD (656). Les AGPI n-3 réduiraient alors la stéatose, rétablissant presque l'homéostasie lipidique hépatique (585). Par ailleurs, le traitement quotidien de patients atteints de NAFLD avec de l'huile de poisson pendant 6 mois a

amélioré le profil lipidique des patients, diminué la libération de cytokines pro-inflammatoires au niveau du foie, tout en inhibant le déséquilibre redox caractérisé par une augmentation de la peroxydation lipidique et l'activité des radicaux libres (657).

En dépit des résultats rapportés, les études présentent plusieurs limites : l'impossibilité de répéter les résultats chez les enfants à cause des difficultés à prélever des biopsies en raison de contraintes éthiques, le manque de suivi, l'absence de randomisation, l'absence de groupes témoins, et le faible nombre d'échantillons (461, 656). Néanmoins, une supplémentation en AGPI est une approche prometteuse dans le traitement des patients NAFLD car les AGPI n-3 affectent différents points de la cascade physiopathologique dans le foie. Les données provenant d'essais cliniques humains ou d'études animales indiquent clairement que la supplémentation ou la consommation de poisson pourrait prévenir ou réduire la NAFLD et les facteurs cardiométaboliques qui lui sont associés (638, 658). De plus, il n'y a aucune étude clinique randomisée qui a décelé le risque potentiel d'une hémorragie interne suite à la consommation excessive des AGPI n-3 qui pourrait ainsi les incriminer ou contredire leur bénéfice métabolique (659). En ce qui a trait à la quantité à prescrire, l'hétérogénéité des interventions ne permet pas d'établir une dose optimale minimum ni les doses individuelles pour les patients NAFLD, mais les avantages sont observés avec un apport de plus de 0,83 g/jour de supplémentation en AGPI n-3. Il faut noter que l'*American Heart Association* et l'*American Diabetes Association* recommandent un apport moyen journalier chez le sujet sain de 100 mg d'EPA+DHA ou au moins deux portions de poisson gras par semaine, tandis que l'OMS recommande une consommation moyenne d'environ 500 mg/jour d'EPA+DHA. Les gouvernements de divers pays (Royaume-Uni, France, Australie, etc.) recommandent 140-600 mg/jour d'EPA+DHA, ce qui correspond à 30-40 g/jour de consommation de poisson (647, 660). Des recherches complémentaires sont nécessaires pour définir les groupes de patients appropriés, pour quantifier l'ampleur de la réduction de la graisse du foie, et, enfin, pour établir la dose optimale et la durée de traitement avec les AGPI n-3. À l'heure actuelle, il est suggéré que la dose soit personnalisée dans la NAFLD en fonction de l'évaluation clinique et la présence ou l'absence de comorbidités cardiaques ou autres complications (661).

Malgré les avancées sur les avenues thérapeutiques nutritionnelles pour la prise en charge de la NAFLD et de ses complications cardiométaboliques et physiopathologiques, indépendamment de l'obésité, la recherche clinique n'a pas été exhaustive pour permettre d'établir les doses optimales et de connaître la biodisponibilité des nutriments fonctionnels ou bioactifs. C'est suite à des travaux de grande qualité qu'il sera possible de renforcer les recommandations tout en proposant l'adoption d'un meilleur style de vie chez les patients atteints de la NAFLD, axé sur un environnement nutritionnel favorable. Pour l'instant, les recommandations peuvent se résumer à la restriction de l'apport calorique de moins de 30 kcal/kg/j, avec un faible apport en gras saturés et en sucres simples, une supplémentation en antioxydants (400-800 UI/j de vitamine E, 1000 UI/j de vitamine D), en polyphénols et, en AGPLI-3 (1 g/jour) ou au moins la consommation de poissons 2 fois/semaine. Finalement, pour favoriser le maintien de cette hygiène de vie et le suivi des patients, il serait souhaitable de regrouper tous les intervenants de la santé en plus des chercheurs, comme équipes multidisciplinaires, pour concentrer les efforts et intervenir d'une manière plus efficace et rationnelle sur les facteurs de risque métaboliques associés à la NAFLD.

## HYPOTHÈSES ET OBJECTIFS DE RECHERCHE

L'obésité est certes devenue l'un des plus grands défis en santé publique, contribuant à l'augmentation du risque de nombreuses maladies chroniques telles que le DT2, la NAFLD, l'hypertension, les MCV et autres comorbidités (6). Il est largement admis que notre mode de vie contribue à une augmentation de l'obésité, mais il est également clair qu'une composante génétique importante est derrière le risque de développer un phénotype obèse (37). Toutefois, notre compréhension des interactions entre la génétique et l'environnement nutritionnel demeure ambiguë. C'est dans ce cadre que s'inscrit mon projet scientifique de doctorat qui, je l'espère, jettera un peu de lumière sur cet aspect.

L'épidémie de l'obésité soulève des préoccupations cliniques, économiques et sociétales sur la santé. Cependant, les stratégies préventives et thérapeutiques efficaces font actuellement défaut, principalement en raison de l'incompréhension des mécanismes moléculaires sous-jacents. Les approches moléculaires ont été certainement d'un grand support pour définir plusieurs mutations et variances génétiques. Cependant, la communauté scientifique s'est vite rendue compte que, suite à l'identification de gènes endocriniens et neurologiques étiologiques, l'obésité n'est certainement pas seulement une maladie endogène. Le premier objectif de ce travail de doctorat est justement de démontrer, *in vivo*, que des gènes codant pour les protéines intestinales clés associées au transport des lipides, comme c'est le cas du Sar1b GTPase, peuvent interagir avec l'environnement nutritionnel pour produire l'obésité et des désordres métaboliques.

De plus, pour montrer la gravité de l'obésité associée aux complications cardiométaboliques, nous décrivons dans le second objectif, le degré de la NAFLD (léger, modéré et sévère) chez des sujets adultes ayant subi une chirurgie bariatrique. L'avantage d'avoir à notre disposition des échantillons de foie humain nous permet d'approfondir les mécanismes de la lipogenèse, la gluconéogenèse et la dysfonction mitochondriale dans un état de dérangement métabolique et une NAFLD, tout en mettant en évidence les interactions protéine-protéine en utilisant la protéomique comme outil d'analyse.

Finalement, s'il nous semble clair que des lignes directrices nutritionnelles sont requises pour réduire l'obésité et ses comorbidités, les aliments fonctionnels demeurent assez ignorés et ne sont pas exploités à leur pleine capacité comme moyen d'intervention pour les désordres cardiométaboliques. Par conséquent, le troisième objectif de notre programme scientifique est d'évaluer l'efficacité de la supplémentation en AGPI n-3 et sa tolérance par des enfants/adolescents diagnostiqués de la NAFLD.

Les objectifs spécifiques de ce programme scientifique sont donc de :

- 1- *Définir si un milieu nutritionnel défavorable agit en synergie avec un gène relié au transport intestinal des lipides pour prédisposer à l'obésité et accroître les risques cardiométaboliques sous-jacents à l'obésité;*
- 2- *Élucider les mécanismes de la NAFLD en scrutant des processus intrahépatiques dans des spécimens de foies provenant de sujets obèses morbides immédiatement après la chirurgie bariatrique;*
- 3- *Déterminer si une intervention avec un nutriment fonctionnel, les AGPI n-3, améliore les conditions de la NAFLD, une des co-morbidités majeures associée à l'obésité.*

# RÉSULTATS

Les résultats, émanant de mon projet de recherche doctoral, sont présentés sous forme d'articles scientifiques dans ce chapitre et répondent à chaque objectif énuméré dans la présente thèse. Ils se répartissent de la façon suivante;

**Objectif #1 : Définir si un milieu nutritionnel défavorable agit en synergie avec un gène relié au transport intestinal des lipides pour prédisposer à l'obésité et accroître les risques cardiométraboliques sous-jacents à l'obésité.**

Deux articles scientifiques et une revue de synthèse sont reliés à cet objectif;

- Article 1 : « *Sar1b transgenic male mice are more susceptible to high-fat diet-induced obesity, insulin insensitivity and intestinal chylomicron overproduction* ».
- Article 2 : « *Tissue distribution and regulation of the small Sar1b GTPase in mice* »
- Revue 1: « *The Epigenetic Machinery in Vascular Dysfunction and Hypertension* ».

**Objectif #2 : Élucider les mécanismes de la NAFLD en scrutant des processus intrahépatiques dans des spécimens de foies provenant de sujets obèses morbides immédiatement après la chirurgie bariatrique.**

Un article scientifique et une revue de synthèse sont reliés à cet objectif;

- Article 3 : « *Uncovering the hepatic metabolic signature of non-alcoholic fatty liver disease via direct investigation and proteomic analysis of morbid obese patient* »
- Revue 2: « *Metabolic Syndrome as a Multifaceted Risk Factor for Oxidative Stress* »

**Objectif #3 : Déterminer si une intervention avec un nutriment fonctionnel, les AGPI n-3, améliore les conditions de la NAFLD, une des co-morbidités majeures associée à l'obésité.**

Deux articles scientifiques et une revue de synthèse sont reliés cet objectif;

- Article 4: «*Plasma fatty acid composition in French-Canadian children with non-alcoholic fatty liver disease: Effect of n-3 PUFA supplementation*»
- Article 5: «*Non-alcoholic fatty liver disease severity and metabolic complications in obese children: impact of Omega-3 fatty acids*»
- Revue 3: «*Oxidative Stress as a Critical Factor in Non-alcoholic Fatty Liver Disease Pathogenesis*»

## Article 1

### « Sar1b transgenic male mice are more susceptible to high-fat diet-induced obesity, insulin insensitivity and intestinal chylomicron overproduction »

Levy E, **Spahis S**, Garofalo C, Marcil V, Montoudis A, Sinnett D, Sanchez R, Peretti N, Beaulieu JF, Sane A. *J Nutr Biochem*. 2014 May;25(5):540-8. doi: 10.1016/j.jnutbio.2014.01.004.

#### CONTRIBUTION DES AUTEURS

**Levy E** a contribué au concept et à la supervision de l'étude, aux ressources financières et matérielles pour la réalisation de l'étude. Dr Levy E a participé à la rédaction, l'analyse critique des résultats et la révision du manuscrit.

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**Marcil V, Sinnett D, Sanchez R, Peretti N et Beaulieu JF** ont révisé le manuscrit.

**SAR1B TRANSGENIC MALE MICE ARE MORE SUSCEPTIBLE TO HIGH-FAT  
DIET-INDUCED OBESITY, INSULIN INSENSITIVITY AND INTESTINAL  
CHYLOMICRON OVERPRODUCTION**

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***Running Head:*** *Sar1b and cardiometabolic complications*

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## **ABSTRACT**

In the intracellular secretory network, nascent proteins are shuttled from the endoplasmic reticulum to the Golgi by transport vesicles requiring Sar1b, a small GTPase. Mutations in this key enzyme impair intestinal lipid transport and cause chylomicron retention disease. The main aim of this study was to assess whether Sar1b overexpression under a hypercaloric diet accelerated lipid production and chylomicron (CM) secretion, thereby inducing cardiometabolic abnormalities. To this end, we generated transgenic mice overexpressing human Sar1b ( $\text{Sar1b}^{+/+}$ ) using pBROAD3-mcs that features the ubiquitous mouse ROSA26 promoter. In response to a high-fat diet (HFD),  $\text{Sar1b}^{+/+}$  mice displayed significantly increased body weight and adiposity compared with  $\text{Sar1b}^{+/+}$  mice under the same regimen or with wild-type (WT) mice exposed to chow or HFD. Furthermore,  $\text{Sar1b}^{+/+}$  mice were prone to liver steatosis as revealed by significantly elevated hepatic triglycerides (TG) and cholesterol in comparison with WT animals. They also exhibited augmented levels of plasma TG along with alterations in fatty acid composition. Concomitantly, they showed susceptibility to develop insulin insensitivity and they responded abnormally to oral glucose tolerance test. Finally,  $\text{Sar1b}^{+/+}$  mice that have been treated with Triton WR-1330 (to inhibit TG catabolism) and orotic acid (to block secretion of VLDL by the liver) responded more efficiently to fat meal tests as reflected by the rise in plasma TG and CM concentrations, indicating exaggerated intestinal fat absorption. These results suggest that  $\text{Sar1b}^{+/+}$  under HFD can elicit cardiometabolic traits as revealed by incremental weight gain, fat deposition, dyslipidemia, hepatic steatosis, insulin insensitivity and intestinal fat absorption.

## INTRODUCTION

The gut is indispensable for the transport of alimentary fat, the most calorically dense nutrient, in the form of chylomicrons (CM). The formation of these triglyceride (TG)-rich lipoproteins within the enterocyte is a multistep pathway, which includes the uptake and translocation of digestive lipolytic products from the brush border membrane to the endoplasmic reticulum (ER), lipid esterification and synthesis/posttranslational modification of different apolipoproteins (apo), as well as the assembly of lipid and apo components into lipoprotein particles (1–3). Evidently, the alteration of endogenous key proteins, such as apo B-48 and microsomal triglyceride transfer protein, could have an impact on intestinal fat transport and circulating lipoprotein concentrations and metabolism (4–8).

Our recent studies and other contributions by various groups have provided compelling evidence that Sar1b GTPase also plays a pivotal role in rallying coat protein complexes II (COPII) to form a shell around the vesicles that transport CM cargo in the secretory pathway (9,10). At each vesicle formation step, secretory CM cargo proteins must be selectively and efficiently sorted into transport vesicles, but gene mutations of *SARA2* on chromosome 5q31, coding for Sar1b, obviate COPII coat recruitment and CM movement from the ER to the Golgi apparatus, thereby impeding CM exocytosis (11–13). Thus, patients with *SARA2* gene aberrations present with chylomicron retention disease and severe intestinal fat malabsorption along with many complications such as failure to thrive, diarrhea, essential fatty acid deficiency, decline of liposoluble vitamins, and ophthalmologic and neurological abnormalities (14,15).

Our group has also reported that discrete *SARA2* genotypes may modify lipid and saturated fatty (FA) acid profile in chylomicron retention disease (11,12). In addition, as the Sar1b protein has not been extensively investigated in relation to intestinal lipid transport and regulation, we have recently overexpressed Sar1b in Caco-2/15 cells and can for the first time document that the stable forcing of Sar1b is tightly associated with the amplification of intestinal fat absorption (16). Therefore, it appears that Sar1b is determinant for the routing/movement of apo B-48-containing CM from the ER to the Golgi apparatus, as well as for CM assembly and output from enterocytes. However, an intriguing question is whether its abundant expression *in vivo* enables more efficient intestinal fat transport, which in turn may increase cardiometabolic risk factors. In the present study, to tackle this interesting and important issue, we have engineered a transgenic mouse that

overexpressed Sar1b ( $\text{Sar1b}^{++}$ ) that was found to develop obesity, insulin insensitivity, hepatic and plasma lipid abnormalities and CM hyperproduction when fed a high-fat diet (HFD).

## MATERIALS AND METHODS

### *Generation of Sar1b Transgenic Mice*

pCMV6-XL4 vector (OriGene Technologies, Rockville, MD) harboring full length cDNA of *SAR2* was digested with *NotI* to excise a 1960 bp fragment. This fragment was subsequently cloned into pBROAD3-mcs vector (InvivoGen, San Diego, CA) restricted with *NotI* to create pBROAD3-SARA2. pBROAD3 features the ubiquitous mouse ROSA26 promoter. The high CpG content promoter directs *in vivo* expression of the transgene in most tissue types, including cells of the developing germ line and hematopoietic lineage. The strength of the ROSA26 promoter is ascribed to the 10 potential Sp1 sites found within the CpG island extending from the proximal promoter to the first half of intron 1, the highest number of Sp1 sites ever recorded in any promoter (17). Chicken β-globin gene insulator was subcloned upstream from the ROSA26 promoter at the *NdeI* site to enhance transgene expression. Cloning the β-globin gene insulator created pBROAD3-β-Sara2 vector. This 2-kb insulator fragment was derived from PCR amplification of chicken DNA with insulator-specific primers containing the *NdeI* site (primer 1: CAT ATG GCG GCC GCT CTA GACT; primer 2: CAT ATG GGA TCC GTC GAC GC). The capability of this construct to allow *SARA2* expression in enterocytes was tested by transient transfection of Caco-2 cells. The DNA transgene vector, pBROAD-β-SARA2, was then injected into fertilized eggs from mice at the *Réseau de Recherche en Transgenèse du Québec*. Genomic DNA was prepared from founder mice and their offspring tail DNA and screened for the presence of *SARA2* DNA by PCR amplification, using specific primers (primer 1: ATG TCC TTC ATA TTT GGA TT; primer 2: ATC AAT GTA CTG TGC CAT CC).

### *Animals and Diets*

Starting at three weeks of age, homozygous male Sar1b<sup>+/+</sup> and wild-type male mice (WT) were housed in a temperature-controlled room at 24°C with a 12-h light-dark cycle and fed a standard laboratory chow diet (ND; 2018 Teklad Global, 18% protein rodent diet, Harlan Laboratories, Indianapolis, IN) or a HFD (BioServ F3282, 60% fat Calories, BioServ, Frenchtown, NJ) and double distilled water *ad libitum* for 12 weeks. Body weight was recorded weekly from 3 to 15 weeks of age. Animal experiments were conducted according to the Canadian Council on Animal Care guidelines for the care and use of experimental animals and were approved by the Institutional Animal Care Committee of the Sainte-Justine UHC Research Center.

### *Body Fat Pad Weight and Organ Weight*

After 12 weeks of feeding, mice were fasted for overnight, weighed and anesthetized by intraperitoneal injection of a mixture of Ketamine/Xylazine/Acepromazine (100/10/1 mg/kg), and isoflurane inhalant. After sacrifice, individual organs and tissues were isolated, weighed, snap-frozen, and stored at -80°C for experiments.

#### ***Densitometric Animal Fat Determination***

Dual Energy X-ray Absorptiometry (DEXA) technology was used with Lunar PIXImus2 (GE Medical Systems, Madison, WI). The entire animal was exposed to a cone-shaped beam of both high- and low-energy X-rays for 3 min. A high-resolution digital picture (0.18 x 0.18mm) was taken of an image of the X-rays hitting a luminescent panel. The ratio of attenuation of the high and low energies made it possible to separate bone from tissue and, from within the tissue samples, lean and fat tissue.

#### ***Hepatic and intestinal Lipids***

Hepatic and jejunal tissues were homogenized in T-PER Reagent for lipid measurement. Total cholesterol and TG were determined using cholesterol CHOD-PAP and Trig/GB assays, respectively, from Roche/Hitachi (Indianapolis, IN). For phospholipid measurements, liver tissue was homogenized in 0.9% NaCl isotonic solution (Baxter, Toronto, ON). To 10 µl of homogenate 400 µl of perchloric acid were added and incubated for 3 h at 160°C. After incubation, 4 ml H<sub>2</sub>O, 400 µl of 2.5% ammonium molybdate and 200 µl of 0.1% 2,4-Diaminophenol Dihydrochloride in 20% bisulfite were added and incubated for 7 min at 100°C. Phosphates in phospholipid were read at 830 nm. Standard curve was performed with serial dilutions of a 32-mM KH<sub>2</sub>PO<sub>4</sub> solution. Protein concentrations were determined by colorimetric Bio-Rad Protein Assay Dye Reagent Concentrate.

#### ***Biochemical Analyses***

After 12 weeks of feeding, mice were fasted overnight and sacrificed. Blood was collected for insulin and lipid assays. Plasma TG and cholesterol levels were measured enzymatically (Boehringer-Mannheim, Mannheim, Germany). Blood glucose levels were determined using a OneTouch Ultra Meter (lifeScan, Milpitas, CA) and plasma insulin was measured using a rat/mouse insulin ELISA kit (Millipore, Billerica, MA). Plasma fatty acid composition was determined by gas chromatography as described previously (18).

### ***Insulin Sensitivity***

Insulin sensitivity was determined using the HOMA-IR index. The score was calculated using the formula: (Insulinemia (mIU/L) x Glycemia (mmol/L)) / 22.5.

### ***Oral Glucose Tolerance Test (OGTT)***

Glucose tolerance was assessed by oral glucose administration. After a 15-h fast, the mice were weighed and glucose (2g/kg of body weight) was administered orally. Glucose concentrations in the retro-orbital venous plexus were measured.

### ***Intestinal Fat Absorption and Transport***

Transgenic and control mice under HFD with or without orotic acid (1% gavage for 14 d before sacrifice) were fasted for 12 h. Animals were then given 200 µl Microlipids (Nestlé Healthcare Nutrition, Minneapolis, MN) containing 50% fat emulsion through a gavage syringe. One hour later, Triton WR 1339 diluted in saline (300 mg/ml) and warmed to 37°C was injected slowly (400 mg/kg of body weight) via a catheter inserted into the carotid artery. Anesthesia with ketamine (80 mg/kg of body weight) was maintained throughout the experimental period. Blood was collected from the tail vein at 0, 2, 4 and 6 h after Triton WR 1339 administration. Blood TG levels were determined enzymatically in the plasma, as described above. CM were isolated by ultracentrifugation as described previously (19,20) and TGs in CM were quantified enzymatically.

### ***Western blot analysis***

Sections of jejunum and liver were homogenized in ice-cold T-PER Tissue Protein Extraction Reagent (Thermo Scientific, Rockford, IL). Protease inhibitors were added to tissues prior to homogenization. Supernatant proteins (20 µg) were denatured at 95°C for 5 min in a sample buffer containing SDS and DTT (Thermo Scientific, Rockford, IL), separated on a 15% sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) and electroblotted onto Hybond-C extra nitrocellulose membranes (Amersham Biosciences, Piscataway, NJ) in 25 mM Tris and 192 mM glycine. Nonspecific binding sites of the membranes were blocked with Tris-buffered saline [20 mM Tris-HCl (pH 7.5) plus 137 mM NaCl] containing 0.1% Tween 20 and 5% non fat dry milk for 60 min at room temperature. The blots were then incubated overnight at 4°C in blocking solution with the primary antibodies directed against the targeted proteins Sar1b (1:20000) (kindly provided by Dr Randy Schekman, Department of Molecular and Cell Biology, University of California at Berkeley, Berkeley, CA) or β-actin (1:250000) (Sigma-Aldrich Canada). The relative amount of primary antibody was revealed with a species-specific horseradish peroxidase-

conjugated secondary antibody, e.g. anti-mouse IgG-POD/anti-rabbit IgG-POD (Roche Diagnostics, Indianapolis) (1:20000). Blots were developed and the protein mass was quantified using an HP Scanjet scanner equipped with a transparency adapter and the UN-SCAN-IT gel 6.1 software.

#### **RNA extraction and quantitative RT-PCR (RT-qPCR)**

Intestinal and hepatic samples were homogenized in TRIzol reagent (Ambion by Life Technologies Corporation, Carlsbad, CA) and total RNA was extracted. RNA concentration and quality were tested by absorbance at 260 nm while the absorbance ratio of 260/280 (using a Nanodrop® ND-1000 Spectrophotometer from Thermo Scientific, Wilmington, DE) and RNA analysis by agarose gel electrophoresis served to assess integrity. Complementary DNA was obtained by reverse transcribing 1 µg of RNA with the qScript cDNA Supermix (Quanta Biosciences, Gaithersburg, MD) according to the manufacturer's instructions. Negative controls without enzyme were also prepared.

The TaqMan® Express Human Endogenous Control Plate (Life Technologies) was used to select the most stable reference gene amongst organs according to the manufacturer's instructions. Using this technique, polymerase (RNA) II (DNA directed) polypeptide AI (Polr2a) was chosen as an endogenous control to normalize the different cDNA sample amounts. RT-qPCRs were performed in 96-wells microtiter plates using Taqman® Fast Advanced Master Mix (Life Technologies, Foster City, CA) in an ABI Prism® 7900 Sequence Detection System. The RT-qPCRs were carried in 96-well plates with a final volume of 10 µl per well. A volume of 5 µl Taqman® Fast Advanced Master Mix (1 X) was added to a well containing 1 µl of Custom Taqman® Gene Expression Assay (Life Technologies) (1 X) for Sar1b or for Polr2a comprising 1 µl of cDNA previously diluted 1:5 and 3 µl of diethylpyrocarbonate H<sub>2</sub>O. Taqman® hydrolysis probes used were Mm01294633\_m1 for Sar1b and Mn00839493\_m1 for Polr2a (Life Technologies). Subsequently, negative controls without cDNA were prepared. The amplification reaction was carried out using 40 cycles and was performed in duplicate for each gene in the same plate under the following conditions: 95°C 20 s, 95°C 1 s and 60°C for 20 s. The relative mRNA fold-changes between the animal groups were calculated using the 2<sup>-ΔΔCT</sup> method (21). The analyses and statistics were performed using the Expression Suite Software Version 1.0 (Life Technologies) and the Prism 5.01 software.

#### **Statistical Analysis**

The data were analysed with Prism 5.01 (GraphPad Software) and are expressed as means  $\pm$  SEM. Statistical analysis was performed using either Student's *t* test or one-way ANOVA with a post-hoc Bonferroni multiple comparison test.  $P<0.05$  was considered significant.

## RESULTS

### *Overexpression Analysis*

The first set of experiments was designed to validate Sar1b overexpression in the genetic manipulated animals. To this end, intestinal and hepatic tissues were tested. As noted in **Figure 1A**, increased mRNA and protein expression was noted in the gut (3-fold and 6-fold) and liver (8-fold and 6.5-fold), respectively, in Sar1b<sup>+/+</sup> compared to WT mice. Of note, all the findings of the present study were obtained only on this line of transgenic mice that exhibited 6-fold protein overexpression in the intestine and the liver since the other lines displayed lower values. Importantly, the animals were viable throughout adulthood and they did not exhibit abnormalities in fertility rate. Additionally, litter sizes at birth were comparable between the WT and Sar1b<sup>+/+</sup> groups, but the mice of the latter were apparently fatter (**Fig. 1B**).

### *Body Weight, Weight Gain and Food Intake*

Weight gain was recorded in animals exposed to chow and HFD diets during 12 weeks. On the normal diet (ND), Sar1b<sup>+/+</sup> mice showed an apparent weight gain from 8 to 12 weeks compared with WT mice and this irregularity was more pronounced on an HFD as observed in the body weight gap between the WT ( $\Delta=66.7$ ) and the Sar1B<sup>+/+</sup> ( $\Delta = 54.6$ ) groups of mice (**Fig. 1C**). The mean of area under curve show also significant ( $p<0.05$ ) differences between WT (ND:  $277.00 \pm 13.46$ ; HFD:  $349 \pm 18$ ) and Sar1B<sup>+/+</sup> (ND:  $353.90 \pm 24.99$ ; HFD:  $424.60 \pm 11$ ). Clearly, at the end of the 12-week high-fat regimen, Sar1b<sup>+/+</sup> HFD mice demonstrated a significant weight increase compared with the WT or Sar1b<sup>+/+</sup> ND mice (**Fig. 1D**).

Food intake was examined at least twice a week for 3 months during the feeding period. Food intake was around 3 g/day/mice for all different experimental groups: on the regular diet, WT and Sar1B<sup>+/+</sup> mice displayed an average of  $(3.30 \pm 0.13)$  and  $(3.09 \pm 0.24)$  g/day/mice, respectively, whereas on HFD, they exhibited an average food intake of  $(3.30 \pm 0.27)$  and  $(3.17 \pm 0.45)$  g/day/mice, respectively.

### *Body Fat Pad Weight and Organ Weight*

To determine the effect of Sar1b overexpression on fat development, Sar1b<sup>+/+</sup> and WT littermates were placed on an HFD immediately after weaning (3 weeks old) for a 12-week diet-period. As shown in **Table 1**, the Sar1b<sup>+/+</sup> mice exhibited a high weight mass in adipose tissue, liver and intestine. This observation was significantly apparent in adipose tissue and liver, particularly in the presence of an HFD. Additionally, the perirenal adipose tissue was characterized by

significantly higher fat deposition in Sar1b<sup>+/+</sup> HFD compared to Sar1b<sup>+/+</sup> ND mice with a trend of increase compared with WT HFD mice, while epididymal tissue was significantly higher in Sar1b<sup>+/+</sup> compared to WT HFD mice. Mesenteric adipose tissue showed no changes between the groups (**Fig. 2A**). However, as expected from these observations, using DEXA, total adipose mass accumulation was found to be significantly elevated in Sar1b<sup>+/+</sup> HFD compared to all animal groups (**Fig. 2B**). Therefore, Sar1b<sup>+/+</sup> mice were more susceptible to diet-induced obesity than control animals.

### ***Hepatic and intestinal Lipids***

Since changes in adipose tissue mass are frequently associated with alterations in whole body lipid homeostasis, we therefore measured lipid amounts in the liver. As shown in **Figure 3A**, Sar1b overexpression led to a significant rise in TG and cholesterol, with only a parallel trend in phospholipids, particularly under a hypercaloric diet, even if the WT HFD mice exhibited the same profile. Of note, no significant differences were observed in TG and cholesterol in the jejunum among the groups of Sar1b<sup>+/+</sup> and WT mice under the two dietary regimens as illustrated in **Figure 4**.

### ***Plasma Lipids***

Marked differences were detected in the lipid profiles of WT and Sar1b<sup>+/+</sup> mice under the HFD. As expected, an HFD caused an augmentation in plasma TG in WT mice compared with control animals on ND (**Fig. 5**). However, after 12 weeks of high-fat feeding, the Sar1b<sup>+/+</sup> animals experienced a more marked rise in fasting plasma TG and cholesterol concentrations.

### ***Plasma Fatty Acid Composition***

Given the relationship between abnormal FA composition and cardiometabolic disorders, particular attention was paid to the impact of Sar1b overexpression on FA distribution in animals exposed to an ND and HFD. While no distinguishable pattern was noticed in WT mice, various alterations were recorded in Sar1b<sup>+/+</sup> mice (**Table 2**). However, HFD administration caused a significant alteration in total and derived polyunsaturated n-3 FA family composition in Sar1b<sup>+/+</sup> HFD mice (**Table 2**), resulting in a decline in the polyunsaturated FA/saturated ratio. Interestingly, even under an ND diet, Sar1b<sup>+/+</sup> mice exhibited differences in FA distribution, which emphasizes the role of Sar1b in FA metabolism.

### **Glycemia and Insulinemia**

The effects of ND and HFD on glucose/insulin homeostasis were measured in animal groups. The HOMA-IR index was calculated as well (**Fig. 6**). While an increase in fasting plasma glucose (**Fig. 6A**) and insulin (**Fig. 6B**) levels was noticed in WT HFD compared to WT ND, their increment in Sar1b<sup>+/+</sup> mice was more substantial and reached statistical significance. As a result, the HOMA-IR index showed a significant increase in these Sar1b<sup>+/+</sup> animals (**Fig. 6C**), thereby indicating less insulin sensitivity. The insulin resistance feature was confirmed in Sar1b<sup>+/+</sup> animals with OGTT since they displayed a delayed glucose clearance and increased area under the curve especially on the HFD (**Fig. 6D**).

### **Intestinal Lipid Absorption and Transport**

Since mutations in the *SAR1B* gene result in defects in intestinal fat absorption, we tested the corollary that *Sar1b* overexpression could induce CM secretion. Two precautions were taken: CM measurements were performed in the presence of Triton WR 1339 in order to block their degradation by lipoprotein lipase (**Fig. 7A and 7B**) and in the presence of orotic acid (**Fig. 7C and 7D**), an inhibitor of VLDL secretion from the liver, to prevent their contamination in the circulation. The oral fat tolerance test showed a significantly increased (~3-fold) output of TG in Sar1b<sup>+/+</sup> mice in both conditions (e.g. Triton WR 1339 and orotic acid), which was confirmed by the calculated area under curve (**Fig. 7A and 7C**). Finally, the measurement of TG content in CM supported the markedly enhanced intestinal fat transport in Sar1b<sup>+/+</sup> mice (**Fig. 7B and 7D**). Importantly, assessment of fecal total lipids in stools (mg/3d) did not disclose differences among the different experimental groups: the values for WT and Sar1B<sup>+/+</sup> mice on the regular diet were (55.3 ± 3.0) and (52.1 ± 4.4), respectively, and on the HFD (57.6 ± 5.7) and (58.2 ± 4.8), respectively.

## DISCUSSION

Our initial studies were the first to shed light on key biochemical, clinical and metabolic features in chylomicron retention disease mediated by Sar1b mutations. In fact, this autosomal recessive disorder was characterized by fat malabsorption, hypocholesterolemia, essential FA deficiency and fat-soluble vitamin depletion. More particularly, after a fatty meal, plasma TG did not increase and CM could not be identified in the blood circulation, whereas the enterocytes contained particles, morphologically similar to CM, indicating a defect in the mechanism of CM exocytosis. In the present study, a quite opposite portrait is observed following Sar1b overexpression. Overall, fed with HFD, the transgenic mice ( $\text{Sar1b}^{+/+}$ ) developed an increase in body weight, adiposity, hepatic steatosis, plasma lipids as well as an alteration in polyunsaturated n-3 FAs, insulin insensitivity and CM output. Therefore, in addition to its well-known role in intracellular COPII formation and protein trafficking, Sar1b may regulate certain functions related to glucose and lipid homeostasis. Complex pathways are needed to transfer cargo between lipid-bilayer-enclosed organelles. In particular, proteins synthesized in the ER are packaged into COPII-coated vesicles involving Sar1b GTPase (22–24). When activated by Sec12, Sar1b exposes an N-terminal amphipathic helix, which embeds in the membrane and recruits the Sec23/Sec24 and Sec13/Sec31 complexes (25). Additional functions for Sar1b have progressively been unraveled and include cargo sorting, COPII coat dynamics and completion of the final fission (26). Only recently has the Sar1b GTPase requirement been established for intracellular CM transport as a result of mutations identified in the encoding *SARA2* gene (11,12,27), which is consistent with previous data demonstrating that GTP binding and hydrolysis are required for cargo transport, including CM, from the ER to the Golgi apparatus (28). For the first time, our *in vivo* experiments have discovered its close implication in speeding up intestinal lipid transport. Although the regulatory mechanisms controlling its activity and expression are almost completely unknown, we propose that, in situations where CM-TG flux is stimulated by dietary fat load, Sar1b (mediating COPII formation) should be enhanced in order to accommodate CM cargos in cooperation with key proteins governing intracellular assembly in TG-rich lipoproteins as documented recently in our laboratory (16).

An important new finding of this study is the demonstration that  $\text{Sar1b}^{+/+}$  mice, under an HFD, developed metabolic aberrations, including body and adipose weight gain, hepatic steatosis, elevation in circulating lipids and insulin insensitivity. Very often, chronic consumption under an

HFD is accompanied by these cardiometabolic traits (29–31), but the presence of Sar1b expression abundance was shown to cause more significant complications. The mechanisms underlying this intriguing relationship are currently unclear. However, we can mention the causative role of lipids. Indeed, the longer exposure to enhanced intestinal fat absorption associated with Sar1b profusion could lead to greater TG accumulation in insulin-responsive tissues with age and a greater effect on insulin insensitivity. Such phenomena may especially impair hepatic lipid homeostasis, thereby prompting liver steatosis.

Our experiments could not demonstrate significant differences in food consumption or fecal output between Sar1B<sup>+/+</sup> and WT mice. Nevertheless, the oral fat meal test showed that Sar1b overexpression in mice under HFD enhanced intestinal fat absorption efficiency. Therefore, the exaggerated postprandial lipids may amplify adipose tissue, which may rise body weight in Sar1b<sup>+/+</sup> mice. Interestingly, there were differences in the size of various adipose tissues as illustrated in Figure 2. According to our preliminary data (data not shown), analysis of Sar1b expression revealed minimal mRNA content, and without differences in the different adipose tissues as a function of the two dietary regimens. Nevertheless, ongoing studies should confirm these findings and will highlight their functional impact.

FAs normally provide an alternative fuel source to glucose for energy during periods of fasting. Biochemical studies have indicated a direct relationship between concentrations of circulating FA and insulin resistance (32). Interestingly, Sar1b forcing in transgenic mice exposed to an HFD resulted in high plasma FA, which may impede glucose uptake by several tissues, thereby provoking insulin resistance. Some studies have targeted the impact of mitochondria dysfunction under HFD in the regulation of glucose homeostasis (33) as well as FA-β-oxidation (34), while others have focused on the role of long-term HFD feeding in intestinal and hepatic lipid accumulation (35–37). Additional studies are necessary to provide the molecular basis for the observed physiological differences between WT and Sar1b<sup>+/+</sup> mice.

It is important to note that when we initiated the present studies, we first examined the differences between mice of different gender. We did not find significant differences between the male and female group for the various parameters tested herein. We, therefore, decided to use just males in order to lessen the data variability.

In conclusion, we have generated the first transgenic mouse model for Sar1b research and uncovered a novel role for Sar1b in augmenting body weight, lipid storage, liver steatosis, insulin

insensitivity and intestinal fat absorption. Our findings will lead to a better understanding of the molecular and physiological functions of Sar1b, a key protein in intracellular cargo trafficking and cellular lipid homeostasis, at least in the small intestine.

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**Table 1: Effect of high-fat feeding on weight tissues in Sar1b<sup>+/+</sup> and wild type male mice**

Weight (g)	WT	Sar1b <sup>+/+</sup>	WT	Sar1b <sup>+/+</sup>
	ND		HFD	
<b>Adipose Tissue (perirenal, epididymal, mesenteric)</b>	3.58 ± 0.39	3.94 ± 0.42	3.71 ± 0.28	4.94 ± 0.34 <sup>#</sup>
<b>Heart</b>	0.15 ± 0.01	0.16 ± 0.02	0.18 ± 0.01	0.16 ± 0.01
<b>Lung</b>	0.17 ± 0.01	0.21 ± 0.02	0.18 ± 0.01	0.22 ± 0.03
<b>Liver</b>	1.90 ± 0.16	1.93 ± 0.26	2.39 ± 0.17	2.86 ± 0.21 <sup>#</sup>
<b>Spleen</b>	0.14 ± 0.03	0.13 ± 0.01	0.14 ± 0.02	0.14 ± 0.01
<b>Kidney</b>	0.43 ± 0.04	0.43 ± 0.05	0.45 ± 0.03	0.41 ± 0.03
<b>Stomach</b>	0.25 ± 0.02	0.20 ± 0.01	0.22 ± 0.02	0.18 ± 0.03
<b>Intestine</b>	1.07 ± 0.08	1.26 ± 0.01	1.12 ± 0.08	1.14 ± 0.06

At 3-weeks of age, male mice were fed with a normal (ND) or high fat diet (HFD) for 12 weeks.

After sacrifice, tissues were collected and weighted as described in Materials and Methods.

Values are mean ± SEM for n = 10/group. <sup>#</sup>P<0.05 vs. Sar1b<sup>+/+</sup> ND.

WT: wild type; ND: normal diet; HFD: high fat diet.

**Table 2: Effect of high-fat feeding on fatty acid composition and ratio values in Sar1b<sup>+/+</sup> and wild type male mice**

Fatty acids (%)	WT ND	WT HFD	Sar1b <sup>+/+</sup> ND	Sar1b <sup>+/+</sup> HFD
<b>16:0</b>	25.09 ± 0.36	25.30 ± 1.15	22.10 ± 0.18*	25.82 ± 0.70
<b>18:0</b>	20.51 ± 0.33	21.20 ± 2.19	14.30 ± 1.99*	17.05 ± 0.65
<b>18:1n-9</b>	8.15 ± 0.30	10.28 ± 0.30	8.62 ± 0.91	11.02 ± 0.54
<b>18:2n-6</b>	15.2 ± 0.32	14.15 ± 0.57	16.47 ± 1.43	12.80 ± 1.22
<b>18:3n-6</b>	0.15 ± 0.01	0.17 ± 0.00	0.25 ± 0.01	0.25 ± 0.02
<b>18:3n-3:ALA</b>	0.25 ± 0.04	0.10 ± 0.01	0.25 ± 0.05	0.09 ± 0.02 <sup>#</sup>
<b>20:0</b>	0.32 ± 0.01	0.33 ± 0.05	0.25 ± 0.06	0.22 ± 0.00
<b>20:1n-9</b>	0.30 ± 0.02	0.30 ± 0.02	0.33 ± 0.04	0.25 ± 0.01
<b>20:2n-6</b>	0.16 ± 0.01	0.20 ± 0.02	0.15 ± 0.00	0.17 ± 0.01
<b>20:3n-6</b>	1.03 ± 0.09	0.85 ± 0.13	1.74 ± 0.30*	1.12 ± 0.03 <sup>#</sup>
<b>20:3n-9</b>	1.07 ± 0.01	1.09 ± 0.01	1.11 ± 0.02	1.09 ± 0.00
<b>20:4n-6: AA</b>	16.01 ± 0.27	15.75 ± 1.58	21.75 ± 2.32*	20.31 ± 0.43
<b>20:5n-3 EPA</b>	0.34 ± 0.02	0.15 ± 0.02	0.38 ± 0.05	0.17 ± 0.01 <sup>##</sup>
<b>22:0</b>	0.25 ± 0.02	0.27 ± 0.01	0.25 ± 0.01	0.25 ± 0.02
<b>22:1n-9</b>	1.40 ± 0.08	1.45 ± 0.32	2.26 ± 1.90	0.95 ± 0.05
<b>22:2n-6</b>	0.03 ± 0.01	0.06 ± 0.03	0.05 ± 0.03	0.06 ± 0.04
<b>22:4n-6</b>	0.03 ± 0.00	0.03 ± 0.01	0.09 ± 0.02*	0.09 ± 0.03
<b>22:5n-3</b>	0.14 ± 0.02	0.15 ± 0.06	0.23 ± 0.02*	0.08 ± 0.02 <sup>#</sup>
<b>22:6n-3: DHA</b>	3.80 ± 0.11	3.21 ± 0.03	5.26 ± 0.29**	3.71 ± 0.18 <sup>##</sup>
<b>24:0</b>	0.05 ± 0.00	0.04 ± 0.00	0.04 ± 0.00	0.04 ± 0.00
<b>24:1n-9</b>	0.26 ± 0.03	0.27 ± 0.01	0.41 ± 0.07*	0.41 ± 0.03
<b>Total of FA and Ratios</b>				
<b>Total n-3</b>	4.70 ± 0.14	3.69 ± 0.02	6.21 ± 0.33**	4.14 ± 0.23 <sup>###</sup>
<b>Total n-6</b>	33.01 ± 0.40	31.32 ± 1.74	40.85 ± 3.48	34.90 ± 0.94
<b>Total n-7</b>	2.46 ± 0.05	2.01 ± 0.29	2.87 ± 0.09	2.18 ± 0.07
<b>Total n-9</b>	10.18 ± 0.27	12.35 ± 0.10	11.72 ± 1.23	12.72 ± 0.54
<b>Saturated FA</b>	49.20 ± 0.51	50.07 ± 1.88	38.33 ± 2.66*	45.67 ± 1.48
<b>Mono-unsaturated FA</b>	13.11 ± 0.21	14.76 ± 0.24	14.70 ± 1.23	15.20 ± 0.42
<b>PUFA</b>	37.44 ± 0.51	34.94 ± 1.71	46.79 ± 3.76	38.96 ± 1.14
<b>PUFA/Saturated</b>	0.76 ± 0.02	0.70 ± 0.06	1.24 ± 0.17*	0.86 ± 0.05
<b>EFA (LA+ALA)/Non-EPA/DHA</b>	0.18 ± 0.00	0.17 ± 0.01	0.20 ± 0.02	0.15 ± 0.02
<b>DHA/AA</b>	0.09 ± 0.00	0.05 ± 0.00	0.07 ± 0.01	0.05 ± 0.00
<b>ALA/EPA</b>	0.24 ± 0.01	0.21 ± 0.02	0.25 ± 0.02	0.18 ± 0.01
<b>ALA/LA</b>	0.81 ± 0.16	0.68 ± 0.11	0.67 ± 0.12	0.50 ± 0.07
<b>n-6/n-3</b>	0.02 ± 0.00	0.01 ± 0.00	0.02 ± 0.00	0.01 ± 0.00
<b>Δ6 20:3n-6/18:2n-6</b>	6.96 ± 0.15	8.46 ± 0.50	6.49 ± 0.27	8.42 ± 0.26
<b>Δ9 18:1n-9/18:0</b>	0.07 ± 0.00	0.06 ± 0.01	0.11 ± 0.02*	0.09 ± 0.01
<b>Δ7 16:1n-7/16:0</b>	0.40 ± 0.02	0.50 ± 0.07	0.64 ± 0.14	0.65 ± 0.05
	0.04 ± 0.00	0.03 ± 0.00	0.05 ± 0.00	0.03 ± 0.00

At 3-weeks of age, male mice were fed with a normal (ND) or high fat diet (HFD) for 12 weeks and the percentage of fatty acid composition was determined by gas chromatography as described in Materials and Methods.

Values are mean  $\pm$  SEM for n = 10/group. \* $P<0.05$  vs. WT; # $P<0.05$ , ## $P<0.001$  vs. Sar1b<sup>+/+</sup> ND. WT: wild type; ND: normal diet; HFD: high fat diet.

AA: arachidonic acid, ALA: alpha-linolenic acid, DHA: docosahexaenoic acid, EPA: eicosapentaenoic acid, LA: linoleic acid, PUFA: polyunsaturated fatty acids, FA: fatty acid; EFA: essential fatty acids.

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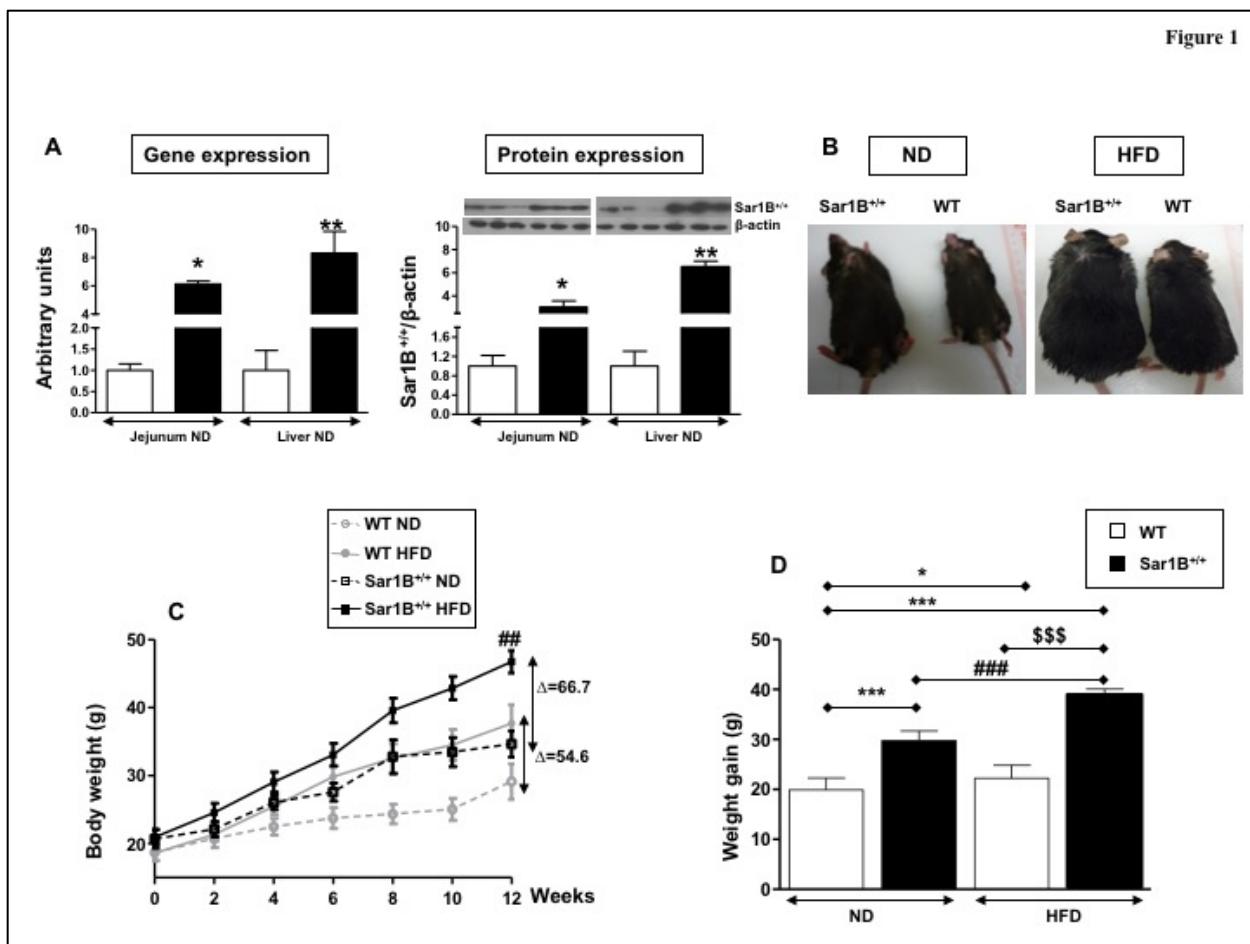
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**Figure 1**

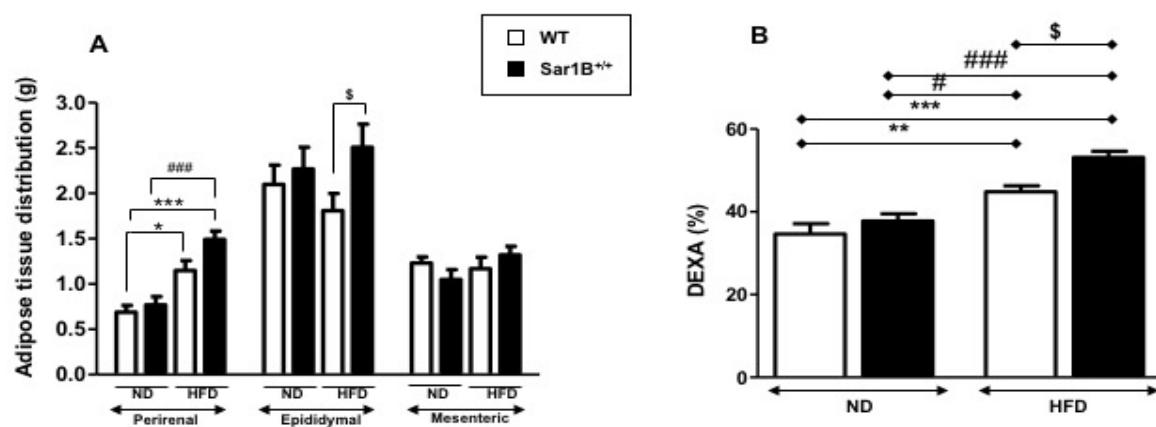


**Figure 1. Sar1b expression, growth curves and body weight gains of male mice under normal and high-fat diets.**

Differential gene and protein expression levels of Sar1b in the jejunum and liver of wild type (WT) and transgenic mice was assessed by RT-qPCR and Western Blot as described in the Material and Methods. (A). Results represent the means  $\pm$  SEM of 5 animals per group. To assess weight gain, mice at 3 weeks of age were fed with a normal (ND) or high-fat diet (HFD) for 12 weeks and body weights were measured each week after mice were fed the special diet (B). The mean body weight gains of Sar1b<sup>+/+</sup> and WT mice were reported after 12 weeks of feeding (C). Differences ( $\Delta$ ) were calculated between the WT and Sar1b<sup>+/+</sup> mice to evaluate the effect of HFD on weight progression. Values are means  $\pm$  SEM for n = 8-18/group. \*P < 0.05, \*\*P < 0.001 \*\*\*P < 0.0001 vs. WT; ##P < 0.001, ###P < 0.0001 vs. Sar1b<sup>+/+</sup> ND; \$\$\$P < 0.0001 vs. WT HFD.

WT: wild type; ND: normal diet; HFD: high-fat diet.

**Figure 2**



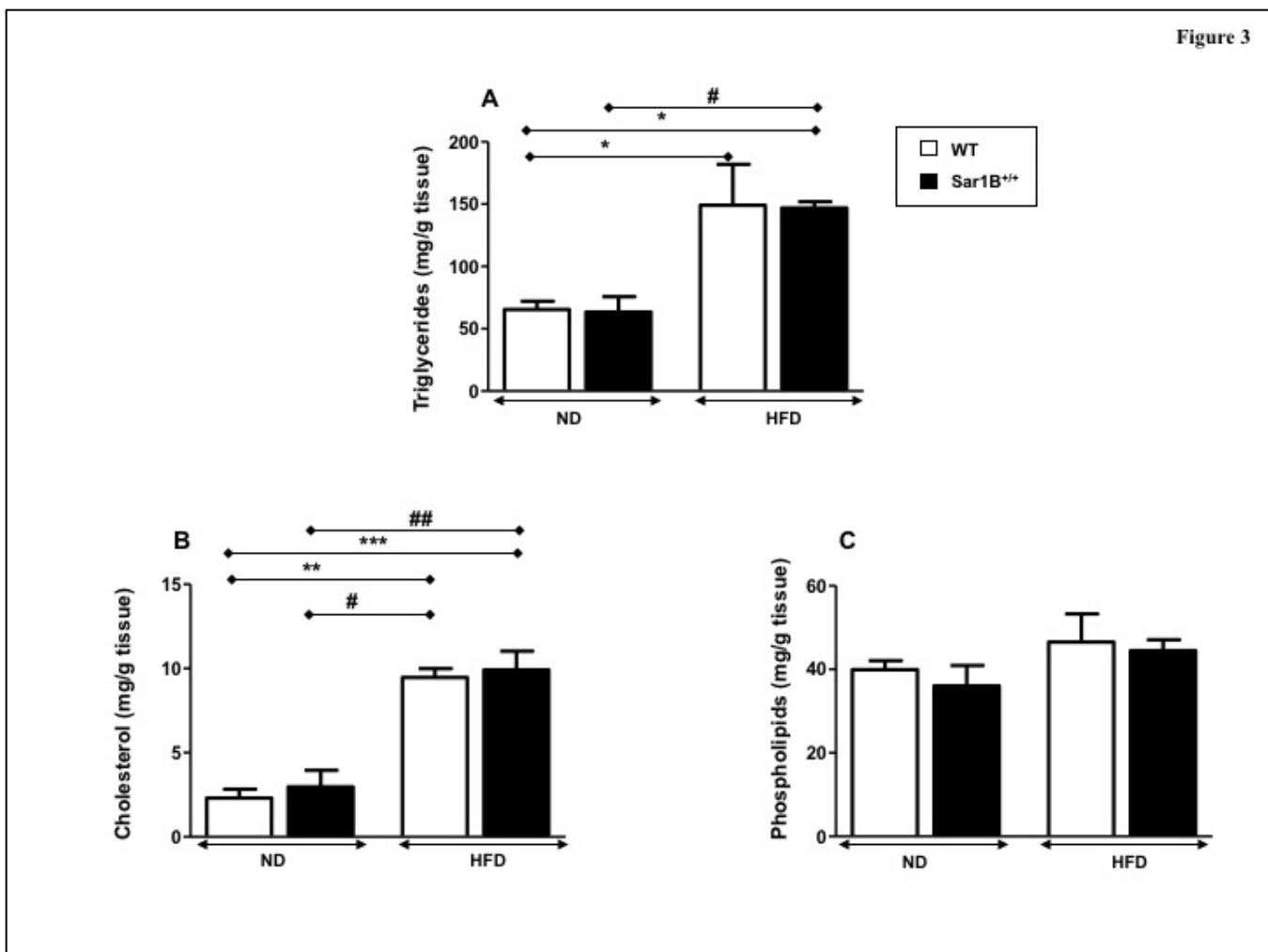
**Figure 2. Effect of high-fat feeding on adipose mass accumulation in Sar1b<sup>+/+</sup> and control male mice.**

Mice at 3 weeks of age were fed with a normal (ND) or high-fat diet (HFD) for 12 weeks and the different masses of adipose tissue distribution were evaluated by their weight quantification (A) and using dual energy X-ray absorptiometry (DEXA) for total adipose mass accumulation (B).

Values are means  $\pm$  SEM for n = 10/group. \*P < 0.05, \*\*P < 0.001, \*\*\*P < 0.0001 vs. WT; #P < 0.05, ###P < 0.0001 vs. Sar1b<sup>+/+</sup> ND; \$P < 0.05 vs. WT HFD.

WT: wild type; ND: normal diet; HFD: high-fat diet.

Figure 3



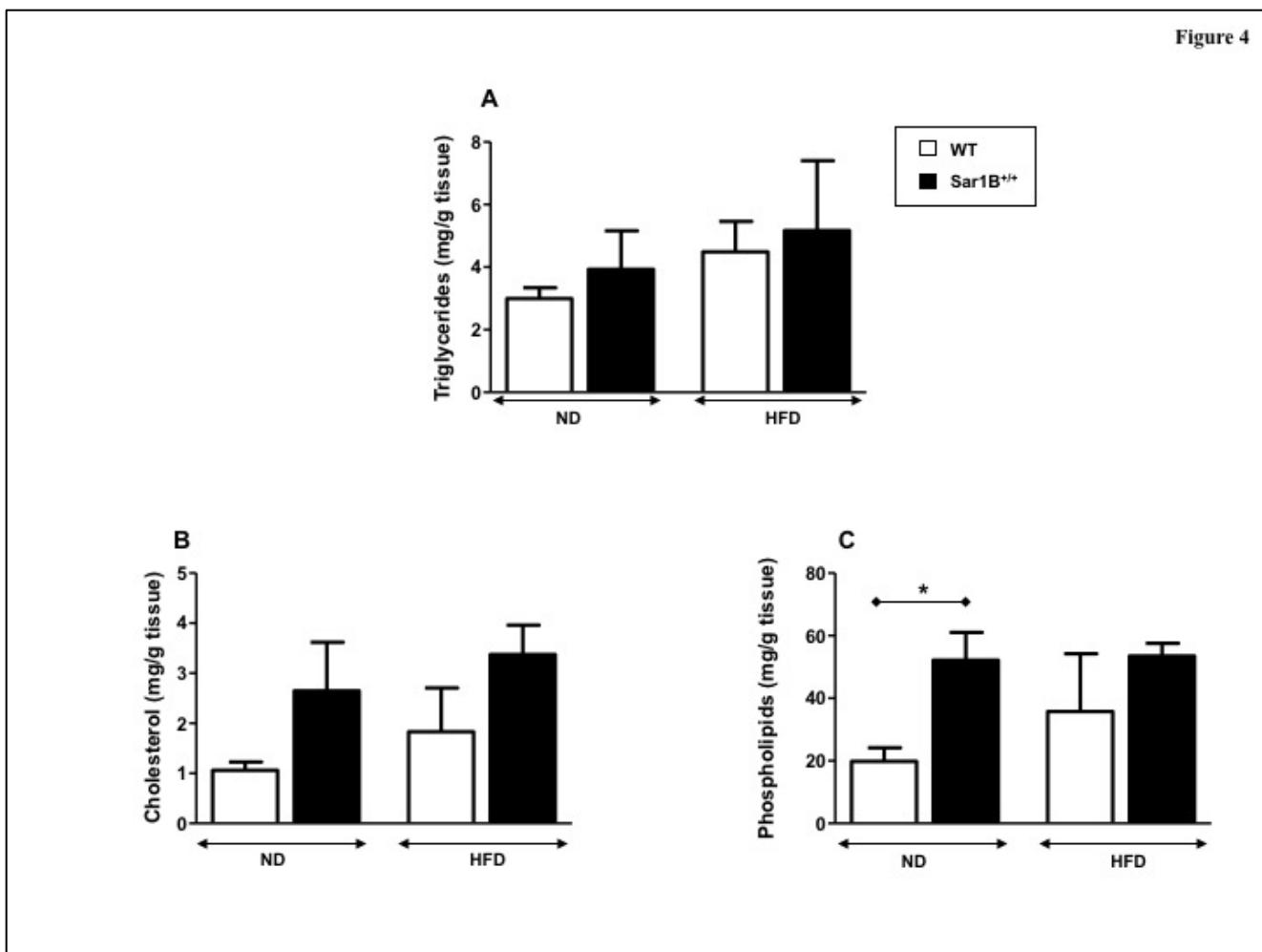
**Figure 3. Effect of high-fat feeding on lipid accumulation in Sar1b<sup>+/+</sup> and control male mice in the liver.**

Mice at 3 weeks of age were fed with a normal (ND) or a high-fat diet (HFD) for 12 weeks. After sacrifice, liver was collected and homogenized. Lipids were extracted by chloroform/methanol (2:1, vol/vol). After isolation by thin layer chromatography, triglycerides (A), cholesterol (B) and phospholipids (C) fractions were quantitated as described in Materials and Methods.

Values are means  $\pm$  SEM for n = 10/group. \*P < 0.05, \*\*P < 0.001, \*\*\*P < 0.0001 vs. WT; #P < 0.05, ##P < 0.001 vs. Sar1b<sup>+/+</sup> ND

WT: wild type; ND: normal diet; HFD: high-fat diet.

Figure 4



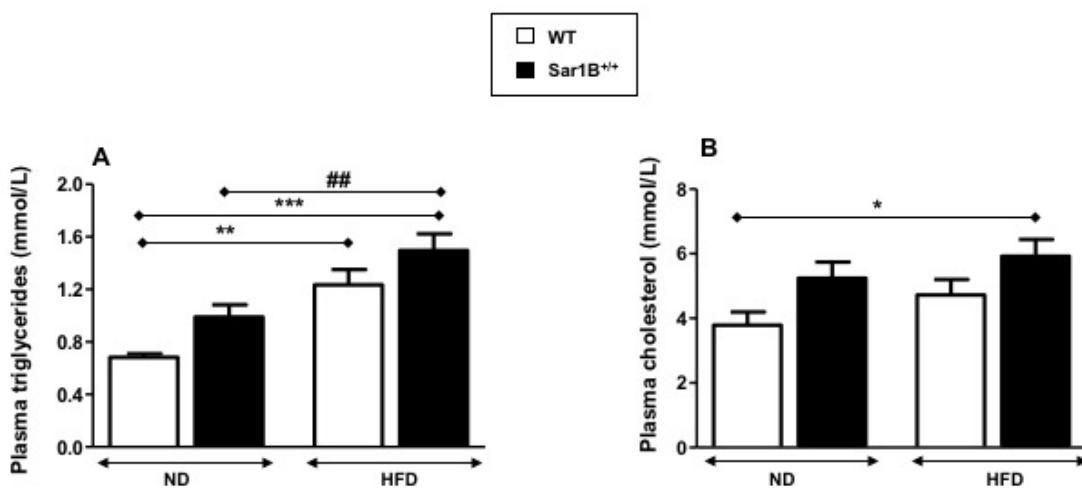
**Figure 4. Effect of high-fat feeding on lipid accumulation in Sar1b<sup>+/+</sup> and control male mice in the intestine.**

Mice at 3 weeks of age were fed with a normal (ND) or a high-fat diet (HFD) for 12 weeks. After sacrifice, jejunum was collected and homogenized. Lipids were extracted by chloroform/methanol (2:1, vol/vol). After isolation by thin layer chromatography, triglycerides (A), cholesterol (B) and phospholipids (C) fractions were quantitated as described in Materials and Methods.

Values are means  $\pm$  SEM for n = 10/group. \*P < 0.05 vs. WT

WT: wild type; ND: normal diet; HFD: high-fat diet.

Figure 5

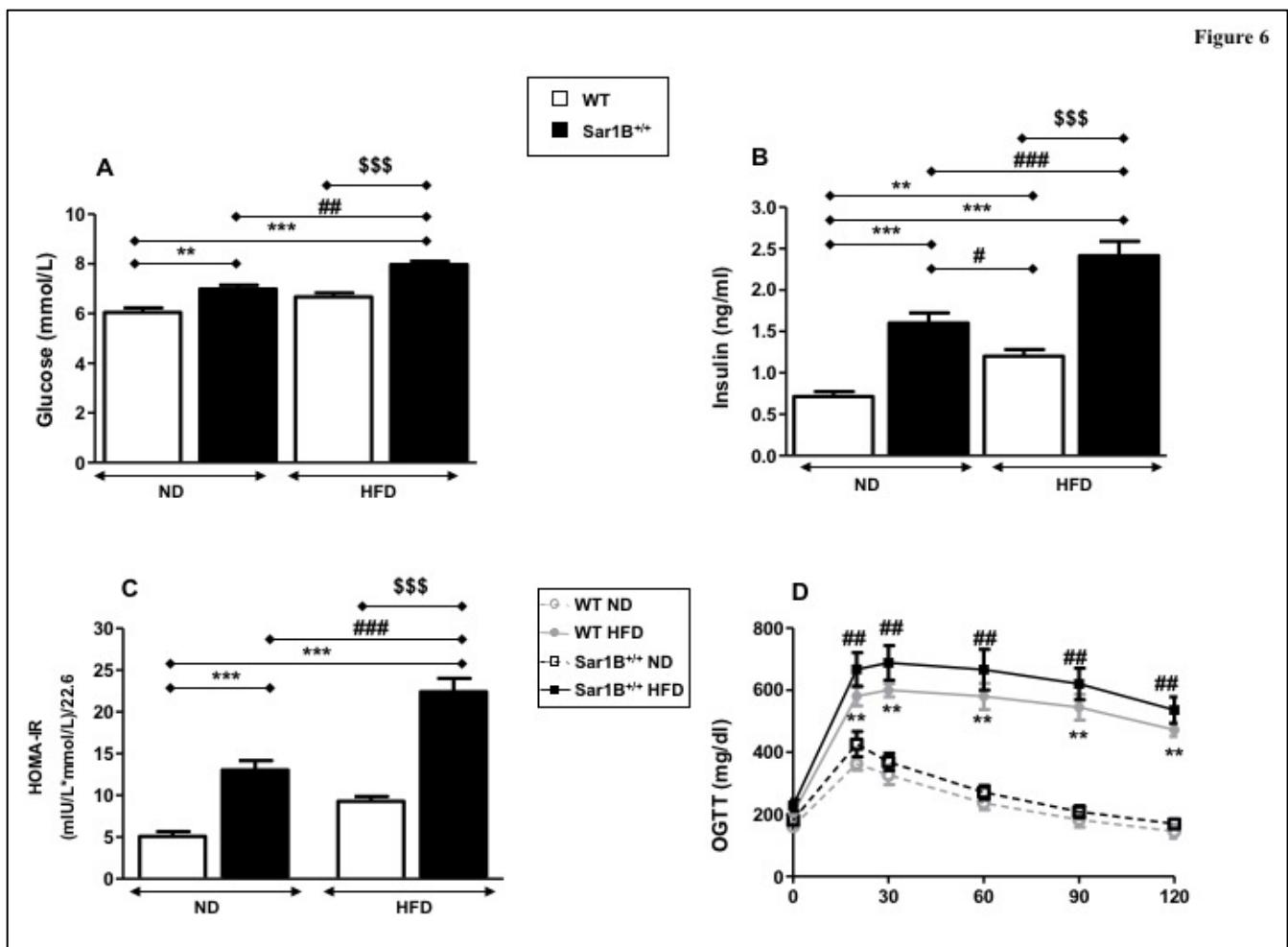


**Figure 5. Effect of high-fat feeding on plasma lipids in Sar1b<sup>+/+</sup> and control male mice.**

Mice at 3-weeks of age were fed with a normal (ND) or a high-fat diet (HFD) for 12 weeks. After overnight fasting and before sacrifice, blood was collected and circulating triglycerides (A) and cholesterol (B) were measured enzymatically as described in Materials and Methods. Values are means  $\pm$  SEM for n = 10/group. \*P < 0.05, \*\*P < 0.001, \*\*\*P < 0.0001 vs. WT; #P < 0.001, ##P < 0.001 vs. Sar1b<sup>+/+</sup> ND

WT: wild type; ND: normal diet; HFD: high-fat diet.

Figure 6

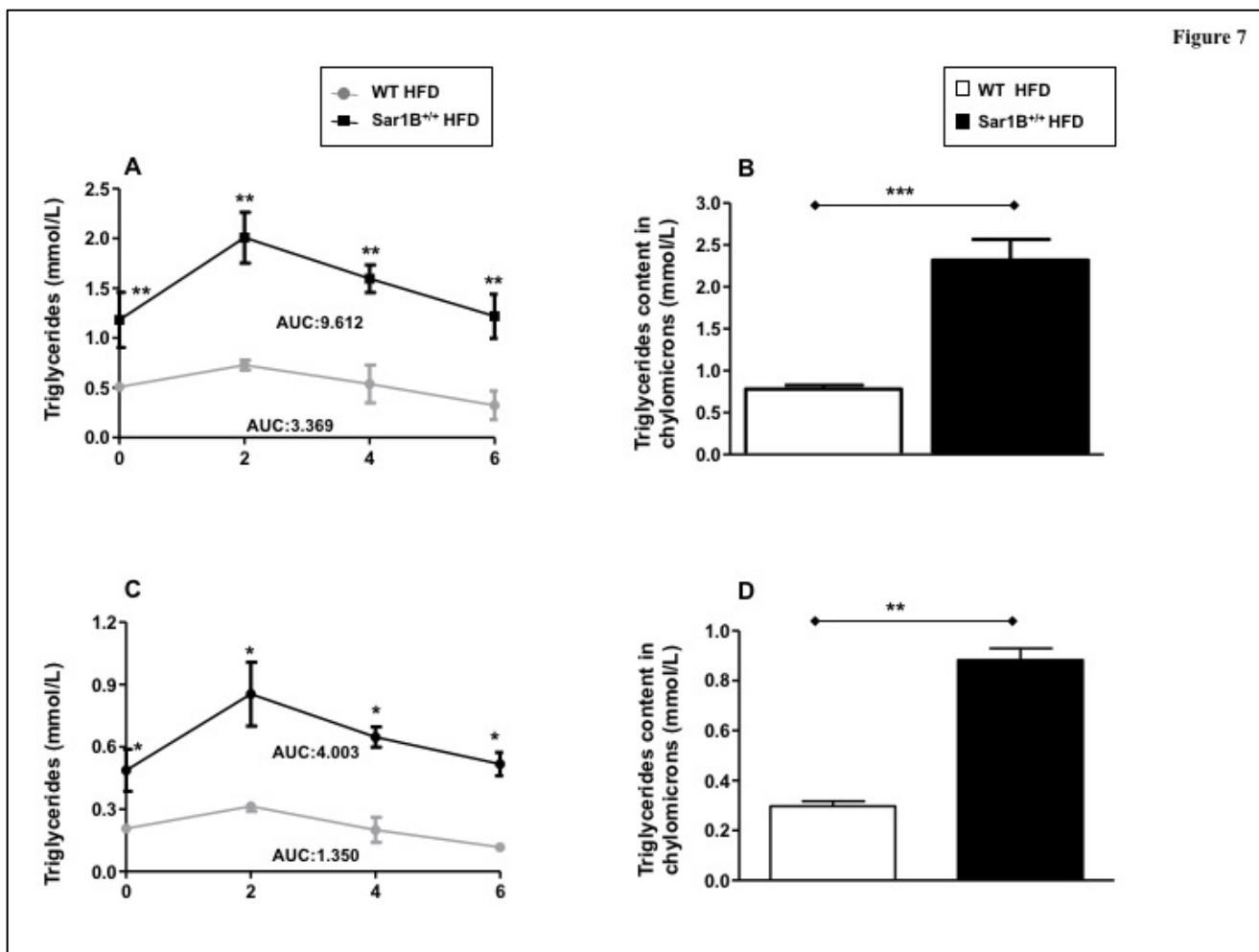


**Figure 6. Effect of high-fat feeding on glucose homeostasis and insulin sensitivity in Sar1b<sup>+/+</sup> and control male mice.**

Mice at 3 weeks of age were fed with a normal (ND) or a high-fat diet (HFD) for 12 weeks. After overnight fasting and before sacrifice, blood was collected and glucose was measured enzymatically (A). Plasma insulin levels (B) were determined by radioimmunoassay using a specific commercial kit, as described in Materials and Methods. To evaluate glucose/insulin homeostasis, the HOMA-IR index was calculated (C) and oral glucose test tolerance (OGTT) from 0-120 mn was assessed (D). Values are means  $\pm$  SEM for n = 10/group. \*\*P < 0.001, \*\*\*P < 0.0001 vs. WT; ##P < 0.001, ###P < 0.0001 vs. Sar1b<sup>+/+</sup> ND; \$\$\$P < 0.0001 vs. WT HFD.

WT: wild type; ND: normal diet; HFD: high-fat diet.

Figure 7



**Figure 7** Effect of high-fat feeding on triglyceride-rich secretion in Sar1b<sup>+/+</sup> and control male mice.

Mice at 3 weeks of age were fed with a normal (ND) or high-fat diet (HFD) for 12 weeks. After overnight fasting, HFD mice were subjected to a fat meal test consisting of the oral administration of 200 µl of microlipids. Blood was collected at 2, 4 and 6 h and plasma triglycerides (A, C) were measured enzymatically, using a specific kit. The production rate of chylomicron components (B, D) was assessed after the ultracentrifugation of plasma triglycerides from postprandial animals treated with Triton WR-1339, in the presence or absence of orotic acid as described in Materials and Methods.

Values are means ± SEM for n = 10/group. \*P < 0.05, \*\*P < 0.001, \*\*\*P < 0.0001 vs. WT HFD  
WT: wild type; HFD: high-fat diet.

## Article 2

### «Tissue distribution and regulation of the small Sar1b GTpase in mice»

Marcil V, Seidman E, Sinnett D, Sanchez R, **Spahis S**, Sané A, Levy E. *Cell Physiol Biochem.* 2014;33(6):1815-26. doi: 10.1159/000362960.

#### CONTRIBUTION DES AUTEURS

**Marcil V** a contribué aux expériences, à l'analyse, l'interprétation des données et à la rédaction du manuscrit.

**Sinnett D, Sanchez R et Seidman E** ont révisé le manuscrit.

**S. Spahis** a été impliquée dans la conception des Figures, la rédaction, configuration, soumission et révision du manuscrit sous la supervision du Directeur de recherche, Dr Levy E.

**Sané A** a participé au maintien et suivi de la colonie, à la collecte des tissus et aux expériences spécifiques dans le laboratoire.

**Levy E** a contribué au concept et à la supervision de l'étude, aux ressources financières et matérielles pour la réalisation de l'étude. E. Levy a participé à la rédaction, l'analyse critique des résultats et la révision du manuscrit.

# **TISSUE DISTRIBUTION AND REGULATION OF THE SMALL SAR1B GTPASE IN MICE**

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**Short Title:** Sar1b GTPase expression in mice

**Keywords:** Sar1b GTPase, transgenic mice, coat protein complex II vesicles, gene expression

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## **ABSTRACT**

*Background:* Sar1b GTPase (Sar1b) represents an obligatory component of COPII vesicles that bud from the endoplasmic reticulum to transport proteins to the Golgi apparatus. Sar1b is involved in cargo selection and export, and it also promotes curvature and tubulation during vesicle formation. Its genetic mutations lead to a severe disorder known as chylomicron retention disease. Despite growing knowledge on Sar1b, little is known about its tissue distribution and regulation.

*Aim and Methods:* To determine the Sar1b tissue distribution and modulation by a high-fat diet and gene forcing using transgenic mice in comparison to wild-type mice. *Results:* Evaluation of *Sar1b* mRNA by qRT-PCR in mice revealed the skeletal muscle as the tissue with the highest *Sar1b* expression, followed by the heart and liver, the organs composing the digestive tract, the brain and finally the lung and the adipose tissue. Sar1b protein expression levels follow a similar pattern among the organs, except for its lower expression in the heart. In an attempt to approach the regulation of Sar1b, we studied in these mice the effect of Sar1b transgenesis and insulin resistance induced by a 12-week high-fat diet on *Sar1b* gene expression. While the high-fat diet did not exert any significant alterations, Sar1b transgenic mice displayed higher gene expression in the liver, ileum, jejunum, proximal and distal colon compared to wild-type mice. *Conclusions:* Our findings support the importance of Sar1b in organs involved in lipid transport and/or calcium trafficking such as the liver, intestine, skeletal muscle and heart.

## INTRODUCTION

In eukaryote cells, intracellular delivery to downstream compartments requires that newly synthesized and properly folded proteins are transported from the endoplasmic reticulum (ER) to the Golgi network via coat protein complex (COP) II vesicles (1-3). As revealed by numerous studies (4), COPII polymerizes on the membrane surface, captures cargo and SNARE molecules, and deforms the membrane to sculpt out vesicles (5). Importantly, COPII vesicle biogenesis is initiated by the activation of a small Sar1 GTP-binding protein through Sec12, a guanine nucleotide exchange factor (6, 7). The presence of Sar1b-GTPase (Sar1b) stimulates the recruitment of the inner coat components Sec23 and Sec24, which are responsible for capturing cargo proteins into the nascent vesicles (8, 9). Then, the Sec13/31 heterotetramer is recruited to form the outer coat and stabilize the cargo-bound pre-budding complexes (2, 7, 10).

The Sar1 protein has two paralogs, Sar1a and Sar1b. In humans, the *SAR1B* gene (HGNC: 10535; MIM \*607690), not *SAR1A*, was identified as the specific gene undergoing molecular defects present in Anderson's disease or chylomicron (CM) retention disease, a rare autosomal recessive disorder characterized by an inability of the enterocytes to export dietary lipids via CMs. To date, 16 *SAR1B* mutations have been described in patients with CM retention disease (11-19). No mutation in the *SAR1A* gene has been detected in patients with this specific disease. Accordingly, it has been suggested that, in enterocytes, the Sar1b parologue would be used specifically for pre-CM mobilization by transport vesicles while the Sar1a parologue would be employed for the transport of small soluble and membrane bound proteins by transport vesicles (15).

Patients with CM retention disease present with steatorrhea, chronic diarrhea and failure to thrive, as well as low plasma lipid and liposoluble vitamin levels, causing neurological impairment (20-23). It appears that Sar1b is involved in multiple aspects of the cargo sorting process, e.g. the regulation of COPII coat dynamics and membrane deformation during vesicle formation, which dictates routing of numerous proteins and triglyceride-rich lipoproteins, including CM in the small intestine and very-low-density lipoproteins in the liver (11, 24). Additional convincing evidence has recently been obtained by genetic manipulation that clearly demonstrated that Sar1b overexpression mediates stimulation of intestinal lipid transport, which was likely induced by the efficient assembly of CMs (25).

Despite the importance of Sar1b in COPII vesicle elaboration, there is an important gap of knowledge on the status and regulation of Sar1b in different organs. In this study, we assessed the tissue distribution and regulation by a high-fat diet and gene forcing of *Sar1b* gene expression in wild-type (WT) and transgenic (TG, *Sar1b*<sup>+/+</sup>) mice.

## MATERIAL AND METHODS

### *Generation of Sar1b transgenic mice*

The pCMV6-XL4 vector (OriGene Technologies, Rockville, MD), harbouring full length cDNA of *SAR1B*, was digested with *NotI* to excise a 1960 bp fragment. This fragment was subsequently cloned into a pBROAD3-mcs vector (InvivoGen, San Diego, CA) restricted with *NotI* to create pBROAD3-*SAR1B*. pBROAD3 features the ubiquitous mouse ROSA26 promoter, a high CpG content promoter that directs *in vivo* expression of the transgene (26). Chicken β-globin gene insulator was subcloned upstream the ROSA26 promoter at the *NdeI* site to enhance transgene expression. Cloning of the β-globin gene insulator created pBROAD3-β-*SAR1B* vector. This 2 kb insulator fragment was derived from PCR amplification of chicken DNA with insulator specific primers containing *NdeI* site (primer 1: CATATGGCGGCCGCTCTAGACT; primer 2: CATATGGGATCCGTCGACGC). The capability of this construct to allow *SAR1B* expression in enterocytes was tested by transient transfection of Caco-2/15 cells. The DNA transgene vector, pBROAD-β-*SAR1B*, was then injected in fertilized eggs from 129/Sv mice at the Réseau de Recherche en Transgenèse du Québec facility. Genomic DNA was prepared from founder mice and their offspring tail and screened for the presence of *SAR1B* DNA by PCR amplification, using specific primers (primer 1: ATGTCCTTCATATTGGATT; primer 2: ATCAATGTACTGTGCCATCC). Experiments were performed in male mice of mixed 129/Sv x C57BL6 backgrounds.

### *Animals and diets*

Three weeks aged TG for Sar1b and WT mice were housed in a temperature-controlled room at 24°C with a 12-h light-dark cycle and maintained on a standard laboratory chow normal diet (2018 Teklad Global 18% protein rodent diet, Harlan Laboratories, Indianapolis, IN) or a high-fat diet (BioServ F3282 60% fat Calories, BioServ, Frenchtown, NJ) and double distilled water *ad libitum* for 12 weeks. Animal experiments were conducted according to the Canadian Council on Animal Care guidelines for the care and use of experimental animals and were approved by the institutional animal care committee of Sainte-Justine Research Center.

### *Biochemical Analyses*

After 12 weeks of feeding, mice were fasted overnight, weighed and sacrificed. Blood was collected for insulin and lipid assays. Plasma triglycerides and cholesterol levels were measured enzymatically (Boehringer-Mannheim, Mannheim, Germany). Blood glucose levels were

determined using a OneTouch Ultra Meter (LifeScan, Milpitas, CA) and plasma insulin was measured using a rat/mouse insulin ELISA kit (Millipore, Billerica, MA). Plasma fatty acid composition was determined by gas chromatography as described previously (25). Insulin sensitivity was determined using the HOMA-IR index. The score was calculated using the formula: (Insulinemia (mIU/L) x Glycemia (mmol/L) / 22.5.

### ***Cell Culture for Antibody Specificity***

To test the specificity of our Sar1a/b antibody, we cultured Caco-2/15 cells for 14 days post-confluence as previously described (27). We also established Caco-2/15 cell line overexpressing Sar1b and green fluorescent protein (GFP). To do so, the blunt-end PCR fragment encoding *SAR1B* gene was amplified from the human cDNA clone SC114457 (Origene Technologies, Rockville, MD) with ATGCCTTCATATTG as forward primer and CAGATCCTCTGAGATGAGTTTGTCATCAATGTTACTGTGCCAT containing *myc* epitope as reverse primer and TOPO Cloned into pLenti6/V5-D-TOPO (Invitrogen Corp., Carlsbad, CA 92008) to create an expression construct. The pLenti6/V5-D-TOPO expression plasmid of *SAR1B* was then transfected into Caco-2/15 cells with GenJet In Vitro Transfection Reagent (SignaGen Laboratories, Ijamsville, MD) according to the supplier's instructions. Generation of stable cell line was achieved using Blasticidin selection at a concentration of 1 µg/ml. Control Mock cells were obtained by transfection with pLentiV5/GFPtag (kindly provided by Dr. Jean-François Beaulieu (Université de Sherbrooke) harbouring the same features as the pLenti6/V5-D-TOPO system.

### ***Western Blot Analysis***

Sections of different organs from a total of 12 mice (3 mice/group for the 4 groups: WT-normal diet; WT-high-fat diet; TG-normal diet; TG-high-fat diet) were homogenized in ice-cold T-PER Tissue Protein Extraction Reagent (Thermo Scientific, Rockford, IL). Protease inhibitors were added to tissues prior to homogenization. As for Caco-2/15 cells, they were homogenized and adequately prepared for Western blotting as described previously (27). The Bradford assay was used to estimate protein concentration. Supernatant proteins (20 µg) were denatured at 95°C for 5 min in a sample buffer containing sodium dodecyl sulfate (SDS) and dithiothreitol (Thermo Scientific, Rockford, IL), separated on a 15% SDS-polyacrylamide gel electrophoresis (SDS-PAGE) and electroblotted onto Hybond-C extra nitrocellulose membranes (Amersham Biosciences, Piscataway, NJ) in 25 mM Tris and 192 mM glycine. Nonspecific binding sites of

the membranes were blocked with Tris-buffered saline [20 mM Tris-HCl (pH 7.5) plus 137 mM NaCl] containing 0.1% Tween 20 and 5% non fat dry milk for 60 min at room temperature. The blots were then incubated overnight at 4°C in blocking solution with the primary antibodies directed against the targeted proteins Sar1b/a (1:20,000) (kindly provided by Dr Randy Schekman, Department of Molecular and Cell Biology, University of California at Berkeley, Berkeley, CA), β-actin 1:250,000 (Sigma Aldrich) and myc (1:250) (provided by Dr Mounib Elchebly, Sainte-Justine Hospital Research Center, Montreal, Canada). The relative amount of primary antibody was revealed with a species-specific horseradish peroxidase-conjugated secondary antibody, e.g. anti-mouse IgG-POD/anti-rabbit IgG-POD (Roche Diagnostics, Indianapolis) (1:20,000). Blots were developed and the protein mass was quantified using an HP Scanjet scanner equipped with a transparency adapter and the UN-SCAN-IT gel 6.1 software.

#### ***RNA Extraction and Reverse Transcription***

Tissue specimens obtained from a total of 24 mice (6 mice/group for the 4 groups: WT-normal diet; WT-high-fat diet; TG-normal diet; TG-high-fat diet) were immediately conserved in RNA-Later according to the manufacturer's protocol. They were homogenized in TRIzol reagent (Ambion by Life Technologies Corporation, Carlsbad, CA) and total RNA was extracted. RNA concentration and quality were tested by absorbance at 260 nm while the absorbance ratio of 260/280 (using a Nanodrop® ND-1000 Spectrophotometer from Thermo Scientific, Wilmington, DE) and RNA analysis by agarose gel electrophoresis served to assess integrity. Complementary DNA was obtained by reverse transcribing 1 µg of RNA with the qScript cDNA Supermix (Quanta Biosciences, Gaithersburg, MD) according to the manufacturer's instructions. Negative controls without enzyme were also prepared.

#### ***Quantitative PCR***

The TaqMan® Express Human Endogenous Control Plate (Applied Biosystems) was used to select the most stable reference gene amongst organs according to the manufacturer's instructions. Using this technique, polymerase (RNA) II (DNA directed) polypeptide AI (*Polr2a*) was chosen as an endogenous control to normalize the different cDNA sample amounts. Quantitative PCRs (qPCR) were performed in 96-wells microtiter plates using Taqman® Fast Advanced Master Mix (Applied Biosystems, Foster City, CA) in an Applied Biosystems Step One Plus™ Real-Time PCR System (Applied Biosystems). The qPCRs were carried in 96-well plates with a final volume of 10 µl per well. A volume of 5 µl Taqman® Fast Advanced Master Mix (1 X) was added to a well

containing 1 µl of Custom Taqman® Gene Expression Assay (Applied Biosystems) (1 X) for *Sar1b* or for *Polr2a* comprising 1 µl of cDNA previously diluted 1:5 and 3 µl of diethylpyrocarbonate H<sub>2</sub>O. Taqman® hydrolysis probes used were Mm01294633\_m1 for *Sar1b* and Mn00839493\_m1 for *Polr2a* (Applied Biosystems). Subsequently, negative controls without cDNA were prepared. The amplification reaction was carried out using 40 cycles and was performed in duplicate for each gene in the same plate under the following conditions: 95°C 20 s, 95°C 1 s and 60°C for 20 s.

### ***Analyses***

The relative mRNA fold-changes (relative quantification, RQ) between the animal groups were calculated using the  $2^{-\Delta\Delta Ct}$  method (28). For each sample, the first  $\Delta Ct$  was calculated using the corresponding endogenous control. The second  $\Delta Ct$  was calculated using the defined control group. For the comparison of *Sar1b* gene expression in the different organs, the average  $\Delta Ct$  of the lung group was used as reference. Similarly, the average  $\Delta Ct$  was employed for the comparison of *Sar1b* gene expression between WT and TG mice under the normal chow and high-fat diets, using the WT/normal diet mice as reference. Exponential values were then transformed in linear values and expressed as relative fold change in gene expression. The analyses and statistics were performed using the Expression Suite Software Version 1.0 (Applied Biosystems) and the Prism 5 for Mac Software Version 5.0d (GraphPad Software Inc., La Jolla, CA). Data are expressed as means  $\pm$  SEM. For statistical analysis, one-way ANOVA followed by Bonferroni's multiple comparison test or t-tests were used and a *P* value  $< 0.05$  was considered significant.

## RESULTS AND DISCUSSION

At first, we characterized the metabolic and lipid profile of mice from each group at sacrifice, following a 12-week diet. Results are presented in **Table 1**. To determine whether the overexpression of Sar1b influenced diet-induced obesity, male TG mice were maintained with *ad libitum* access to a pelleted high-fat diet. Then anthropometric and biochemical parameters were assessed. Although high-fat diet increased body weight in TG and WT mice, the mean body weight of TG carriers was slightly higher compared to corresponding WT controls. Similarly, the high-fat diet led to a rise in glucose and insulin in WT and TG mice, but the increase was more substantial in the Sar1b<sup>+/+</sup> mice. Noteworthy, differences in these variables in WT and TG mice were observed even on the regular chow diet, which depicts the separate influence of the two factors, i.e. transgenesis and diet. As a result from the glucose and insulin modifications, the HOMA-IR index showed a more significant increase in the Sar1b<sup>+/+</sup> mice vs. controls, thereby indicating reduced insulin sensitivity. Finally, our data show that the high-fat diet caused an augmentation in plasma triglycerides and cholesterol levels in WT and TG mice, but their increment was more substantial in Sar1b<sup>+/+</sup> mice. Hence, as expected, the HFD induced a state of insulin resistance and dyslipidemia in the animals, and these effects were exacerbated by Sar1b transgenesis. A study, recently published by our group, has described the complete metabolic profile of Sar1b<sup>+/+</sup> mice under control and high-fat diets and corroborates the present findings (29). When fed a HFD, Sar1b<sup>+/+</sup> mice were found to develop obesity, insulin insensitivity, hepatic and plasma lipid abnormalities and CM hyperproduction. This increased triglyceride-rich lipoprotein production elicited by Sar1b<sup>+/+</sup> mice is in line with our other previous results obtained in Caco-2/15 cells, demonstrating that Sar1b overexpression can enhance intestinal lipoprotein trafficking and sorting (25). According to the prevailing concept, intestinal CM assembly requires the association of apo B-48 with lipids, which is mediated by microsomal triglyceride transfer protein in the rough ER, resulting in a lipid-poor, small apo B-48-containing particles that serve as precursors of mature CM. The intracellular traffic of apo B-48-containing CM is dependent on the COPII coat complex, which buds vesicles from the ER membrane to transport newly synthesized proteins to the Golgi apparatus. COPII vesicle biogenesis and assembly necessitates Sar1b to trigger a sequence of recruitment events that assemble the COPII coat from its cytosolic components and ultimately drives vesicle budding (3). Our TG mouse model shows that overexpressing Sar1b leads to

increased insulin resistance and dyslipidemia, phenomena that were amplified by the high-fat diet. Although the mechanisms controlling these metabolic changes are still nebulous and deserve further exploration, we propose that the increased intestinal fat absorption associated with Sar1b profusion in Sar1b<sup>+/+</sup> mice leads to greater triglyceride accumulation in the blood circulation and insulin-responsive tissues (29), which would impede glucose uptake and provoke insulin resistance.

We have conducted experiments to determine the specificity of our Sar1b/a antibody in WT and TG animals. First, in Caco-2/15 cells, the Western blots reveal two visible bands, previously described as Sar1b (the upper band) and Sar1a (the lower band) (30) (**Figure 1A**). The experiments were repeated in Caco-2/15 cells in which a pLenti6/V5-D-TOPO expression plasmid of *SAR1B* was transfected and, as a result, Sar1b was overexpressed. In this step, not only the blots were incubated with the anti-Sar1b/a antibody, but also with an anti-myc antibody, permitting to distinguish between the endogenous Sar1 and the transfected Sar1b. As illustrated in **Figure 1B**, even if the double band (endogenous Sar1a and Sar1b) is still visible, the exogenous form of Sar1b represented 222% of the Sar1b/a band. However, in mice, the band corresponding to the Sar1a protein was barely visible. In fact, the band was rarely observed since Sar1b was overexpressed compared to Sar1a. **Figure 2** shows an experiment where the Sar1a and Sar1b bands could be distinguished in the liver of TG animals. These results showed that the densitometric ratio of Sar1b was over 1,500% of Sar1a. Hence, we concluded that, although our antibody could recognize Sar1a and Sar1b, the important difference in protein expression, especially in mice, allowed us to study the regulation of Sar1b.

Next, we defined Sar1b protein and mRNA expression in different organs in mice. As shown in **Figure 3A**, the organ with the highest mRNA expression of *Sar1b* was the skeletal muscle, followed by the heart and the liver. The organs composing the digestive system and the brain had similar levels of expression. Finally, we found relatively low gene expression in the lung and perirenal adipose tissue. The skeletal muscle was the only organ for which post-hoc analyses revealed a significant difference with the others. The measurement of Sar1b at the protein level demonstrated a similar pattern among the organs, except for its lower expression in the heart (**Figure 3B**). Western blots showed that the liver was the organ that mostly expressed Sar1b and confirmed the high expression in the skeletal muscle, followed by a roughly equivalent expression

in the organs of the digestive tract. The gene and protein expression profile of Sar1b in the duodenum, jejunum and ileum, parts of the digestive system, was relatively equivalent, which corresponds to their respective function in lipid and bile acid handling. It is also important to specify that, since the pattern of Sar1b protein distribution was similar between WT and TG mice under chow and high-fat diet, all the tissue samples from the different groups were analyzed on the same Western blot for comparison needs.

Limited information is available concerning the distribution of Sar1b in various organs despite its crucial function in intracellular trafficking. Using the state-of-the-art technique for mRNA analysis, e.g. qPCR, we documented a preponderance of *Sar1b* mRNA content in the skeletal muscle. The surprisingly abundant expression of *Sar1b* in the muscle suggests highly specialized role of Sar1b in this particular tissue, including the regulation of calcium ( $\text{Ca}^{2+}$ ) trafficking among multiple  $\text{Ca}^{2+}$  storage organelles, for instance the sarcoplasmic reticulum and the ER. In fact, the involvement of Sar1b in  $\text{Ca}^{2+}$  trafficking in skeletal muscle was demonstrated a decade ago when calsequestrin, an acidic, low-affinity, high-capacity  $\text{Ca}^{2+}$ -binding glycoprotein that plays a crucial role in  $\text{Ca}^{2+}$  routing to sarcoplasmic reticulum, was found sensitive to the Sar1-H79G mutant, resulting in its accumulation in COPII vesicles in rat skeletal muscle fibers (31). Later, it was demonstrated that the molecular mechanism underlying the human  $\text{Ca}^{2+}$  receptor exit from the ER to the cell surface requires Sar1b and is dependent on COPII vesicles (32). Likewise, the apoptosis-linked gene 2, a  $\text{Ca}^{2+}$ -binding protein that serves as a  $\text{Ca}^{2+}$  sensor, is dependant of the COPII vesicle formation process and components (33). Hence, the role of Sar1b in  $\text{Ca}^{2+}$  trafficking can explain its high level of expression not only in the skeletal muscle, but also in the heart, in view of  $\text{Ca}^{2+}$  signaling and trafficking in cardiomyocytes (34, 35). Accordingly, it was reported that patients with chylomicron retention disease suffer from myolysis, cardiac abnormalities and elevated creatine kinase levels (16).

Next, we evaluated the effect of insulino-resistance induced by high-fat diet and the impact of Sar1b transgenesis on *Sar1b* gene expression in different tissues. The provision of a 12-week high-fat diet to mice did not result in significant changes in mRNA *Sar1b* expression in the various tissues when compared to a normal diet in neither WT nor TG mice (**Figure 4**). This is divergent from a previous study documenting *Sar1b* up-regulation by fructose diet and olive oil in Syrian golden hamsters (36), suggesting that the regulation of *Sar1b* expression by diet and insulin resistance may be species-dependent. Also, *in vitro* studies have suggested that Sar1b can be

regulated by lipids, as demonstrated by the regulation of COPII vesicle formation by lipid composition of membranes (37). Similarly, depletion of sterols or inhibition of sterol synthesis reduce the mobility of membrane cargo proteins within the ER and inhibit the dynamic interactions of COPII subunits with ER exit sites (38, 39). Previous work from our laboratory demonstrated that, in Caco-2/15 cells, *Sar1b* overexpression promotes intestinal lipid transport in a process involving the COPII network (25). In the present experimental conditions, the high-fat diet did not effectively regulate *Sar1b* expression, which allows us to speculate that diet could regulate *Sar1b* turnover without altering mRNA, thereby increasing recycling activity of COPII vesicle.

Our results also revealed that TG mice displayed enhanced mRNA level of *Sar1b* in several organs (**Figure 4**). Significant enrichment was noted in the liver, ileum, jejunum, proximal colon and distal colon while there was also a higher, albeit not statistically significant, expression in the skeletal muscle, heart and lung. Interestingly, the enrichment was observed in the tissues endowed with functional roles in  $\text{Ca}^{2+}$  and lipid transport. In line with our results showing disparate enrichment between organs, various reports have emphasized that various TG mouse models equipped, genetically manipulated in different genes expressed variable amounts of mRNA in peripheral tissues (40). More specifically, the pCAG promoter in the Rosa26 locus suffers from mosaic transgene expression among organs (41) and the responder gene expression was moderate and highly variable between and within different tissues (42).

We have evaluated the effect of transgenesis on *Sar1b* protein expression in the liver and jejunum, the two key organs involved in lipid transport and lipoprotein assembly. **Figure 5A** shows that *Sar1b* in these two organs is elevated in TG compared to WT mice. In fact, we found 446% ( $P<0.004$ ) and 388% ( $P<0.022$ ) increases in *Sar1b* expression in the liver and jejunum, respectively. This corresponds to the elevation in gene expression in these organs presented in **Figure 4**. Compared to WT animals, transgenesis led to elevated gene expression (459%,  $P<0.0015$ ) in the liver and in the jejunum (90%), although the differences in the latter were not statistically significant. Using standard RT-PCR method, we have also compared the endogenous and exogenous *Sar1b* gene expression in mice. **Figure 5B** reveals that the exogenous gene expression in transgenic animals represents approximately 70% of the total expression in the liver and in jejunum.

A limit of the present work is that it was been performed in mice, which does not necessarily reflect the expression profile in humans. However, previous investigation has shown that the presence of Sar1b in the digestive tract is consistent in different species: it was found in the human duodenum (15), in the human Caco-2/15 cell model (25), in the small intestine of hamsters (36) and in rat enterocytes (43). Further studies are needed to evaluate the distribution and expression profile of Sar1b in the digestive tract and in other tissues.

## **CONCLUSIONS**

In conclusion, Sar1b in our mouse model was not regulated by insulin resistance or by high-fat content. However, the results obtained from tissue distribution pattern and regulation by transgenesis support the importance of Sar1b in organs involved in lipid transport such as the liver, jejunum, ileum and colon, which highlight its crucial role in organs transporting lipids. Interestingly our findings also support a significant role for Sar1b in organs necessitating major  $\text{Ca}^{2+}$  trafficking, namely the skeletal muscle and the heart.

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**Table 1. Metabolic characteristics of mice at sacrifice**

	Wild-type	Wild-type	Transgenic	Transgenic
	Normal Diet	High-fat Diet	Normal Diet	High-fat Diet
<b>Weight (g)</b>	29.35 ± 2.14	34.90 ± 2.13	37.67 ± 2.74*	50.70 ± 0.72***,##
<b>Blood glucose (mmol/L)</b>	6.00 ± 0.20	6.70 ± 0.20	7.00 ± 0.20**	7.80 ± 0.10***,##
<b>Insulin (ng/mL)</b>	0.71 ± 0.06	1.20 ± 0.08**	1.60 ± 0.12***	2.41 ± 0.17***,###
<b>HOMA-IR (mIU/L*mmol/L)/22.5</b>	5.00 ± 0.60	9.30 ± 0.60	13.0 0 ± 1.10***	22.40 ± 1.60***,###
<b>Triglycerides (mmol/L)</b>	0.68 ± 0.07	1.23 ± 0.12**	1.00 ± 0.09	1.50 ± 0.13##
<b>Total cholesterol (mmol/L)</b>	3.80 ± 0.40	4.70 ± 0.50	5.20 ± 0.50	5.90 ± 0.50*

Mice were sacrificed after being fed a normal or high-fat diet for 12 weeks. Results represent the means ± SEM of 6 animals per group. One-way ANOVA were performed ( $P<0.0001$ ) followed by Tukey's multiple comparison tests. \* $P<0.05$ ; \*\* $P<0.01$ ; \*\*\* $P<0.001$  vs. Wild-type, normal diet mice. # $P<0.05$ ; ## $P<0.01$ ; ### $P<0.001$  vs. Transgenic, normal diet mice.

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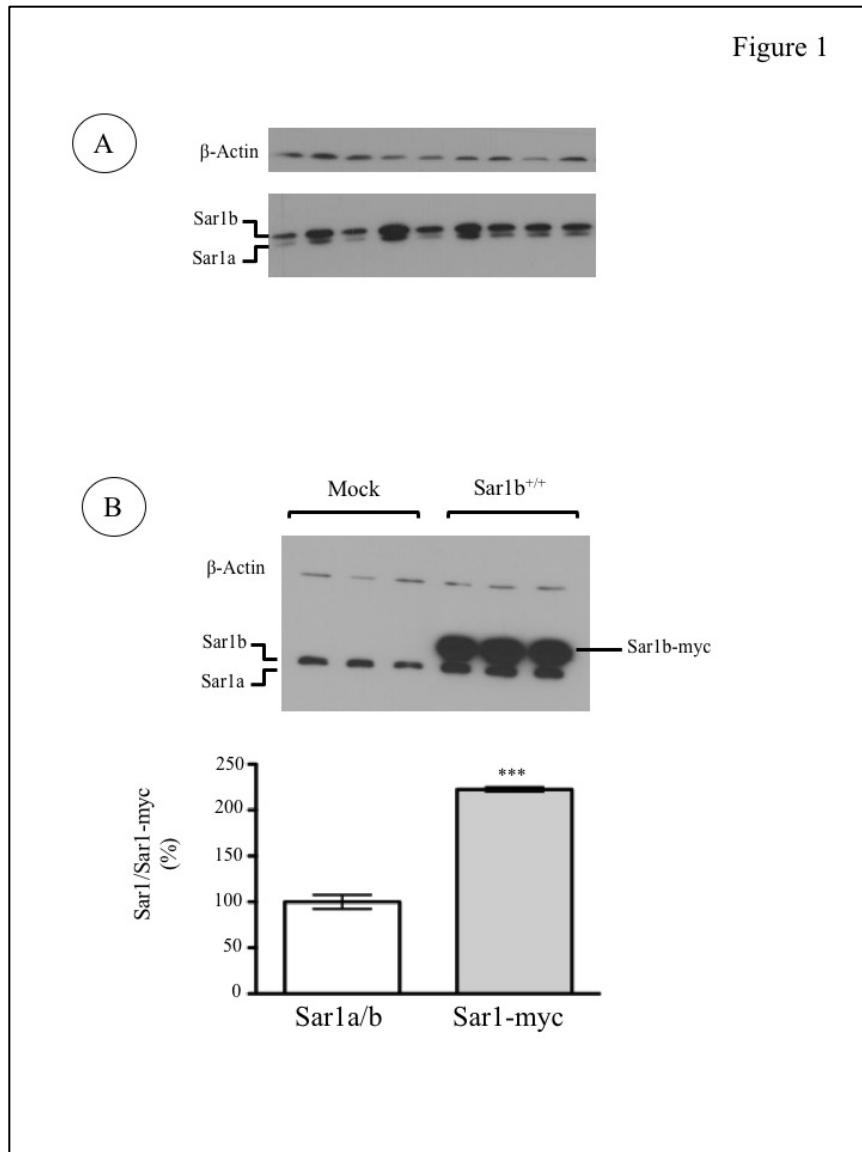
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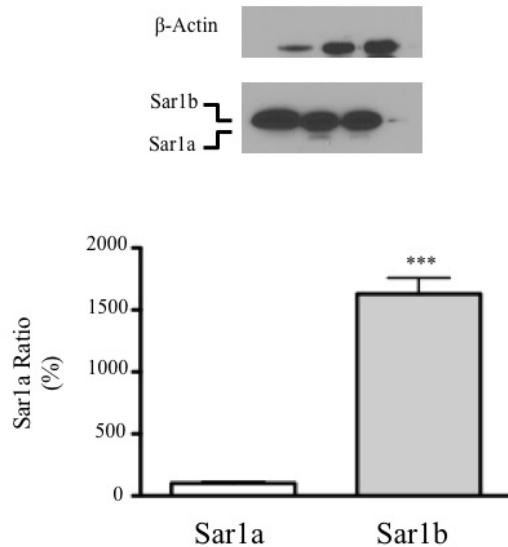
Figure 1



**Figure 1. Protein expression Sar1 in Caco-2/15 cells.**

(A) Proteins from cell homogenates were extracted and protein expression was measured by Western blot as described in the Material and Methods section. A representative blot is shown. (B) After transfection with GFP and Sar1b constructs, Caco-2/15 cells were allowed to differentiate for 14 days before being tested for Sar1b protein expression by Western blot using anti-Sar1 and anti-myc antibodies. Results represent the means ± SEM of 3 experiments and were calculated as densitometric ratios of Sar1b/a to Sar1b-myc and are illustrated as % of Sar1a/b. A representative blot is shown. \*\*\*P<0.0001 vs. SAR1A/B.

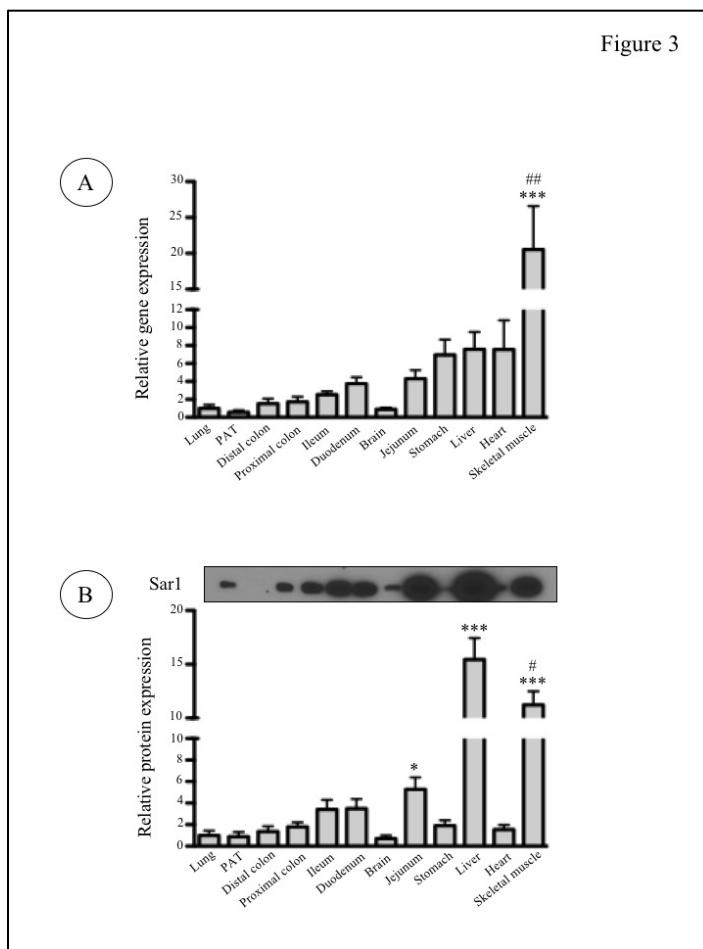
Figure 2



**Figure 2. Protein expression Sar1a and Sar1b in transgenic mice.**

(A) Proteins from liver were extracted and protein expression was measured by Western blot as described in the Material and Methods section. A representative blot is shown. Results represent the means  $\pm$  SEM of 3 experiments. Results were calculated as densitometric ratios of Sar1a to Sar1b and are illustrated as % of Sar1a. A representative blot is shown. \*\*\*P<0.0003 vs. SAR1A.

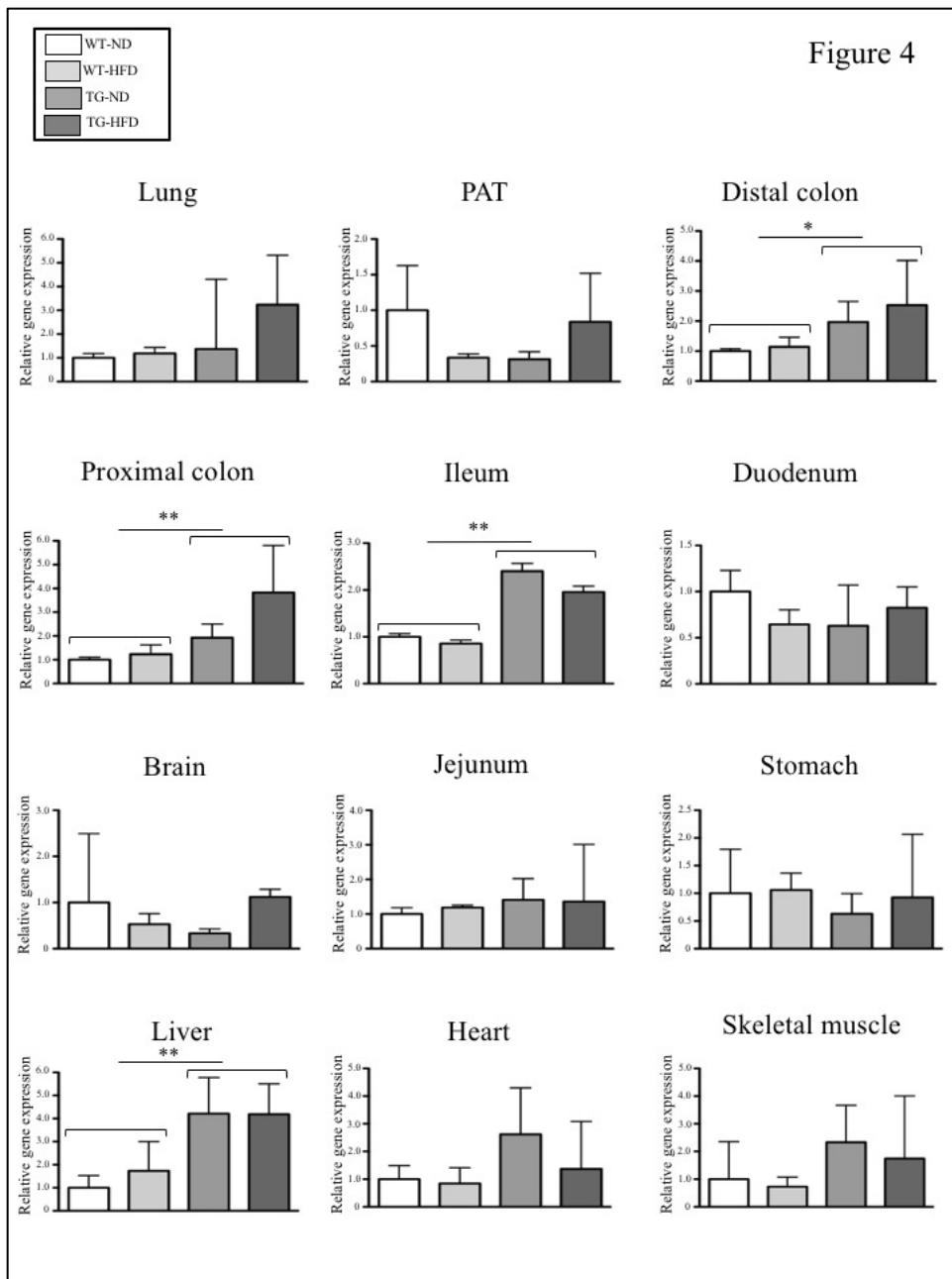
Figure 3



**Figure 3. Differential gene and protein expression levels of Sar1b in organs in transgenic mice.**

RNA (A) and protein (B) from tissues were extracted as described in the Material and Methods section. Gene and protein expression were measured by RT-qPCR and Western blot, respectively. The mean relative expression was calculated relative to lung. Results represent the means  $\pm$  SEM of 24 (gene) and 12 (proteins) animals. One-way ANOVA were performed ( $P<0.0001$ ) followed by Tukey's multiple comparison tests. A. \*\*\* $P<0.001$  vs. lung, PAT, distal colon, proximal colon, ileum, duodenum, brain and jejunum; ## $P<0.01$  vs. stomach, liver and heart. B. \* $P<0.05$  vs. lung, PAT and brain; \*\*\* $P<0.001$  vs. lung, PAT, distal colon, proximal colon, ileum, duodenum, brain, jejunum, stomach and heart; # $P<0.05$  vs. liver. PAT: perirenal adipose tissue. A representative blot is shown.

Figure 4

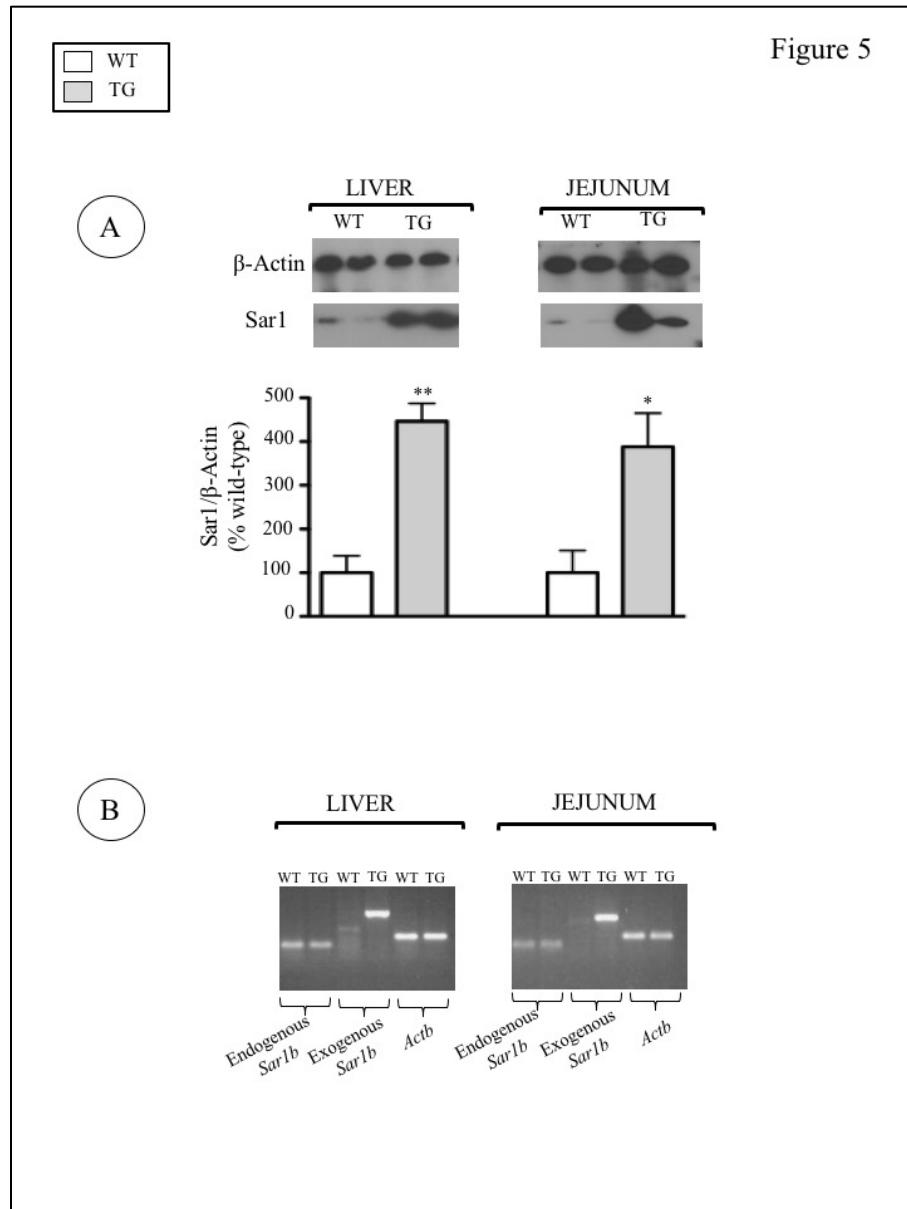


**Figure 4. Effect of diets and transgenesis on the differential gene expression levels of *Sar1b* in organs in mice.**

Wild-type and transgenic *Sar1b* mice were fed a standard laboratory chow or high-fat diet for a 12-week period. RNA from tissues was extracted as described in the Material and Methods section. Gene expression was measured by RT-qPCR and mean relative expression was calculated for each

organ to compare gene expression relative to wild-type mice fed a normal diet. Results represent the means  $\pm$  SEM of 6 animals per group. T-tests were performed. WT-ND: wild-type mice, normal diet; WT-HFD: Wild-type mice, high-fat diet; TG-ND: Sar1b $^{+/+}$  transgenic mice, normal diet; TG-HFD: Sar1b $^{+/+}$  transgenic mice, high-fat diet; PAT: perirenal adipose tissue. \*P<0.05; \*\*P<0.01 vs. wild-type mice; #P<0.05 vs. wild-type/normal diet mice.

Figure 5



**Figure 5. Effect of transgenesis on Sar1b protein and gene expression in liver and jejunum.**  
 Differential levels of Sar1b protein (A) and mRNA (B) expression in the liver and the jejunum of wild-type (WT) and transgenic (TG) mice was assessed by Western Blot and by RT-PCR as described in the Material and Methods. **A.** Results represent the means  $\pm$  SEM of 3 animals per group. Results were calculated as densitometric ratios of Sar1b to  $\beta$ -actin and are illustrated as % of WT mice. \*\*P<0.004; \*P<0.022 vs. WT mice.

## Article 3

### «Uncovering the hepatic metabolic signature of non-alcoholic fatty liver disease via direct investigation and proteomic analysis of morbid obese patient»

**Schohraya Spahis**, Eric Bonneil, André Tchernof, Alain Sane, Carole Garofalo, Edgard Delvin, Emile Levy. *J Clin Invest* 2018 (To be submitted).

#### CONTRIBUTION DES AUTEURS

**Spahis S** a été impliquée dans la conception et la planification de l'étude; l'acquisition des données, leur analyse et leur interprétation. SS a conçu et configuré les Figures. Elle a contribué à la rédaction, soumission et révision du manuscrit sous la supervision du Directeur de recherche, Dr Levy E.

**Bonneil E** a contribué à l'analyse et à l'interprétation des données de la protéomique.

**Tchernof A** a participé à la collecte et la gestion de la banque des tissus.

**Sané A et Garofalo C** ont effectué les expériences sur les tissus.

**Delvin E** a révisé le manuscrit.

**Levy E** a contribué au concept et à la supervision de l'étude, aux ressources financières et matérielles pour la réalisation de l'étude. Dr Levy E a participé à la rédaction, l'analyse critique des résultats et la révision du manuscrit.

# **Uncovering the hepatic metabolic signature of non-alcoholic fatty liver disease via direct investigation and proteomic analysis of morbid obese patient liver**

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**Running Head:** Hepatic metabolic pathways in NAFLD

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## **ABSTRACT**

**Background & Objective:** Non-alcoholic fatty liver disease (NAFLD) is of growing interest in view of its alarming prevalence, severe complications, lack of effective medication and poor long-term prognosis. As its pathophysiology is incompletely understood, this study was designed to evaluate NAFLD intrinsic mechanisms.

**Approaches and Results:** Liver specimens from 35 morbidly obese patients undergoing biliopancreatic diversion were assigned to 3 groups matched for age, weight and body mass index (BMI), according to hepatic transaminases and steatosis degree. Insulin resistance (IR) and dyslipidemia were associated with high liver triglyceride and sphingomyelin content and with low phosphatidylcholine mass in patients with moderate (Mo-NAFLD) and severe (Se-NAFLD) steatosis compared to subjects with minimal steatosis (Mi-NAFLD). The liver of the Se-NAFLD group displayed disturbed fatty acid composition, characterized by a lower proportion of polyunsaturated fatty acids derived from n-3 and n-6 families, leading to decreased n-3/n-6 ratio and omega 3 index (18:1n-9/18:0 & 16:00/18:2n-6) and to enhanced lipogenesis indices. Liver tissue from Se-NAFLD patients was endowed with oxidative stress and inflammation, while exhibiting biomarker upregulation of lipogenesis (pACC, FAS, FABP4) and gluconeogenesis (GLUT2, PEPCK), as well as downregulation of the key proteins of  $\beta$ -oxidation (CPT-1, ACADL). Accordingly, changes were noted in powerful regulatory transcription factors (SREBP-1c, PPARs) and proteomics profiling, as reflected by alterations in proteins related to fatty acid transport and metabolism, as well as protein-protein interactions. **Conclusions:** The present work is the first to show that NAFLD coexists only in patients who are morbidly obese and possess features of metabolic syndrome, thereby referring to metabolically abnormal obese patients. Then, it emphasizes the liver abnormalities in lipid homeostasis, IR, dyslipidemia, pro-oxidant/antioxidant balance, inflammatory pathways, and proteomics profiling in association with the degree of steatosis.

**Keywords:** Obesity, Liver; Steatosis; Lipogenesis; Gluconeogenesis; Insulin resistance; inflammation; oxidative stress; proteomic profile, fatty acid composition

## ABBREVIATION LIST

ACADL	Acyl-Coa dehydrogenase long chain
ACC	Acetyl-CoA Carboxylase
BMI	Body mass index
CD36	Cluster of differentiation 36
CPT1	Carnitine palmitoyltransferase-1
FA	Fatty acids
FABP	Fatty acid-binding protein
FAS	Fatty acid synthase
FATP	Fatty acid transport protein
FC	Free cholesterol
FXR	Farnesoid X receptor
G6P	Glucose-6-phosphatase
GLUT	Glucose transporter
H <sub>2</sub> O <sub>2</sub>	Hydrogen peroxide
HDL-C	High-density lipoprotein cholesterol
iNOS	Inducible nitric oxide synthase
LDL-C	Low-density lipoprotein cholesterol
LXR	Liver X receptor
NAFLD	Non-alcoholic fatty liver disease
NASH	Steatohepatitis
PC	Phosphatidylcholine
PE	Phosphatidylethanolamine
PEPCK	Phosphoenolpyruvate carboxykinase
PGE2	Prostaglandin E2
PI	Phosphatidylinositol
PL	Phospholipids
PPAR	Peroxisome proliferator-activated receptor
PS	phosphatidylserine
SP	Sphingomyelin
SREBP1-c	Sterol regulatory element binding transcription factor 1-c

T2D	Type 2 diabetes
TC	Total cholesterol
TG	Triglyceride
TNF $\alpha$	Tumor necrosis factor-alpha

## INTRODUCTION

Non-alcoholic fatty liver disease (NAFLD) is now considered as the most common non-infectious chronic liver disease in concert with the global epidemic of obesity, metabolic syndrome (MetS), type 2 diabetes (T2D) and other cardiometabolic disorders (1-3). It is characterized by increased lipid hepatic accumulation and the presence of  $\geq 5\%$  steatosis appears as a consequence of sedentary life-style, over-feeding and genetic predisposition (4). However, the specific mechanisms of its development and progression into steatohepatitis (NASH), fibrosis and hepatocellular carcinoma remain incompletely understood (5, 6). In view of several associative or epidemiological investigations in humans, and mostly various animal studies, many groups ascribed NAFLD to the occurrence of insulin resistance (IR), dyslipidemia, oxidative stress (OxS), inflammation, and apoptosis (7, 8), but the specific pathological mechanisms are unclear to date.

Although obesity and MetS constitute the preponderant risk factors in the development of NAFLD, the natural history of NAFLD is not well established (9, 10). The abundant literature highlights the striking inter-individual divergences in NAFLD (11) and its complications (12, 13). Furthermore, comorbidities are frequent due to silent NAFLD progression and diagnostic difficulties given the invasiveness of liver biopsy and limitations in non-invasive tools. On top of that, there is no approved drug for the treatment of NAFLD/NASH except lifestyle changes (14). Obviously, the underlying mechanisms of NAFLD should be completely elucidated in order to discover drug targets and surrogate measures and develop novel and effective strategies for treatment.

Recently, we took advantage of bariatric surgery to obtain intact fresh human intestinal specimens to reveal that obese subjects display poor intestinal insulin sensitivity in association with local OxS, inflammation and exaggerated chylomicron formation (15, 16). In the present work, the same experimental approach has been employed to investigate the biochemical manifestations and pathophysiological mechanisms in the liver of obese patients with or without steatosis. We particularly focused on several important questions: (1) Are there significant variations in the liver status of morbidly obese subjects in a given French Canadian cohort? (2) What is the respective hepatic lipid profile of patients with NAFLD versus those without NAFLD? (3) Are patients with higher hepatic steatosis characterized by more severe OxS and inflammation as mechanisms of

action? (4) Is NAFLD characterized by abnormal behaviors of lipogenic and gluconeogenic pathways thereby affecting mitochondrial function? (5) Do central transcription factors play a role in metabolic liver disorders? and (6) Can proteomic analysis highlight a cardiometabolic imprint on NAFLD pathogenesis?

## MATERIALS AND METHODS

### *Subjects and tissue sampling*

Thirty-five patients (14 women and 21 men) undergoing biliopancreatic diversion were assigned to 3 groups matched for age, weight and body mass index (BMI), according to hepatic transaminases and steatosis. All the study participants were patients of the Quebec Heart and Lung Institute (IUCPQ) (Quebec City, Canada) and had a BMI  $\geq 50 \text{ kg/m}^2$ . After the assessment of liver enzymes and steatosis, the patients were subdivided into very minimal (Mi-NAFLD), moderate (Mo-NAFLD) and severe (Se-NAFLD) fatty liver groups, respectively. Exclusion criteria for the study were: i) patients with T2D mellitus, severe peripheral vascular diseases or acute infections; and ii) patients with viral hepatitis, alcoholic hepatitis, drug-induced hepatitis or autoimmune hepatitis. None of these subjects received a therapy with estrogen, hypoglycaemic agents and cholesterol- or triglyceride (TG)-lowering agents. Liver specimens were obtained from the Biobank of the IUCPQ-Université Laval in compliance with Institutional Review Board-approved management modalities. All participants provided written, informed consent. The hepatic samples were immediately transferred to the pathology department or frozen in liquid nitrogen and stored at  $-80^\circ\text{C}$  for subsequent analyses. The project was approved by the ethics committees of IUCPQ and Sainte-Justine Hospital Research Center (Montreal, Canada).

### *Histological evaluation and diagnosis*

All liver samples were read by an expert pathologist to define and semi-quantitatively score the NAFLD phenotype, including the degree of steatosis. Tissue slides were prepared and stained with the hematoxylin and eosin staining method. Steatosis was defined by a simple 4 scale grading (from 0 to 3). The pathologist considered macro- and/or medio-vesicular steatosis and assessed the percentage of hepatocytes with steatotic vacuoles. The normal liver (grade 0) contained fat in less than 5% of hepatocytes while grade 1 steatosis referred to less than 33% of steatotic hepatocytes. In grades 2 and 3 steatosis, fat was present in at least 33% and 66% of hepatocytes, respectively (17).

### *Plasma separation*

Samples of fasting venous blood were collected from the patients in tubes containing 1 g EDTA/L, in the early morning before bariatric surgery. Plasma was separated immediately by centrifugation (700 x g for 20 min) at  $4^\circ\text{C}$  as described previously (18-20).

### ***Biochemical analyses***

Biochemical assessments were carried out as described previously (21). Glucose was assessed using the glucose oxidase method and insulin was quantified with the ultrasensitive insulin assay on the Access® immunoassay system (Beckman Coulter, Brea, USA). The HOMA-IR index was calculated using the following formula: fasting insulin ( $\mu$ UI/mL) x fasting glucose (mmol/L)/22.5. Total cholesterol (TC), free cholesterol (FC), high-density lipoprotein cholesterol (HDL-C) and TG levels were estimated in the plasma by colorimetric enzymatic kits as described previously (18, 19). Low-density lipoprotein cholesterol (LDL-C) was calculated by the Friedewald formula (22). Phospholipid classes were separated on TLC plates, and bands corresponding to phosphatidylcholine (PC), phosphatidylethanolamine (PE), phosphatidylinositol (PI), phosphatidylserine (PS) and sphingomyelin (SP) were scraped off the plates, mixed with scintillation fluid and counted for the amount of radioactivity incorporated.

### ***Fatty acid composition***

Fatty acids (FAs) in plasma and liver were assayed by an improved method described previously (18-20). Briefly, each sample to be analyzed was subjected to direct trans-esterification and then injected into a gas chromatograph (GC) using a standard Agilent 7890 GC. The FAs were identified by comparison with the expected retention times of known standards and then analyzed.

### ***Oxidative stress and Inflammatory markers***

Prostaglandin E2 (PGE2) was measured by enzyme-linked immunosorbent assay (Arbor Assay, Michigan, USA), while inducible nitric oxide synthase (iNOS) and tumor necrosis factor-alpha (TNF $\alpha$ ) were tested by Elisa kits (LifeSpan Biosciences, Inc.). H<sub>2</sub>O<sub>2</sub> was measured by using the Hydrogen Peroxide Assay Kit (Colorimetric/Fluorometric, Abcam, USA).

### ***Liver protein expression***

Liver samples were homogenized with a polytron in the lysis buffer (137 mM NaCl, 2.7 mM KCl, 4.3 mM Na<sub>2</sub>HPO<sub>4</sub>, 1.47 mM KH<sub>2</sub>PO<sub>4</sub> (pH 7.4), 1 mM EDTA, 1 mM phenylmethylsulfonyl fluoride, 5  $\mu$ g/ml leupeptin, 2 mg/ml pepstatine). Homogenates were agitated at 4°C for 1h in presence of 1% Triton and then centrifuged at 13,000 rpm for 10 min. The Bradford assay (Bio-Rad Laboratories, Mississauga, Canada) was used to determine protein concentration. Homogenates were prepared for Western blotting as described previously (23, 24). Same protein amounts (30  $\mu$ g) were loaded on the SDS-PAGE and the  $\beta$ -actin protein was used as an internal control. The following human antibodies were employed:  $\beta$ -actin (1:1000), phospho-Acetyl-CoA

Carboxylase (ACC) Ser79 (1/1000), fatty acid synthase (FAS, 1/1000), ACC (1/1000), carnitine palmitoyltransferase-1 (CPT1, 1000), Peroxisome proliferator-activated receptors (PPAR, 1/1000) from Cell Signaling Technology, USA; sterol regulatory element binding transcription factor-1-c (SREBP1-c, 1/500), Fatty acid-binding protein 4 (FABP4, 1/750), farnesoid X receptor (FXR, 1/100), glucose-6-phosphatase (G6P, 1/500), liver X receptor (LXR, 1/500) from Abcam, USA; cluster of differentiation 36 (CD36, 1/1000) and phosphoenolpyruvate carboxykinase (PEPCK, 1/1000) from Santa Cruz Inc. USA; FA transport protein (FATP5, 1/500) from Novus, USA; glucose transporter (GLUT2, 1/500) and acyl-CoA dehydrogenase long chain (ACADL, 1/1000) from Thermo Fisher Scientific, USA.

### **Sample preparation for proteomics**

Protein concentration from liver samples was determined by the Bradford method using the Biorad protein assay dye reagent (USA), and samples were sent to the Institute for Research in Immunology and Cancer proteomic platform (Université de Montréal, Canada) for proteomic analysis.

### **Mass spectrometry analysis and protein quantitation**

Mass spectrometry analysis was carried out on 4 µg of purified liver from chosen miNAFLD and seNAFLD groups. Sample reduction was performed by adding 50 µl of 5mM TCEP in 100 mM ammonium bicarbonate to the dry sample. Alkylation was performed by adding 50 µl of chloroacetamide 20 mM with ammonium bicarbonate 100 mM. 1 µg of trypsin was added and the digestion was performed for 8 hours at 37°C. Samples were loaded and separated on a home-made C<sub>18</sub> analytical column (15cm \* 150µm i.d.) with a 116-min gradient from 0–30% acetonitrile (0.2% FA) and a 600 NI/minute flow rate on an Easy nLC (Dionex) connected to a Q-Exactive HF (Thermo Fisher Scientific). Each full MS spectrum acquired with a 60,000-resolution was followed by up to 20 MS/MS spectra, where the 20 most abundant multiply charged ions were selected for MS/MS sequencing. Peptides were identified using Peaks 8.0 (Bioinformatics Solution Inc.) and peptide sequences were blasted against the Human Uniprot database. Tolerance was set at 10 ppm for precursor and 0.01 Da for-fragment ions for protein identification and 7 ppm and 2 min for peptide alignment and profiling. For the post-translational modification of proteins, occurrence of carbamidomethylation, oxidation and deamidation was considered. Volcano plots were made with Perseus 1.5.0.30.

### ***Statistical Analysis***

All values are expressed as mean  $\pm$  SEM. Data were analyzed using a one-way repeated measures ANOVA and the differences between the means were assessed post-hoc using Tukey's test. Statistical significance was defined as  $P < 0.05$ .

## RESULTS

A total of 35 morbidly obese patients were included in the study: 14 females (40%) and 21 males (60%) (**Table 1**). No significant differences were noted in their weight, age, height, BMI, waist and hip measurements. Three groups were clearly apparent on the basis of transaminases and the magnitude of steatosis observed in their liver histology: Mi-NAFL, Mo-NAFLD and Se-NAFLD (**Table 1**). The Se-NAFLD group was characterized by higher levels of alanine transaminase (ALT, 55%), aspartate aminotransferase (AST, 50%), gamma-glutamyl transpeptidase (GGT, 43%) and steatosis (43%) compared to Mo-NAFLD. From its part, the Mo-NAFLD exhibited an elevation of ALT (49.3%), AST (29.0%), GGT (47.1%) and steatosis score (47.9%) compared to Mi-NAFLD.

As excess lipid accumulation is an early signature of NAFLD, we evaluated the TG, TC and phospholipid (PL) classes in the liver of the obese patients. An evident accretion of TG was noted to follow NAFLD severity (**Table 2**). The hepatic TG content in Se-NAFLD exceeded that of Mo-NAFLD by 33.5% whereas TG values in the liver of Mo-NAFLD went beyond those of Mi-NAFLD by 33.3%. But at the same time, the concentrations of PL followed an opposite pattern, showing an obvious decline especially in patients with severe NAFLD. Unlike TG and PL trends, no significant variation was observed in TC in the three groups.

Since changes of certain classes of PLs influence energy metabolism and are linked to general disease progression (25), the characterization of PL distribution in the liver was carried out (**Table 2**). The Se-NAFLD group displayed a significant decrease in PC and an increase in SP. Furthermore, the ratio of PC/PE was calculated and found markedly diminished. Overall, our findings underline the association of hepatic TG enrichment and PL impoverishment with steatosis progression.

To determine whether the groups with liver steatosis had some components of the MetS, we evaluated glycaemia, insulinemia, HOMA-IR index, dyslipidemia and hypertension. Based on the values of plasma glucose and glycated hemoglobin in fasting conditions, there was no indication for diabetes in NAFLD individuals (**Table 3**). However, an increase was evidenced in fasting insulin levels and HOMA-IR index in Se-NAFLD patients, as well as higher TG levels and lower HDL-C concentrations, as compared with Mo-NAFLD subjects. LDL-C/HDL-C ratio was significantly different between the Mi-NAFLD and Se-NAFLD, suggesting an increased risk of

cardiovascular disease in the presence of fatty liver pathogenesis. On the other hand, no significant differences were observed in plasma TC, FC and cholesterol ester between the groups (**Table 3**). The presence of OxS in the liver of NAFLD patients was significantly marked by increased H<sub>2</sub>O<sub>2</sub>, a biomarker of lipid peroxidation in both Mo-NAFLD and Se-NAFLD groups compared to Mi-NAFLD subjects (**Table 4**). Similarly, knowing that IR in NAFLD is associated to inflammation, we determined the status of inflammatory markers in the liver of our cohort. A rise in the concentrations of PGE<sub>2</sub>, iNOS and TNF $\alpha$  was found in the liver tissues of insulin-resistant NAFLD subjects, notably Mo-NAFLD and Se-NAFLD (**Table 4**).

### Fatty acid composition

As hepatic FA profile may be related to fatty liver pathogenesis, hepatic FA composition was analyzed (**Table 5**). The FA profile in the liver of Se-NAFLD patients was characterized by a lower percentage of polyunsaturated fatty acids (PUFA). More specifically, the low proportions of eicosapentaenoic, docosapentaenoic, docosahexanoic, homogamma linolenic and arachidonic FAs contributed to the decline of n-3 and n-6 PUFA families. Furthermore, the raised n-6/n-3 ratio indicates a relatively greater lowering of n-3 PUFA. Additional particularities of the FA profile are the low percentages of various FAs belonging to monounsaturated fatty acids (MUFA) as a function of steatosis severity. Interestingly, although we expected an increase in saturated FA (SFA), the opposing trends in palmitic and stearic FAs offset our anticipation.

Considering the FA data provided in absolute concentrations, we noted an increase in SFA, MUFA, PUFA and n-7 FA along with invariable n-3 PUFA families according to the gradation of NAFLD severity. As expected from the alterations of these FA profiles in Se-NAFLD, there was an increase in the ratios of 16:1n-7/18:2n-6 and 20:3n-9/20:4n-6, total n-7/18:2n-6 as well as in 16:0/18:2n-6 and Stearoyl-CoA desaturase 1 (SCD1/ $\Delta$ 9), two relevant indices of liver lipogenesis (**Table 6**), which collectively indicate an exaggerated FA accumulation and an essential fatty acid deficiency (26). In concert, the ratios PUFA/SFA and EFA/NON-EFA exhibit a trend of decrease in proportion to NAFLD severity. The changes in desaturation may be due to low  $\Delta$ 5 and high  $\Delta$ 9 desaturases. Moreover, Mo-NAFLD and Se-NAFLD groups presented a low omega-3 index, suggesting a higher risk for cardiometabolic disorders.

To approach the pathological mechanisms involved in NAFLD-associated IR, we analyzed the expression of key proteins involved in hepatic FA uptake and transport, lipogenesis,

gluconeogenesis and mitochondrial FA  $\beta$ -oxidation in the two extreme groups: Mi-NAFLD and Se-NAFLD.

### **Hepatic fatty acid uptake and metabolism**

Whereas no significant changes were noted in CD36 and FATP5 mediating the transmembrane uptake and transport of long chain FA, a marked raised expression level characterized FABP4, a lipid chaperon facilitating the transport of lipids to intracellular compartments, in Se-NAFLD compared to Mi-NAFLD subjects (**Figure 1A**). As transcription factors regulate the expression and activity of lipid transporters, we focused on their protein expression and detected an induction in SREBP-1c and PPAR $\gamma$ , a down-regulation of LXR $\alpha$ , and no variations in FXR in the liver specimens of Se-NAFLD relative to Mi-NAFLD subjects (**Figure 1B**).

### **Hepatic lipogenesis**

We first appraised the protein expression of ACC, a key protein providing the malonyl CoA substrate for fatty acyl chain elongation and FA biosynthesis with the involvement of FAS. The total protein expression of ACC was not altered in liver specimens of Se-NAFLD subjects. However, using a phosphoserine antibody, a significantly reduced Ser79 phosphorylation of ACC was evidenced, which is indicative of its activation in Se-NAFLD subjects compared to Mo-NAFLD (**Figure 2**). Accordingly, the calculated ratio of phosphorylated ACC to total ACC was significantly reduced in liver samples of Se-NAFLD subjects, confirming ACC activation. Moreover, FAS protein expression was significantly upregulated, substantiating the stimulated lipogenesis process contributing to lipid accumulation in Se-NAFLD compared to Mi-NAFLD, and fully consistent with SREBP1c upregulation (**Figure 2**).

### **Mitochondrial FA $\beta$ -oxidation**

Evaluation of the main regulatory proteins of the  $\beta$ -oxidation pathway, one of the most important functions of the mitochondria, was carried out. As illustrated in Figure 3, the expression of CPT-1 and ACADL were significantly reduced in Se-NAFLD subjects. The same trend characterized PPAR $\alpha$ , known to stimulate FA  $\beta$ -oxidation (**Figure 3**).

### **Hepatic gluconeogenesis**

As increased hepatic gluconeogenesis may represent a cause for NAFLD development, we assessed this hypothesis via the protein expression analysis of G6P, PEPCK and GLUT2 in liver specimens. The protein mass of GLUT2 was significantly higher in Se-NAFLD than in Mi-NAFLD, whereas PEPCK and G6P remained unchanged (**Figure 4**).

## Liver proteome analysis

From our morbidly obese NAFLD cohort, we selected five individuals from Mi-NAFLD and Se-NAFLD groups to undertake a preliminary pilot liver proteome evaluation. A total of 1737 proteins were identified using trypsin digestion followed by LC-MS/MS analysis and subsequent quantification after normalization with the median. The major liver proteins identified in both groups originated from the cytoplasm (35%), followed by the nucleus (26%), mitochondria (19%) and endoplasmic reticulum (10%), as well as other cell localizations (ribosome 4%, cytoskeleton 3%, lysosomes 2% and Golgi 1%) (**Figure 5**). However, we noted slight differences in the protein distribution in accordance with homologous intracellular site between the Mi- and Se-NAFLD subjects (**Figure 5**). Moreover, the majority of the identified proteins in the whole group refer to binding (33%), catalytic activity (47%), structural molecule activity (9%) and transport (5%) (**Figure 6**), as well as other processes [translation regulator activity (2%), receptor activity (1%), signal transducer activity (1%) and antioxidant activity (2%)] (**Figure 6**). The comparison between the two groups, illustrated by the Go Term, also displayed a slight difference in the enrichment of proteins in each compartment (**Figure 7**).

To approach protein quantification for each group and to enhance our knowledge on the impact of the degree of steatosis on the localization and function of the identified proteins, **Figure 8** illustrates the volcano plot that represents the change in magnitude of labelled proteins, and discriminanting Se-NAFLD from the Mi-NAFLD group (**Table 7**). Furthermore, to define the interactions between proteins, we separately analyzed the up- and down-regulated proteins in Se-NAFLD compared to Mi-NAFLD subjects. Interestingly, we detected protein-protein networks, either for downregulated (**Figure 9A**) and upregulated (**Figure 9B**) proteins in Se-NAFLD, which suggest regulatory pathways in severe steatosis.

## DISCUSSION

The scientific community is facing a serious challenge from the rapidly increasing prevalence of NAFLD, especially considering that related prevention and treatment are not effective. As well, the molecular mechanisms of this pathogenesis seem complex and poorly understood. Furthermore, most of the studies focusing on the causes of disease development and progression were performed in animal models, which makes it difficult to easily extrapolate to humans. Therefore, efforts need to be multiplied to delineate NAFLD mechanisms in humans, which will allow the discovery of new targets for drugs/functional foods, improve patient management and prevent disease complications. It is in this context that our study was conducted, particularly focusing on human liver given the golden opportunity to obtain biological specimens from obese patients undergoing bariatric surgery.

Obesity represents a significant risk factor for metabolic complications, including NAFLD and MetS. However, there is a category of obese subjects who are better protected from these comorbidities and their clinical condition has been termed metabolically healthy obesity (MHO) (27, 28). Among the important considerations taken in the design of the present study were the strategic inclusion of the MHO and metabolically unhealthy obese (MUO) phenotypes, and the utilisation of human liver specimens during bariatric surgery of morbidly obese individuals. This important approach has recently allowed us to determine that MUO patients developed intestinal IR, probably due to local OxS and inflammation, which led to chylomicron overproduction (15).

The present work is the first to show that NAFLD coexists only in patients who are obese and exhibit features of the MetS. In fact, the insulin-resistant patients with steatosis displayed obesity, IR and dyslipidemia. Second, their liver tissue revealed a high TG content, which confirms the histologic findings and biochemically raised liver transaminases. However, Se-NAFLD subjects were also characterized by a fall in PL, due essentially to decreased PC, along with increased SP and a low PC/PE ratio. Third, their hepatic FA composition was disturbed, more harshly so in Se-NAFLD patients. Fourth, their liver tissue was endowed with OxS and inflammation. Fifth, their liver samples showed lipogenesis upregulation and  $\beta$ -oxidation down-regulation, as evidenced by p-ACC/FAS and CPT-1/ACADL specific biomarkers, respectively. Sixth, in line with these findings, alterations were noted in powerful transcription factors.

Liver is a target organ in MetS, resulting in NAFLD, to the extent that the latter is considered as a component of MetS (29). With the exception of hypertension, all the other components of the MetS (obesity, IR and dyslipidemia) were present in Se-NAFLD group. In particular, IR, which represents the pivotal mechanism in primary NAFLD, is significantly elevated as reflected by the exaggerated HOMA. It appears reasonable to expect that IR triggers SREBP-1c, a robust transcription factor capable of upregulating genes involved in the *de novo* lipogenesis pathway, such as FAS and ACC, thereby mediating hepatic synthesis of FA and TG (30). However, only FAS protein expression was stimulated whereas ACC protein, the rate-limiting enzyme in *de novo* fatty acid synthesis, did not respond to SREBP-1c. Rather, we find that activation of ACC activity was a result of phosphorylation paucity, probably a consequence of p-AMPK deficiency, as AMPK had been shown to phosphorylate and inactivate ACC (31). This provides a potential way to explain how lipogenesis is governed by two mechanisms, dependent on the SREBP-1c transcription factor and AMPK, respectively. These data are compatible with the high activity of SCD1, a key enzyme for the synthesis of MUFA, e.g. palmitoleic acid and oleic acid. In fact, the elevated SCD1 activity, reflected by FA composition in the livers of Se-NAFLD subjects, could markedly enhance the hepatic rate of TG synthesis through direct regulation of SREBP-1c and PPAR $\gamma$ . Interestingly, our study shows unchanged FATP5 and CD36 protein expression in the livers of Se-NAFLD subjects, whereas mice depleted in FATP5 or CD36 exhibited decreases FA uptake and hepatic steatosis (32, 33).

The liver is endowed with a huge capacity for mitochondrial FA  $\beta$ -oxidation. As hepatic steatosis in NAFLD can result not only from lipogenesis, but also from decreased FA  $\beta$ -oxidation, we assessed two important biomarkers: CPT-1, the mitochondrial gateway for FA entry into the matrix and the main controller of the hepatic mitochondrial  $\beta$ -oxidation flux (34), and ACADL, the enzyme that catalyzes the first step in the mitochondrial  $\beta$ -oxidation of long fatty acyl-CoA esters (35). The two degradative enzymes were down-regulated in the liver Se-NAFLD patients. Since PPAR $\alpha$  is expressed primarily in tissues rich in mitochondria, such as the liver, and is an important factor regulating the FA  $\beta$ -oxidation (36), we determined its protein expression. Western blot analysis showed a drop of PPAR $\alpha$  mass. It is possible that the decline in PPAR $\alpha$  affects the link to functional PPAR $\gamma$  response element located on the CPT1 promoter (37) since it has also reported that PPAR may directly regulates CPT1 (36). Other potential mechanisms that may affect the

degradation of FAs in the liver of Se-NAFLD patients are hypersinsulinemia/IR (38) and the low regulation of ACC by phosphorylation. The latter leads to ACC activation and consequently to higher production of malonyl-CoA that inhibits CPT-1, supporting the notion of mitochondrial  $\beta$ -oxidation.

In physiological conditions, once the liver is saturated with glycogen, any additional glucose taken up by hepatocytes is moved to pathways leading to synthesis of FA and exported from the liver as lipoproteins. In this normal state, insulin lowers GLUT2, a facilitative glucose transporter in the liver, and decreases hepatic gluconeogenesis by suppressing expression of the key gluconeogenic enzymes PEPCK and G6P via the activation of PI-3-kinase (39). As a metabolic profile combining hepatic lipid accumulation and activation of hepatic gluconeogenesis characterises insulin resistance (40), we assessed various key enzymes typical of these pathways. Our results show that GLUT2 protein level was upregulated, but in combination with unchanged PEPCK and G6P expression. Additional studies are needed to unravel the foundations of these observations.

Analysis of PL composition disclosed a drop of PC and PC/PE ratio in the liver of Se-NAFLD patients, which may contribute to the initiation and progression of hepatic steatosis. As PC was reported to perform a regulatory function in very low-density lipoprotein assembly in experimental models (41, 42), its impoverishment may hamper the physiological regulation of hepatic lipid export and result in hepato-steatosis. In this context, the mTOR complex 1 was found critical to TG output in mice by upregulating phosphocholine cytidylyltransferase  $\alpha$ , the rate-limiting enzyme involved in the synthesis of PC (43). Additional efforts are needed to determine whether mTOR complex 1 may be included among the etiological mechanisms of NAFLD, especially in humans in which the hepatic PL profile has been little explored (44). Similarly, further studies are required to assess the PE N-methyltransferase pathway responsible for the production of PC from PE (45), particularly as the ratio of PC/PE influences membrane integrity and NASH in mice (46). Alterations in FA composition were documented in the present work. Nevertheless, our findings cannot be attributed to the direct influence of dietary macronutrients and total calorie intake, given conflicting reports in available literature (47). This aspect remains to be fully elucidated in view of the suggestions that obese patients with NAFLD consume diets high in total fat/SFAs or high in carbohydrates/sugars (48, 49). At this point, we can only state that a decline in n-3 and n-6

families was associated with fat accumulation in the liver of Se-NAFLD patients in our studies and a direct demonstration in humans has yet to be undertaken.

### **Proteomic profiling and validation**

Among the various proteins that showed differences, we undertook a validation process. For example, we selected FABP4 which is an active protein in trafficking of unesterified FA within adipocytes and macrophages (50). Additional functions have been attributed to FABP4 such as lipid metabolism, delivery of lipids to nuclear receptors mediating nuclear transcriptional programs and inflammation (51). A few investigators reported the presence of FABP4 in the liver and particularly in Kupffer cells and suggested its association with NAFLD pathogenesis (52). Our experiments clearly indicated the marked overexpression of FABP4 in the liver of Se-NAFLD patients, in agreement with confirmed previous observations (53). Although speculative, our data may suggest a link between raised FABP4 content and lipid accumulation and inflammation as well as protein-protein interaction in NAFLD given the following available information: (i) FABP4 ablation led to alterations in lipid composition and metabolism, along with resistance to develop chronic inflammation, hyperinsulinemia and lipid metabolism aberrations (54, 55); (ii) the induction of FABP4 in macrophages during differentiation resulted in inflammatory responses (56, 57); and (iii) FABP4 levels are increased in decompensated cirrhosis and correlate with hard clinical outcomes (58). Our results are consistent with a role for FABP4 in NAFLD, but further studies are necessary to investigate underlying mechanisms and define whether FABP4 may serve as a surrogate for detection and progression of NAFLD. Finally, additional work is needed to validate various up-and down-regulated proteins.

**Table 1: Baseline anthropometric and liver characteristics of NAFLD subjects**

Variables	Mi-NAFLD (n=10)	Mo-NAFLD (n=10)	Se-NAFLD (n=15)
Gender	3F/7M	4F/6M	7F/8M
Age (y)	42.70 ± 4.31	42.22 ± 3.20	41.40 ± 2.84
Weight (kg)	153.18 ± 7.47	157.49 ± 10.77	163.85 ± 4.22
Height (cm)	159.02 ± 6.19	172.96 ± 10.57	159.09 ± 4.34
BMI (Kg/m <sup>2</sup> )	53.16 ± 2.12	54.12 ± 2.83	56.15 ± 2.07
Waist (cm)	143.20 ± 3.64	167.50 ± 12.20	142.90 ± 3.65
Hip (cm)	159.40 ± 5.30	145.63 ± 9.26	150.35 ± 5.39
Waist/Hip	0.90 ± 0.02	1.16 ± 0.07	0.96 ± 0.03
ALT (U/L)	15.60 ± 1.72	30.75 ± 6.07*	47.80 ± 8.60**#
AST (U/L)	15.80 ± 0.86	22.25 ± 2.78*	39.10 ± 5.22**#
ALT/AST	0.99 ± 0.11	1.36 ± 0.20	1.20 ± 0.09
GGT (U/L)	17.80 ± 4.85	33.67 ± 4.91*	48.30 ± 5.91**#
Steatosis (%)	8.10 ± 1.96	15.56 ± 2.42*	63.67 ± 6.79***###
Steatosis grade	0-1	1-2	≥3

ALT: alanine transaminase; AST: aspartate aminotransferase; BMI: body mass index; GGT: gamma-glutamyl transpeptidase; Mi-NAFLD: minimal non-alcoholic fatty liver disease; Mo-NAFLD: moderate non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease

All values are expressed as mean ± SEM. Data were analyzed by using Anova Tukey's multiple comparisons. \*P<0.05, \*\*P<0.001, \*\*\*P<0.0001 vs Mi-NAFLD; #P<0.05, ##P<0.01, ###P<0.0001 vs. Mo-NAFLD group.

**Table 2: Lipids and phospholipid classes in the liver of NAFLD subjects**

Variables	Mi-NAFLD (n=10)	Mo-NAFLD (n=10)	Se-NAFLD (n=15)
<b>Lipids</b>			
TG (mg/g liver)	27.43 ± 5.62	41.15 ± 4.70*	61.89 ± 4.90**#
TC (mg/g liver)	4.45 ± 0.30	4.52 ± 0.43	4.44 ± 0.23
PL (mg/g liver)	175.62 ± 21.32	162.74 ± 22.18	127.37 ± 21.09*
<b>Phospholipids</b>			
Total PL classes (mg/g liver)	28.125 ± 0.47	27.50 ± 2.26	21.90 ± 1.59*
PC (mg/g liver)	12.08 ± 0.52 [43%]	11.91 ± 0.77 [44%]	8.93 ± 0.56 [38%]*#
PE (mg/g liver)	9.14 ± 0.72 [32%]	7.88 ± 0.43 [29%]	7.71 ± 0.22 [33%]
SP (mg/g liver)	1.85 ± 0.16 [7%]	2.06 ± 0.11 [8%]	2.54 ± 0.45 [11%]*
PS (mg/g liver)	2.46 ± 0.29 [9%]	2.34 ± 0.15 [9%]	2.14 ± 0.28 [9%]
PI (mg/g liver)	2.67 ± 0.18 [9%]	2.71 ± 0.16 [10%]	2.15 ± 0.36 [9%]
PC/PE	1.40 ± 0.15 [35%]	1.40 ± 0.07 [34%]	1.16 ± 0.09 [29%] <sup>#</sup>

Mi-NAFLD: minimal non-alcoholic fatty liver disease; Mo-NAFLD: moderate non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease; PL: phospholipids; PC: phosphatidylcholine; PE: phosphatidylethanolamine; PI: phosphatidylinositol; PS: phosphatidylserine; SP: sphingomyelin; TC: total cholesterol; TG: triglyceride.

All values are expressed as mean ± SEM. Data were analyzed by using Anova Tukey's multiple comparisons. \*P<0.05, \*\*P<0.001 vs. Mi-NAFLD; #P<0.05 vs. Mo-NAFLD group.

**Table 3: Metabolic biomarkers and lipid profile of NAFLD Subjects**

<b>Variables</b>	<b>Mi-NAFLD (n=10)</b>	<b>Mo-NAFLD (n=10)</b>	<b>Se-NAFLD (n=15)</b>
<b>Metabolic variables</b>			
Fasting blood Glucose (mmol/L)	5.36 ± 0.17	5.90 ± 0.16	6.35 ± 0.23**
Fasting Insulin (uUI/mL)	12.79 ± 2.29	47.84 ± 6.16**	66.13 ± 9.22***#
HOMA-IR	2.95 ± 0.45	12.50 ± 1.43**	18.78 ± 2.78***#
Glycohemoglobin (%)	6.00 ± 0.00	4.00 ± 0.01	5.00 ± 0.01
SBP (mmHg)	127.60 ± 5.61	142.25 ± 5.30	133.50 ± 3.53
DBP (mmHg)	81.00 ± 3.96	90.50 ± 2.36	80.80 ± 3.35
<b>Lipid profile</b>			
TG (mmol/L)	1.21 ± 0.19	1.60 ± 0.15*	2.21 ± 0.19**#
TC (mmol/L)	5.00 ± 0.26	4.88 ± 0.25	5.06 ± 0.39
FC (mmol/L)	1.34 ± 0.10	1.31 ± 0.22	1.28 ± 0.16
CE (mmol/L)	3.27 ± 0.30	2.59 ± 0.24	3.03 ± 0.53
HDL-C (mmol/L)	1.27 ± 0.07	1.14 ± 0.06	1.12 ± 0.06#
LDL-C (mmol/L)	2.83 ± 0.22	2.87 ± 0.25	3.28 ± 0.26
TC/HDL-C	3.99 ± 0.24	4.27 ± 0.10	4.55 ± 0.34
LDL-C/HDL-C	2.31 ± 0.25	2.56 ± 0.22	2.96 ± 0.21*

DBP: diastolic blood pressure; CE: cholesteryl ester; HDL-C: high-density lipoprotein-cholesterol; Mi-NAFLD: minimal non-alcoholic fatty liver disease; Mo-NAFLD: moderate non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease; LDL-C: low-density lipoprotein-cholesterol; SBP: systolic blood pressure; FC: free cholesterol; TC: total cholesterol; TG: triglyceride.

All values are expressed as mean ± SEM. Data were analyzed by using Anova Tukey's multiple comparisons. \*P<0.05, \*\*P<0.001, \*\*\*P<0.0001 vs. Mi-NAFLD; #P<0.05 vs. Mo-NAFLD group.

**Table 4: Oxidative stress and inflammatory biomarkers in the liver of NAFLD subjects**

<b>Variables</b>	<b>Mi-NAFLD (n=10)</b>	<b>Mo-NAFLD (n=10)</b>	<b>Se-NAFLD (n=15)</b>
<b>Oxidative stress</b>			
H <sub>2</sub> O <sub>2</sub> (umol/g prot)	5.38 ± 0.70	14.63 ± 1.74***	17.30 ± 1.31***
<b>Inflammation</b>			
PGE <sub>2</sub> (pg/mg prot)	4.67 ± 0.54	8.90 ± 1.33*	8.60 ± 1.05*
iNOS (U/mg prot)	0.23 ± 0.03	0.49 ± 0.09*	0.54 ± 0.13*
TNF <sub>a</sub> (pg/mg prot)	346.17 ± 71.19	1103 ± 161.73**	878.33 ± 136.54*

H<sub>2</sub>O<sub>2</sub>: Hydrogen peroxide; iNOS: Inducible nitric oxide synthase; Mi-NAFLD: minimal non-alcoholic fatty liver disease; Mo-NAFLD: moderate non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease; PGE<sub>2</sub>: prostaglandin E<sub>2</sub>; TNF<sub>a</sub>: Tumor necrosis factor alpha.

All values are expressed as mean ± SEM. Data were analyzed by using Anova Tukey's multiple comparisons. \*P<0.05, \*\*P<0.001, \*\*\*P<0.0001 vs. Mi-NAFLD group.

**Table 5: Liver fatty acids composition in NAFLD subjects**

Fatty Acids (FA)	Mi-NAFLD (n=10)	Mo-NAFLD (n=10) (%)	Se-NAFLD (n=15)	Mi-NAFLD (n=10)	Mo-NAFLD (n=10) ( $\mu$ M)	Se-NAFLD (n=15)
<b>SFA</b>						
PA (C16:0)	23.88 ± 0.64	26.72 ± 1.28	30.68 ± 1.50**	220.50 ± 17.09	513.71 ± 92.31*	803.65 ± 134.68**
SA (C18:0)	9.65 ± 0.69	7.41 ± 0.50*	6.32 ± 0.43**#	91.16 ± 5.99	135.39 ± 11.59*	153.86 ± 14.65**
<b>MUFA</b>						
POA (C16 :1n-7)	2.34 ± 0.39	3.05 ± 0.56	3.57 ± 0.57	20.67 ± 5.21	59.97 ± 15.28*	100.38 ± 19.18**
OA (C18:1n9)	26.46 ± 3.16	33.20 ± 0.63	34.05 ± 1.38	212.83 ± 11.97	633.74 ± 95.32*	878.47 ± 116.49**
EIA (C20:1n9)	0.27 ± 0.08	0.25 ± 0.03	0.22 ± 0.03	1.73 ± 0.13	4.72 ± 0.73*	5.49 ± 0.80**
ETA (C20:3n9)	0.10 ± 0.01	0.08 ± 0.01	0.05 ± 0.003**#	0.84 ± 0.05	1.55 ± 0.19*	1.11 ± 0.15
ERA (C22:1n9)	1.35 ± 0.17	0.83 ± 0.07	0.74 ± 0.16**#	13.91 ± 0.07	17.40 ± 2.91	18.02 ± 5.09
NA (C24:1n9)	0.19 ± 0.02	0.09 ± 0.02**	0.09 ± 0.01**	1.86 ± 0.09	2.10 ± 0.99	1.90 ± 0.11
<b>PUFA</b>						
<i>n-3 series</i>						
ALA (C18:3n3)	0.45 ± 0.05	0.53 ± 0.04	0.62 ± 0.04*	4.07 ± 0.41	10.16 ± 1.65	16.25 ± 2.37**
EPA (C20:5n3)	0.24 ± 0.03	0.16 ± 0.02*	0.10 ± 0.02**#	2.34 ± 0.19	2.87 ± 0.15	2.24 ± 0.47
DPA (C22:5n3)	0.35 ± 0.06	0.22 ± 0.03	0.12 ± 0.03**	3.70 ± 0.51	3.99 ± 0.68	2.54 ± 0.68
DHA (C22:6n3)	1.31 ± 0.12	0.73 ± 0.07**	0.42 ± 0.06***#	13.38 ± 1.19	14.47 ± 3.54	10.03 ± 1.89
<i>n-6 series</i>						
LA (C18 :2n-6)	14.86 ± 0.61	12.69 ± 0.56	11.63 ± 0.84*	140.31 ± 10.67	237.80 ± 24.88	293.85 ± 33.22**
GLA (C18:3n6)	0.21 ± 0.02	0.25 ± 0.02	0.19 ± 0.01	2.07 ± 0.20	4.65 ± 0.81	4.66 ± 0.68
HGLA (C20:3n6)	0.90 ± 0.15	0.52 ± 0.05*	0.37 ± 0.05**#	9.16 ± 1.31	9.80 ± 0.81	8.79 ± 1.20
AA (C20:4n6)	6.55 ± 1.17	3.76 ± 0.62*	1.79 ± 0.23**#	68.78 ± 6.88	67.93 ± 7.06	41.38 ± 5.53**#
DIA (C22:4n6)	0.03 ± 0.01	0.03 ± 0.01	0.01 ± 0.00	0.16 ± 0.03	0.57 ± 0.37	0.15 ± 0.03
Total n-3 FA	2.45 ± 0.18	1.79 ± 0.12*	1.29 ± 0.13***#	24.52 ± 1.83	34.87 ± 4.53	31.74 ± 4.50
Total n-6 FA	22.69 ± 1.93	17.60 ± 1.16	14.08 ± 1.08**	221.64 ± 17.98	326.60 ± 27.50	351.37 ± 38.05*
Total n-7 FA	5.06 ± 0.50	5.75 ± 0.55	6.44 ± 0.44	44.01 ± 6.91	110.77 ± 20.22	170.43 ± 27.41**

Total n-9 FA	28.38 ± 3.09	34.48 ± 0.69	35.14 ± 1.38	231.1 ± 11.93	660.17 ± 99.54*	904.99 ± 115.45**
Total SFA	38.73 ± 1.52	38.60 ± 0.85	41.61 ± 1.78	370.31 ± 25.71	737.60 ± 112.64*	1075.89 ± 165.36**#
Total MUFA	35.09 ± 3.39	41.31 ± 0.47	42.55 ± 1.33	288.63 ± 18.58	791.74 ± 119.14*	1099.86 ± 141.75**##
Total PUFA	25.24 ± 2.10	19.37 ± 1.20*	15.42 ± 1.18**#	246.99 ± 19.77	360.67 ± 31.88	384.21 ± 41.96*#
Total FA	100.00 ± 0.00	100.00 ± 0.00	100.00 ± 0.00	914.67 ± 58.25	1900.23 ± 260.21	2570.25 ± 325.73*#

AA :Arachidonic acid; ALA: Alpha linolenic acid; DHA: Docosahexanoic acid; DPA: Docosapentaenoic acid; DTA: Docosatetraenoic acid; EIA: Eicosenoic acid; EPA: eicosapentaenoic acid; ERA: Erucic acid; ETA: Eicosatrienoic acid; FA: Fatty acid; GLA: Gamma Linoleic acid; Hb: Hemoglobin; HGLA: Homogamma linolenic acid; Mi-NAFLD: minimal non-alcoholic fatty liver disease; Mo-NAFLD: moderate non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease; LA: Linoleic acid; MA: Myristic acid; MUFA: Monounsaturated fatty acid; NA: Nervonic acid; OA: Oleic acid; PA: Palmitic acid; POA: Palmitoleic acid; PUFA: Polyunsaturated fatty acid; SA: Stearic acid; SFA: Saturated fatty acid. All values are expressed as mean ± SEM. Data were analyzed by using an Anova Tukey's multiple comparison. Statistical significance was defined as \* $P<0.05$ ; \*\* $P<0.001$ ; \*\*\* $P<0.0001$  vs. Mi-NAFLD; # $P<0.05$  ## $P<0.01$  vs. Mo-NAFLD group.

**Table 6: Liver fatty acids ratios in NAFLD subjects**

	<b>Mi-NAFLD (n=10)</b>	<b>Mo-NAFLD (n=10)</b>	<b>Se-NAFLD (n=15)</b>
16:1n-7/18:2n-6	0.15 ± 0.03	0.25 ± 0.06	0.33 ± 0.03*
18:2n-6/20:4n-6	2.07 ± 0.13	3.63 ± 0.46	7.41 ± 1.02***##
20:3n-9/20:4n-6	0.01 ± 0.00	0.02 ± 0.00*	0.03 ± 0.00**
Total n7/18:2n-6	0.32 ± 0.05	0.46 ± 0.06	0.56 ± 0.04*
PUFA/SFA	0.67 ± 0.03	0.52 ± 0.05	0.37 ± 0.04**
EFA (LA+ALA)/NON-EFA	0.19 ± 0.01	0.15 ± 0.01*	0.13 ± 0.01**
EPA/DHA	0.18 ± 0.01	0.20 ± 0.03	0.22 ± 0.01
DHA/AA	0.20 ± 0.01	0.24 ± 0.04	0.24 ± 0.02
ALA/EPA	1.78 ± 0.24	3.53 ± 0.49	8.33 ± 1.82**##
ALA/LA	0.03 ± 0.00	0.04 ± 0.00	0.05 ± 0.00**
n-6/n-3	9.10 ± 0.16	9.64 ± 0.62	11.51 ± 0.92*
Δ5 (20:4n-6/20 :3n-6)	7.67 ± 0.50	7.01 ± 0.75	4.72 ± 0.08**#
Δ6 (20:3n-6/18:2n-6)	0.03 ± 0.01	0.04 ± 0.00	0.04 ± 0.00
Δ7 (16:1n-7/16:0)	0.09 ± 0.02	0.11 ± 0.01	0.12 ± 0.11
Δ9 (18:1n-9/18:0)	2.34 ± 0.12	4.62 ± 0.33*	5.68 ± 0.63***##
Omega-3 Index : %(EPA+DHA)	1.55 ± 0.14	0.89 ± 0.07***	0.50 ± 0.08***#
<u>Lipogenesis index (16:00/18:2n-6)</u>	<u>1.57 ± 0.07</u>	<u>2.11 ± 0.20***</u>	<u>2.72 ± 0.23***#</u>

AA: Arachidonic acid; ALA: Alpha linolenic acid; DHA: Docosahexanoic acid; EFA: Essential fatty acid; EPA: eicosapentaenoic acid; Mi-NAFLD: minimal non-alcoholic fatty liver disease; Mo-NAFLD: moderate non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease; LA: Linoleic acid; PUFA: Polyunsaturated fatty acid; SFA: Saturated fatty acid. All values are expressed as mean ± SEM. Data were analyzed by using an Anova Tukey's multiple comparison. Statistical significance was defined as \*\*P<0.001; \*\*\*P<0.0001 vs. Mi-NAFLD; #P<0.05 vs. Mo-NAFLD.

**Table 7: Summary of statistically different proteins and their related functions expressed in NAFLD subjects.**

Function	#	Protein name	Accession number
<b>Catalytic activity</b>			
	57	Kynurenine/alpha-amino adipate aminotransferase mitochondrial	Q8N5Z0 AADAT_HUMAN
	55	Acyl-coenzyme A synthetase mitochondrial	Q6NUN0 ACSM5_HUMAN
	61	Acetyl-coenzyme A synthetase cytoplasmic	Q9NR19 ACSA_HUMA_N
	53	Cytosolic 10-formyltetrahydrofolate dehydrogenase	O75891 AL1L1_HUMA_N
	76	L-xylulose reductase	Q7Z4W1 DCXR_HUMAN
	78	Aspartyl aminopeptidase	Q9ULA0 DNPEP_HUMAN
	69	Glyoxylate reductase/hydroxypyruvate reductase	Q9UBQ7 GRHPR_HUMAN
	74	Glycogenin-2	O15488 GLYG2_HUMAN
	70	3-hydroxyanthranilate 3,4-dioxygenase	P46952 3HAO_HUMA_N
	63	Beta-lactamase-like protein 2	Q53H82 LACB2_HUMAN
	62	Methylmalonyl-CoA epimerase mitochondrial	Q96PE7 MCEE_HUMA_N
	79	Aldehyde oxidase	Q06278 AOXA_HUMAN
	66	Peptidyl-prolyl cis-trans isomerase NIMA-interacting 4	Q9Y237 PIN4_HUMAN
	77	Peptidyl-prolyl cis-trans isomerase C	P45877 PPIC_HUMAN
	73	Glycogen phosphorylase brain form	P11216 PYGB_HUMAN
	71	Beta-ureidopropionase	Q9UBR1 BUP1_HUMAN
<b>GTPase activity</b>			
	45	GTPase HRas	P01112 RASH_HUMAN
	8	Ras-related protein Rab-1B	Q9H0U4 RAB1B_HUMAN
	41	Ras-related protein Rab-35	tr F5H157 F5H157_HUMAN
	13	Ras-related protein Rab-8B (Fragment)	tr H0YNE9 H0YNE9_HUMAN

<b>Small molecule binding</b>	16	Signal recognition particle receptor subunit alpha	P08240 SRPRA_HUMAN N
	49	Tubulin beta-8 chain	Q3ZCM7 TBB8_HUMAN AN
	34	Actin aortic smooth muscle	P62736 ACTA_HUMAN N
	50	Actin-related protein 2	P61160 ARP2_HUMAN
	31	Serum albumin	tr A0A0C4DGB6 A0A0 C4DGB6_HUMAN
	1	Cleavage stimulation factor subunit 2	tr E7EWR4 E7EWR4_HUMAN
	7	Fatty acid-binding protein (adipocyte)	P15090 FABP4_HUMAN N
	51	Fatty acid-binding protein (epidermal)	Q01469 FABP5_HUMAN N
	4	Heterogeneous nuclear ribonucleoprotein H	tr E9PCY7 E9PCY7_HUMAN
	45	GTPase HRas	P01112 RASH_HUMAN N
	2	Heat shock 70 kDa protein 6	P17066 HSP76_HUMAN N
	18	Myosin light chain kinase smooth muscle	Q15746 MYLK_HUMAN N
	8	Ras-related protein Rab-1B	Q9H0U4 RAB1B_HUMAN AN
	41	Ras-related protein Rab-35	tr F5H157 F5H157_HUMAN
	13	Ras-related protein Rab-8B (Fragment)	tr H0YNE9 H0YNE9_HUMAN
<b>Anion binding</b>	16	Signal recognition particle receptor subunit alpha	P08240 SRPRA_HUMAN N
	49	Tubulin beta-8 chain	Q3ZCM7 TBB8_HUMAN AN
	12	Valine-tRNA ligase	P26640 SYVC_HUMAN N
	34	Actin aortic smooth muscle	P62736 ACTA_HUMAN N
	50	Actin-related protein 2	P61160 ARP2_HUMAN
	31	Serum albumin	tr A0A0C4DGB6 A0A0 C4DGB6_HUMAN
	7	Fatty acid-binding protein (adipocyte)	P15090 FABP4_HUMAN N
	51	Fatty acid-binding protein (epidermal)	Q01469 FABP5_HUMAN N
	11	Glutathione S-transferase	P09488 GSTM1_HUMAN AN
	45	GTPase HRas	P01112 RASH_HUMAN N
	2	Heat shock 70 kDa protein 6	P17066 HSP76_HUMAN N

	5	Lactotransferrin (Fragment)	tr E7EQB2 E7EQB2_H UMAN
	18	Myosin light chain kinase smooth muscle	Q15746 MYLK_HUMA N
	8	Ras-related protein Rab- 1B	Q9H0U4 RAB1B_HUM AN
	41	Ras-related protein Rab- 35	tr F5H157 F5H157_HU MAN
	13	Ras-related protein Rab- 8B (Fragment)	tr H0YNE9 H0YNE9_H UMAN
	16	Signal recognition particle receptor subunit alpha	P08240 SRPRA_HUMA N
	49	Tubulin beta-8 chain	Q3ZCM7 TBB8_HUM AN
	12	Valine-tRNA ligase	P26640 SYVC_HUMA N
<b>Fatty acid binding</b>			
	31	Serum albumin	tr A0A0C4DGB6 A0A0 C4DGB6_HUMAN
	7	Fatty acid-binding protein (adipocyte)	P15090 FABP4_HUMA N
	51	Fatty acid-binding protein (epidermal)	Q01469 FABP5_HUMA N
<b>Binding</b>			
	34	Actin aortic smooth muscle	P62736 ACTA_HUMA N
	50	Actin-related protein 2	P61160 ARP2_HUMAN
	31	Serum albumin	tr A0A0C4DGB6 A0A0 C4DGB6_HUMAN
	29	Zinc-alpha-2- glycoprotein	P25311 ZA2G_HUMA N
	23	Coronin-1C	Q9ULV4 COR1C_HUM AN
	7	Fatty acid-binding protein (adipocyte)	P15090 FABP4_HUMA N
	51	Fatty acid-binding protein (epidermal)	Q01469 FABP5_HUMA N
	9	Fibulin-1	P23142 FBLN1_HUMA N
	43	Filaggrin	P20930 FILA_HUMAN
	14	Fragile X mental retardation protein 1	tr A8MQB8 A8MQB8_ HUMAN
	11	Glutathione S- transferase	P09488 GSTM1_HUM AN
	20	Hemoglobin subunit alpha	P69905 HBA_HUMAN
	21	HLA class I histocompatibility antigen alpha chain G	tr Q8MH48 Q8MH48_H UMAN
	48	High mobility group protein HMG-I/HMG-Y	P17096 HMGA1_HUM AN
	45	GTPase HRas	P01112 RASH_HUMA N
	2	Heat shock 70 kDa protein 6	P17066 HSP76_HUMA N

42	Inhibin beta E chain	P58166 INHBE_HUMA N
5	Lactotransferrin (Fragment)	tr E7EQB2 E7EQB2_H UMAN
6	Mannose-binding protein	P11226 MBL2_HUMA N
18	Myosin light chain kinase smooth muscle	Q15746 MYLK_HUMA N
19	Optineurin	Q96CV9 OPTN_HUMA N
47	Perilipin-1	O60240 PLIN1_HUMA N
10	Trypsin-1	tr E7EQ64 E7EQ64_HU MAN
8	Ras-related protein Rab- 1B	Q9H0U4 RAB1B_HUM AN
41	Ras-related protein Rab- 35	tr F5H157 F5H157_HU MAN
13	Ras-related protein Rab- 8B (Fragment)	tr H0YNE9 H0YNE9_H UMAN
32	Protein transport protein Sec24B	tr G5EA31 G5EA31_HU MAN
24	Nuclear autoantigen Sp- 100	P23497 SP100_HUMA N
16	Signal recognition particle receptor subunit alpha	P08240 SRPRA_HUMA N
26	Stress-induced- phosphoprotein 1	P31948 STIP1_HUMA N
49	Tubulin beta-8 chain	Q3ZCM7 TBB8_HUM AN
12	Valine--tRNA ligase	P26640 SYVC_HUMA N

# in the table represents the protein number on the representative volcano plot in Figure 8.

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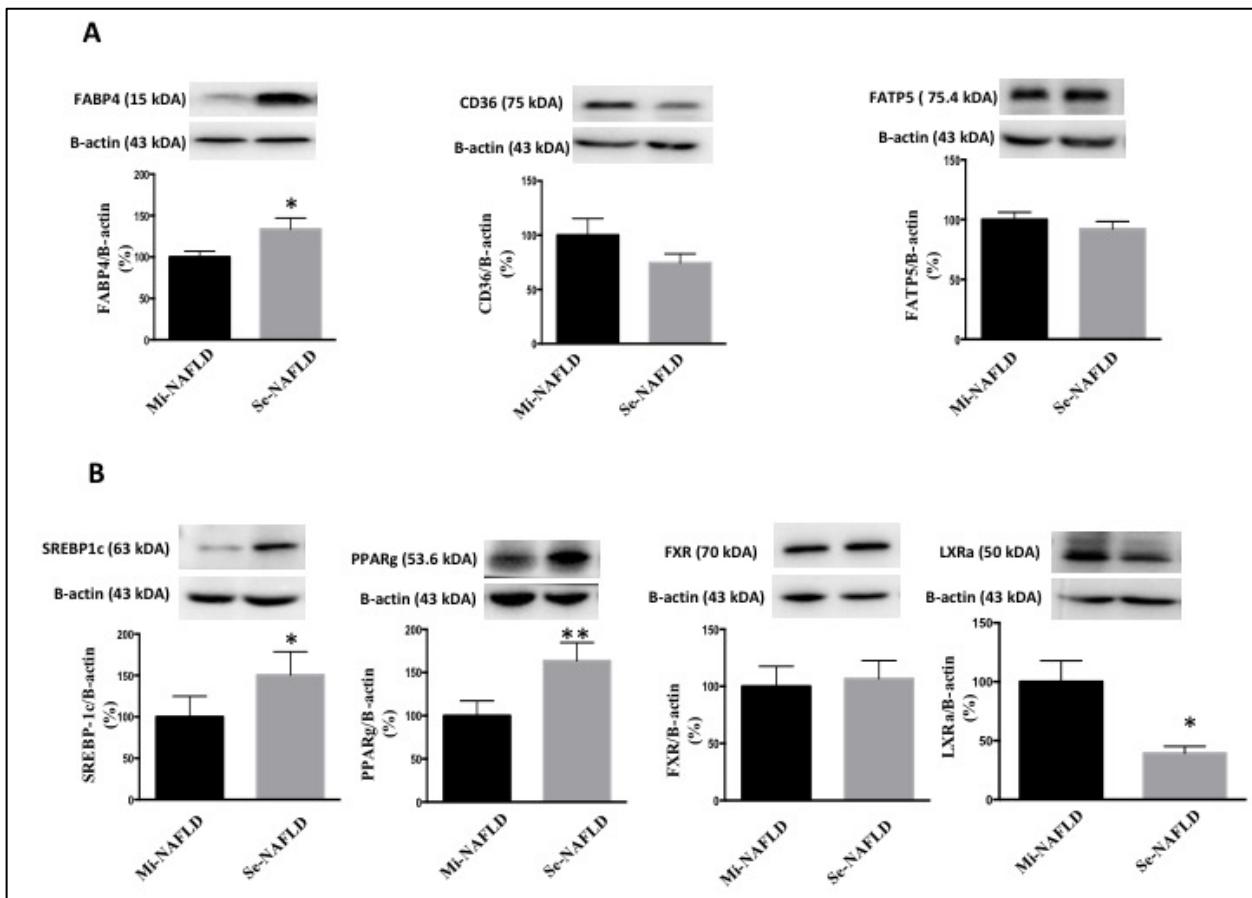
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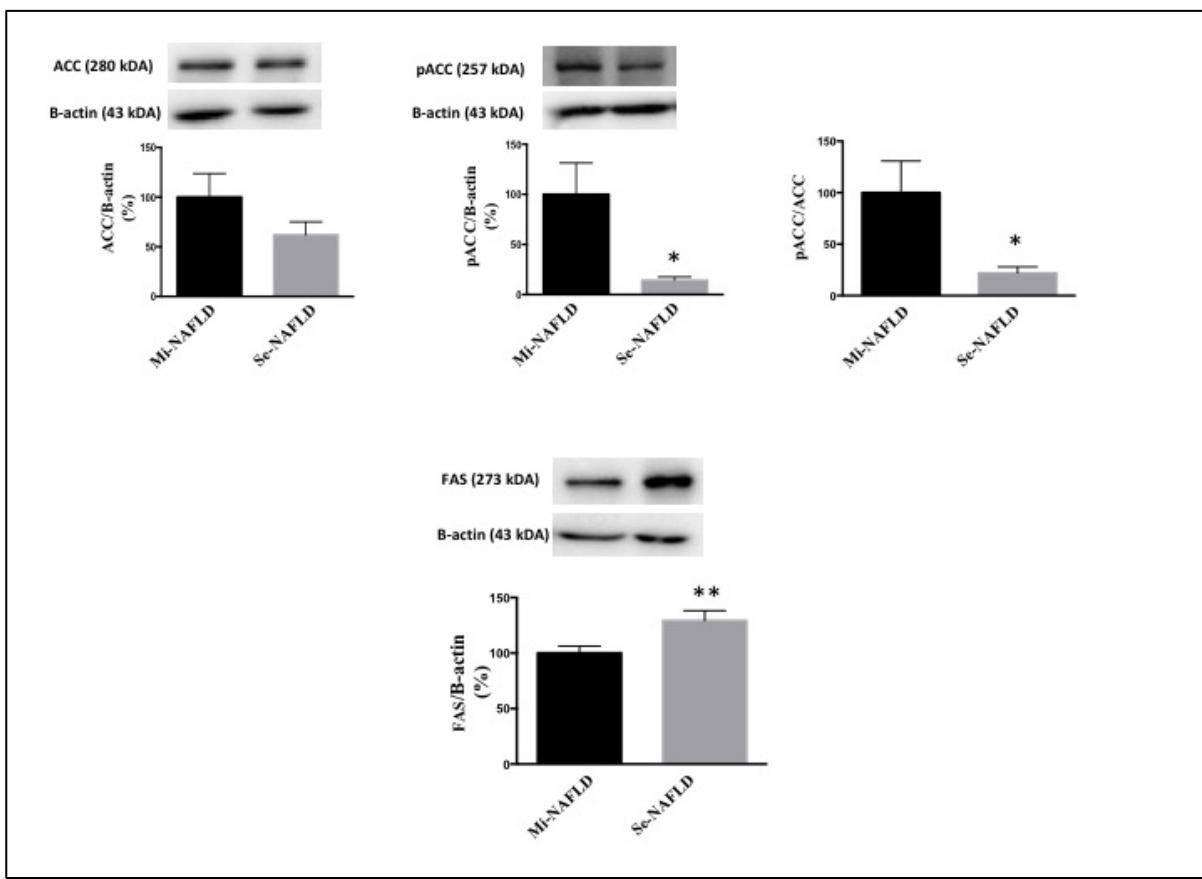
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**Figure 1. Hepatic fatty acid uptake and metabolism in NAFLD subjects.**

Protein extracts from liver total cell lysates were resolved by SDS/PAGE and immunoblotted for FABP4, CD36, FATP5, SERBP1-C, PPAR $\gamma$ , FXR and LXR $\alpha$  for protein mass. The protein content was normalized to  $\beta$ -actin as a housekeeping gene. Results represent the means  $\pm$  SEM for n=5 for each group. \*P<0.05 compared to Mi-NAFLD group.

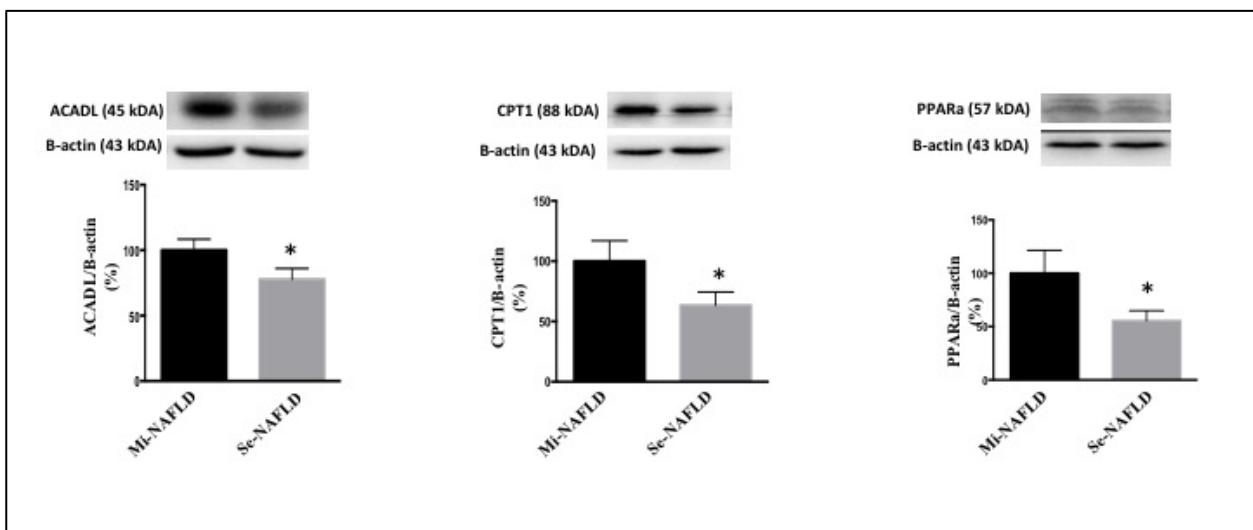
Mi-NAFLD: minimal non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease.



**Figure 2. Hepatic lipogenesis expression in NAFLD subjects.**

Protein extracts from liver total cell lysates were resolved by SDS/PAGE and immunoblotted for ACC, phospho-ACC-Ser79 and FAS for protein expression. The ratio of total ACC protein content and phospho-ACC was then calculated. Results represent the means  $\pm$  SEM for n=5 for each group. \*P<0.05 compared to Mi-NAFLD group.

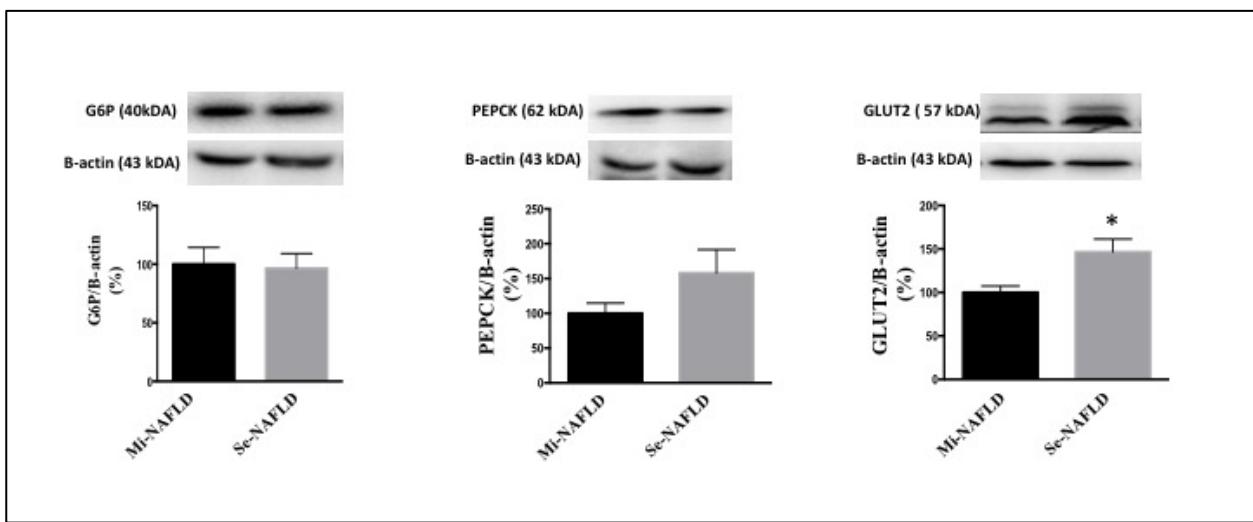
Mi-NAFLD: minimal non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease.



**Figure 3. Mitochondrial fatty acid  $\beta$ -oxidation estimation in NAFLD.**

The expressions of the key enzymes (CPT1 and ACADL) as well as PPAR $\alpha$  transcription factor was analyzed by Western blot as described in “Materials and Methods.” Results represent the means  $\pm$  SEM for n=5 for each group. \*P<0.05 compared to Mi-NAFLD group.

Mi-NAFLD: minimal non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease.

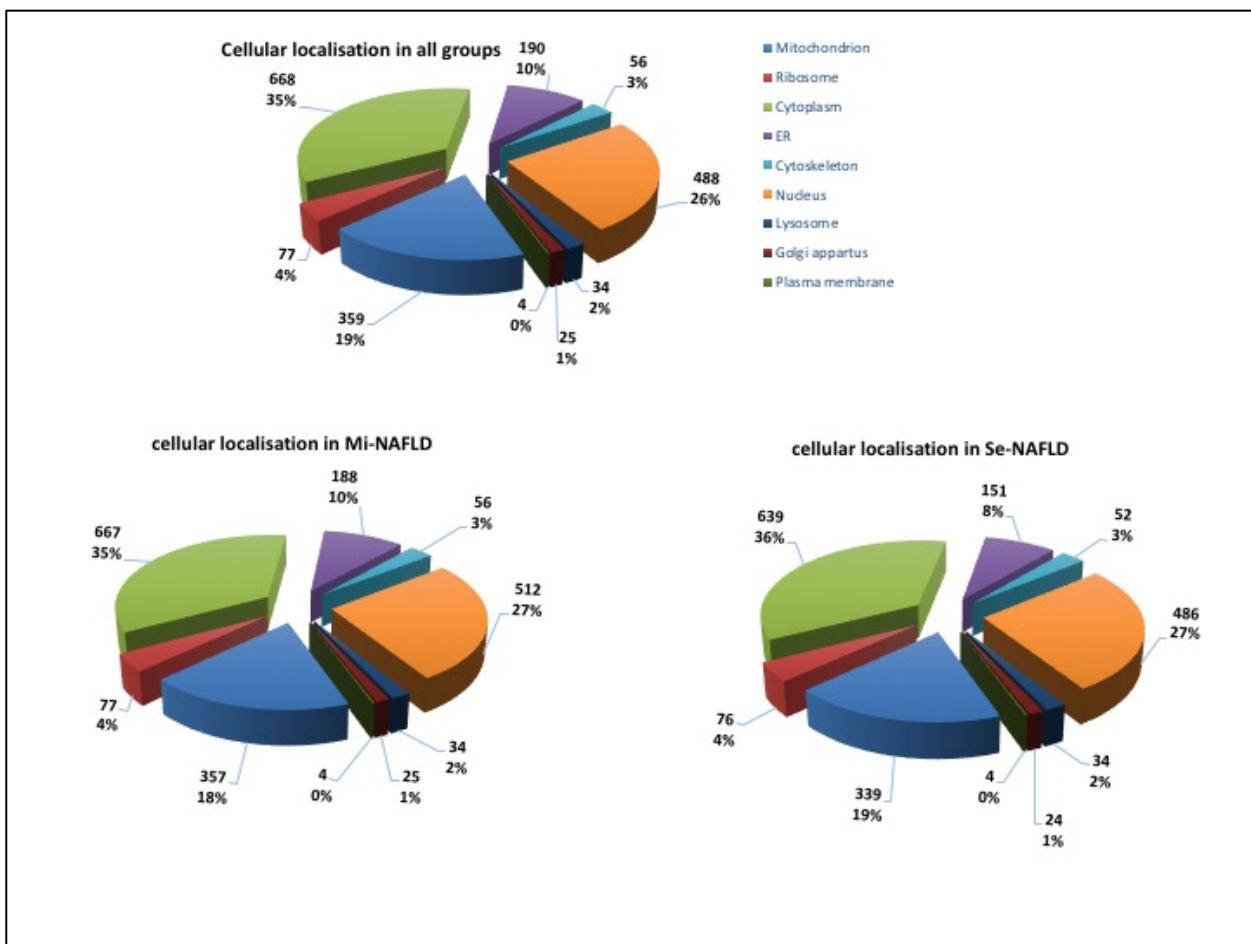


**Figure 4. Hepatic gluconeogenesis in NAFLD patients.**

Protein mass of G6P, PEPCK and GLUT2 was analysed by Western blot as described in “Materials and Methods.” Results represent the means  $\pm$  SEM for n=5 for each group.

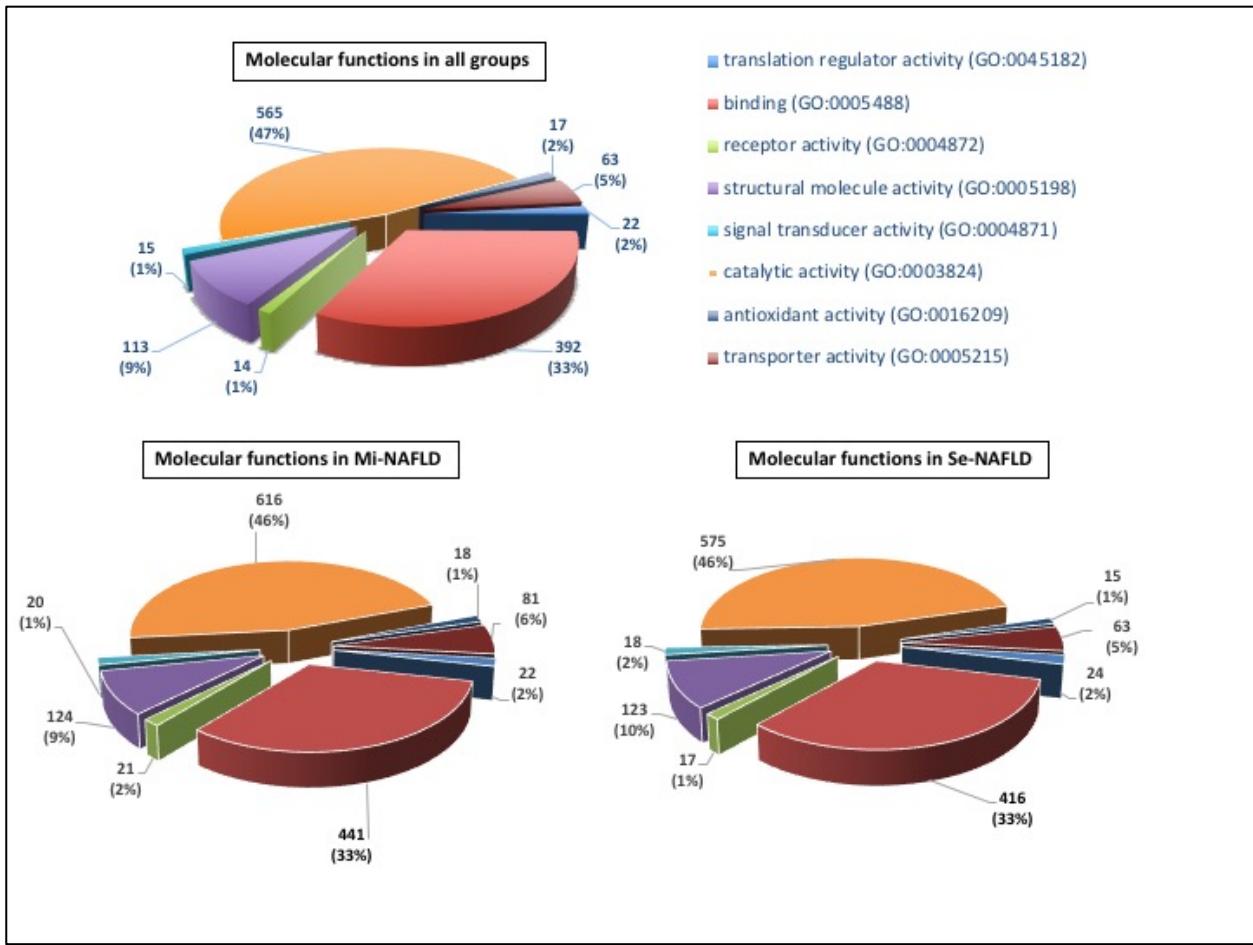
\*P<0.05 compared to Mi-NAFLD group.

Mi-NAFLD: minimal non-alcoholic fatty liver disease; Se-NAFLD: severe non-alcoholic fatty liver disease.



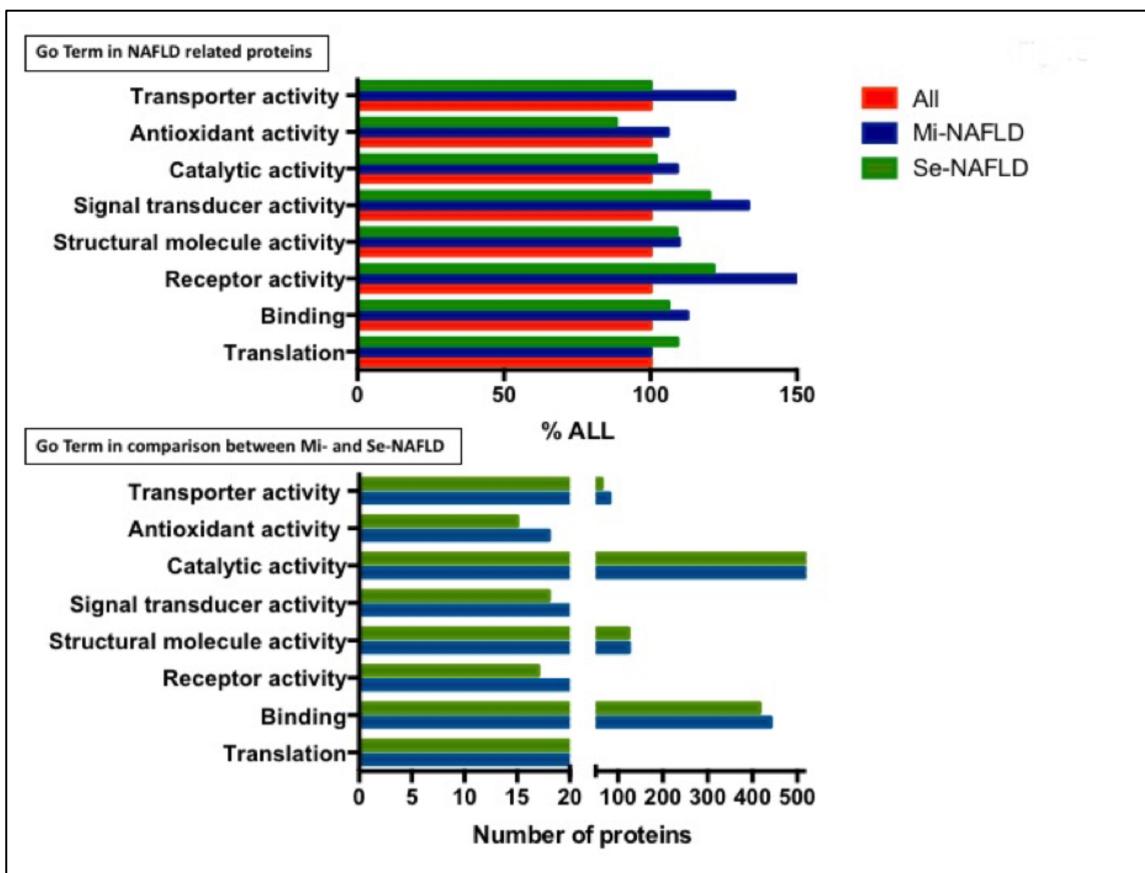
**Figure 5. Annotation of Gene Ontology (GO) category cellular component of the different proteins found in the liver.**

Localization of proteins identified in hepatic tissues was defined from the GO terms built from the UniProt Knowledgebase (n=1737 proteins). All the localizations that applied for a single protein were considered. Results refer to: localization, number and % of proteins detected in each intracellular site. Localizations are colour coded and described in the affiliated legend.



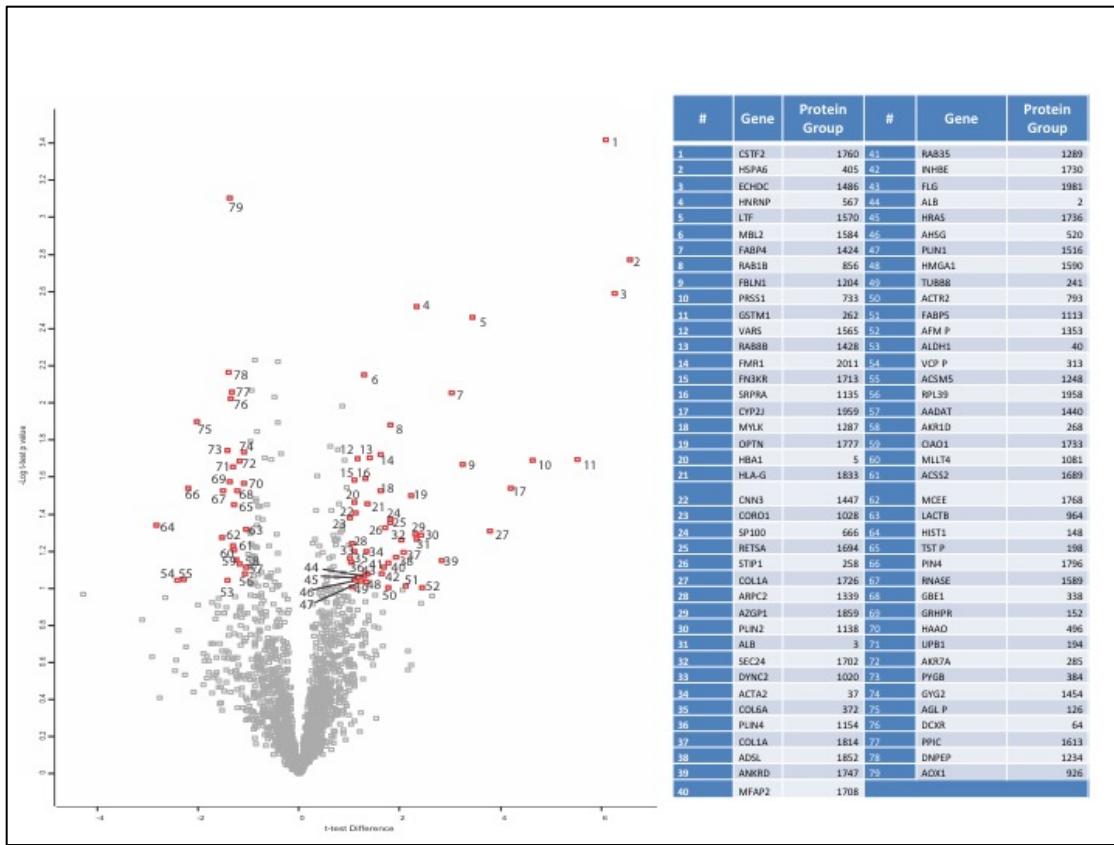
**Figure 6. Related functions of the different proteins in the liver.**

Classification of proteins identified in the liver in each group was defined from the Gene Ontology terms from the UniProt Knowledgebase (n=1737 proteins). All the functions that applied for a single protein were considered. Results are expressed as: function; number of proteins involved in this function; and % of proteins involved in this function. Functions are colour coded and described in the affiliated legend.



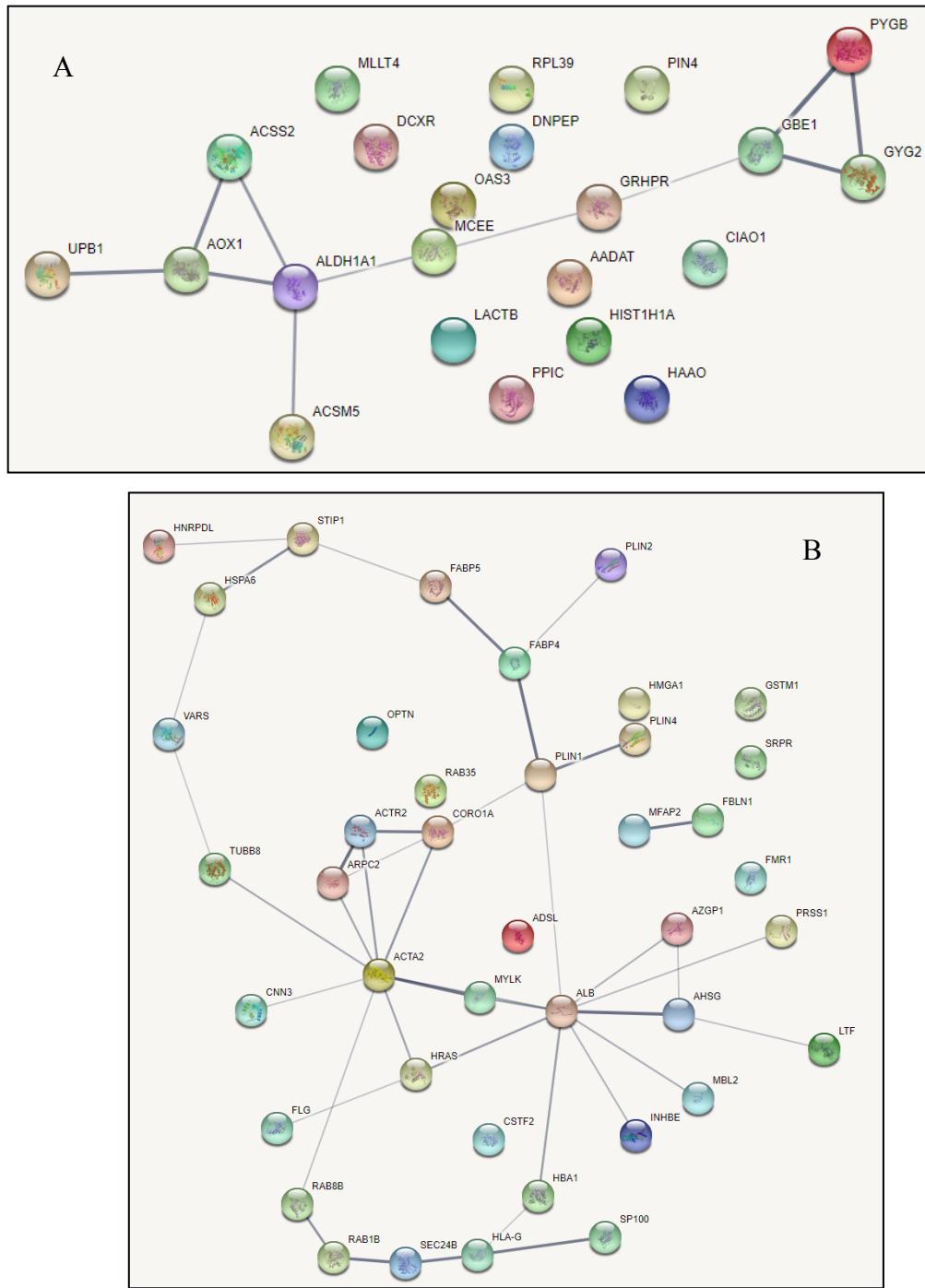
**Figure 7. Functional annotation of the different proteins in the liver.**

The proteins derived from the Gene Ontology terms built from the UniProt Knowledgebase (n=1737 proteins) were calculated according to their enrichment: (A) total differentially expressed proteins in the whole NAFLD group and subgroups (moderate and severe NAFLD subjects) and (B) between minimal and severe NAFLD groups.



**Figure 8. Volcano plot representation of statistically different proteins expressed in NAFLD subjects.**

X-axis represents the variation in expression [t-test (fold change)] while y-axis represents the statistical significance [- log *t-test* (p-value)]. Proteins with coordinates (x,y) where x is <-1 or > 1 and y is > 1 are statistically halved or doubled in a group of samples. Statistically reproducible proteins have been numbered. Proteins on the right of x=0 (labelled 1-52) are up regulated whereas those on the left of x=0 (labelled 53-79) are down regulated as described in **Table 7**.



**Figure 9. Relevance of protein-protein interactions in severe NAFLD subjects.**

A representative network is illustrated for (A) significant downregulated and (B) upregulated protein-interactions constructed using the bioinformatic tool STRING v.10.00. Proteins are expressed according to the gene name.

## Article 4

### «Plasma fatty acid composition in French-Canadian children with non-alcoholic fatty liver disease: Effect of n-3 PUFA supplementation»

**Spahis S, Alvarez F, Dubois J, Ahmed N, Peretti N, Levy E.** *Prostaglandins Leukot Essent Fatty Acids.* 2015 Aug;99:25-34. doi:10.1016/j.plefa.2015.04.010. Epub 2015 May 8.

#### CONTRIBUTION DES AUTEURS

**Spahis S** a été impliquée dans la conception et la planification de l'étude ; la mise au point du protocole de recherche clinique auprès de Santé Canada et du Comité d'éthique à la recherche ainsi que la création des "Case Report Form" pour chaque patient. Elle s'est occupée du recrutement et le suivi des patients; l'acquisition des données biochimiques, leur analyse et leur interprétation. Elle a contribué à la rédaction, soumission et révision du manuscrit sous la supervision du Directeur de recherche, Dr Levy E.

**Alvarez F et Ahmed N** ont contribué au recrutement et au suivi médical des patients.

**Dubois J** a contribué au suivi médical des patients.

**Peretti N** a participé à la conception de l'étude.

**Levy E** a contribué au concept et à la supervision de l'étude, aux ressources financières et matérielles pour la réalisation de l'étude. Dr Levy E a participé à la rédaction, l'analyse critique des résultats et la révision du manuscrit.

**Plasma fatty acid composition in French-Canadian children with non-alcoholic fatty liver disease: Effect of n-3 PUFA supplementation**  
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**Running Title:** Fatty acid profile and efficacy of omega 3 in pediatric NAFLD

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## **ABSTRACT**

Non-alcoholic fatty liver disease (NAFLD) represents one of the most common causes of liver disease worldwide. As the NAFLD pathogenesis is associated with diet and lifestyle, the aims of the present work are to assess FA composition in NAFLD young French-Canadian, to determine whether treatment with n-3 FA improves the plasma FA profile, and to define the time on the effectiveness of n-3 FA supplementation. Baseline characteristics of the NAFLD subjects show increased, anthropometric and biochemical parameters. Their plasma FA composition is characterized by a percent increase in total n-6 FA and a high proportion of saturated and total monounsaturated FA, as well as a decrease in  $\Delta 5$  and increase in  $\Delta 6$  desaturases. In conclusion, our results document for the first time the composition of plasma FAs in NAFLD young French Canadian and the efficacy of 3-month supplementation to improve the proportion of n-3 FA in their plasma.

**Keywords:** Fatty acids, PUFA, n-3 fatty acids, supplementation, obesity, NAFLD

**Abbreviations:** AA, Arachidonic acid; CVD, Cardiovascular disease; DHA, Docosahexaenoic acid; EPA, Eicosapentaenoic acid; FA, Fatty acids; HDL, High-density lipoprotein; LA, Linoleic acid; NAFLD, Non-alcoholic fatty liver disease; PUFA, Polyunsaturated fatty acid; SFA, Saturated fatty acid; TG, Triglycerides

## **1. INTRODUCTION**

Non-alcoholic fatty liver disease (NAFLD) is increasingly regarded as the most common liver condition in the developed world (1). It is defined as fat accumulation in the liver, greater than 5% by weight in the absence of excessive alcohol consumption, and affects up 30% in countries patients with abnormal liver functions worldwide (1). NAFLD is closely related to obesity and to characteristic components of the metabolic syndrome (2). It begins with simple steatosis in the hepatocytes, which can then evolve and progress to non-alcoholic steatohepatitis with variable degrees of fibrosis, cirrhosis and even hepatocellular carcinoma (1, 3). Approximately 10-25% NAFLD patients develop NASH (3), while the subtype NASH becomes a major health issue throughout the world.

The prevalence of NAFLD in the general pediatric population is estimated to be nearly 13%, while among obese and overweight children and, particularly, adolescents it raises up to 70% (4, 5). The gender and ethnic background represent two critical risk factors for the development of NAFLD that, in fact, is more common in boys than in girls with a male to female ratio of 2:1 (6). The excessive accumulation of fatty acids (FA) and triglycerides (TG) in the liver is mainly due to several aberrant events, including the reduction of FA oxidation, the influx increase, and efflux reduction of FA and, hence, increased *de novo* lipogenesis (7). Lipid accretion and subsequent lipotoxicity trigger intracellular signaling pathways, which result in pro-inflammatory cytokines that are responsible for immune cellular recruitment (8, 9). Disease progression is likely to depend on complex interplay between genetic predisposition (10, 11) and environmental factors (12-15). Although the prognosis of children with NAFLD is still unknown, high concentrations of serum saturated free FAs (SFA) are important in the pathogenesis severity since they create hepatotoxic impulse (16, 17).

As fatty liver does not have a proven pharmacological treatment in childhood (4, 5), various groups have used probiotics, metformin, ursodeoxycholic acid, L-carnitine and antioxidants, which showed conflicting and heterogeneous data in terms of improvement of different parameters (radiology, biochemistry, histology) (5, 18-20). Surprisingly, there are only limited studies on FA acid composition pertaining to youth NAFLD and on valuable impact of polyunsaturated fatty acids (PUFA) in childhood although diets rich in n-3 PUFAs may confer anti-inflammatory and cardiovascular benefits, particularly when

they replace SFAs and n-6 PUFA (21). However, patients with NAFLD display low intake of n-3 PUFA (22, 23), indicating that diets may represent a confining factor for circulating content of n-3 PUFA. On the other hand, ethnicity groups may have divergent fish consumption habits as recently reported (24).

The aims of our studies are to determine the plasma FA composition and to assess changes in the latter in response to n-3 PUFA supplementation in French-Canadian youth since (i) none of the available pharmacological agents could be recommended for treatment of children with NAFLD (25, 26); (ii) n-3 PUFA are quite safe diet supplements that showed efficacy in the prevention and therapy of cardiovascular diseases, dyslipidemia and metabolic syndrome (26-28); (iii) loss of n-3 PUFA dietary intake was found in pediatric NAFLD (24) and (iv) no attention has been given to French-Canadian population, which is primarily and historically located in the province of Quebec, has the highest prevalence worldwide of lipoprotein lipase deficiency, includes a large pool of individuals at risk for atherosclerosis and other lipid-related diseases (29, 30), and exhibits a founder effect among the 8,000 ancestors of present-day French-Canadians, who have had relatively little cross-breeding with individuals from other national origin groups (31).

## **2. MATERIALS AND METHODS**

### **2.1 Subjects**

The present randomized clinical trial, registered as NCT02201160 on [www.clinicaltrials.gov](http://www.clinicaltrials.gov), was performed on 30 NAFLD children followed as outpatients at the Gastroenterology/Hepatology and Nutrition clinic of MUHC Sainte-Justine and the Gastroenterology division of the Montreal Children's Hospital in Montreal. The children were between 8 and 18 years of age, with obesity and a diagnosis of NAFLD based on the results of a clinical evaluation, liver echography and magnetic resonance imaging-proton density fat fraction.

Importantly, in the registration of our study on the website of clinical trials, we mentioned that one of the specific outcomes will be to assess the impact of n-3 FAs on plasma transaminases in order to dissect their beneficial effects on liver abnormalities and NAFLD management. This study that was ongoing at the time has been completed and we are in the active process of data compilation and result analysis, which will allow us to bring the findings to the scientific community's attention. In this article, we mainly focused on the FA profile assessment to characterize for the first time the young French Canadian subjects with NAFLD.

### **2.2 Inclusion and exclusion criteria**

The children were eligible for the study if they were French Canadian, boys (according to the literature review on NAFLD prevalence), with a body weight  $\geq 95^{\text{th}}$  percentile (based on the CDC 2000 Chart), aged  $<18$  years, have a diffusely hyperechogenic liver at ultrasonography (consistent with NAFLD diagnosis), and have normal or elevated transaminases ( $> 2N$ ). Moreover, the exclusion criteria include subjects having pin or cochlear implants that may affect the magnetic resonance imaging examination, subjects who consumed natural medicine products possibly leading to increased risk of haemorrhage, and those who were found to consume fish, flaxseed oil and foods enriched with n-3 PUFA, probiotics, vitamin E or drugs known to induce fatty liver during the study.

### **2.3 Study design**

The present study was a 6-month, double-blind, one-way, crossover randomized study. The study samples were randomly assigned to two groups (**Figure**). The treatment consisting of n-3 PUFA supplement (NutriSanté Inc./Ponroy, Canada) was administered in two

phases, each of 3-month duration. In the first phase, an NAFLD group received an active n-3 PUFA supplement and another received equivalent quantities of sunflower oil as a placebo. During the second phase (after the first 3-month period), all NAFLD subjects received an active n-3 PUFA supplement. The study was approved by the Clinical Research Ethics Committee of MUHC Sainte-Justine (Montreal, Quebec). Informed consent was obtained from all subjects or parents before starting experimental procedures, and the study followed the Helsinki guidelines. A total of 30 NAFLD subjects were selected for the study but only twenty one French Canadian NAFLD boys (12 in n-3 PUFA and 9 in placebo group) succeeded in completing 6 months and were retained for the data analysis.

#### **2.4 Fatty acid supplements**

The dose supplementation considered for this study is 2.0 g of fish oil (NutriSanté Inc./Ponroy) per day, providing a total of 1.2 g of n-3 PUFA. This dose was chosen according to official recommendations (32) and based on our previous studies (33) and paediatric trials (34, 35). For comparison, in North American diets people consume about 500-1000 mg/day of n-3 PUFA [34] while the Inuit consumption is about 10 g/day, which gives them a plasma profile with high levels of n-3 PUFA (docosahexaenoic acid: DHA, eicosapentaenoic acid: EPA) and low n-6 FA (Arachidonic acid: AA) (36). Overall, 21 NAFLD subjects completed the randomized double-blind study with placebo (sunflower oil) or n-3 PUFA at a rate of 4 capsules per day. More specifically, each capsule of the n-3 PUFA supplement contained 500 mg of fish oil (each capsule providing 300 mg of EPA+DHA with 3.75 U vitamin E to prevent peroxidation). The placebo capsule contained 500 mg of sunflower oil with 3.75 U vitamin E. Noteworthy, the capsules were approved by Santé Canada.

Compliance to the study treatment was evaluated by pill count at every visit, review of medication records, and direct interview of patients by the physicians (FA and NA). At each visit, subjects and parents were specifically asked about n-3 usual food frequency consumption, adverse events, and the physician checked for any association between the treatment and adverse events.

#### **2.5 Biochemical Analysis**

Blood samples were collected in tubes containing 1 g EDTA/L after subjects had fasted for 12h overnight. Plasma was separated immediately by centrifugation (700g for 20 min) at 4

°C as described previously [37]. Blood tests measurements, including lipid and fatty acid analysis were performed (33, 37).

## **2.6 Fatty acid Analysis**

FAs in whole plasma were assayed by an improved method described previously (33, 37). Briefly, each sample to be analyzed was subjected to direct trans-esterification and then injected into a gas chromatograph by using the Varian 8400 GC AutoSampler system (Varian Inc, Canada). The FAs were identified by comparison with the expected retention times of known standards and then analyzed with Galaxy Chromatography Data System software (Varian Inc).

## **2.7 Statistical Analysis**

All values are expressed as mean  $\pm$  SEM. Data were analyzed by using Student's two-tailed *t* test or a one-way ANOVA analysis variance and the differences between the means were assessed post-hoc using Tukey's test. Statistical significance was defined as  $P < 0.05$ .

### **3. RESULTS**

#### **3.1 Anthropometric and metabolic characteristics**

Baseline anthropometric and liver characteristics of the young subjects are listed in **Table 1**. Overall, no differences were noted in the mean age distribution of NAFLD young patients compared to their healthy controls paired for age and sex. However, NAFLD subjects exhibited a significant ( $P < 0.001$ ) elevation in body weight and body mass index. In addition, a marked increase was evidenced in the hepatic alanine transaminase while aspartate transaminase and gamma-glutamyl transpeptidase remained in the normal range. Although there were no differences in glucose values between NAFLD and healthy groups, an increase was evidenced in fasting insulin levels and HOMA-IR index, suggesting an insulin resistance (IR) state in the NAFLD patients (**Table 2**). The latter were also characterized by inflammation given the high significant C-reactive protein value. According to the data of **Table 2**, NAFLD subjects presented higher TG levels, lower high-density lipoprotein (HDL)-cholesterol and apolipoprotein (apo) A-1 concentrations as compared with healthy controls. In view of their low HDL-cholesterol, NAFLD patients displayed higher total cholesterol/HDL-cholesterol and Apo B/Apo A-I ratios, which suggests an increased risk of cardiovascular disease (CVD). On the other hand, total and low-density lipoprotein cholesterol concentrations as well as systolic and diastolic blood pressure were not significantly different between the two groups. The elevated concentration of C-reactive protein suggests an inflammatory state in NAFLD subjects.

#### **3.2 Plasma fatty acid composition in NAFLD compared to healthy control subjects**

The first objective of the present study was to examine baseline FA composition of 21 NAFLD young patients in comparison with 33 healthy controls because no data is available for the pediatric French Canadian subjects. A small but significant increment was noted in palmitic and stearic acids, which enhanced the total proportion of SFA in NAFLD subjects (**Table 3**). A similar pattern was observed in total monounsaturated FA (probably due to oleic acid) of NAFLD subjects (**Table 3**). Chromatographic analysis revealed divergent profiles of PUFAs: while an increase was evidenced in total n-3 FA with the contribution of EPA (20:5n3), DPA (22:5n3) and DHA (22:6n3) acids, a decline characterized total n-6 FA owing mainly to linoleic acid (LA, 18:2n-6), but with an increase of AA (20:4n-6).

**Table 4** clearly shows low ratios of elongase activity (18:2n-6/20:4n-6, 24:0/22:0 and

24:0/20:0) and high ratios of Δ6 (20:3n-6/18:2n-6) and Δ7 (16:1n-7/16:0) desaturase activities in NAFLD subjects. Δ5 (20:4n-6/20:3n-6) and Δ9 (18:1n-9/18:0) desaturase activities were lower in the NAFLD group that also exhibited low PUFA/saturates, essential FA (EFA)/non EFA and n-6/n-3 ratios. The calculation of the stearoyl-CoA desaturase (SCD) indices, a biomarkers of FA synthesis and liver lipogenesis, showed a significant increase in SCD1, SCD16 and lower SCD18, suggesting an association with increased obesity and metabolic syndrome.

### **3.3 Efficacy of n-3 PUFA supplementation in NAFLD subjects compared to placebo**

To assess the effect of n-3 PUFA on NAFLD, two groups from our cohort were double blind supplemented either with n-3 PUFA or sunflower (as a placebo) for 3 months. The total NAFLD patients (n = 21) were randomized to either n-3 PUFA (n = 12) or placebo (n = 9) for the first three months. In a second step, the n-3 PUFA NAFLD subjects continued with the same dose of n-3 PUFA supplementation while the placebo group was placed on n-3 PUFA for another 3-month period. **Tables 5A and 5B** show a significant raise of EPA and DHA after 3 months in the group administered n-3 PUFA compared to the placebo group. This amelioration was confirmed by augmented total n-3 FA, DHA/AA ratio and Δ5 along with a lower n-6/n-3 ratio and SCD1 index (**Table 6**).

As the second objective was to assess whether a longer period of n-3 PUFA supplementation has a more beneficial effects in NAFLD individuals, we recorded the data after 6 months. **Tables 5A and 5B** document the same FA profile with only a slight increase in EPA, DHA, total n-3 and DHA/AA ratio along with a decrease of n-6/n-3 ratio, SCD1 and SCD16 indices in the two groups of NAFLD subjects (**Table 6**).

### **3.4 Plasma fatty acid composition in NAFLD after n-3 PUFA supplementation**

The final objective of the current investigation was to determine whether n-3 PUFA supplementation improves FA composition of NAFLD patients. Clearly, **Table 7** illustrates that n-3 PUFA intake significantly raised the proportion of EPA and DHA at the expense of n-6 FAs, including AA and docosatetraenoic (22:4n-6) acids. Consequently, there was a raise in total n-3 FA, DHA/AA ratio and Δ5 as well as a decrease of monounsaturated FA, n-6/n-3, SCD1 and SCD16 indices (**Table 8**).

#### **4. DISCUSSION**

Available literature indicates that n-3 FA may be instrumental in the treatment of NAFLD (38-42), the most common liver disease in Western countries (43). As n-3 PUFA may be effective in reducing triglyceride content, IR and inflammation, all processes related to NAFLD (44, 45), they appear as a potential, natural therapeutic approach for liver steatosis (24). However, variable and inconsistent results are apparent from the reported trials centring on cardio-metabolic diseases (46). In addition, only limited studies are available in childhood (38, 39). Finally, little focus has been placed on the French-Canadians, a population with a known founder effect (47), characterized by specific mutations, and in which fewer gene variants are expected to contribute to the determination of complex traits, such as blood pressure, dyslipidemia and metabolic syndrome (48-50). Therefore, the aims of the current study were to establish, for the first time, the distribution of plasma FA in French-Canadian children with NAFLD, to test the clinical tolerability and impact of safety n-3 PUFA supplements on plasma FA composition, and to explore whether longer periods in the six-month window are more beneficial. Our results point out the high levels of all FA classes, which likely contributed to the significant elevation of total FA in French-Canadians children and adolescents with NAFLD. Against our expectations, the baseline of palmitoleic (16:1n-7) and oleic (18:1n-9) acids was elevated suggesting specific alterations in hepatic lipid composition characterizing the spectrum of NAFLD (51) and it was accompanied by a significant raising of the n-3 FA family. However, various ratios reflecting the activities of elongase and desaturase enzymes suggest irregular metabolic interrelationships among FAs in our young NAFLD patients. These observations were confirmed by the lowered SCD18 and elevated SCD1 and SCD16 indices, where some studies reported their association with obesity and metabolic syndrome (52, 53). Interestingly, supplementation of n-3 PUFA led to circulating long-chain n-3 FA, including EPA, DPA DHA and DHA/AA ratio, thereby resulting in reduced n-6/n-3 ratio. These captivating data were obtained with 3-month supplementation with little amelioration of an additional 3-month period.

Previous experimental interventions showed augmented insulin sensitivity, lowering of intra-hepatic TG content and improvement of steatohepatitis in animals following the administration of n-3 PUFA (54, 55). Furthermore, only limited human studies reported a

positive effect on fatty liver: the first with 42 NAFLD patients aged 56 to 62 years (56), the second with 23 NASH patients aged 27 to 74 years and with biopsy-proven NASH (57), and the third with 60 children with biopsy-proven NAFLD (41). Noteworthy, no FA profile was provided in these three trials and no control healthy group was available, except the placebo group. For the first time, our study examined the baseline FA profiles in French-Canadian children and reported the influence of n-3 long-chain FA supplementation on FA pattern. We could not detect depletion of plasma n-3 FA either in absolute concentrations ( $\mu\text{M}$ ) or in percent (%) distribution. However, various alterations were noticed: (i) the n-6 family was characterized by low % of LA, an essential FA and high % of AA acid whose major metabolic pathways are relevant for inflammation and metabolic syndrome manifestations, including liver steatosis (58, 59); (ii) the raised palmitic (16:00), stearique (18:00) and dihomo- $\gamma$ -linolenic (20:3n-6) acids reflecting a possible correlation with the inflammatory markers (60); (iii) there was an increased ratios of 16:1n-7/18:2n-6 and total n-7/18:2n-6, indicative of EFA deficiency (61); (iv) the elongation and desaturation of LA was stimulated as suggested by the lower  $\Delta 5$  (20:4n-6/20:3n-6) and 18:2n-6/20:4n-6 as well as a raise of  $\Delta 6$  (20:3n-6/18:2n-6) ratios, thereby contributing the increased risk of CVD and NAFLD (62, 63); (v) in contrast, evidence was obtained as to the low concentration of PUFA and high proportions of long-chain FAs such as EPA, DPA, DHA and DHA/AA ratio that improve the prognosis of CVD and NAFLD (62-66); and (vi) On the other hand, there was a less conversion of EPA to DHA as advocated by the ALA/EPA ratio, suggesting a lipid peroxidation (37). Overall, our data are not completely in favour or against the cardio-metabolic risks given the opposite trends of n-3 and n-6 in French-Canadian children with NAFLD.

Many FA can be elongated and desaturated by specific enzymes. In particular, FA desaturases introduce a double bond in a specific position of long-chain FA, which enhances the degree of FA unsaturation, thereby impacting on the physical properties of membrane phospholipids. Furthermore, metabolites of PUFA may be used as signaling molecules in many systems.  $\Delta 9$  desaturase catalyzes the biogenesis of monounsaturates whereas  $\Delta 6$  and  $\Delta 5$  desaturases contribute to the synthesis of highly unsaturated FA that compose phospholipids and maintain membrane fluidity. There are indications from the present study that the activity of  $\Delta 6$  is stimulated and that of  $\Delta 5$  is down-regulated (as

estimated using FA product/ precursor ratios), which were found to correlate to the metabolic syndrome (62, 63, 67, 68). Noteworthy, the role of desaturases in the development of excess liver fat is not fully understood, but the 16:1n-7 to 16:0 ratio used to estimate SCD16 or SD1 (16:1n-7/18:1n-9) activity has been proposed as an indirect biomarker of *de novo* lipogenesis (52), obesity and IR (53), a pathways known to be increasingly recognized as an important contributors to hepatic TG concentrations in NAFLD (69, 70). In our NAFLD patients, the SCD1 and SCD16 indices concomitantly with circulating TGs and IR were found elevated, which suggests not only a raised *de novo* lipogenesis, but also various the development of metabolic disorders as reported by other groups (71-73).

Recently, it has been postulated that potential alterations in the metabolic pathway of PUFA synthesis could constitute a fundamental trigger in the initiation and propagation of metabolic abnormalities, such as IR (62, 63, 74). In our study, treatment of NAFLD children during 3 months with n-3 PUFA had a significant effect on the absolute values and proportions of plasma n-3 FA as was recently reported by Nobili et al (38, 41). There was a concomitantly significant increment of EPA and DHA, as well as a decrease in LA, which essentially reflected the dietary FA composition. On the other hand, a consistent AA decline characterized n-6 FA family. Taken together, the observed findings may be significant with regards to the role of n-3 PUFA in NAFLD-related disorders. Indeed, although limited, the clinical studies performed so far have reported reduced hepatic lipid content by directly testing liver biopsies (57) or via ultrasonography (56, 75) in response to n-3 long-chain FA administration. Similarly, treatment with n-3 long-chain FA resulted in improved liver function markers along with beneficial effects on circulating TG and TNF- $\alpha$  (56, 57, 75, 76). It is important to mention that n-3 long-chain FAs were fully safe in our young NAFLD patients. According to our careful monitoring, no adverse reactions or especially complaints about bleeding were reported. N-3 treatment seemed to be safe following duration of both 3 and 6 months. Nevertheless, no amplified beneficial effects were observed with the long-term period. Our data are therefore in line with previous studies that reported the safety of n-3 PUFA supplements (26, 27, 75). This important feature, on the one hand, and the paucity of effective treatment with pharmacological drugs in both children and adults with NAFLD, on the other hand (77) suggests that n-3 PUFA

may represent an interesting and useful option. In this context, two clinical trials employing vitamin E and pioglitazone in NAFLD have recently showed conflicting results (18, 78).

## **5. CONCLUSIONS**

Our results document for the first time the composition of plasma FAs in French Canadian children with NAFLD. They also reveal the efficacy of supplementation to improve the proportion of n-3 PUFA in their plasma. Additional studies are necessary to highlight the efficacy to improve the total symptom score in these patients.

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AUTHORS' CONTRIBUTIONS TO MANUSCRIPT**

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SS was involved in the study concept and design, acquisition, analysis and interpretation of data, revision of the manuscript, technical support, statistical analysis and study supervision.

EL contributed to the study concept and design, data analysis, drafting, writing and critical revision of the manuscript, for important intellectual content, obtained funding, material support and study supervision.

SS, FA and NA contributed to recruiting patients and data analysis.

JD and NP contributed to study concept and design

All authors read and approved the final manuscript.

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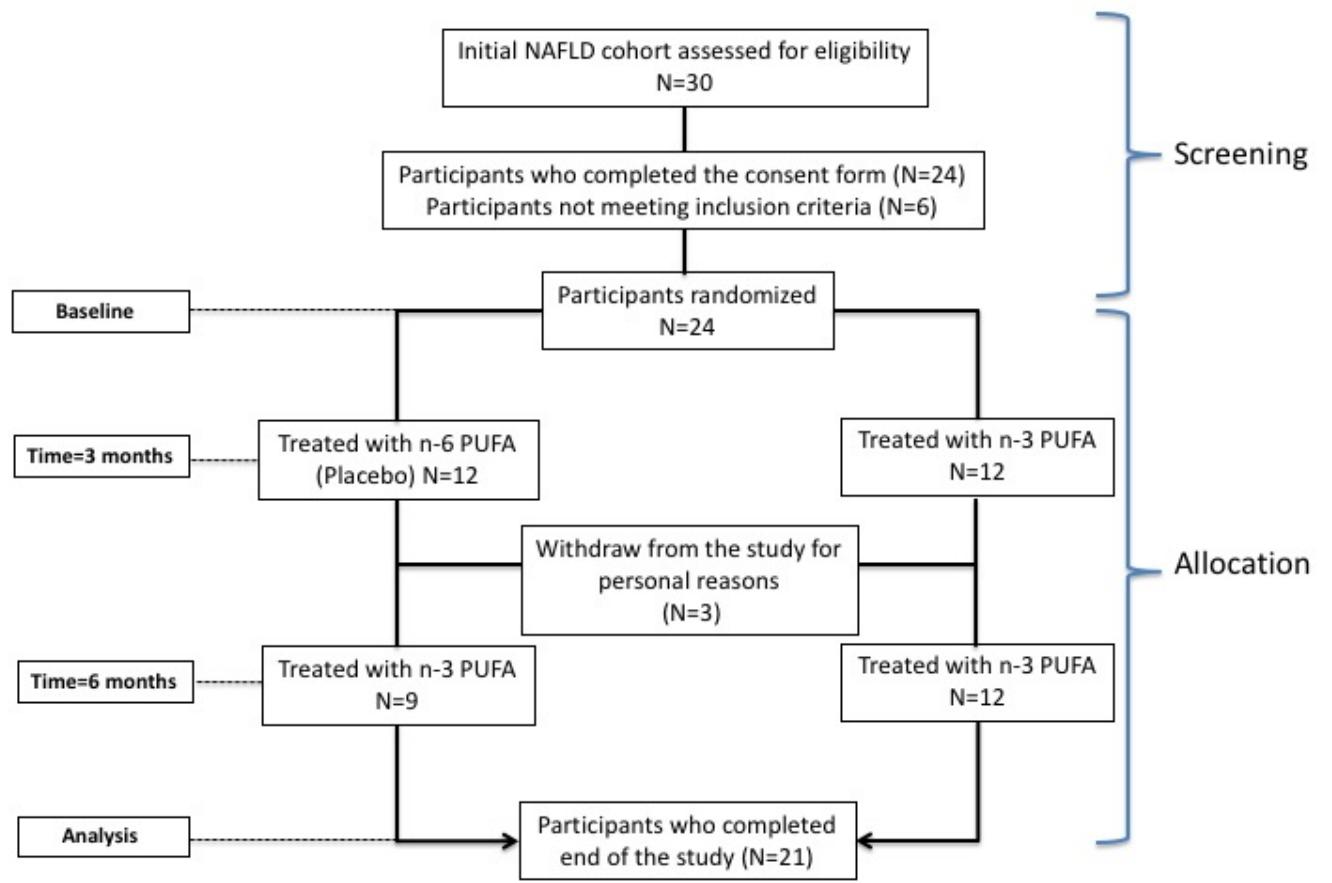
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**Table 1: Baseline anthropometric and liver characteristics of NAFLD patients compared to healthy controls**

<b>Variables</b>	<b>Controls (N=33)</b>	<b>NAFLD (N=21)</b>	<b>P values</b>
Age (y)	14.22 ± 0.64	14.09 ± 0.70	NS
Body Weight (kg)	54.25 ± 3.50	85.91 ± 5.55	<0.001
BMI (Kg/m <sup>2</sup> )	20.25 ± 0.70	31.88 ± 1.09	<0.001
ALT (U/L)	NR: (5-25)	38.95 ± 4.50	-
AST (U/L)	NR: (11-43)	29.4 ± 1.78	-
GGT (U/L)	NR: (3-43)	25.73 ± 4.16	-

BMI, Body mass index; ALT, alanine transaminase; AST, aspartate aminotransferase;

GGT, gamma-glutamyl transpeptidase;

NS: Not significant; NR: Normal range.

All values are expressed as mean ± SD or normal range of values. Data were analyzed by using a Student's *t* test. Statistical significance was defined as *P*<0.05.

**Table 2: Baseline biochemical characteristics of NAFLD patients compared to healthy controls**

<b>Variables</b>	<b>Controls (N=33)</b>	<b>NAFLD (N=21)</b>	<b>P values</b>
<b>Metabolic biomarkers</b>			
Fasting blood Glucose (mmol/L)	5.25 ± 0.05	5.27 ± 0.06	NS
Insulin (pmol/L)	36.43 ± 3.43	147 ± 17.14	<0.001
HOMA-IR	1.24 ± 0.12	6.88 ± 1.07	<0.001
SBP (mmHg)	115.06 ± 2.77	114.90 ± 3.18	NS
DBP (mmHg)	57.32 ± 1.19	58.05 ± 1.40	NS
<b>Lipids</b>			
Triglycerides (mmol/L)	0.84 ± 0.07	1.44 ± 0.20	<0.01
Total cholesterol (mmol/L)	3.96 ± 0.11	4.00 ± 0.23	NS
HDL-C (mmol/L)	1.22 ± 0.01	0.95 ± 0.05	<0.001
LDL-C (mmol/L)	2.35 ± 0.10	2.40 ± 0.18	NS
Total cholesterol/HDL-C	3.25 ± 0.09	4.39 ± 0.29	<0.001
Apo AI (g/L)	1.65 ± 0.04	1.14 ± 0.02	<0.001
Apo B (g/L)	0.66 ± 0.03	0.73 ± 0.05	<0.001
Apo B/Apo AI	0.48 ± 0.03	0.63 ± 0.04	<0.001
<b>Inflammatory biomarker</b>			
CRP (mg/L)	0.44 ± 0.08	3.43 ± 1.13	<0.001

Apo, Apolipoprotein; DBP, Diastolic blood pressure; CRP, C reactive protein; HDL, High-density lipoprotein; LDL, Low-density lipoprotein; NS, Not significant; SBP, Systolic blood pressure

All values are expressed as mean ± SD. Data were analyzed by using a Student's *t* test. Statistical significance was defined as *P*<0.05 vs. Controls.

**Table 3 : Plasma fatty acid profile in paediatric NAFLD patients compared to healthy controls**

<b>Fatty Acids (FA)</b>	<b>CTR</b> <b>(N=33)</b>	<b>NAFLD</b> <b>(N=21)</b>	<b>P values</b>	<b>CTR</b> <b>(N=33)</b>	<b>NAFLD</b> <b>(N=21)</b>	<b>P values</b>
	<b>Composition (%)</b>			<b>Distribution (<math>\mu</math>M)</b>		
<b>SFA</b>						
MA (C14:0)	1.29 ± 0.08	1.35 ± 0.08	NS	166.65 ± 15.90	209.63 ± 27.58	NS
PA (C16:0)	25.46 ± 0.25	25.29 ± 0.45	NS	3178.58 ± 129.78	3795.15 ± 353.16	<0.05
SA (C18:0)	6.27 ± 0.09	7.08 ± 0.14	<0.001	775.62 ± 24.84	1028.59 ± 64.64	<0.001
<b>MUFA</b>						
POA (C16:1n-7)	2.00 ± 0.11	2.49 ± 0.15	<0.05	251.62 ± 19.81	375.65 ± 43.00	<0.001
OA (C18:1n9)	18.27 ± 0.37	19.82 ± 0.54	<0.01	2299.95 ± 124.76	2967.26 ± 294.50	<0.05
EIA (C20:1n9)	18.27 ± 0.37	0.13 ± 0.00	<0.001	20.30 ± 2.11	19.52 ± 1.79	<NS
ETA (C20:3n9)	0.08 ± 0.00	0.10 ± 0.00	<0.01	10.16 ± 0.82	15.66 ± 1.73	<0.01
ERA (C22:1n9)	0.25 ± 0.01	0.12 ± 0.01	<0.001	31.75 ± 2.10	17.29 ± 1.61	<0.001
NA (C24:1n9)	0.58 ± 0.02	0.76 ± 0.03	<0.001	71.07 ± 2.43	109.75 ± 7.64	<0.001
<b>PUFA</b>						
<i>n-3 series</i>						
ALA (C18:3n3)	0.78 ± 0.06	0.75 ± 0.05	NS	100.23 ± 10.73	115.21 ± 13.86	NS
EPA (C20:5n3)	0.28 ± 0.01	0.62 ± 0.06	<0.001	35.29 ± 2.26	91.91 ± 11.02	<0.001
DPA (C22:5n3)	0.27 ± 0.01	0.37 ± 0.02	<0.001	33.68 ± 1.57	58.76 ± 10.21	<0.01
DHA (C22:6n3)	0.82 ± 0.05	1.29 ± 0.10	<0.001	99.47 ± 5.54	194.49 ± 22.68	<0.001
<i>n-6 series</i>						
LA (C18:2n-6)	32.03 ± 0.65	27.44 ± 0.76	<0.001	3953.27 ± 125.48	4002.72 ± 266.30	NS
GLA (C18:3n6)	0.40 ± 0.02	0.51 ± 0.03	<0.01	51.31 ± 4.16	78.41 ± 9.76	<0.01
HGLA (C20:3n6)	1.17 ± 0.11	1.67 ± 0.07	<0.01	146.93 ± 16.93	248.94 ± 26.47	<0.01
AA (C20:4n6)	5.16 ± 0.18	5.83 ± 0.19	<0.05	631.75 ± 22.68	858.70 ± 66.35	<0.001
DIA (C22:2n6)	0.00 ± 0.00	0.02 ± 0.00	<0.001	0.43 ± 0.09	3.20 ± 0.63	<0.001

DTA (C22:4n6)	0.10 ± 0.00	0.13 ± 0.00	<0.01	13.01 ± 0.83	21.66 ± 3.41	<0.01
<b>Total n-3 FA</b>	2.20 ± 0.07	3.09 ± 0.19	<0.001	273.69 ± 12.57	466.37 ± 52.80	<0.001
<b>Total n-6 FA</b>	39.05 ± 0.58	35.79 ± 0.82	<0.01	4819.98 ± 138.06	5237.76 ± 360.29	NS
<b>Total n-7 FA</b>	3.45 ± 0.11	3.89 ± 0.17	<0.05	433.06 ± 23.20	587.27 ± 61.48	<0.01
<b>Total n-9 FA</b>	19.37 ± 0.37	20.94 ± 0.52	<0.05	2433.24 ± 127.03	3129.50 ± 303.17	<0.05
<b>Total SFA</b>	34.98 ± 0.30	35.65 ± 0.49	NS	4361.62 ± 170.56	5311.82 ± 457.41	<0.01
<b>Total MUFA</b>	23.18 ± 0.43	25.07 ± 0.57	<0.001	2909.37 ± 147.75	3749.41 ± 358.20	NS
<b>Total PUFA</b>	41.34 ± 0.58	38.98 ± 0.84	<0.001	5103.84 ± 146.77	5719.80 ± 406.12	NS
<b>TOTAL FA</b>				12434.06 ± 435.06	14822.45 ± 1191.69	<0.001

AA, Arachidonic acid; ALA, Alpha linolenic acid; CTR, Controls; DHA, Docosahexanoic acid; DIA, Docosadienoic acid; DPA, Docosapentaenoic acid ; DTA, Docosatetraenoic acid; EIA, Eicosenoic acid; EPA, Eicosapentaenoic acid; ERA, Erucic acid; ETA, Eicosatrienoic acid; FA, Fatty acid; GLA, Gamma linoleic acid; HGLA, Homogamma linolenic acid; LA, Linoleic acid; MA, Myristic acid; MUFA, Monounsaturated fatty acid; NA, Nervonic acid; OA, Oleic acid; PA, Palmitic acid; POA, Palmitoleic acid; PUFA, Polyunsaturated fatty acid; SA, Stearic acid; SFA, Saturated fatty acid

All values are expressed as mean ± SD. Data were analyzed by using a Student's *t* test. Statistical significance was defined as *P*<0.05 vs. Controls.

**Table 4: Plasma Fatty acid ratios in NAFLD patients compared to healthy controls**

<b>Ratios</b>	<b>CTR (N=33)</b>	<b>NAFLD (N=21)</b>	<b>P values</b>
16:1n-7/18:2n-6	0.06 ± 0.00	0.09 ± 0.00	<0.001
18:2n-6/20:4n-6	6.41 ± 0.28	4.80 ± 0.20	<0.001
20:3n-9/20:4n-6	0.01 ± 0.00	0.01 ± 0.00	NS
Total n-7/18:2n-6	0.10 ± 0.00	0.14 ± 0.01	<0.001
24:0/22:0	1.05 ± 0.22	0.54 ± 0.01	NS
24:0/20:0	2.64 ± 0.54	1.52 ± 0.13	<0.05
PUFA/SFA	1.26 ± 0.02	1.10 ± 0.03	<0.001
EFA (LA+ALA)/NON-EFA	0.49 ± 0.01	0.39 ± 0.01	<0.001
EPA/DHA	0.36 ± 0.02	0.50 ± 0.04	<0.01
DHA/AA	0.16 ± 0.00	0.22 ± 0.01	<0.01
ALA/EPA	3.13 ± 0.37	1.44 ± 0.17	<0.001
ALA/LA	0.02 ± 0.00	0.02 ± 0.00	NS
n-6/n-3	18.16 ± 0.66	12.44 ± 0.74	<0.001
Δ5 (20:4n-6/20 :3n-6)	4.99 ± 0.29	3.60 ± 0.18	<0.001
Δ6 (20:3n-6/18:2n-6)	0.03 ± 0.00	0.06 ± 0.00	<0.001
SCD1 index (16:1n-7/18:1n-9)	0.09 ± 0.00	0.13 ± 0.00	<0.001
SCD16 index (16:1n-7/16:0)	0.06 ± 0.00	0.09 ± 0.00	<0.001
SCD18 index (18:1n-9/18:0)	2.94 ± 0.08	2.83 ± 0.10	<0.05

AA, Arachidonic acid; ALA, Alpha linolenic acid; CTR, Controls; DHA, Docosahexanoic acid; EFA, Essential fatty acid; EPA, Eicosapentaenoic acid; FA, Fatty acid; LA, Linoleic acid; PUFA, Polyunsaturated fatty acid; SFA, Saturated fatty acid

All values are expressed as mean ± SD. Data were analyzed by using a Student's *t* test. Statistical significance was defined as *P*<0.05 vs. Controls.

**Table 5A: Comparative plasma fatty acid composition in NAFLD patients following 3- and 6-month treatments with n-3 PUFA or n-6 placebo**

	Baseline		3 month-treatment		6 month-treatment	
	n-6 Placebo (N=9)	n-3 PUFA (N=12)	n-6 Placebo (N=9)	n-3 PUFA (N=12)	n-3 PUFA (N=9)	n-3 PUFA (N=12)
<b>Fatty Acids (FA)</b>						
<b>SFA</b>						
MA (C14:0)	1.34 ± 0.14	1.37 ± 0.10	1.25 ± 0.10	1.34 ± 0.13	1.34 ± 0.17	1.21 ± 0.13 <sup>#</sup>
PA (C16:0)	25.23 ± 0.83	25.35 ± 0.52	25.05 ± 0.66	25.44 ± 0.74	25.55 ± 1.06	24.93 ± 0.83
SA (C18:0)	7.02 ± 0.25	7.12 ± 0.17	6.81 ± 0.31	7.13 ± 0.23	6.73 ± 0.36	7.19 ± 0.21
<b>MUFA</b>						
OA (C18:1n9)	20.43 ± 0.61	19.37 ± 0.83	21.61 ± 0.67	18.62 ± 0.82*	20.70 ± 0.79	18.68 ± 0.54
EIA (C20:1n9)	0.14 ± 0.01	0.12 ± 0.01	0.15 ± 0.01	0.11 ± 0.01***	0.13 ± 0.01	0.12 ± 0.01
ETA (C20:3n9)	0.10 ± 0.01	0.11 ± 0.01	0.10 ± 0.01	0.08 ± 0.01 <sup>#</sup>	0.09 ± 0.01	0.08 ± 0.01 <sup>#</sup>
ERA (C22:1n9)	0.11 ± 0.02	0.13 ± 0.01	0.10 ± 0.00	0.10 ± 0.01 <sup>#</sup>	0.10 ± 0.01	0.10 ± 0.00 <sup>#</sup>
NA (C24:1n9)	0.67 ± 0.03	0.83 ± 0.05	0.70 ± 0.04	0.84 ± 0.05*	0.74 ± 0.05	0.88 ± 0.07
<b>PUFA</b>						
<i>n-3 series</i>						
ALA (C18:3n3)	0.88 ± 0.09	0.66 ± 0.05	0.83 ± 0.06	0.72 ± 0.05	0.75 ± 0.04	0.76 ± 0.08
	0.56 ± 0.08	0.68 ± 0.11		1.30 ±	0.72 ± 0.11*	1.41 ±
EPA (C20:5n3)			0.58 ± 0.08	0.16***###		0.10***###
DPA (C22:5n3)	0.37 ± 0.04	0.38 ± 0.04	0.34 ± 0.03	0.41 ± 0.03	0.35 ± 0.02	0.40 ± 0.03
	1.27 ± 0.16	1.31 ± 0.15		2.09 ±	1.66 ± 0.15**	2.12 ±
DHA (C22:6n3)			1.27 ± 0.11	0.19***###		0.16***###
<i>n-6 series</i>						
LA (C18 :2n-6)	27.63 ± 1.22	27.30 ± 1.03	27.28 ± 1.27	27.94 ± 1.42	27.44 ± 1.68	28.44 ± 1.43

GLA (C18:3n6)	0.49 ± 0.06	0.54 ± 0.03	0.51 ± 0.07	0.47 ± 0.03	0.46 ± 0.09	0.47 ± 0.05
HGLA (C20:3n6)	1.58 ± 0.11	1.74 ± 0.09	1.61 ± 0.09	1.47 ± 0.07 <sup>#</sup>	1.30 ± 0.08	1.41 ± 0.05 <sup>#</sup>
AA (C20:4n6)	5.51 ± 0.32	6.08 ± 0.22	5.27 ± 0.37	5.48 ± 0.17 <sup>###</sup>	5.09 ± 0.30	5.46 ± 0.26 <sup>###</sup>
DIA (C22:2n6)	0.02 ± 0.01	0.02 ± 0.00	0.01 ± 0.00	0.02 ± 0.00	0.02 ± 0.00	0.02 ± 0.00
DTA (C22:4n6)	0.13 ± 0.02	0.14 ± 0.01	0.13 ± 0.01	0.10 ± 0.01 <sup>###</sup>	0.10 ± 0.01	0.09 ± 0.01 <sup>###</sup>
<b>Total n-3 FA</b>	<b>3.12 ± 0.29</b>	<b>3.07 ± 0.28</b>	<b>3.06 ± 0.16</b>	<b>0.39***<sup>###</sup></b>	<b>3.53 ± 0.26**</b>	<b>4.72 ± 0.28<sup>###</sup></b>
<b>Total n-6 FA</b>	<b>35.55 ± 1.33</b>	<b>35.97 ± 1.10</b>	<b>34.99 ± 1.30</b>	<b>35.63 ± 1.43</b>	<b>34.58 ± 1.73</b>	<b>36.02 ± 1.52</b>
<b>Total n-7 FA</b>	<b>3.97 ± 0.31</b>	<b>3.84 ± 0.22</b>	<b>3.98 ± 0.27</b>	<b>3.57 ± 0.29**</b>	<b>4.12 ± 0.33</b>	<b>3.35 ± 0.23**<sup>###</sup></b>
<b>Total n-9 FA</b>	<b>21.45 ± 0.62</b>	<b>20.56 ± 0.81</b>	<b>22.64 ± 0.65</b>	<b>19.76 ± 0.81</b>	<b>21.76 ± 0.77</b>	<b>19.86 ± 0.52</b>
<b>Total SFA</b>	<b>35.33 ± 0.87</b>	<b>35.91 ± 0.59</b>	<b>34.78 ± 0.67</b>	<b>35.90 ± 0.92</b>	<b>35.36 ± 1.20</b>	<b>35.44 ± 0.96</b>
<b>Total MUFA</b>	<b>25.63 ± 0.76</b>	<b>24.65 ± 0.84</b>	<b>26.84 ± 0.83</b>	<b>23.58 ± 1.03</b>	<b>26.12 ± 1.00</b>	<b>23.45 ± 0.65</b>
<b>Total PUFA</b>	<b>38.77 ± 1.34</b>	<b>39.15 ± 1.13</b>	<b>38.15 ± 1.24</b>	<b>40.26 ± 1.57</b>	<b>38.20 ± 1.91</b>	<b>40.83 ± 1.50</b>

AA, Arachidonic acid; ALA, Alpha linolenic acid; DHA, Docosahexanoic acid; DIA, Docosadienoic acid; DPA, Docosapentaenoic acid ; DTA, Docosatetraenoic acid; EIA, Eicosenoic acid; EPA, Eicosapentaenoic acid; ERA, Erucic acid; ETA, Eicosatrienoic acid; FA, Fatty acid; GLA, Gamma linoleic acid; HGLA, Homogamma linolenic acid; LA, Linoleic acid; MA, Myristic acid; MUFA, Monounsaturated fatty acid; NA, Nervonic acid; OA, Oleic acid; PA, Palmitic acid; POA, Palmitoleic acid; PUFA, Polyunsaturated fatty acid; SA, Stearic acid; SFA, Saturated fatty acid

All values are expressed as mean ± SD. Data were analyzed by using a one-way analysis variance and the differences between the means were assessed post-hoc using Tukey's test. \*P<0.05; \*\*P<0.01; \*\*\*P<0.001 vs. n-6 placebo group (3 month-treatment); <sup>#</sup>P<0.05; <sup>###</sup>P<0.001 vs. n-3 PUFA group (Baseline).

**Table 5B: Comparative plasma fatty acid distribution in NAFLD patients following 3- and 6-month treatments with n-3 PUFA or n-6 placebo**

	Baseline		3 month-treatment		6 month-treatment	
	n-6 Placebo (N=9)	n-3 PUFA (N=12)	n-6 Placebo (N=9)	n-3 PUFA (N=12)	n-3 PUFA (N=9)	n-3 PUFA (N=12)
<b>Fatty Acids (FA)</b>						
<b>SFA</b>						
MA (C14:0)	208.27 ± 36.60	210.65 ± 41.12	191.20 ± 23.93	199.62 ± 32.18	189.26 ± 31.91	177.34 ± 32.75
PA (C16:0)	3820.26 ± 424.68	3776.32 ± 546.27	3761.48 ± 336.05	3673.42 ± 370.35	3541.96 ± 326.39	3529.11 ± 364.70
SA (C18:0)	1033.25 ± 56.91	1025.11 ± 107.36	1005.24 ± 63.94	1010.78 ± 79.11	919.49 ± 65.39	995.44 ± 65.72
<b>MUFA</b>						
OA (C18:1n9)	3037.76 ± 228.05	2914.38 ± 497.01	3261.08 ± 328.08	2693.14 ± 294.39**	2868.45 ± 257.33	2639.91 ± 249.09**
EIA (C20:1n9)	21.55 ± 1.83	18.01 ± 2.82	21.76 ± 1.93	16.03 ± 1.56*	18.19 ± 1.35	16.17 ± 1.41*
ETA (C20:3n9)	14.65 ± 1.87	16.43 ± 2.75	14.44 ± 2.09	12.02 ± 1.59	12.71 ± 2.59	11.49 ± 1.41
ERA (C22:1n9)	15.97 ± 2.36	18.30 ± 2.25	14.08 ± 0.98	13.94 ± 0.74	13.22 ± 0.70	13.71 ± 0.66
NA (C24:1n9)	98.88 ± 7.55	117.91 ± 11.87	103.52 ± 8.46	117.16 ± 7.79	101.04 ± 9.01	120.64 ± 10.25
<b>PUFA</b>						
<i>n-3 series</i>						
ALA (C18:3n3)	132.85 ± 16.80	101.98 ± 20.50	125.19 ± 14.37	104.25 ± 13.82	104.25 ± 9.45	107.87 ± 16.41
	83.17 ± 12.77	98.48 ± 17.00		185.76 ±	96.97 ± 14.17	194.01 ±
EPA (C20:5n3)			83.26 ± 10.14	34.02***##		17.32***##
DPA (C22:5n3)	54.67 ± 5.84	61.85 ± 17.65	51.15 ± 6.34	61.30 ± 11.06	48.95 ± 4.99	56.21 ± 6.97
				302.47 ±	222.97 ± 21.74	296.47 ±
DHA (C22:6n3)	193.47 ± 31.72	195.27 ± 33.00	190.23 ± 21.89	45.13***##		29.36***##
<i>n-6 series</i>						
LA (C18:2n-6)	4055.29 ± 233.48	3963.29 ± 442.26	4028.28 ± 291.56	3943.77 ± 314.44	3731.64 ± 297.56	3950.74 ± 310.27

GLA (C18:3n6)	72.34 ± 10.02	82.98 ± 15.62	76.12 ± 11.03	68.86 ± 9.02	66.91 ± 14.75	65.91 ± 8.30
HGLA (C20:3n6)	234.51 ± 23.22	259.77 ± 43.72	239.96 ± 19.78	210.44 ± 20.83	179.19 ± 16.54	195.29 ± 14.40
AA (C20:4n6)	816.12 ± 66.09	890.64 ± 106.82	786.03 ± 75.68	782.10 ± 64.70##	694.59 ± 53.69*	751.84 ± 49.73##
DIA (C22:2n6)	3.47 ± 1.21	3.00 ± 0.68	1.69 ± 0.20	2.00 ± 0.16	2.16 ± 0.54	2.62 ± 0.59
DTA (C22:4n6)	20.07 ± 2.74	22.87 ± 5.71	18.98 ± 2.37	15.08 ± 2.47	14.53 ± 1.48	11.65 ± 1.27
<b>Total n-3 FA</b>	470.50 ± 60.08	463.29 ± 83.07	455.80 ± 39.06	658.86 ± 100.36***###	479.19 ± 42.08	658.89 ± 62.42***###
<b>Total n-6 FA</b>	5227.27 ± 295.61	5245.63 ± 604.44	5177.28 ± 356.17	5042.57 ± 389.40	4711.66 ± 341.06	4997.69 ± 354.04
<b>Total n-7 FA</b>	606.43 ± 84.88	572.91 ± 89.76	602.19 ± 73.65	519.14 ± 66.86*	575.10 ± 70.97	474.35 ± 63.31*##
<b>Total n-9 FA</b>	3188.80 ± 236.85	3085.04 ± 511.32	3414.89 ± 335.91	2852.30 ± 301.89	3013.61 ± 262.89	2801.92 ± 256.27
<b>Total SFA</b>	5319.43 ± 525.59	5306.12 ± 717.66	5205.70 ± 415.34	5162.06 ± 489.87	4887.97 ± 413.07	4994.64 ± 469.50
<b>Total MUFA</b>	3827.48 ± 319.22	3690.86 ± 593.26	4049.31 ± 403.86	3405.80 ± 363.39	3621.01 ± 324.00	3310.07 ± 315.80
<b>Total PUFA</b>	5712.42 ± 337.63	5725.35 ± 680.15	5647.52 ± 378.43	5713.46 ± 470.96	5203.56 ± 377.44	5668.06 ± 390.45
<b>TOTAL FA</b>	14899.70 ± 1119.71	14764.52 ± 1958.06	14936.95 ± 1118.92	14317.94 ± 1228.43	912.13	13756.58 ± 14012.23 ± 1068.38

AA, Arachidonic acid; ALA, Alpha linolenic acid; DHA, Docosahexanoic acid; DIA, Docosadienoic acid; DPA, Docosapentaenoic acid ; DTA, Docosatetraenoic acid; EIA, Eicosenoic acid; EPA, Eicosapentaenoic acid; ERA, Erucic acid; ETA, Eicosatrienoic acid; FA, Fatty acid; GLA, Gamma linoleic acid; HGLA, Homogamma linolenic acid; LA, Linoleic acid; MA, Myristic acid; MUFA, Monounsaturated fatty acid; NA, Nervonic acid; OA, Oleic acid; PA, Palmitic acid; POA, Palmitoleic acid; PUFA, Polyunsaturated fatty acid; SA, Stearic acid; SFA, Saturated fatty acid

All values are expressed as mean ± SD. Data were analyzed by using a one-way analysis variance and the differences between the means were assessed post-hoc using Tukey's test. \*P<0.05; \*\*P<0.01; \*\*\*P<0.001 vs. n-6 placebo group (3 month-treatment); ##P<0.01; ###P<0.001 vs. n-3 PUFA group (Baseline).

**Table 6: Comparative fatty acid ratios in NAFLD patients following 3- and 6-month supplementations with n-3 PUFA or n-6 placebo**

Ratios	Baseline		3 month-treatment		6 month-treatment	
	n-6 Placebo (N=9)	n-3 PUFA (N=12)	n-6 Placebo (N=9)	n-3 PUFA (N=12)	n-3 PUFA (N=9)	n-3 PUFA (N=12)
16:1n-7/18:2n-6	0.10 ± 0.01	0.09 ± 0.01	0.09 ± 0.01	0.09 ± 0.01	0.10 ± 0.02	0.08 ± 0.01
18:2n-6/20:4n-6	5.14 ± 0.35	4.54 ± 0.22	5.43 ± 0.54	5.13 ± 0.27	5.50 ± 0.41	5.30 ± 0.30
20:3n-9/20:4n-6	0.02 ± 0.00	0.02 ± 0.00	0.02 ± 0.00	0.01 ± 0.00	0.02 ± 0.00	0.01 ± 0.00
Total n-7/18:2n-6	0.15 ± 0.02	0.15 ± 0.01	0.15 ± 0.02	0.14 ± 0.02	0.16 ± 0.02	0.13 ± 0.02
24:0/22:0	0.50 ± 0.01	0.58 ± 0.03	0.52 ± 0.01	0.57 ± 0.03	0.53 ± 0.02	0.59 ± 0.03
24:0/20:0	1.26 ± 0.11	1.73 ± 0.20	1.31 ± 0.12	1.63 ± 0.21	1.22 ± 0.06	1.81 ± 0.21
PUFA/SFA	1.11 ± 0.06	1.10 ± 0.05	1.10 ± 0.05	1.14 ± 0.07	1.10 ± 0.08	1.17 ± 0.07 <sup>#</sup>
EFA (LA+ALA)/NON-EFA	0.40 ± 0.02	0.39 ± 0.02	0.39 ± 0.02	0.41 ± 0.03	0.40 ± 0.03	0.42 ± 0.03 <sup>#</sup>
EPA/DHA	0.44 ± 0.04	0.56 ± 0.07	0.45 ± 0.05	0.62 ± 0.05**	0.45 ± 0.06	0.69 ± 0.06**
				0.39 ±		
DHA/AA	0.23 ± 0.03	0.22 ± 0.02	0.24 ± 0.02	0.04***###	0.33 ± 0.03**	0.40 ± 0.03***##
				0.64 ±	1.24 ±	
ALA/EPA	1.90 ± 0.35	1.10 ± 0.09	1.90 ± 0.50	0.08***###	0.18***	0.55 ± 0.04***##
ALA/LA	0.03 ± 0.00	0.02 ± 0.00	0.03 ± 0.00	0.03 ± 0.00	0.03 ± 0.00	0.03 ± 0.00
				8.47 ±	10.01 ±	
n-6/n-3	12.17 ± 1.16	12.65 ± 1.02	11.80 ± 0.97	0.79***###	0.52**	8.03 ± 0.79***##
Δ5 (20:4n-6/20 :3n-6)	3.60 ± 0.84	3.61 ± 0.24	4.04 ± 0.38	3.89 ± 0.24 <sup>#</sup>	4.04 ± 0.38	3.94 ± 0.24 <sup>#</sup>
Δ6 (20:3n-6/18:2n-6)	0.06 ± 0.01	0.07 ± 0.00	0.06 ± 0.00	0.06 ± 0.00 <sup>#</sup>	0.05 ± 0.01	0.05 ± 0.00 <sup>#</sup>
SCD1 index (16:1n-7/18:1n-9)	0.10 ± 0.04	0.13 ± 0.00	0.12 ± 0.01	0.11 ± 0.00 <sup>#</sup>	0.12 ± 0.01	0.11 ± 0.00 <sup>#</sup>
SCD16 index (16:1n-7/16:0)	0.10 ± 0.01	0.10 ± 0.01	0.09 ± 0.01	0.09 ± 0.01 <sup>#</sup>	0.10 ± 0.01	0.08 ± 0.00 <sup>#</sup>
SCD18 index (18:1n-9/18:0)	2.94 ± 0.14	2.75 ± 0.16	3.25 ± 0.22	2.66 ± 0.18*	3.14 ± 0.19	2.64 ± 0.13*

AA, Arachidonic acid; ALA, Alpha linolenic acid; DHA, Docosahexanoic acid; EFA, Essential fatty acid; EPA, Eicosapentaenoic acid; FA, Fatty acid; LA, Linoleic acid; PUFA, Polyunsaturated fatty acid; SFA, Saturated fatty acid

All values are expressed as mean  $\pm$  SD. Data were analyzed by using a one-way analysis variance and the differences between the means were assessed post-hoc using Tukey's test. \*P<0.05; \*\*P<0.01; \*\*\*P<0.001 vs. n-6 placebo group (3 month-treatment); #P<0.05; ##P<0.01; ###P<0.001 vs. n-3 PUFA group (Baseline).

**Table 7: Plasma fatty acid profile in all paediatric NAFLD patients before and after 6-month supplementation with n-3 PUFA**

Fatty Acids (FA)	Before treatment (Baseline) (N=21)	After treatment (6 months) (%)	P values	Before treatment (Baseline) (N=21)	After treatment (6 months) ( $\mu$ M)	P values
<b>SFA</b>						
MA (C14:0)	1.36 ± 0.08	1.26 ± 0.10	NS	209.63 ± 27.59	182.45 ± 22.66	NS
PA (C16:0)	25.29 ± 0.45	25.20 ± 0.64	NS	3795.15 ± 353.17	3534.62 ± 245.08	NS
SA (C18:0)	7.08 ± 0.14	7.00 ± 0.20	NS	1028.60 ± 64.64	962.89 ± 46.48	NS
<b>MUFA</b>						
POA (C16 :1n-7)	2.49 ± 0.155	2.28 ± 0.18	NS	375.65 ± 43.00	323.65 ± 38.27	NS
OA (C18:1n9)	19.82 ± 0.54	19.55 ± 0.50	NS	2967.26 ± 294.51	2737.86 ± 177.46	<0.05
EIA (C20:1n9)	0.13 ± 0.01	0.12 ± 0.00	NS	19.53 ± 1.80	17.04 ± 0.99	<0.05
ETA (C20:3n9)	0.10 ± 0.01	0.08 ± 0.01	NS	15.67 ± 1.74	12.01 ± 1.34	NS
ERA (C22:1n9)	0.12 ± 0.01	0.10 ± 0.00	<0.001	17.30 ± 1.62	13.50 ± 0.47	<0.001
NA (C24:1n9)	0.76 ± 0.04	0.82 ± 0.05	NS	109.76 ± 7.65	112.24 ± 7.19	NS
<b>PUFA</b>						
<i>n-3 series</i>						
ALA (C18:3n3)	0.76 ± 0.05	0.76 ± 0.05	NS	115.21 ± 13.86	106.32 ± 10.00	NS
EPA (C20:5n3)	0.63 ± 0.07	1.11 ± 0.11	<0.05	91.92 ± 11.03	152.42 ± 15.62	<0.05
DPA (C22:5n3)	0.37 ± 0.03	0.38 ± 0.02	NS	58.77 ± 10.22	53.10 ± 4.49	<0.001
DHA (C22:6n3)	1.29 ± 0.11	1.92 ± 0.12	<0.01	194.50 ± 22.69	264.97 ± 20.45	<0.05
<i>n-6 series</i>						
LA (C18 :2n-6)	27.44 ± 0.77	28.01 ± 1.07	NS	4002.72 ± 266.31	3856.84 ± 214.53	NS
GLA (C18:3n6)	0.52 ± 0.03	0.47 ± 0.05	NS	78.42 ± 9.76	66.34 ± 7.68	NS
HGLA (C20:3n6)	1.67 ± 0.07	1.36 ± 0.05	<0.001	248.94 ± 26.48	188.39 ± 10.74	<0.001
AA (C20:4n6)	5.84 ± 0.19	5.30 ± 0.19	<0.05	858.70 ± 66.36	727.31 ± 36.21	<0.01

DIA (C22:2n6)	0.02 ± 0.00	0.02 ± 0.00	NS	3.20 ± 0.63	2.42 ± 0.40	NS
DTA (C22:4n6)	0.14 ± 0.01	0.09 ± 0.01	<0.05	21.67 ± 3.41	12.88 ± 0.99	<0.001
<b>Total n-3 FA</b>	<b>3.09 ± 0.20</b>	<b>4.21 ± 0.23</b>	<b>&lt;0.001</b>	<b>466.37 ± 52.80</b>	<b>581.87 ± 43.85</b>	<b>&lt;0.001</b>
<b>Total n-6 FA</b>	<b>35.79 ± 0.83</b>	<b>35.41 ± 1.12</b>	<b>NS</b>	<b>5237.76 ± 360.29</b>	<b>4875.10 ± 245.63</b>	<b>&lt;0.001</b>
<b>Total n-7 FA</b>	<b>3.90 ± 0.18</b>	<b>3.68 ± 0.21</b>	<b>&lt;0.001</b>	<b>587.27 ± 61.48</b>	<b>517.52 ± 47.40</b>	<b>&lt;0.001</b>
<b>Total n-9 FA</b>	<b>20.94 ± 0.53</b>	<b>20.68 ± 0.48</b>	<b>NS</b>	<b>3129.50 ± 303.17</b>	<b>2892.64 ± 181.75</b>	<b>NS</b>
<b>Total SFA</b>	<b>35.66 ± 0.49</b>	<b>35.41 ± 0.73</b>	<b>NS</b>	<b>5311.82 ± 457.41</b>	<b>4948.92 ± 314.11</b>	<b>&lt;0.01</b>
<b>Total MUFA</b>	<b>25.07 ± 0.58</b>	<b>24.59 ± 0.63</b>	<b>NS</b>	<b>3749.41 ± 358.20</b>	<b>3443.32 ± 224.77</b>	<b>&lt;0.05</b>
<b>Total PUFA</b>	<b>38.99 ± 0.84</b>	<b>39.70 ± 1.19</b>	<b>&lt;0.05</b>	<b>5719.80 ± 406.12</b>	<b>5468.99 ± 273.82</b>	<b>&lt;0.05</b>
<b>TOTAL FA</b>				<b>14822.45 ± 1191.69</b>	<b>13902.660 ± 708.6356</b>	<b>NS</b>

AA, Arachidonic acid; ALA, Alpha linolenic acid; DHA, Docosahexanoic acid; DIA, Docosadienoic acid; DPA, Docosapentaenoic acid; DTA, Docosatetraenoic acid; EIA, Eicosenoic acid; EPA, Eicosapentaenoic acid; ERA, Erucic acid; ETA, Eicosatrienoic acid; FA, Fatty acid; GLA, Gamma linoleic acid; HGLA, Homogamma linolenic acid; LA, Linoleic acid; MA, Myristic acid; MUFA, Monounsaturated fatty acid; NA, Nervonic acid; OA, Oleic acid; PA, Palmitic acid; POA, Palmitoleic acid; PUFA, Polyunsaturated fatty acid; SA, Stearic acid; SFA, Saturated fatty acid

All values are expressed as mean ± SD. Data were analyzed by using a Student's *t* test. Statistical significance was defined as *P*<0.05 vs. Baseline.

**Table 8: Plasma fatty acid ratios in NAFLD patients before and after 6-month supplementation with n-3 PUFA**

Ratios	Before treatment (Baseline)	After treatment (6 months) (N=21)	P values
16:1n-7/18:2n-6	0.09 ± 0.01	0.09 ± 0.01	NS
18:2n-6/20:4n-6	4.80 ± 0.20	5.38 ± 0.24	<0.001
20:3n-9/20:4n-6	0.02 ± 0.00	0.02 ± 0.00	NS
Total n7/18:2n-6	0.15 ± 0.01	0.14 ± 0.01	NS
24:0/22:0	0.54 ± 0.02	0.56 ± 0.02	NS
24:0/20:0	1.53 ± 0.13	1.56 ± 0.14	NS
PUFA/Saturated FA	1.10 ± 0.04	1.14 ± 0.05	<0.05
EFA (LA+ALA)/NON-EFA	0.40 ± 0.01	0.41 ± 0.02	NS
EPA/DHA	0.51 ± 0.05	0.58 ± 0.05	<0.001
DHA/AA	0.22 ± 0.02	0.37 ± 0.02	<0.001
ALA/EPA	1.44 ± 0.18	0.84 ± 0.11	<0.05
ALA/LA	0.03 ± 0.00	0.03 ± 0.00	NS
n-6/n-3	12.45 ± 0.75	8.88 ± 0.54	<0.001
Δ5 (20:4n-6/20 :3n-6)	3.61 ± 0.18	3.98 ± 0.21	<0.05
Δ6 20:3n-6/18:2n-6	0.06 ± 0.00	0.05 ± 0.00	NS
SCD1 index (16:1n-7/18:1n-9)	0.13 ± 0.00	0.11 ± 0.00	<0.05
SCD16 index (16:1n-7/16:0)	0.10 ± 0.00	0.09 ± 0.00	<0.05
SCD18 index (18:1n-9/18:0)	2.83 ± 0.11	2.80 ± 0.12	NS

AA, Arachidonic acid; ALA, Alpha linolenic acid; DHA, Docosahexanoic acid; EFA, Essential fatty acid; EPA, eicosapentaenoic acid; FA, Fatty acid; LA, Linoleic acid; PUFA, Polyunsaturated fatty acid; SFA, Saturated fatty acid

All values are expressed as mean ± SD. Data were analyzed by using a Student's *t* test. Statistical significance was defined as *P*<0.05 vs. Baseline.

## Article 5

### «Non-alcoholic fatty liver disease severity and metabolic complications in obese children: impact of Omega-3 fatty acids»

**Spahis S, Alvarez F, Ahmed N, Dubois J, Jalbout R, Paganelli M, Grzywacz K, Delvin E, Peretti N, Emile Levy.** *J Nutr Biochem* 2018 Aug;58:28-36. doi: 10.1016/j.jnutbio.2018.03.025

#### CONTRIBUTION DES AUTEURS

**Spahis S** a été impliquée dans la conception et la planification de l'étude ; la mise au point du protocole de recherche clinique auprès de Santé Canada et du Comité d'éthique à la recherche ainsi que la création des "Case Report Form" pour chaque patient. Elle s'est occupée du recrutement et le suivi des patients; l'acquisition des données biochimiques, leur analyse et leur interprétation. Elle a contribué à la rédaction, soumission et révision du manuscrit sous la supervision du Directeur de recherche, Dr Levy E.

**Alvarez F et Ahmed N** ont contribué au recrutement et au suivi médical des patients.

**Dubois J** a contribué au suivi médical des patients.

**Jalbout R, Paganelli M, Grzywacz K et Delvin E et Peretti N** ont participé à la révision du manuscrit.

**Levy E** a contribué au concept et à la supervision de l'étude, aux ressources financières et matérielles pour la réalisation de l'étude. Dr Levy E a participé à la rédaction, l'analyse critique des résultats et la révision du manuscrit.

**NON-ALCOHOLIC FATTY LIVER DISEASE SEVERITY AND METABOLIC  
COMPLICATIONS IN OBESE CHILDREN: IMPACT OF OMEGA-3 FATTY ACIDS**

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**Running Head:** NAFLD and n-3 PUFA benefits

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## **ABSTRACT**

Although n-3 polyunsaturated fatty acids (PUFA) revealed promising therapeutic results in non-alcoholic fatty liver disease (NAFLD), which is considered as the most prevalent cause of chronic hepatic disease, inconsistencies are calling for further confirmatory trials to demonstrate therapeutic efficacy and safety. The study, registered as NCT02201160 on [www.clinicaltrials.gov](http://www.clinicaltrials.gov), was designed to compare two groups of NAFLD with a different severity, and to evaluate the efficacy of n-3 PUFA supplementation. Twenty young male participants of French Canadian origin with NAFLD were enrolled and classified into moderate (mNAFLD) and severe (sNAFLD) fatty liver groups, according to transaminase levels, ultrasonography, NAFLD Activity Score and fatty liver index (FLI). The sNAFLD patients were assigned to consume 2 g of n-3 PUFA for 6 months. sNAFLD patients displayed higher insulinemia, insulin resistance (IR), oxidative stress (OxS), systolic blood pressure and the risk lipid indicators of cardiovascular diseases. Supplementation of n-3 PUFA for 6 months resulted in a significant increase in concentrations of eicosapentaenoic and docosahexaenoic acids in RBC along with an attenuation of hepatic steatosis as reflected by the reduction of the FLI, ALT and ALT/AST ratio. Moreover, the n-3 PUFA improved the lipid profile and carotid intima-media thickness, while reducing metabolic and OxS markers and raising adiponectin. In conclusion, NAFLD severity was essentially related to IR. Treatment with n-3 PUFA has an evidently beneficial effect on liver steatosis and related metabolic abnormalities. Furthermore, the cross association of omega-3 index with cardiometabolic markers may serve as a predictor for cardiovascular risk disorders in NAFLD.

**Key words:** NAFLD, PUFA, fatty liver index, lipids, insulin resistance, inflammation, oxidative stress, red blood cell fatty acid composition.

**Abbreviations:** APO, Apolipoprotein; BMI, body mass index; CTR, control; CVD, cardiovascular disease; DHA, Docosahexaenoic acid; DBP, diastolic blood pressure; EPA, Eicosapentaenoic acid; FA, Fatty acids; IL, Interleukin; IMT, Intima-media thickness; IR, Insulin resistance; FLI, Fatty liver index; GC, gas chromatography; GGT,  $\gamma$ -glutamyl transferase; LDL-C, Low-density lipoprotein cholesterol; MDA, Malondialdehyde; MetS, metabolic syndrome; NAFLD, Non-alcoholic fatty liver disease; OxS, oxidative stress; OxLDL, Oxidized LDL; PUFA,

Polyunsaturated fatty acid; RBC, red blood cell; SBP, systolic blood pressure; TG, Triglycerides; VLCFA, Very long chain FA

## 1.1 INTRODUCTION

In close association with obesity, non-alcoholic fatty liver disease (NAFLD) is becoming the most widespread cause of chronic liver disease in Western countries (1). Children are no exception to this increasingly diagnosed medical condition in addition with the possibility of developing hepatocellular fibrosis and carcinoma, as well as high morbidity and mortality (2). Cardiometabolic health is also affected in the presence of NAFLD given the observed abnormalities in peripheral insulin sensitivity, lipid profile, glucose metabolism and vascular integrity, thereby giving rise to metabolic syndrome (MetS) (3). Elevated carotid intima-media thickness (IMT) has frequently been reported in adult subjects with NAFLD and may stratify cardiovascular risks (4).

Currently, various nutritional and pharmacological treatments are recommended for NAFLD (5-8), but most of them seem ineffective in the long term. Among the most powerful pharmacological agents, insulin-sensitizing pioglitazone exhibits a beneficial impact on liver biochemical and histological parameters, but unfortunately with adverse events (9). Other drugs such as liraglutide, elafibranor, obeticholic acid and statins have been assessed but the number of randomised controlled trials is quite limited, and further clarification is required as to their long-term benefits and safety (9-13). It has to be acknowledged that no medically approved treatment is available for NAFLD (14). Even pediatric trials are not convincing as they are scarce and characterized by a very small sample size and duration.

However, appropriate diet, exercise and lifestyle implementation may ameliorate hepatic steatosis and complications (15). For example, the combination of  $\alpha$ -tocopherol and metformin decreased liver enzymes and complications, but the absence of control (CTR) groups restricts the interpretation of the data (16-18). The effects of omega-3 polyunsaturated fatty acids (n-3 PUFA) were also evaluated in young patients with NAFLD in view of their hypotriglyceridemic and anti-inflammatory features. The treatment of children with docosahexaenoic acid (DHA) resulted in the reduction of metabolic disorders and fat in the liver (19-21). However, other trials with DHA could not show convincing improvement in transaminases and body overweight (22). Furthermore, inconsistent findings were also reported in adult subjects concerning transaminase levels, hepatic fat content, circulating triglyceride (TG) and glycaemia concentrations (23-28). Discrepancy was

also evident in two systematic meta-analyses (29, 30). The small doses of administered n-3 PUFA and patient compliance were probably the limiting factors (31).

The purpose of the present work is twofold: (i) to compare two levels of NAFLD severity in obese adolescent patients with respect to liver transaminases, dyslipidemia, metabolic abnormalities, oxidative stress (OxS), inflammation and carotid IMT; and (ii) to evaluate the efficacy and safety response to n-3 PUFAs treatment. This interventional trial was carried out with 2000 mg of fish oil administered daily to the young patients who were continually motivated for adherence and persistence (21).

## **1.2 MATERIALS AND METHODS**

### **1.2.1 Subjects and Inclusion/ exclusion criteria**

The present clinical trial was performed on 20 NAFLD male children followed as outpatients at the Gastroenterology/Hepatology and Nutrition Clinic of Mother University Hospital Child (MUHC) Sainte-Justine and the Gastroenterology division of the Montreal Children's Hospital in Montreal. The children were between 8 and 18 years of age, and with obesity ( $>95^{\text{th}}$  Percentile) considering the CDC 2000 Chart. The diagnosis of NAFLD was based on the results of a clinical and biochemical evaluation (transaminase levels), ultrasound fat grading and magnetic resonance imaging fat quantification as per our recent publication (21, 32). Moreover, the exclusion criteria include subjects having pins or cochlear implants that may affect the magnetic resonance imaging examination, subjects who consumed natural medicine products possibly leading to increased risk of haemorrhage, and those who were found to consume fish, flaxseed oil and food enriched with n-3 PUFA, probiotics, vitamin E or drugs known to induce fatty liver during the study (21).

### **1.2.2 Study design**

The young NAFLD subjects were classified in two groups: the moderate NAFLD (mNAFLD) group that was characterized by normal transaminases, lower score steatosis (1-2) and mild fatty liver index (FLI)  $\leq 30$ , whereas severe NAFLD (sNFLD) subjects had higher transaminases ( $>2$  normal), steatosis score ( $\leq 5$ ) according to the NAFLD Activity Score (NAS) (33), and FLI ( $\geq 60$ ) (34). The present study included a 6-month-treatment with an active n-3 PUFA supplements (NutriSanté Inc./Ponroy, Canada). The study was approved by Health Canada and the Clinical Research Ethics Committee of MUHC Sainte-Justine (Montreal, Quebec). Informed consent was obtained from all subjects or parents before starting experimental procedures, and the study followed the Helsinki guidelines. A total of 20 French Canadian children with NAFLD were selected: 9 mNAFLD and 11 sNAFLD as they succeeded in completing the 6 month-treatment with n-3 PUFA. Therefore, to meet the objectives of the present study, only the sNAFLD were considered for the data analysis. For biochemical references and fatty acid composition, 20 unrelated age-matched healthy CTRs were recruited at the Orthopedic Clinic of the MUHC Sainte-Justine.

### **1.2.3 Fatty acid supplements**

The dose supplementation considered for this study is 2 g of fish oil per day, providing a total of 1.2 g of n-3 PUFA at a rate of 4 capsules per day (21).

#### **1.2.4 Plasma and red blood cell separation**

Blood samples were collected in tubes containing 1 g EDTA/L after subjects had fasted for 12h overnight. Plasma and erythrocytes were separated immediately by centrifugation (700 x g for 20 min) at 4 °C as described previously (21, 35, 36).

#### **1.2.5 NAFLD Activity Score and Fatty liver index**

The NAFLD severity was assessed by evaluating the steatosis score according to the NAS (37): Absence of steatosis/normal liver echo-texture (grade 0); mild steatosis/ slight and diffuse increase in fine parenchymal echoes with normal visualization of diaphragm and portal vein borders (grade 1); moderate steatosis/moderate and diffuse increase in fine echoes with slightly impaired visualization of diaphragm and portal vein borders (grade 2); and severe steatosis/fine echoes with poor or no visualization of diaphragm, portal vein borders and posterior portion of the right lobe (grade 3).

The level of FLI is an algorithm, generally used to detect fatty liver and to predict the NAFLD risk (38). FLI was calculated using the validated algorithm:

$$[e^{0.953 \times \log(TG) + 0.139 \times BMI + 0.718 \times \log(GGT) + 0.053 \times \text{waist circumference} - 15.745}] / [1 + e^{0.953 \times \log(\text{triglycerides}) + 0.139 \times BMI + 0.718 \times \log(GGT) + 0.053 \times \text{waist circumference} - 15.745}] \times 100$$
 and included four markers: body mass index (BMI), waist circumference, plasma TGs and  $\gamma$ -glutamyl transferase (GGT) (34).

#### **1.2.6 Biochemical parameters**

Biochemical assessments were carried out as described previously (39). Plasma apolipoproteins (Apo) A-I, B and Cs, oxidized LDL (oxLDL), interleukin (IL)-6, and serum adipokines (adiponectin, acyl-ghrelin and leptin) were determined by commercial ELISA kits. Insulin resistance (IR) was assessed by the homeostasis model assessment HOMA-IR index (40) calculated with the formula: [fasting insulin (mU/mL)  $\times$  fasting glucose (mmol/L)] / 22.5.

#### **1.2.7 Carotid Doppler**

Longitudinal images of the common carotid arteries were acquired by combination of 2-D mode and color Doppler examination using a high-resolution linear ultrasound transducer. Common carotid IMT was measured by calculating the mean value of 3 consecutive measurements of the deep wall thickness of the vessel, 10 mm below the carotid bulb (41).

#### **1.2.8 Malondialdehyde**

Plasma free malondialdehyde (MDA) concentrations were measured according to our high-performance liquid chromatography method described previously (35).

### **1.2.9 Fatty acid composition**

Fatty acids (FAs) in erythrocytes were assessed by an improved gas chromatography method described previously (21, 35, 36).

The omega-3 index was calculated as the total percentage of (EPA,20:5n-3) and (DHA,22:6n-3) content of erythrocyte cell membranes.

### **1.2.10 Statistical Analysis**

All values are expressed as mean  $\pm$  SEM. Data were analyzed by a pairwise using Student's two-tailed *t* test (if two groups) or one-way ANOVA analysis variance (three groups) and the differences between the means were assessed post-hoc using Tukey's test. The association with the metabolic markers was evaluated by univariate analysis correlation with a Spearman test for non-normally distributed variable. Statistical significance was defined as  $P < 0.05$ .

## 1.3 RESULTS

### 1.3.1 Anthropometric, biochemical and clinical data

20 young male NAFLD patients with the mean age of  $13.77 \pm 0.78$  yr (ranging 8-18 yr) completed the study. The anthropometric, clinical and laboratory data from each individual were obtained and distributed into subgroups on the basis of fatty liver severity, which was characterized by elevated transaminases, steatosis score and FLI (**Table 1**). These two subgroups formed according to the severity of fatty liver disease did not display any significant difference in age, BMI and IMT. Noteworthy, twenty healthy subjects, paired for age and sex, were included in the study for reference values.

Based on the values of fasting plasma glucose, there was no evidence of diabetes in the two NAFLD groups (**Table 2**). However, sNAFLD patients exhibited more marked hyperinsulinemia compared to mNAFLD individuals (**Table 2**). As expected from these findings, IR was higher in the sNAFLD group in view of the calculated HOMA-IR index. Similarly, sNAFLD patients displayed high systolic blood pressure (SBP) whereas their diastolic blood pressure (DBP) remained unchanged in comparison with the mNAFLD group.

The lipid profile, lipoproteins and Apos are shown in **Table 2**. Interestingly, no significant changes between the moderate and severe NAFLD groups were observed in TGs, total cholesterol and LDL-C, as well as in Apos (A-I, B, Cs), with the exception of a significant increase in Apo C2/C3 ratio that characterized the sNAFLD group. However, compared to the CTR group, most of the NAFLD subjects exhibited significantly severe metabolic derangements in their lipid profile as shown by TGs, HDL-C and Apos (AI, B and C3), as well as by the ratios of total cholesterol/HDL-C, LDL-C/HDL-C, B/AI and B/TG (**Table 2**), thereby worsening the risk of cardiovascular diseases (CVD). No significant alterations were noticed in lipid peroxidation/OxS (as reflected by MDA and oxLDL), inflammation (as shown by hCRP and IL-6), and adipose tissue-derived hormones (as indicated by acyl-ghrelin, adiponectin, leptin and adiponectin/leptin ratio) between the moderate and severe NAFLD groups (**Table 3**). However, all these categories of markers were substantially divergent between NAFLD groups and CTR subjects (**Table 3**).

As FA levels are proposed as useful biomarkers for the estimation of dietary FA intake and risk of CVD (42, 43), we analyzed their absolute concentrations and percent distribution in red blood cells (RBC) of CTR and NAFLD subjects (**Tables 4 and 5**). Marked disturbances were apparent in the FA composition profile of NAFLD patients as evidenced by the increase of saturated FA and

decrease of PUFA compared to healthy CTR subjects. On another side, the sNAFLD patients displayed a significantly higher concentration of total FA in the RBC, lower levels of DHA and EPA, and a decreased omega-3 index, which constitutes an elevated risk of CVD (**Tables 4-5**).

### **1.3.2 Impact of n-3 PUFA supplementation on NAFLD**

To study the effect of n-3 PUFA on NAFLD, we chose only the subgroup displaying the greatest fatty liver severity (sNAFLD). The administration of n-3 PUFA for 6 months significantly improved ALT, ALT/AST ratios and FLI (Table 6) as well as IMTL, fasting insulinemia and the HOMA-IR index (Table 7). Moreover, the long n-3 PUFAs were able to alter the lipid profile by reducing TGs, LDL-C and Apo B, as well as the ratios of Apo B/AI, total cholesterol/HDL-C, LDL-C/HDL-C and Apo B/TG without ameliorating HDL-C, Apo A-I and Apo C family members (**Table 7**).

Moreover, treatment of the sNAFLD young subjects with n-3 PUFAs decreased the magnitude of OxS and inflammation as evidenced by the lowering of serum oxLDL, leptin and acyl-ghrelin, while favourably increasing adiponectin (**Table 8**). Finally, with the n-3 PUFA supplementation, statistically significant changes were observed in RBC FA composition of sNAFLD patients (**Table 9**). An evident decline was noted in saturates along with a rise in monounsaturated FA and n-3 PUFA. The n-3 family was enriched in DHA and EPA. As expected from the alterations of this FA composition, there was an elevation of the ratios of 18:2n-6/20:4n-6, PUFA/saturated FA, EPA/DHA, DHA/AA and omega-3 index (Table 8). On the other hand, a marked decrease characterized n-6/n-3. Moreover, the  $\Delta 5$  (20:4n-6/20:3n-6) and  $\Delta 9$  (18:1n-9/18:0) ratios were higher while that of  $\Delta 6$  (20:3n-6/18:2n-6) was lower (**Table 10**).

### **1.3.3 n-3 PUFA-associated cardiometabolic biomarkers in NAFLD**

To better assess the impact of the n-3 PUFA treatment on the cardiometabolic factors associated with NAFLD and to uncover important biomarkers, we first evaluated the possible interactions between omega-3 index and biochemical/clinical parameters. Noteworthy, the omega-3 index has been reported to be associated with a lower risk of CVD and coronary mortality in epidemiological studies (44, 45). Our findings documented that the  $\Delta$  (changed values between the basal and the end of 6-month treatment) omega-3 index was negatively correlated with IMT, IR and lipids while being positively associated with the very long chain FA (VLCFA) (**Table 11**). On the other hand, the n-6/n-3 ratio was only correlated with cholesteryl ester. In these conditions, not only n-3 PUFAs were able to lower FLI (**Table 6**) and n-6/n-3 ratio (**Table 10**), but they also promoted

their negative correlation with phospholipids and omega-3 index, respectively, suggesting that the omega-3 index may be used as a relevant biomarker in NAFLD status.

## **1.4 DISCUSSION**

As obese subjects are increasingly susceptible to develop NAFLD, we evaluated the steatosis features of unrelated young male French Canadian along with its association with the MetS components and response to n-3 PUFA supplementation. Thus, we are joining the biomedical community endeavor to identify reliable cardiometabolic biomarkers for diagnosis, follow-up and response to treatments, in the hope of attenuating or reversing NAFLD evolution, particularly its progression towards steatohepatitis. The findings of the present study showed that the patients were segregated into two groups according to their steatosis score, which differentiated between grades 1-2 (n=9, mNAFLD) and grades 2-4 (n=11, sNAFLD) in combination with FLI. Compared to healthy CTRs, the NAFLD subjects of the two groups showed abnormalities in BMI, insulin sensitivity, lipid levels, adipokines and inflammatory factors as well as derangements in fatty acid composition, which collectively indicate the presence of the MetS and potential risks for CVD. Although the sNAFLD group, classified as severe given its steatosis magnitude based on higher levels of transaminases, NAS and IR (raised levels of HOMA-IR), it did not display more metabolic disturbances. However, our findings highlight the beneficial impact of n-3 PUFA on its RBC FA composition and metabolic variables, suggesting an alleviation of the disorder.

As per the definition of NAFLD, our patients who underwent ultrasonography, an imaging technique widely used for diagnosis of fatty liver (46), were characterized by an increased deposit of lipids exceeding 5% of total liver weight in the absence of significant alcohol consumption. In addition, the positive correlation between hepatic accumulation and elevated body weight supports the growing evidence that NAFLD is more prevalent among obese individuals (47). This also applies to the important factor of IR evaluated by HOMA-IR in our investigation, therefore confirming the well-established link between IR and the pathological stages of NAFLD (48-50). Our finding is in line with various studies, which documented a higher rate of the MetS in NAFLD patients (47, 48, 50-52). Indeed, a number of cross-sectional investigations reported the association between NAFLD and MetS (53-55). NAFLD may even predict the prevalence of MetS according to prospective reports (56-58). Interestingly, our results could not demonstrate that NAFLD severity was linked to an amplification of the MetS despite the presence of increased HOMA-IR and lower omega-3 index. No marked divergences were noted between moderate and severe NAFLD in terms of dyslipidemia, hypertension, OxS and inflammation, all of which are

components of the MetS. Noteworthy, NAFLD was documented to be more prevalent in patients with type 2 diabetes, but none of our patients showed any signs of diabetes (47).

Visceral obesity appears as a key metabolic contributor to NAFLD (59, 60). However, in our studies, quantitative measures of central adiposity by DEXA did not show significant differences between moderate and more severe NAFLD (data not shown). Furthermore, n-3 PUFA supplementation did not result in alterations in visceral fat. In this context, it is important to mention the conflicting data reported in pertinent literature. Some groups of investigators have described the direct relationship between visceral fat and NAFLD (47, 61) while others failed to show such association (62, 63). Similarly, previous work demonstrated that the gradation in the degree of obesity was not correlated with clinical and biochemical parameters and did not reflect NAFLD severity in children (3). Probably, the limitation of the current study is the absence of very high to extremely severe NAFLD, which could have been more informative about the relationship between the degree of NAFLD and various metabolic complications.

#### **1.4.1 Omega-3 index-associated NAFLD and metabolic derangements**

The omega-3 index has been developed by Harris' group to predict cardiometabolic disorders (45, 64). Indeed, lower levels of omega-3 index are associated with CVD. In our cohort, the sNAFLD subjects presented a very low level of omega-3 index, and the dietary intake of n-3 PUFA increased the index value while improving the MetS parameters with a beneficial impact on NAFLD subjects. Furthermore, the omega-3 index displayed a negative association with metabolic variables related to MetS such as HOMA-IR, LDL/HDL, Cholesterol/HDL and IMT, suggesting that the omega-3 index can be an appropriate predictor for MetS in NAFLD (65). On the other hand, FAs with 20 or more carbons [e.g., arachidic acid (20:0), behenic acid (22:0) and lignoceric acid (24:0)] were classified as VLCFAs. Various studies reported a significant reduction in the proportion of VLCFA in subjects with MetS (66) whereas VLCFA intake resulted in beneficial effects on CVD (67, 68). However, the association between dietary VLCFAs and NAFLD remains misunderstood. In our NAFLD cohort study, changes between baseline and the end of n-3 PUFA intake revealed a negative correlation of omega-3 index with VLCFAs suggesting a possible link with NAFLD, whereas the n-6/n-3 ratio was correlated only with cholesteryl ester. This observation requires further investigation in order to establish that omega-3 index can definitely serve as a biomarker for NAFLD.

Our data demonstrate the benefits of n-3 PUFA for cardiometabolic complications and NAFLD. This finding adds to the growing clinical and preclinical literature indicating that n-3 PUFA should be included in any treatment regime for cardiometabolic disorder and NAFLD. Indeed, n-3 PUFA have been valuable for MetS and particularly IR, which is central in NAFLD pathogenesis (69). Previous trials reported the advantageous influence of n-3 PUFA on hypertriglyceridemia (70), adiponectin levels (71) and IR (22), along with an amelioration of insulin sensitivity, hepatic transaminases and liver histology (69, 72-74). Noteworthy, testing a pediatric French Canadian population with liver steatosis is particularly important as the population of Quebec is more prone to develop cardiometabolic disorders, including hyperlipidemia, hypercholesterolemia, and other hard conditions.

The present investigation did not assess physical activity or food intake by self-reporting or questionnaire since the main hypothesis was to evaluate the specific efficacy of n-3 PUFA on metabolic parameters in NAFLD without any interference from environmental factors. Such an approach is the most optimal for testing the effectiveness of n-3 PUFA. However, future studies will allow to examine the combined impact of n-3PUFA, nutrition surveillance and physical activity.

Although fish oil in different clinical formulations has a high safety profile, we have been carefully analyzing this important parameter in our study. In fact, the n-3 PUFA supplementation in the present trial was safe and effective. This measure was necessary to ensure the security of n-3 capsules offered by Nutrisanté but have never been tested, and to meet Health Canada requirements.

In conclusion, the subgroup classified as severe in terms of steatosis exhibited a marked increase in transaminases and HOMA-IR, but it did not exhibit more MetS features than the moderate group. However, the intervention with n-3 PUFA is clearly beneficial not only for the alleviation of NAFLD, but also for the prevention of severe complications such as diabetes and CVD by targeting new biomarkers for NAFLD diagnosis, treatment and follow-up.

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SS was involved in the study concept and design, acquisition, analysis and interpretation of data, revision of the manuscript, technical support, statistical analysis and study supervision.

EL contributed to the study concept, design and supervision, drafting, writing and critical revision of the manuscript, for important intellectual content, obtained funding, and material support.

SS, FA and NA contributed to recruiting patients.

JD, RJ, AT, MP, KG and ED revised the manuscript

NP contributed to the study concept

All authors read and approved the final manuscript.

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**Table 1: Baseline anthropometric and liver characteristics of young boy NAFLD patients**

<b>Variables</b>	<b>CTR (n=20)</b>	<b>mNAFLD (n=9)</b>	<b>sNAFLD (n=11)</b>
Age (y)	13.85 ± 0.21	13.22 ± 1.02	14.33 ± 0.97
BMI (Kg/m <sup>2</sup> )	17.14 ± 0.26	32.09 ± 1.52***	32.00 ± 1.76***
ALT (U/L)	19.86 ± 0.75	20.67 ± 1.13	52.10 ± 4.45***##
AST (U/L)	15.86 ± 0.90	22.44 ± 1.54**	34.80 ± 1.61***##
ALT/AST	1.28 ± 0.05	0.93 ± 0.04	1.49 ± 0.09##
GGT (U/L)	11.80 ± 0.73	17.25 ± 1.70*	25.78 ± 3.59**#
<b>Liver-kidney contrast (%)</b>			
0 = Liver hypoechoic relative to kidney		20	0
1 = Mild hyperechoic liver relative to kidney		60	0
2 = Moderate hyperechoic liver relative to kidney		10	35
3 = Marked hyperechoic liver relative to kidney		10	65
<b>Ultrasound deep attenuation (%)</b>			
0 = No deep attenuation		75	45
1= Visible, blurred diaphragm		25	45
2 = Undistinguishable diaphragm		0	10
<b>Vessel blurring (%)</b>			
0 = No vessel blurring		90	0
1 = Narrowed and blurred vessels		10	90
<b>Steatosis score (%)</b>			
0		0	0
1-2		75	0
2-3		25	85
3-4		-	15
Mean		1.67±0.47	4.7±0.3##
<b>Fatty liver index (%)</b>			
Low ( $\leq 30$ )		80	30

High-very high (60-90)	20	70
Mean	52.11±6.25	71.60±8.85 <sup>#</sup>

ALT: alanine transaminase; AST: aspartate aminotransferase; BMI: body mass index; CTR: controls (Healthy subjects); GGT: gamma-glutamyl transpeptidase; mNAFLD: moderate non-alcoholic fatty liver disease; sNAFLD: severe non-alcoholic fatty liver disease

All values are expressed as mean ± SEM. Data were analyzed by using Anova Tukey's multiple comparisons or student *t* test (if two groups). \*P<0.05, \*\*P<0.001, \*\*\*P<0.0001 vs CTR; <sup>#</sup>P<0.05, <sup>###</sup>P<0.0001 vs. mNAFLD group.

**Table 2: Baseline metabolic biomarkers and lipid profile of young NAFLD patients**

<b>Variables</b>	<b>CTR (n=20)</b>	<b>mNAFLD (n=9)</b>	<b>sNAFLD (n=11)</b>
<b>Metabolic variables</b>			
Fasting blood Glucose (mmol/L)	5.22 ± 0.08	5.23 ± 0.11	5.35 ± 0.09
Fasting Insulin (pmol/L)	29.03 ± 2.08	140.30 ± 6.85 ***	182.80 ± 15.53 ***#
HOMA-IR	0.86 ± 0.10	4.68 ± 0.23 ***	5.59 ± 0.52 ***#
SBP (mmHg)	106.33 ± 1.50	111.67 ± 3.85	116.27 ± 5.11 *
DBP (mmHg)	58.15 ± 1.17	57.33 ± 1.66	59.73 ± 2.87
IMTL (mm)	ND	0.56 ± 0.03	0.59 ± 0.02
IMTR (mm)	ND	0.56 ± 0.03	0.58 ± 0.02
<b>Lipid profile</b>			
TG (mmol/L)	0.63 ± 0.03	1.46 ± 0.18 ***	1.35 ± 0.14 ***
TC (mmol/L)	4.23 ± 0.13	4.56 ± 0.41	4.03 ± 0.26
HDL-C (mmol/L)	1.60 ± 0.02	1.00 ± 0.08 ***	0.81 ± 0.06 ***
LDL-C (mmol/L)	2.34 ± 0.13	2.72 ± 0.32	2.60 ± 0.24
Apo AI (g/L)	1.35 ± 0.02	1.22 ± 0.07 *	1.10 ± 0.04 ***
Apo B (g/L)	0.63 ± 0.03	0.80 ± 0.02 *	0.77 ± 0.05 *
Apo C2 (ug/ml)	105.19 ± 10.90	137.35 ± 15.06	120.45 ± 8.09
Apo C3 (ug/ml)	147.02 ± 7.60	168.48 ± 15.64	117.47 ± 16.66 *
<b>Lipid ratios</b>			
Apo C2/Apo C3	0.71 ± 0.06	0.73 ± 0.12 **	1.38 ± 0.26 #*
TC/HDL-C	2.66 ± 0.09	4.63 ± 0.45 ***	5.25 ± 0.51 ***
LDL-C / HDL-C	1.47 ± 0.08	2.70 ± 0.25 ***	3.45 ± 0.45 ***
Apo B/Apo AI	0.47 ± 0.02	0.68 ± 0.05 **	0.70 ± 0.05 ***
Apo B/TG	1.07 ± 0.08	0.63 ± 0.10 *	0.63 ± 0.08 *

Apo: apolipoprotein; CTR: control; DBP: diastolic blood pressure; HDL-C: high-density lipoprotein-cholesterol; IMTL: intima media thickness left; IMTR: intima media thickness right; LDL-C: low-density lipoprotein-cholesterol; ND: no data; SBP: systolic blood pressure; TC: total cholesterol; TG: triglyceride.

All values are expressed as mean ± SEM. Data were analyzed by using Anova Tukey's multiple comparisons. \*P<0.05, \*\*P<0.001, \*\*\*P<0.0001 vs. CTR; #P<0.05 vs. mNAFLD group.

**Table 3: Baseline lipid peroxidation and inflammatory biomarkers of young NAFLD patients**

<b>Variables</b>	<b>CTRL (n=20)</b>	<b>mNAFLD (n=9)</b>	<b>sNAFLD (n=11)</b>
MDA (pmol/L)	127.51 ± 7.39	212.17 ± 27.17 **	187.47 ± 18.55 *
oxLDL (U/L)	0.49 ± 0.02	50.26 ± 6.86 ***	53.50 ± 4.16 ***
hCRP (mg/L)	0.15 ± 0.02	2.58 ± 0.81 ***	2.15 ± 0.80 ***
IL-6 (pg/ml)	43.13 ± 5.12	92.36 ± 4.37 ***	93.35 ± 5.15 ***
Adiponectin (ng/ml)	8820.00 ± 670.00	2268.96 ± 559.05 ***	1624.70 ± 277.88 ***
Leptin (ng/ml)	1.90 ± 0.28	46.83 ± 7.07 ***	33.72 ± 5.04 ***
Acyl-ghrelin (pg/ml)	78.28 ± 5.04	151.80 ± 16.10 ***	156.56 ± 11.50 ***

CTR: control; hCRP: human C reactive protein; IL: interleukin; MDA: malondialdehyde; ox: oxidized.

All values are expressed as mean ± SEM. Data were analyzed by using an Anova Tukey's multiple comparisons. \*P<0.05, \*\*P<0.001, \*\*\*P<0.0001 vs. CTR.

**Table 4: Baseline fatty acids composition in red blood cells in NAFLD patients**

Fatty Acids (FA)	CTR (n=20)	mNAFLD (n=9) (%)	sNAFLD (n=11)	CTR (n=20)	mNAFLD (n=9) (μg/g Hb)	sNAFLD (n=11)
<b>SFA</b>						
PA (C16:0)	16.74 ± 0.72	25.57 ± 1.20***	27.16 ± 1.2*** <sup>8</sup>	1392.14 ± 24.42	2668.77 ± 288.63***	3063.36 ± 232.46***
SA (C18:0)	16.02 ± 1.03	16.69 ± 0.29	15.43 ± 1.58	1337.81 ± 85.35	1708.02 ± 99.52*	1765.72 ± 201.26**
<b>MUFA</b>						
POA (C16 :1n-7)	0.28 ± 0.02	1.18 ± 0.10***	1.22 ± 0.16***	23.33 ± 2.37	124.51 ± 17.79***	139.94 ± 20.09***
OA (C18:1n9)	16.38 ± 0.66	18.62 ± 0.61	18.91 ± 0.76*	1397.32 ± 93.00	1919.95 ± 150.94**	2121.02 ± 128.13***
EIA (C20:1n9)	0.58 ± 0.10	0.34 ± 0.01**	0.34 ± 0.01**	47.95 ± 7.82	34.41 ± 1.30	37.40 ± 1.45
ETA (C20:3n9)	0.09 ± 0.01	0.05 ± 0.00**	0.06 ± 0.00**	7.42 ± 0.84	5.02 ± 0.30*	6.29 ± 0.53
ERA (C22:1n9)	1.22 ± 0.04	0.76 ± 0.05**	0.97 ± 0.17*	102.77 ± 4.91	76.35 ± 4.32**	78.54 ± 5.03***
NA (C24:1n9)	5.89 ± 0.27	3.31 ± 0.20**	2.96 ± 0.19***	499.35 ± 30.22	333.21 ± 16.44**	324.09 ± 13.98***
<b>PUFA</b>						
<i>n-3 series</i>						
ALA (C18:3n3)	0.23 ± 0.02	0.13 ± 0.01**	0.13 ± 0.01**	19.31 ± 1.74	13.74 ± 1.87*	13.90 ± 0.87*
EPA (C20:5n3)	0.46 ± 0.06	0.39 ± 0.02	0.32 ± 0.02#	40.15 ± 6.28	41.12 ± 3.00	34.13 ± 1.05#
DPA (C22:5n3)	2.53 ± 0.38	1.41 ± 0.16	1.24 ± 0.14*	225.60 ± 37.06	139.02 ± 12.25	134.67 ± 12.30*
DHA (C22:6n3)	5.12 ± 0.61	3.50 ± 0.20*	2.67 ± 0.21***#	420.77 ± 35.20	322.95 ± 27.40	312.75 ± 23.97*
<i>n-6 series</i>						
LA (C18 :2n-6)	11.05 ± 0.88	6.53 ± 0.17**	6.48 ± 0.27**	955.87 ± 93.33	670.34 ± 46.54*	728.42 ± 47.61*
GLA (C18:3n6)	0.00 ± 0.00	0.33 ± 0.02***	0.32 ± 0.01***	0.00 ± 0.00	33.39 ± 1.57***	35.38 ± 1.89***
HGLA (C20:3n6)	2.40 ± 0.24	1.12 ± 0.07***	1.23 ± 0.06**	209.95 ± 25.29	112.83 ± 6.89**	136.10 ± 5.08**
AA (C20:4n6)	3.83 ± 0.53	9.86 ± 0.37***	9.14 ± 0.46***	318.59 ± 38.95	1001.59 ± 49.94***	1024.17 ± 63.25***
DIA (C22:4n6)	4.01 ± 0.53	3.06 ± 0.25	2.76 ± 0.34	353.06 ± 50.21	306.47 ± 19.17	300.54 ± 33.86
Total n-3 FA	8.51 ± 0.62	5.18 ± 0.35**	4.66 ± 0.39***	720.80 ± 58.03	524.04 ± 34.06*	509.45 ± 33.70**
Total n-6 FA	21.69 ± 1.50	21.11 ± 0.64	20.11 ± 0.68	1872.35 ± 159.52	2145.39 ± 102.20	2245.65 ± 100.93

Total n-7 FA	$1.64 \pm 0.07$	$2.28 \pm 0.13^{**}$	$2.43 \pm 0.12^{***}$	$138.89 \pm 8.03$	$238.74 \pm 27.78$	$274.50 \pm 20.40$
Total n-9 FA	$24.06 \pm 0.68$	$23.08 \pm 0.52$	$23.23 \pm 0.68$	$2047.40 \pm 116.86$	$2368.94 \pm 156.36$	$2603.55 \pm 134.13$
Total SFA	$44.01 \pm 1.81$	$47.76 \pm 0.86$	$48.85 \pm 0.96^*$	$3668.91 \pm 89.14$	$4926.31 \pm 376.95^{**}$	$5502.08 \pm 287.33^{***}$
Total MUFA	$19.81 \pm 0.69$	$25.31 \pm 0.58^{**}$	$25.61 \pm 0.73^{**}$	$1686.93 \pm 102.76$	$2602.67 \pm 182.33^{**}$	$2871.76 \pm 149.73^{**}$
Total PUFA	$30.29 \pm 1.37$	$26.33 \pm 0.89$	$24.83 \pm 1.00^{**}$	$2600.56 \pm 185.73$	$2674.45 \pm 125.74$	$2761.39 \pm 114.81$
Trans FA	$0.00 \pm 0.00$	$0.60 \pm 0.02^{***}$	$0.59 \pm 0.02^{***}$	$0.00 \pm 0.00$	$60.78 \pm 3.55^{**}$	$65.73 \pm 3.83^{***}$
Total FA				$8455.75 \pm 314.35$	$9318.22 \pm 191.64$	$10904.80 \pm 375.01^{***}$

AA :Arachidonic acid; ALA: Alpha linolenic acid; DHA: Docosahexanoic acid; DPA: Docosapentaenoic acid; DTA: Docosatetraenoic acid; EIA: Eicosenoic acid; EPA: eicosapentaenoic acid; ERA: Erucic acid; ETA: Eicosatrienoic acid; FA: Fatty acid; GLA: Gamma Linoleic acid; Hb: Hemoglobin; HGLA: Homogamma linolenic acid; LA: Linoleic acid; MA: Myristic acid; MUFA: Monounsaturated fatty acid; NA: Nervonic acid; OA: Oleic acid; PA: Palmitic acid; POA: Palmitoleic acid; PUFA: Polyunsaturated fatty acid; SA: Stearic acid; SFA: Saturated fatty acid. All values are expressed as mean  $\pm$  SEM. Data were analyzed by using an Anova Tukey's multiple comparisons. Statistical significance was defined as \* $P<0.05$ ; \*\* $P<0.001$ ; \*\*\* $P<0.0001$  vs. CTRs; # $P<0.05$  vs. mNAFLD.

**Table 5: Baseline fatty acids ratios in red blood cells in NAFLD patients**

	<b>CTR (n=20)</b>	<b>mNAFLD (n=9)</b>	<b>sNAFLD (n=11)</b>
16:1n-7/18:2n-6	0.00 ± 0.00	0.18 ± 0.02***	0.21 ± 0.02***
18:2n-6/20:4n-6	0.17 ± 0.02	0.67 ± 0.03***	0.74 ± 0.06***
20:3n-9/20:4n-6	0.00 ± 0.00	0.01 ± 0.00***	0.01 ± 0.00***
Total n7/18:2n-6	0.01 ± 0.00	0.35 ± 0.02***	0.38 ± 0.03***
24:0/22:0	0.14 ± 0.01	2.85 ± 0.12***	2.69 ± 0.21***
PUFA/SFA	0.03 ± 0.00	0.55 ± 0.03***	0.51 ± 0.03***
EFA (LA+ALA)/NON-EFA	0.01 ± 0.00	0.07 ± 0.00***	0.07 ± 0.00***
EPA/DHA	0.01 ± 0.00	0.13 ± 0.01***	0.13 ± 0.01***
DHA/AA	0.09 ± 0.02	0.32 ± 0.02***	0.31 ± 0.03***
ALA/EPA	0.04 ± 0.01	0.35 ± 0.04***	0.38 ± 0.04***
ALA/LA	0.00 ± 0.00	0.02 ± 0.00**	0.02 ± 0.00**
n-6/n-3	0.14 ± 0.01	4.18 ± 0.21***	4.58 ± 0.35***
Δ5 (20:4n-6/20 :3n-6)	1.89 ± 0.38	9.01 ± 0.47***	7.64 ± 0.55***
Δ6 (20:3n-6/18:2n-6)	0.22 ± 0.02	0.17 ± 0.01**	0.19 ± 0.01**
Δ7 (16:1n-7/16:0)	0.02 ± 0.00	0.05 ± 0.00**	0.04 ± 0.00**
Δ9 (18:1n-9/18:0)	1.10 ± 0.11	1.12 ± 0.05	1.13 ± 0.05
Omega-3 Index : %(EPA+DHA)	5.58 ± 0.60	3.89 ± 0.22**#	2.99 ± 0.22**#

AA: Arachidonic acid; ALA: Alpha linolenic acid; DHA: Docosahexanoic acid; EFA: Essential fatty acid; EPA: eicosapentaenoic acid; LA: Linoleic acid; PUFA: Polyunsaturated fatty acid; SFA: Saturated fatty acid. All values are expressed as mean ± SEM. Data were analyzed by using an Anova Tukey's multiple comparisons. Statistical significance was defined as \*\*P<0.001; \*\*\*P<0.0001 vs. CTRs; #P<0.05 vs. mNAFLD.

**Table 6: Anthropometric and liver markers of young severe NAFLD patients following 6 month-treatments with n-3 PUFA**

<b>Variables</b>	<b>Before Tx</b>	<b>After Tx</b>	<b>P</b>
BMI (Kg/m2)	32.00 ± 1.76	31.24 ± 1.53	<b>NS</b>
ALT (U/L)	52.10 ± 4.45	37.75 ± 5.74	<b>0.0065</b>
AST (U/L)	34.80 ± 1.61	32.13 ± 3.10	<b>NS</b>
ALT/AST	1.49 ± 0.09	1.14 ± 0.11	<b>0.0005</b>
GGT (U/L)	25.78 ± 3.59	24.89 ± 3.86	<b>NS</b>
Albumin	39.78 ± 0.46	40.56 ± 0.75	<b>NS</b>
FLI	71.60 ± 8.85	60.80 ± 10.97	<b>0.04</b>

ALT: alanine transaminase; AST: aspartate aminotransferase; BMI: Body mass index; FLI: fatty liver index; GGT: gamma-glutamyl transpeptidase; NS: not significant; PUFA: polyunsaturated fatty acids; Tx: treatment. All values are expressed as mean ± SEM. Data were analyzed by using a student *t* test.

**Table 7: Metabolic biomarkers and lipid profile of young severe NAFLD patients following 6 month-treatments with n-3 PUFA**

Variables	Before Tx	After Tx	P
<b>Metabolic variables</b>			
Fasting blood Glucose (mmol/L)	5.35 ± 0.09	5.37 ± 0.14	NS
Fasting Insulin (pmol/L)	182.80 ± 15.53	115.00 ± 12.89	<b>0.001</b>
HOMA-IR	5.59 ± 0.52	3.47 ± 0.09	<b>0.001</b>
SBP (mmHg)	116.27 ± 5.11	113.36 ± 4.12	NS
DBP (mmHg)	59.73 ± 2.87	58.27 ± 2.80	NS
IMTL (mm)	0.59 ± 0.02	0.54 ± 0.01	<b>0.01</b>
IMTR (mm)	0.58 ± 0.02	0.57 ± 0.02	NS
<b>Lipid profile</b>			
TG (mmol/L)	1.35 ± 0.14	1.07 ± 0.14	<b>0.0001</b>
TC (mmol/L)	4.03 ± 0.26	3.60 ± 0.21	NS
HDL-C (mmol/L)	0.81 ± 0.06	0.89 ± 0.05	NS
LDL-C (mmol/L)	2.60 ± 0.24	2.09 ± 0.18	<b>0.05</b>
Apo A1 (g/L)	1.10 ± 0.04	1.10 ± 0.06	NS
Apo B (g/L)	0.77 ± 0.05	0.60 ± 0.03	<b>0.05</b>
Apo C2 (ug/ml)	120.45 ± 8.09	111.47 ± 10.67	NS
Apo C3 (ug/ml)	117.47 ± 16.66	114.84 ± 22.68	NS
<b>Ratios</b>			
Apo C2/Apo C3	1.38 ± 0.26	1.35 ± 0.24	NS
TC/HDL-C	5.25 ± 0.51	3.97 ± 0.33	<b>0.05</b>
LDL-C/HDL-C	3.45 ± 0.45	2.34 ± 0.24	<b>0.05</b>
Apo B/Apo AI	0.70 ± 0.05	0.60 ± 0.05	<b>0.05</b>
Apo B/TG	0.63 ± 0.08	0.63 ± 0.08	NS

Apo: apolipoprotein; DBP: diastolic blood pressure; HDL-C: high-density lipoprotein-cholesterol; IMTL: intima media thickness left; IMTR: intima media thickness right; LDL-C: low-density lipoprotein-cholesterol; NS: not significant; PUFA: polyunsaturated fatty acids; SBP: systolic blood pressure; TC: total cholesterol; TG: triglyceride; Tx: treatment.

All values are expressed as mean ± SEM. Data were analyzed by using a student *t* test.

**Table 8: Lipid peroxidation and inflammatory biomarkers of young severe NAFLD patients following 6 month-treatments with n-3 PUFA**

<b>Variables</b>	<b>Before Tx</b>	<b>After Tx</b>	<b>P</b>
MDA (pmol/L)	187.45 ± 18.55	153.73 ± 15.60	<i>NS</i>
oxLDL (U/L)	53.50 ± 4.16	41.06 ± 2.01	<b>0.001</b>
CRP (mg/L)	2.15 ± 0.80	1.46 ± 0.60	<i>NS</i>
IL-6 (pg/ml)	93.35 ± 5.15	88.23 ± 4.23	<i>NS</i>
Adiponectin (ng/ml)	1624.70 ± 277.88	2487.00 ± 385.62	<b>0.001</b>
Leptin (ng/ml)	33.72 ± 5.04	26.40 ± 3.16	<b>0.05</b>
Acyl-ghrelin (pg/ml)	156.56 ± 11.50	115.64 ± 13.92	<b>0.05</b>

CRP: C reactive protein; IL: interleukin; MDA: malondialdehyde; NS: not significant; PUFA: polyunsaturated fatty acids; Tx: treatment. All values are expressed as mean ± SEM. Data were analyzed by using student *t* test.

**Table 9: Fatty acids composition in red blood cells in severe NAFLD patients following 6-month treatments with n-3 PUFA**

Fatty Acids	Baseline (%)	After treatment (%)	Baseline (µg/g Hb)	After treatment (µg/g Hb)
<b>SFA</b>				
PA (C16:0)	27.16 ± 1.28	22.36 ± 0.97**	3063.36 ± 232.46	2237.99 ± 112.83**
SA (C18:0)	15.43 ± 1.58	14.63 ± 1.53	1765.72 ± 201.26	1502.24 ± 162.08*
<b>MUFA</b>				
POA (C16 :1n-7)	1.22 ± 0.16	1.08 ± 0.16	139.94 ± 20.09	110.20 ± 15.81
OA (C18:1n9)	18.91 ± 0.76	22.70 ± 0.51**	2121.02 ± 128.13	2273.05 ± 92.88
EIA (C20:1n9)	0.34 ± 0.01	0.35 ± 0.01	37.40 ± 1.45	34.51 ± 1.07
ETA (C20:3n9)	0.06 ± 0.00	0.05 ± 0.00	6.29 ± 0.53	4.99 ± 0.46**
ERA (C22:1n9)	0.97 ± 0.17	0.77 ± 0.04	78.54 ± 5.03	77.48 ± 5.24
NA (C24:1n9)	2.96 ± 0.19	2.97 ± 0.12	324.09 ± 13.98	297.51 ± 15.50
<b>PUFA</b>				
<i>n-3 series</i>				
ALA (C18:3n3)	0.13 ± 0.01	0.14 ± 0.01	13.90 ± 0.87	13.92 ± 1.12
EPA (C20:5n3)	0.32 ± 0.02	1.04 ± 0.10***	34.13 ± 1.05	102.81 ± 9.19***
DPA (C22:5n3)	1.24 ± 0.14	1.31 ± 0.13	134.67 ± 12.30	133.35 ± 16.76
DHA (C22:6n3)	2.67 ± 0.21	5.89 ± 0.31***	312.75 ± 23.97	589.68 ± 35.53***
<i>n-6 series</i>				
LA (C18 :2n-6)	6.48 ± 0.27	6.78 ± 0.34	728.42 ± 47.61	677.42 ± 38.06
GLA (C18:3n6)	0.32 ± 0.01	0.32 ± 0.02	35.38 ± 1.89	32.26 ± 1.81
HGLA (C20:3n6)	1.23 ± 0.06	0.94 ± 0.06**	136.10 ± 5.08	95.26 ± 8.36***
AA (C20:4n6)	9.14 ± 0.46	8.29 ± 0.34	1024.17 ± 63.25	830.91 ± 42.28**
DIA (C22:4n6)	2.76 ± 0.34	1.95 ± 0.20	300.54 ± 33.86	196.80 ± 21.93*
Total n-3 FA	4.66 ± 0.39	8.46 ± 0.33***	509.45 ± 33.70	847.63 ± 44.20***
Total n-6 FA	20.11 ± 0.68	18.48 ± 0.43	2245.65 ± 100.93	1850.93 ± 80.06**
Total n-7 FA	2.43 ± 0.12	2.41 ± 0.16	274.50 ± 20.40	240.24 ± 15.92
Total n-9 FA	23.23 ± 0.68	26.84 ± 0.52	2603.55 ± 134.13	2687.54 ± 105.28

Total SFA	$48.85 \pm 0.96$	$43.18 \pm 0.69^{***}$	$5502.08 \pm 287.33$	$4338.79 \pm 203.34^{**}$
Total MUFA	$25.61 \pm 0.73$	$29.20 \pm 0.48^{***}$	$2871.76 \pm 149.73$	$2922.79 \pm 106.34$
Total PUFA	$24.83 \pm 1.00$	$26.99 \pm 0.42$	$2761.39 \pm 114.81$	$2703.55 \pm 104.48$
Trans FA	$0.59 \pm 0.02$	$0.60 \pm 0.02$	$65.73 \pm 3.83$	$62.64 \pm 3.12$
Total FA			$10904.80 \pm 375.01$	$9949.52 \pm 416.35^*$

AA :Arachidonic acid; ALA: Alpha linolenic acid; DHA: Docosahexanoic acid; DPA: Docosapentaenoic acid; DTA: Docosatetraenoic acid; EIA: Eicosenoic acid; EPA: eicosapentaenoic acid; ERA: Erucic acid; ETA: Eicosatrienoic acid; FA: Fatty acid; GLA: Gamma Linoleic acid; Hb: Hemoglobin; HGLA: Homogamma linolenic acid; LA: Linoleic acid; MA: Myristic acid; MUFA: Monounsaturated fatty acid; NA: Nervonic acid; OA: Oleic acid; PA: Palmitic acid; POA: Palmitoleic acid; PUFA: Polyunsaturated fatty acid; SA: Stearic acid; SFA: Saturated fatty acid. All values are expressed as mean  $\pm$  SEM. Data were analyzed by using a Student's *t* test. Statistical significance was defined as \**P*<0.05; \*\**P*<0.001; \*\*\**P*<0.0001 vs. sNAFLD before treatment.

**Table 10: Fatty acids ratios in red blood cells in severe NAFLD patients following 6-month treatments with n-3 PUFA**

<b>RATIO</b>	<b>Baseline</b>	<b>After treatment</b>
16:1n-7/18:2n-6	0.21 ± 0.02	0.18 ± 0.02
18:2n-6/20:4n-6	0.74 ± 0.06	0.84 ± 0.07*
20:3n-9/20:4n-6	0.01 ± 0.00	0.01 ± 0.00
Total n7/18:2n-6	0.38 ± 0.03	0.36 ± 0.03
24:0/22:0	2.69 ± 0.21	2.82 ± 0.12
PUFA/SFA	0.51 ± 0.03	0.63 ± 0.02**
EFA (LA+ALA)/NON-EFA	0.07 ± 0.00	0.08 ± 0.00
EPA/DHA	0.13 ± 0.01	0.18 ± 0.02*
DHA/AA	0.31 ± 0.03	0.72 ± 0.05****
ALA/EPA	0.38 ± 0.04	0.15 ± 0.02****
ALA/LA	0.02 ± 0.00	0.02 ± 0.00
n-6/n-3	4.58 ± 0.35	2.23 ± 0.12****
Δ5 (20:4n-6/20 :3n-6)	7.64 ± 0.55	9.05 ± 0.50**
Δ6 (20:3n-6/18:2n-6)	0.19 ± 0.01	0.14 ± 0.01**
Δ7 (16:1n-7/16:0)	0.04 ± 0.00	0.05 ± 0.01
Δ9 (18:1n-9/18:0)	1.13 ± 0.05	1.46 ± 0.07***
Omega-3 Index : %(EPA+DHA)	2.99 ± 0.22	6.93 ± 0.36***

AA: Arachidonic acid; ALA: Alpha linolenic acid; DHA: Docosahexanoic acid; EFA: Essential fatty acid; EPA: eicosapentaenoic acid; LA: Linoleic acid; PUFA: Polyunsaturated fatty acid; SFA: Saturated fatty acid. All values are expressed as mean ± SEM. Data were analyzed by using a Student's *t* test. Statistical significance was defined as \*P<0.05; \*\*P<0.001; \*\*\*P<0.0001 vs. sNAFLD before treatment.

**Table 11: N-3 PUFA-associated cardiometabolic markers in NAFLD**

Variables	R	P
<b>Δ Omega-3 index</b>		
Δ IMTR	-0.71	<b>0.05</b>
Δ HOMA-IR	-0.63	<b>0.05</b>
Δ LDL/HDL-C	-0.73	<b>0.001</b>
Δ CHOL/HDL-C	-0.78	<b>0.001</b>
Δ VLCFA; (C20:0, C22:0, C24:0)	0.86	<b>0.0001</b>
<b>Δ n-6/n-3</b>		
CE	0.41	<b>0.01</b>
<b>FLI (at the end of Tx)</b>	-0.74	<b>0.001</b>
Phospholipids	-0.65	<b>0.05</b>
Alpha-linolenic; C18:3n-3		
<b>n-6/n-3 ratio (at the end of Tx)</b>		
Omega 3 index	-0.93	<b>0.0001</b>

CE: cholesterol ester; CHOL: cholesterol; Δ: changes values between the end of treatment and baseline; IMTL: intima media thickness left; FLI: fatty liver index; HDL-C: high-density lipoprotein-cholesterol; Tx: treatment; VLCF: very long chain fatty acids.

Data were analyzed using a univariate analysis correlation with a Spearman test for non-normally distributed variable.

## **Revue 1**

### **«Metabolic Syndrome as a Multifaceted Risk Factor for Oxidative Stress»**

**Spahis S**, Borys JM, Levy E. *Antioxid Redox Signal.* 2017 Mar 20;26(9):445-461. doi: 10.1089/ars.2016.6756.

#### **CONTRIBUTION DES AUTEURS**

**Spahis S** a effectué la revue critique de la littérature, a compilé les données et crée les Figures et Tables qui accompagnent la revue. Elle a contribué à la rédaction, soumission et révision de la revue, sous la supervision du Directeur de recherche, Dr Levy E.

**Borys JM** a participé à la révision du manuscrit.

**E. Levy** a contribué à la rédaction et la révision du manuscrit.

## **Forum Review Article**

# **METABOLIC SYNDROME AS A MULTIFACETED RISK FACTOR FOR OXIDATIVE STRESS**

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## **ABSTRACT**

**Significance:** Metabolic syndrome (MetS) is associated with a greater risk of diabetes and cardiovascular diseases. It is estimated that this multifactorial condition affects 20 to 30% of the world's population. A detailed understanding of MetS mechanisms is crucial for the development of effective prevention strategies and adequate intervention tools that could curb its increasing prevalence and limit its comorbidities, particularly in younger age groups. With advances in basic redox biology, oxidative stress (OxS) involvement in the complex pathophysiology of MetS has become widely accepted. Nevertheless, its clear association with and causative effects on MetS requires further elucidation. **Recent Advances:** Although a better understanding of the causes, risks and effects of MetS is essential, studies suggest that oxidant/antioxidant imbalance is a key contributor to this condition. OxS is now understood to be a major underlying mechanism for mitochondrial dysfunction, ectopic lipid accumulation and gut microbiota impairment. **Critical Issues:** Further studies, particularly in the field of translational research, are clearly required to understand and control the production of reactive oxygen species (ROS) levels, especially in the mitochondria, since the various therapeutic trials conducted to date have not targeted this major ROS-generating system or aimed to delay MetS onset or preventing its progression. **Future directions:** Multiple relevant markers need to be identified in order to clarify the role of ROS in the etiology of MetS. Future clinical trials should provide important proof of concept for the effectiveness of antioxidants as useful therapeutic approaches to simultaneously counteract mitochondrial OxS, alleviate MetS symptoms and prevent complications.

## **1. INTRODUCTION**

Metabolic syndrome (MetS) is a major worldwide concern for the public health system. It now affects 20% to 30% of the population in developed countries. Its persistent increase worldwide represents a risk factor for type 2 diabetes (T2D), cardiovascular diseases (CVD) (85,125) and premature mortality (159). The key components of the MetS cluster are obesity (particularly abdominal body fat accumulation), impaired glucose metabolism, dyslipidemia, and hypertension (17,148) (**Fig.1**). Growing evidence suggests a dominant pathogenic role for oxidative stress (OxS), a dominant event in cellular damage and dysfunction, given its strong relationship with various MetS combinations and resulting clinical complications (137,166). In fact, it has been proposed that MetS-induced OxS is an early event in all metabolic manifestations in view of the association of the end-products of free radical-mediated OxS with body mass index, insulin resistance (IR) state, hyperlipidemia and hypertension (56,88,218).

In this review, we will focus on the state-of-the-art knowledge pertaining to the role of OxS in the pathogenesis of dysregulated MetS parameters. A link with mitochondrial dysfunction and metabolic signaling pathway derangements will be emphasized. In particular, the impact of OxS-associated MetS on the insulin sensitivity of metabolic organs and crosstalk with low-grade inflammation will be evidenced, since OxS promotes inflammatory agents that conversely participate in reactive oxygen species (ROS) generation, thereby creating a vicious pathogenic circle that amplifies oxidative processes. Critical cellular and molecular determinants will be discussed in order to determine whether OxS is causal or simply related to MetS. Finally, whether OxS lowering represents an interesting target for MetS prevention and complications will be considered with particular focus on the impact of therapies aimed at restoring redox homeostasis and fighting MetS.

## **2. OXIDATIVE STRESS: A BRIEF OVERVIEW**

OxS results from a state of disequilibrium between exaggerated ROS output and a limited biological capability to neutralize free radicals in living organisms. The discrepancy between excessive reactive molecules and weak endogenous defense results in damaged lipids, proteins, DNA and cellular structures (**Fig. 2**), ultimately culminating in the pathogenesis of a broad range of diseases (**Fig. 3**). OxS is generated in various dynamic intracellular organelles such as the endoplasmic reticulum, lysosomes and mitochondria as by-products of oxidative protein folding, dysfunctional autophagy, and mitochondrial respiration and detoxification. The main ROS

resulting from chemical reduction of O<sub>2</sub> include superoxide anion (O<sub>2</sub><sup>-•</sup>), hydroxyl radical (•OH), singlet oxygen (<sup>1</sup>O<sub>2</sub>), hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), hypochlorous radical, peroxyinitrite radical (ONOO-), ozone and nitric oxide (NO) (166). The relevant sources of ROS are mitochondrial electron-transport system and the homologues of nicotinamide adenine dinucleotide phosphate oxidase (NOX1, NOX2 and NOX3), as well as cyclooxygenases, xanthine oxidase, lipooxygenases and uncoupled nitric oxide synthase (NOS) (137). The reactive molecules are formed by reduction–oxidation (redox) reactions in response to the activation of the several types of oxidases. In a first step, O<sub>2</sub> is reduced with the combination of additional electrons, thereby producing the powerful O<sub>2</sub><sup>-•</sup> that reacts with other endogenous molecules to generate secondary oxidizing molecules, either directly or via reactions catalyzed by free metals (e.g., Cu, Fe, Cd and Pb) or metals-containing components (88). Thereafter, the reduction of O<sub>2</sub><sup>-•</sup> by spontaneous or enzymatic dismutation reactions leads to the output of the stable byproduct H<sub>2</sub>O<sub>2</sub>, which actively participates in the formation of the highly reactive hydroxyl radical and thiol functional groups. Moreover, O<sub>2</sub><sup>-•</sup> may react with NO to form the potent nitrating and oxidizing ONOO-. In contrast to the short-lived reactive species O<sub>2</sub><sup>-•</sup> due to different reactions [transformation into quick and spontaneous dismutation to H<sub>2</sub>O<sub>2</sub> or via rapid catalysis by superoxide dismutase (SOD)] (59), H<sub>2</sub>O<sub>2</sub> is characterized by its long life span, relative stability, easy intra- and intercellular diffusion and tight regulation by endogenous and exogenous enzymes, which convert it into water and O<sub>2</sub> or possibly into different metabolites (22). It is important to mention that ROS signaling actions depend on the subcellular site of production, type of species generated, proximity to antioxidants, species half-life, cell membrane permeability, and local concentration of ROS (69,94). While H<sub>2</sub>O<sub>2</sub> is particularly important in cellular signaling, both O<sub>2</sub><sup>-•</sup> and H<sub>2</sub>O<sub>2</sub> are able to oxidatively modify thiols on protein cysteine residues and, thereby, induce structural changes and trigger the signaling activation/inactivation of various molecules such as mitogen-activated protein kinases (modulating the activation of defense mechanisms following exposure to OxS), tyrosine kinases (central players in the post-translational activation of antioxidant enzymes) and transcription factors (controlling several biological processes such as cell growth, cell migration, the expression of pro-inflammatory genes and the biogenesis of extracellular matrix proteins (50,58,112). Importantly, the biological activity of a wide variety of proteins may be compromised not only by thiol oxidation but also by carbonylation, side-chain oxidation, fragmentation, unfolding and misfolding. In addition to damaging ROS during redox homeostasis instability, cells have to tackle organic analogs, including

reactive nitrogen species, nitrous oxide, ONOO-, peroxy nitrous acid, nitryl anion, nitrosyl chloride, nitrosyl cation, nitrogen dioxide, dinitrogen trioxide and nitrous acid. The ROS responsible for protein modifications in plasma are: radical species produced by the activities of NOX, NOS and oxygenase, in addition to reactive nitrogen species from myeloperoxidase (MPO) and NOS activities, and hypochlorous acid from MPO.

Antioxidants provide a critical defense against OxS. The antioxidant defense system consists of several endogenous enzymes (catalase [CAT], GPX, thrioredoxin reductase, Cu Zn SOD [SOD1], Mn SOD [SOD2], extracellular SOD [SOD3], and glutathione reductase [GR]) and various circulating biomolecules (bilirubin, coenzyme Q10, N-acetylcysteine, melatonin, uric acid, glutathione, coenzyme, nitric oxide, pyruvate, albumin, and ceruloplasmin), as well as dietary components (vitamins A, C, E, folic acid, flavonoids, polyphenols, Zinc, and selenium) (37,97,133,157,204).

## 2.1 Oxidative stress and metabolic syndrome

MetS is characterized by an inappropriate rise of various factors, but particularly plasma-free fatty acids (FFA) (74) that activate ROS production (86). Even though the mechanism is still poorly understood, FFA increase has been shown to enhance •OH, O<sub>2</sub><sup>-</sup> and H<sub>2</sub>O<sub>2</sub> in endothelial and vascular smooth muscle cells (VSMC) (86). Moreover, fat accumulation constitutes a trigger for ROS augmentation in adipose tissue, likely by stimulating NOX and lowering anti-oxidative enzymes (62). On the other hand, the exposure of adipose tissues to OxS under MetS conditions results in a decrease in anti-inflammatory adiponectin and an increase in inflammatory cytokines (154,188), both of which lead to compromised insulin signaling through the induction of insulin receptor phosphorylation and deterioration of glucose transporter 4 (GLUT4) translocation and gene transcription (15).

Additional studies have reported the regulatory role of transcription factor p53, a critical tumor suppressor and protector of the genome in the expression of glutathione peroxidase (GPX)1, the most profuse isoform of the GPX family capable of detoxifying H<sub>2</sub>O<sub>2</sub> (195). Under pathophysiological conditions such as MetS, suppression of p53 down-regulates basal GPX1 and SOD2 expression, which triggers cellular ROS production, leading afterwards to oxidative damage, whereas reestablishing physiological levels of p53 enhances the antioxidant enzymes and lowers ROS formation (108,117,174) (**Fig. 4**). It is important to note that elevated ROS concentrations could inactivate tumor suppressor phosphatase and tensin homolog (PTEN) deleted on

chromosome 10, a member of the protein tyrosine phosphatase family, either in a forthright manner by oxidizing its cysteine residue or indirectly by stimulating its phosphorylation, thereby interfering with PTEN presence on the plasma membrane and resulting in the activation of the PI3K/Akt signaling cascade (98) (**Fig. 5**). Conversely, PTEN upregulation modulates PI3K/AKT signaling, which reduces cellular ROS generation (216). Collectively, these findings suggest the existence of multiple mechanisms of OxS elaboration by ROS in MetS. However, further research is needed to define the role of OxS-mediated PTEN and p53 in insulin target tissues and IR (**Fig. 5**).

## 2.2 Oxidative stress and obesity

Obesity, an excess accretion of adipose tissue, is a global pandemic (57). Its ever-increasing prevalence is related to the dysregulation of caloric intake and energy expenditure. A positive energy balance promotes OxS that is likely a mechanistic connexion between obesity and related complications, including IR. For example, FFAs that result from high fat and carbohydrate intake in obese rodents and humans may favor ROS production because of the saturation of the electron transport chain in the mitochondria (3,62). In turn, OxS may amplify fat accumulation by stimulating pre-adipocyte proliferation, adipocyte differentiation and mature adipocyte size (62,79,113). It has also been observed that OxS controls food intake and body weight by upholding various effects on hypothalamic neurons with a concomitant impact on satiety and hunger (82). When obesity sets in, adipose tissue becomes an ideal site capable of producing ROS by upregulating NOX activity (62,129).

Low levels of antioxidant molecules (146,207) along with high plasma advanced oxidation protein products, oxidized low-density lipoprotein (oxLDL), thiobarbituric acid reactive substances (42), and additional cellular/systemic OxS indicators have been reported in obese subjects. The activities of antioxidant enzymes, including GPX and CAT, have been found to be markedly decreased in obesity (155,173). Antioxidant defenses, which normally protect against infectious processes and contribute to normal functions such as proliferation, differentiation and signaling (19,32,61,92,171,194,215), when diminished, are unable to scavenge ROS. Although an inverse relationship between total antioxidant capacity and visceral fat was noted, regardless of other variables (35), an inverse relationship between body mass index/total body fat percentage could not be clearly demonstrated (23). One possible explanation is that different tissues attempt to increase their antioxidant defenses in order to counteract OxS.

NOXs in vascular cells constitute a major ROS site that can set off downstream components such as NO synthase and the mitochondria (136). Interestingly, elevated vascular ROS in mice overexpressing p22phox and under high-fat regimen-trigger obesity and even a MetS phenotype by raising inflammation, promoting adipogenesis and developing exercise intolerance (221). Fat accumulation-induced OxS also takes part in the pathogenesis of obesity-associated MetS through the deregulated synthesis of adipocytokines such as PAI-1, leptin, resistin, visfatin, adiponectin, tumor necrosis factor- $\alpha$  and interleukin-6 (71) (**Fig. 6**). While several groups agree that obesity can cause metabolic derangements and that it precedes and predisposes to the development of MetS via leptin resistance (221), the induction of leptin resistance by vascular ROS is able to disrupt the inhibition of insulin biogenesis and delivery from pancreatic  $\beta$ -cells occurring under normal conditions, thereby constituting a feed-forward cycle forcing weight gain (106). Further support of this concept may be reflected by the diffusion of NOX-generated ROS to the mitochondria to activate OxS, thereby causing mitochondrial dysfunction, limiting muscle exercise capacity and favoring weight gain (26,221). Accordingly, Mn-SOD knockout mice exhibited severe exercise disturbances (109).

### **2.3 Oxidative stress and impaired glucose metabolism/insulin resistance**

IR plays a crucial role in interlinking the various constituents of the MetS cluster and in fortifying the syndrome's evolution. OxS has been shown to be associated with IR (54), a key feature of MetS (3). This association is not surprising, given the insulin action of glucose metabolism and cascade signaling (143), the abnormalities of which contribute to MetS (223). Indeed, insulin insensitivity leads to increase circulating glucose levels (as frequently noticed in the postprandial state) because of the inability to coordinately suppress hepatic glucose production and glycogen degradation, while enhancing glucose uptake into the muscle and adipose tissue via the mobilization of insulin dependent glucose transporter type-4 (GLUT4) from intracellular storage membrane vesicles to the cell surface (7,192). To better understand this aspect, investigators exposed skeletal muscle cells to fructose and noted diminished glucose uptake and sluggish GLUT4 translocation and disturbed insulin signaling in response to the ROS-interceded activation of intracellular c-Jun N-terminal kinase and extracellular signal-regulated kinase 1/2 (ERK1/2) pathways (89). Antioxidant mechanisms to shut off ROS-initiated signals prevented IR and its metabolic consequences. Similarly, the administration of antioxidants to fructose-fed rats lowered ROS production and precluded IR (189). Therefore, excessive ROS concentrations were capable of damaging cells by

oxidizing biomolecules and stimulating various stress sensitive intracellular pathways such as c-Jun N-terminal kinase, ERK1/2, and NF-κB, resulting in the development of IR through transcription factor and stress kinase-mediated chronic, low-grade inflammation (104,162). It is worth noting that IR affects GLUT1 (the transporter of glucose) expression and dehydroascorbic acid (the oxidized form of ascorbate), both of which function in antioxidant defense (75,177). This is important, since GLUT1 is largely abundant in glycolytically active cells and has been snapped up by vitamin C auxotrophs to preserve hepatic redox states through the transport of dehydroascorbate. Generation of the electron carrier nicotinamide adenine dinucleotide phosphate in the pentose phosphate pathway and the conversion of dehydroascorbic acid to ascorbate depress ROS levels: the former by donating electrons to antioxidant pathways such as GR and thioredoxin reductase and the latter by serving as an antioxidant.

ROS over-building and restricted antioxidant enzyme concentrations not only increase IR, but also affect the secretory capacity and viability of β-cells (96), a major component of MetS. Accordingly, IR-associated hyperglycemia is linked to the excessive generation of free radicals and superoxides via the proton electrochemical gradient, which overwhelms the antioxidant defense capacity of the various tissues (147,204). Persistence of hyperglycemia is thought to favor glucose autoxidation and NOS activation, which collectively enhance OxS (45,67), and culminate in polyol, hexosamine, advanced glycosylation end products and protein kinase C pathways (24), which collectively exacerbate the complications of T2D (14,38,53,102,122). Furthermore, elevated circulating and cellular OxS markers in patients with nascent MetS are associated with increased levels of oxLDL, nitrotyrosine, monocyte superoxide and NOX activity along with a decrease in the nuclear factor erythroid-derived 2 like 2 (Nrf2) antioxidant defense system (91). A decline in transcription factor function in response to the disequilibrium in the redox system results in imperfectly weak antioxidant defense, since Nrf2 cannot be freed from kelch-like ECH-associated protein, thereby preventing its translocation into the nucleus to bind to the antioxidant reactive element in the genes that encode antioxidant enzymes such as GR, aldo-keto reductase, heme oxygenase-1, and γ-glutamylcysteine synthetase (31).

#### **2.4 Oxidative stress and dyslipidemia**

Dyslipidemia is characterized by increased plasma triglycerides (TGs), ascribed to augmented synthesis of very-low-density lipoproteins (VLDLs), FFAs, small dense LDL particles and reduced high-density lipoprotein cholesterol (HDL-C) in MetS (52,72,170). It is now thought that small,

dense LDL particles, non-HDL-C and apolipoprotein B represent valuable markers for the diagnosis and severity of MetS (65,95,128,135). Interestingly, elevated OxS has been simultaneously associated with hypertriglyceridemia, deficient HDL antioxidant capacity and a chronic inflammatory state in patients with MetS (19). A link between OxS and lipid disorders in MetS has been reinforced by the administration of statins that improved not only atherogenic dyslipidemia, but also oxidant/antioxidant status in subjects with MetS (19). Similarly, inhibition of intestinal cholesterol absorption by ezetimibe was accompanied with an amelioration of IR by lowering ROS in obese mice (193). Additional evidence and potential mechanisms explain the relationship between OxS and lipoprotein levels in the context of dyslipidemia: (i) increased production of  $O_2^-$  via the NADP oxidase pathway is detected during hypertriglyceridemia, hypertension and obesity (21,222); (ii) the susceptibility of small, dense LDL particles to oxidation is a function of hypertriglyceridemia degree (105); (iii) LDL oxidation intensifies with augmented waist circumference, C reactive protein concentration and the number of LDL particles (29,44,60,200,205,210); (iv) the lowering of bilirubin levels by MetS contribute to increased LDL oxidation and cardiovascular risk (140), whereas normal bilirubin concentrations can prevent lipid oxidation (215); (v) the aberrant expression of small noncoding RNAs disturbs mitochondrial and endoplasmic reticulum metabolic homeostasis, thereby impacting on lipid and lipoprotein metabolism in the MetS (34); and (vi) red meat consumption is associated with the occurrence of OxS, hypertriglyceridemia and MetS (36).

## **2.5 Oxidative stress and hypertension**

The endothelium, interfacing with the blood stream, is not only a physical barrier tightly controlling vascular permeability such as the passage of luminal macromolecules into the tissue , but it also ensures vessel homeostasis via modulation of vascular tone, platelet aggregation and coagulation, inflammation and growth factors. For instance, barrier dysfunction occurs with high levels of angiopoietin 2 and vascular endothelial growth factor. On the contrary, NO as an endothelium-derived relaxing factor inhibits platelet aggregation, neutrophil attachment to endothelial cells, adhesion molecule expression and smooth muscle cell proliferation (64,138). Under NO deficiency, the onset of atherosclerosis can be observed. Interestingly, a controlled production of low concentrations of ROS, as signaling molecules, maintains vessel integrity by orchestrating endothelial function and vascular contraction/dilatation (199). However, an aberrant balance between free radicals and antioxidants results in endothelial dysfunction, enhanced contractility,

VSMC growth, monocyte intrusion, lipid peroxidation, inflammation, and marked deposition of extracellular matrix proteins, key components in hypertensive vascular injury (49,197) (**Fig. 2**). Available conclusive reports underscore the critical role of ROS in the development of vascular dysfunction and hypertension (130). This link between OxS and deep alterations in the vasculature can be due to rich providers of NOX in endothelial, adventitial, and vascular smooth muscle cells (111). Indeed,  $O_2^{-\bullet}$  produced in high concentrations interacts with NO to produce the highly reactive intermediate ONOO $^-$  that acts as a vasoconstrictor and a cytotoxic molecule with the potential to cause oxidative damage to cellular constituents (219). ONOO $^-$  is also an inhibitor of prostacyclin synthase and endothelial NO synthase activities, which restrain the bioavailability of antioxidants and endothelial mediators with protective functions (12,110). This radical species, closely implicated in experimental and human hypertension, is now recognized as a mediator of vascular injury in cardiovascular pathologies (78,116,158,196). For instance, genetic animal models such as the spontaneously hypertensive rat (SHR) exhibit a number of polymorphisms in the gene promoter region of the NOX p22<sup>phox</sup> subunit with augmented protein expression and enhanced NOX activity (39). The resulting abundance of vascular  $O_2^{-\bullet}$  generation reduced NO bioavailability and raised OxS, whereas the administration of antioxidant vitamins, NOX inhibitors, SOD mimetics, BH4 and Ang II type-1 receptor blockers concomitantly reduced vascular  $O_2^{-\bullet}$  formation and markedly alleviated hypertension in these animals (158,171,184), which suggests that NOX upregulation and OxS increase precede the development of hypertension. This concept is strengthened by the elevated expression of p47<sup>phox</sup>, another key component of NOX endowed with the ability to stimulate  $O_2^{-\bullet}$  production (190) in the renal vasculature, macula densa and distal nephron from SHRs (61,103). Human studies also provide ROS by-product accumulation in hypertensive patients (40,167,217), probably arising from decreased SOD and CAT antioxidant activity in combination with low concentrations of ROS scavengers (vitamin E, glutathione) (167,209). Interestingly, the renin-angiotensin system appears as a potent contributor of NOX activation and ROS generation (49,111,198). In this context, it is appropriate to recall that the therapeutic BP-lowering actions of Ang II type-1 receptor blockers and angiotensin-converting enzyme inhibitors have been ascribed to NOX inhibition and decreased ROS production (66,220). Currently, hypertension is considered a primary risk factor for MetS. The association between hypertension and MetS highlights the production of ROS and the development of OxS as common causative links. It is possible that OxS produced in hypertension may lead to other components of

the MetS cluster. IR per se or via an increased plasma concentration of FFAs, a prominent attribute of the MetS, could increase ROS production through NOX activation, deteriorate vascular reactivity, alter the smooth muscle cell phenotype and enhance vascular growth (86,99,212,222), thereby partially accounting for the phenotypic modifications that result in hypertension. For example, IR in rats caused by a high-fructose feeding was closely linked to OxS characterized by an upsurge in the generation of  $O_2^-$ , culminating in raised plasma levels of lipid peroxidation markers. Elevated cardiac  $O_2^-$  production is associated with an overexpression of p22<sup>phox</sup> with the parallel occurrence of IR and near the start of development of cardiac hypertrophy, mild hypertension and vascular alteration as pointed out by an elevation in the media/lumen ratio of mesenteric arteries (47). Therefore, amplification loops may take part in the intensification of OxS in MetS. The evidence indicating that antioxidant treatment ameliorates OxS and reduces blood pressure in various animal models, including the SHR (144,211), fortifies not only the perception that OxS is undeniably a fundamental element in defining the stage of endothelial dysfunction and blood pressure, but also the prognosis of patients with conventional MetS closely determined by the status of the pro-oxidant/antioxidant balance, which necessitates powerful therapeutic agents able to synergistically scavenge reactive free radicals from every MetS component.

### **3. MITOCHONDRIA-DERIVED OXIDATIVE STRESS**

As mentioned before, ROS are adversely implicated in the deviant signaling and tissue harm detected in the pathophysiology of MetS. The failure to regulate properly redox-sensitive signaling pathways and the OxS-mediated abnormal changes in cellular components are major contributors to tissue dysfunctions. Mitochondria, as major sources of ROS, promote OxS and are clearly implicated in the development of MetS components and complications. Furthermore, mitochondria are targets of cellular ROS that severely affect mitochondrial functions and result in defects in mitochondrial electron transport, complex enzyme activities, adenosine triphosphate (ATP) diminution, caspase 3 liberation and mitochondrial DNA lessening (43,77,101,131,163), thereby contributing to MetS progression. In turn, mitochondrial dysfunction may have repercussions on its regulation of cellular functions, such as intermediary metabolism (77), redox signaling (43), calcium ( $Ca^{2+}$ ) homeostasis (27,169), cell proliferation (6,153), development (134,178) and cell death (68,208).

#### **3.1 Mitochondria-derived oxidative stress and obesity**

A number of studies have stated that obesity causes mitochondrial OxS and dysfunction in different tissues. The vicious cycle between muscle depletion and the accretion of ectopic fat, termed sarcopenia, may result from an intricate interplay of various factors such as OxS and mitochondrial dysfunction. Apparently, high caloric intake raises plasma FFAs and glucose levels, which are closely associated with high ROS generation and obesity exacerbation (149). Conversely, calorie restriction alleviates sarcopenia by reducing mitochondrial abnormalities (124), which highlights the mechanistic implication of mitochondrial OxS and dysfunctions in obesity-comorbidities. The mitochondria of subcutaneous white adipose tissue from obese patients with or without T2D displayed a pronounced increase in protein carbonyls and lipid peroxidation products, reflecting an enhanced mitochondrial ROS production rate along with decreased activities of mitochondrial antioxidant enzymes like SOD and GPX, a phenomenon that is likely to cause metabolic abnormalities in adipose tissue during obesity (30).

In young patients, excess body weight impairs mitochondrial function in cardiomyocytes even in the absence of heart failure and diabetes (145). Mitochondrial dysfunction is characterized by proapoptotic Bax and Bcl-xS, reduced antiapoptotic Bcl-xL expression, cytochrome C release and partially activated caspase cascade, high protein carbonyl content and 8-hydroxy-2'-deoxyguanosine in cardiomyocytes. In addition, the use of several experimental animal models of hyperglycemia and overweight/obesity allowed for the detection of mitochondrial ROS-induced OxS, uncoupling and lessened ATP production in the heart of rodent models, whereas the pharmacological approach targeting mitochondria could effectively scavenge mitochondrial ROS, improve cardiac hypertrophy, normalize mitochondrial energetics and insulin-stimulated glucose utilization, thus indicating that obesity and IR are linked to heart mitochondrial dysfunction and OxS (84). Obesity also results in mitochondrial dysfunction in skeletal muscle and adipose tissue in humans and mouse models (101). Given the decline in the number of mitochondria and the decrease in the expression of genes related to mitochondrial biogenesis in adipocytes, which occur concomitantly with aberrant mitochondrial morphology and oxidative phosphorylation functions (16,182), mitochondrial dysfunction may be considered a key factor in deleterious intra-adipocyte metabolism with a negative impact on non-adipose tissues. For example, it has been shown that adipose-tissue dysfunction in perilipin-1 null mice, which affects heart health and contributes to the development of metabolic cardiomyopathy through mitochondrial swollen forms, disrupted cristae and OxS in cardiomyocytes (118). Among the molecular mechanisms behind cardiac

dysfunction in obesity, there are alterations in intracellular  $\text{Ca}^{2+}$ -mediated regulation, which significantly impacts on contraction and relaxation frequency (13,90). Obesity is also a significant risk for hepatic lipid accumulation caused by the uptake of circulating FFA and induced *de novo* lipogenesis, forcing out lipotoxicity, mitochondrial dysfunction, OxS and IR (107), thereby culminating in the development of non-alcoholic fatty liver disease (73,141). Therefore, the alleviation of mitochondrial OxS and dysfunctions in excessive adipose tissue may be useful in shielding from obesity-induced hepatosteatosis and lipid accumulation in non-adipose tissues.

### **3.2 Mitochondria-derived oxidative stress and insulin resistance**

Mitochondrial dysfunction related to mitochondrial biogenesis, reduced mitochondrial content and/or decreased protein content, along with oxidative protein activity can affect insulin sensitivity (41). The resulting decrease in substrate oxidation (e.g., FFA) leads to lipid accumulation and the accretion of metabolically active diacylglycerols and ceramides, which inhibit insulin receptors and protein kinase AKT (25,176,179). An aggravating factor is the reduced fuel oxidation that disturbs electron flow through the electron transport chain, leading to more electron leakage towards oxygen and the production of superoxide that, in combination with mitochondrial DNA lesions, protein aggregations and lipid peroxidation, gives rise to either mitophagy or apoptosis (131). Therefore, it is not surprising that dysfunctional mitochondria are observed in the context of glucose intolerance (100), largely accompanied by abnormally low mitochondrial NADH: $\text{O}_2$  oxidoreductase activity, small size, down-regulated biogenesis and oxidative phosphorylation pathways (101,132). The mechanism responsible for most of these defects was linked to the declined expression of Peroxisome proliferator-activated receptor gamma coactivator 1  $\alpha$  (PGC1  $\alpha$ ), the master regulator of mitochondrial metabolism (101,132) as illustrated in human studies (76,83,168). Nevertheless, these investigations remain inconclusive as to whether the primary cause of these abnormalities is due to the mitochondrial number or actual metabolic changes within the mitochondria (11,20,81,161). Moreover, impaired mitochondrial function in IR is not unanimously accepted, since various groups have reported no correlation or even the absence of alterations in the markers of mitochondrial content and functions, while others have insisted on the offsetting augmentation of mitochondrial oxidative ability with raised lipid provision (46,63,87,175,191,201,202). For now, despite the associative studies of IR and mitochondrial dysfunction, we cannot definitively conclude whether modifications in mitochondrial function are a cause or consequence of IR, particularly in view of various differences in methodology and

experimental protocols. Although several groups have attempted to tackle this critical issue using transgenic approaches such as posttranslational modification of mitochondrial transcription factor A (controlling mitochondrial transcription), PGC1  $\alpha$  (regulating mitochondrial biogenesis and interacting with a great number of transcription factors and nuclear hormone receptors that are associated with mitochondrial function) and acetyl-CoA carboxylase 2 (the inhibition of which stimulates the access of long-chain fatty acids into mitochondria for  $\beta$ -oxidation), their findings are still unable to clearly demonstrate functional defects in mitochondria as upstream faults causing IR (1,33,80,114,151,181,206,213,214).

### **3.3 Mitochondria-derived oxidative stress and dyslipidemia**

The cause that links hyperlipidemia to the pathogenesis of MetS is frequently attributed to the toxic action of lipids in various target tissues. Indeed, it is assumed that lipid overflow causes ectopic fat deposition, which impairs the function of various organs (48). Multiple biochemical mechanisms have been proposed indicating that lipid excess induces cell OxS and damage (180). Free cholesterol, oxLDL and glycated HDL are additional potential causes of mitochondrial dysfunction and/or apoptosis (164). For example, oxLDL affects mitochondrial functions, specifically oxidative phosphorylation in vascular endothelial cells and may increase ROS, resulting in mitochondrial-specific OxS, 8-oxo-deoxyguanine (183).

### **3.4 Mitochondria-derived oxidative stress and hypertension**

Mitochondrial-dependent apoptotic pathway actively participates in vascular endothelium disruption triggered by oxLDL accumulation (120). In fact, oxLDL accretion promotes ROS production in endothelial cells, which leads to pro-apoptotic effects in association with substantial perturbations in mitochondrial ATP production and abnormally high permeability, culminating in cytochrome C discharge and the subsequent activation of executioner caspases (172). In turn, the deterioration of mitochondrial function raises ROS generation and exacerbates OxS in the atherogenic process (8). By acting on the underlining mechanisms (i.e. oxLDL-induced cellular apoptosis and mitochondrial dysfunction) through the mitochondrial-dependent apoptotic pathway and glycogen synthase kinase 3 $\beta$ /β-catenin signaling pathways, it is possible to fight vascular dysfunctions and atherosclerosis (115). Lectin-like oxidized low-density lipoprotein receptor-1 (LOX-1) is a key protein to control in order to prevent severe damage to the endothelium. It represents the major receptor responsible for the binding, internalization and degradation of oxLDL. LOX-1 is implicated in various pathophysiological events, including endothelial

dysfunction, hypertension and atherosclerosis (51). It is upregulated not only by oxLDL, but also by ROS and angiotensin II (119), and results in mitochondrial dysfunction (126). Importantly, full control of LOX-1 may suppress the induction of oxidative damage to mitochondrial DNA and proteins and may improve clinical conditions recognized to undergo vascular remodeling and tissue dysfunction, such as T2D, hypertension, and dyslipidemia (51).

#### **4. MICROBIOTA AND METABOLIC SYNDROME TRAITS**

Despite the importance of intestinal microbiota, there are only few data concerning its extra-intestinal metabolic role, namely in MetS. Currently, it is well established that this gut ecosystem not only influences intestinal functioning, but it may also confer metabolic endotoxemia and low-grade chronic inflammation because of the transfer of microbial metabolites into systemic circulation via an abnormally permeable intestinal barrier (142), which eventuates MetS (28). Our recent studies clearly showed that improvement of OxS, endotoxemia, inflammation and MetS features by polyphenols is associated with a robust modulation in the relative abundance of *Akkermansia* spp. in high fat/high sucrose-fed mice (4,5). Conversely, uncontrolled, prolonged generation of short chain fatty acids by dysbiotic microbiota promotes MetS in Tolk-like receptor 5 in knockout mice (185). Apparently, modification of the gut microbiota by nutritional status, improvement of intestinal integrity and induction of microbial metabolic output could positively impact on host health and may represent beneficial avenues for the management of MetS. So far, it has not been established whether microbiota produces beneficial effects on MetS components by regulating mitochondrial events.

#### **5. EFFECTS OF ANTIOXIDANTS ON METABOLIC SYNDROME TRAITS**

Many investigators believe that implementation of lifestyle changes (e.g., healthy diets and physical activity) are of the utmost importance for the treatment of MetS and its consequent complications (150,152). MetS is a constellation of interrelated conditions, including hypertension, dyslipidemia, IR, T2D and central obesity. Treatments that target individual components may fight MetS at the forefront of T2D and CVD. Therefore, the current therapy for obesity includes Orlistat, an inhibitor of gastrointestinal lipase activity for weight reduction, lorcaserin, phentermine-topiramate, bupropion/naltrexone and liraglutide in addition to lifestyle modification and bariatric surgery. The pharmacologic agents for abnormal glucose metabolism/IR and T2D are metformin and glucagon-like peptide 1 receptor agonists. The first line medication for elevated blood pressure includes amiloride and thiazide diuretics for unproblematic patients, angiotensin-converting

enzyme inhibitors or angiotensin receptor blockers for subjects with T2D, congestive heart failure or chronic kidney disease, or beta blockers for persons with angina (18,156,160). Finally, dyslipidemia is treated with HMG Co-A reductase statin inhibitors (LDL-cholesterol), fibrates (TG), niacins (to raise HDL-cholesterol and lower TG and LDL-cholesterol). Interestingly, short-term fenofibrate treatment may ameliorate not only dyslipidemia, but also IR, hypertension, inflammation and OxS markers in individuals with MetS, signifying that this drug can efficiently decrease the risk of arteriosclerosis via different pathways (203).

Nevertheless, lifestyle modifications and better diet control seem to be more effective than medications (123,139). Several clinical investigations have corroborated the benefits of consuming bioactive nutraceuticals from functional foods for the amelioration of hyperglycemia (9,10,32). Various nutritional antioxidants such as flavonoids, arginine, vitamin C, vitamin E, carotenoids, resveratrol and selenium can be used to prevent and treat health complications resulting from MetS (70). However, the underlying mechanisms are not fully elucidated for most of these natural compounds. Flavonoids and other phenolic compounds are widely used in view of their antioxidant content capable of neutralizing OxS or activating cytoprotective gene transcription, which keeps out oxidative impairment of macromolecules (2). Indeed, several natural compounds can stimulate the endogenous defense system by activating the nuclear factor Nrf2, a critical modulator of antioxidant response (194). Various studies reported its preventive effects on the development of MetS and related complications, including the transition from pre-T2D to T2D (93). In view of the significant contribution of mitochondria to ROS production, energy metabolism and MetS development, several therapeutic strategies have recently been designed to prevent mitochondrial dysfunction (55,121,186,187). For example, targeting the antioxidant ubiquinone MitoQ to mitochondria led to enhanced defense against pathological oxidative repercussions in MetS (127,165).

## 6. CONCLUSIONS

OxS is increasingly perceived as the root cause of the initiation and evolution of MetS and may constitute the major unifying mechanism of the obesity, dyslipidemia, IR and hypertension components associated with MetS. Although mitochondrial redox state and dysfunction are closely implicated in the pathogenesis of MetS, it remains unclear whether they may be the cause or consequence. Substantial evidence indicates ROS scavenging potential in normalizing mitochondrial biogenesis, energetics and functional capacity while preventing MetS complications

and severity, which provides additional mechanistic insight into the role of OxS in MetS development and subsequent complications. However, the therapeutic utility of ROS scavenging and particularly mitochondria-targeted antioxidants as a therapy for MetS-related disorders requires further investigation given the controversial findings. Further studies should be instrumental in determining whether mitochondria-targeted approaches improve mitochondrial structure, DNA integrity, ROS production, dynamics, mitophagy and function, before turning to the wide range of effects and mechanisms of action in MetS features.

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## **AUTHOR DISCLOSURE STATEMENT**

The authors declare that they have no competing financial interests.

## LIST OF ABBREVIATIONS

ATP	Adenosine triphosphate
Ca <sup>2+</sup>	Calcium
CVD	Cardiovascular diseases
FFA	Free fatty acids
GLUT	Glucose transporter
GPX	Glutathione peroxidase
GR	Glutathione reductase
H <sub>2</sub> O <sub>2</sub>	Hydrogen peroxide
HDL-C	High-density lipoprotein-cholesterol
IR	Insulin resistance
LOX-1	Lectin-like oxidized low-density lipoprotein receptor-1
MetS	Metabolic syndrome
MPO	Myeloperoxidase
NO	Nitric oxide
NOS	Endothelial nitric oxide synthase
NOX	nicotinamide adenine dinucleotide phosphate oxidases
Nrf2	Nuclear factor erythroid-derived 2 like 2
O <sub>2</sub> <sup>-•</sup>	Superoxide anion
•OH	Hydroxyl radical
ONOO <sup>-</sup>	Peroxynitrite
oxLDL	Oxidized low-density lipoprotein
OxS	Oxidative stress
PGC1 $\alpha$	Peroxisome proliferator-activated receptor gamma coactivator 1 $\alpha$
PTEN	Tumor suppressor phosphatase and tensin homolog
ROS	Reactive oxygen species
SOD	Superoxide dismutase
T2D	Type 2 diabetes
TG	Triglyceride
VLDL	Very-low-density lipoprotein
VSMC	Vascular smooth muscle cells

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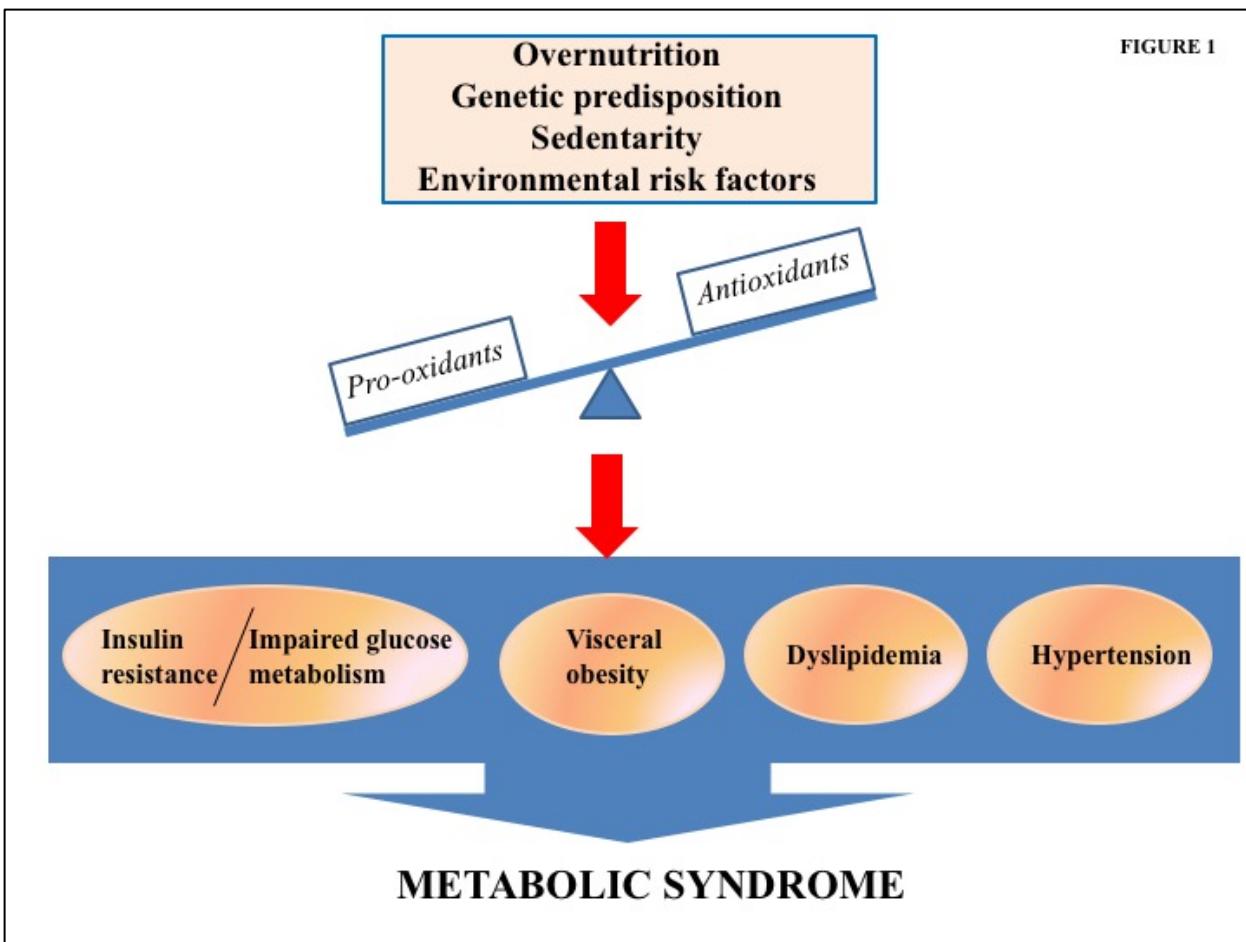
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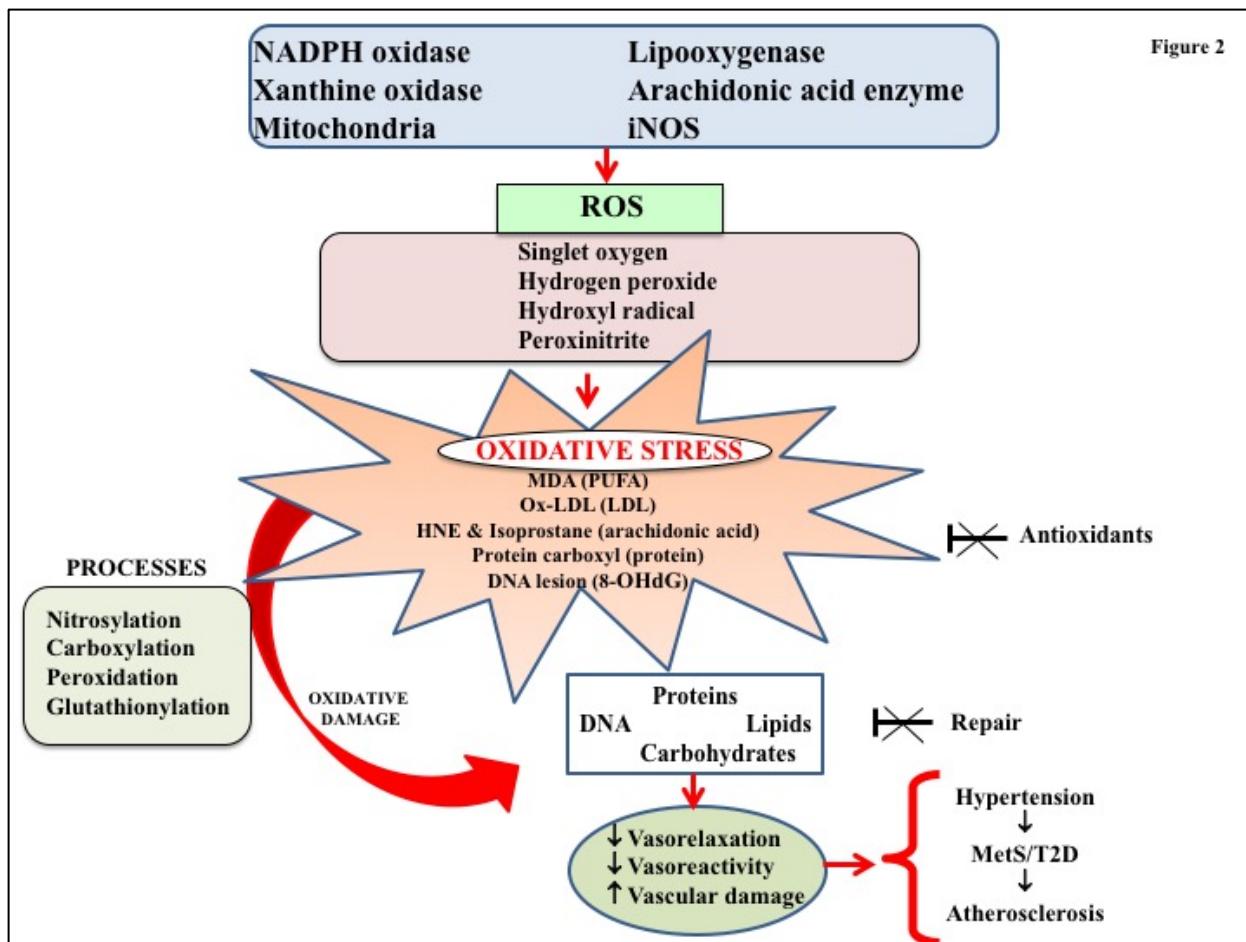
FIGURE 1



**Figure 1. Diagrammatic summary of metabolic syndrome causes.**

Insulin resistant states, body (and especially abdominal) fat excess, dyslipidemia and hypertension are identifiable conditions of Metabolic Syndrome (MetS). They may be due to an imbalance of antioxidants and pro-oxidants, triggered by the convergence of inadequate over-nutrition, genetic predisposition, sedentarity and environmental risk factors.

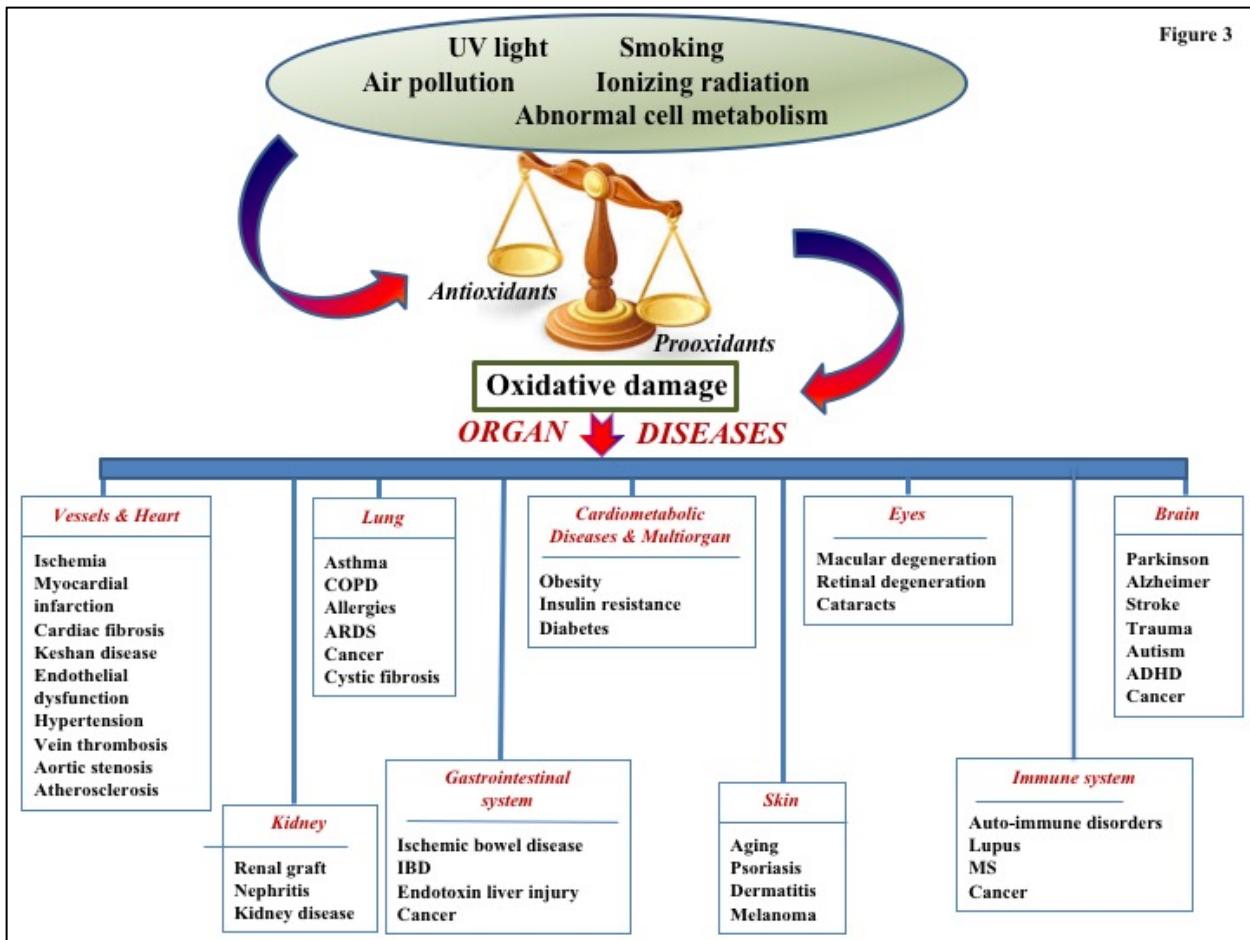
Figure 2

**Figure 2. Cellular oxidative damage to biological molecules.**

Various sources, including NADP oxidase, xanthine oxidase, lipoxygenase, iNOS, arachidonic enzyme and mitochondria, may contribute to the enhancement of ROS generation. Consequently, antioxidant defense is unable to detoxify free radicals, which alters the normal cell redox state and favors extensive OxS. Exposure of lipids, proteins and DNA to ROS and nitrogen species (RNS) results in chlorination, nitrosylation, carboxylation, peroxidation and glutathionylation with toxic effects on lipids (e.g., malondialdehyde, MDA), proteins (e.g., HNE-protein adducts) and DNA (e.g., 8-hydroxy-2-deoxyguanosine, 8-OHdG). Reduced nitric oxide bioavailability, caused by augmentation ROS production, leads to vascular alterations and endothelial dysfunction, thereby culminating in hypertension that promotes the risk of developing metabolic syndrome (MetS), type 2 diabetes (T2D) and atherosclerosis.

ROS: reactive oxygen species; PUFA: polyunsaturated fatty acids; ox-LDL: oxidized LDL

Figure 3

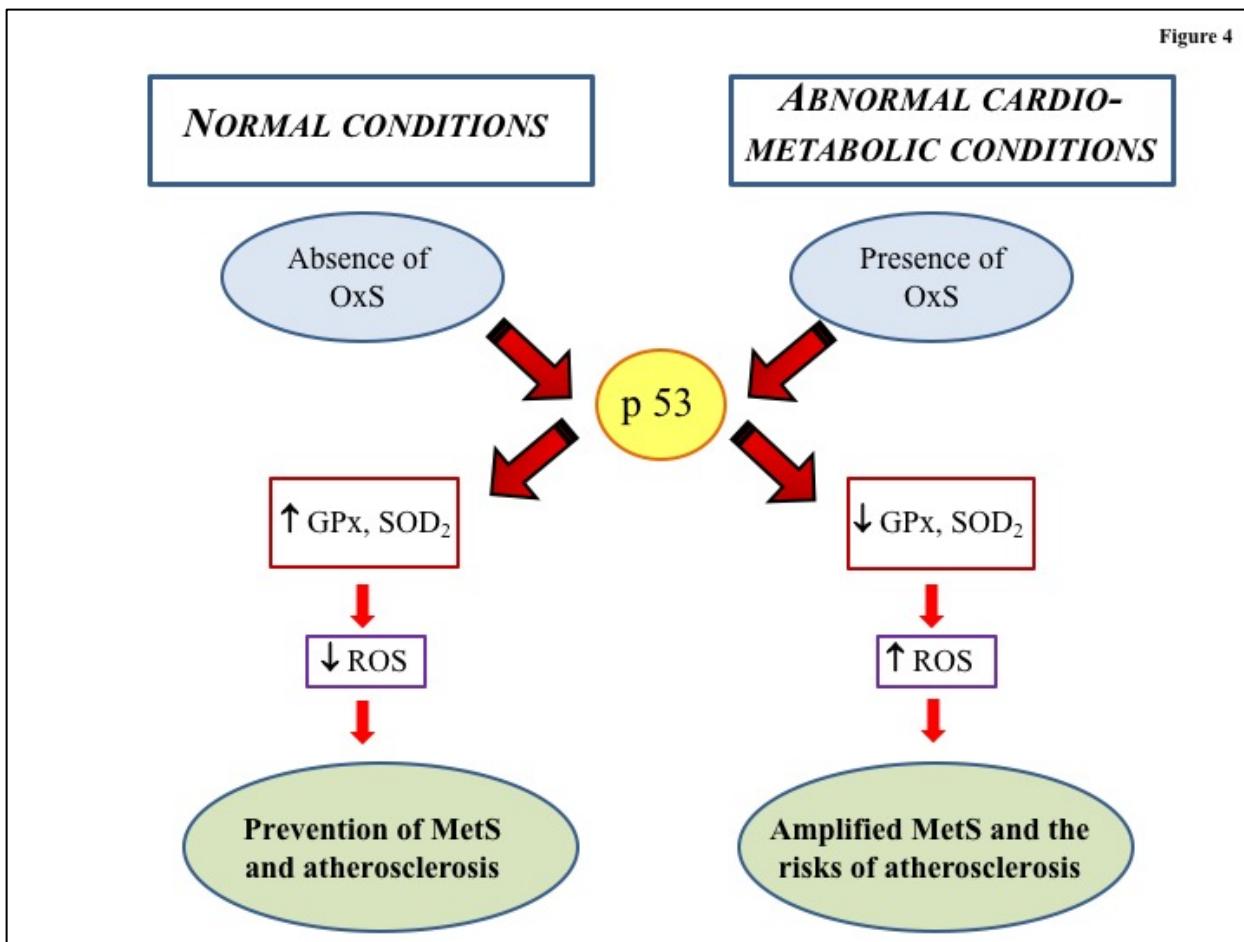


### Figure 3. Link of oxidative stress to various diseases.

Numerous agents (UV light, air pollution, smoking, ionizing radiation and abnormal metabolism among others) may act as oxidants or catalysts of reactions that produce reactive oxygen and nitrogen species in addition to other oxidants. The increased levels of these pro-oxidants can overwhelm the antioxidant defense system's capacity and contribute to the initiation and development of many pathophysiological events through the activation of multiple intracellular signaling pathways, which induce inflammation, apoptosis or cell overgrowth and lead to organ dysfunction.

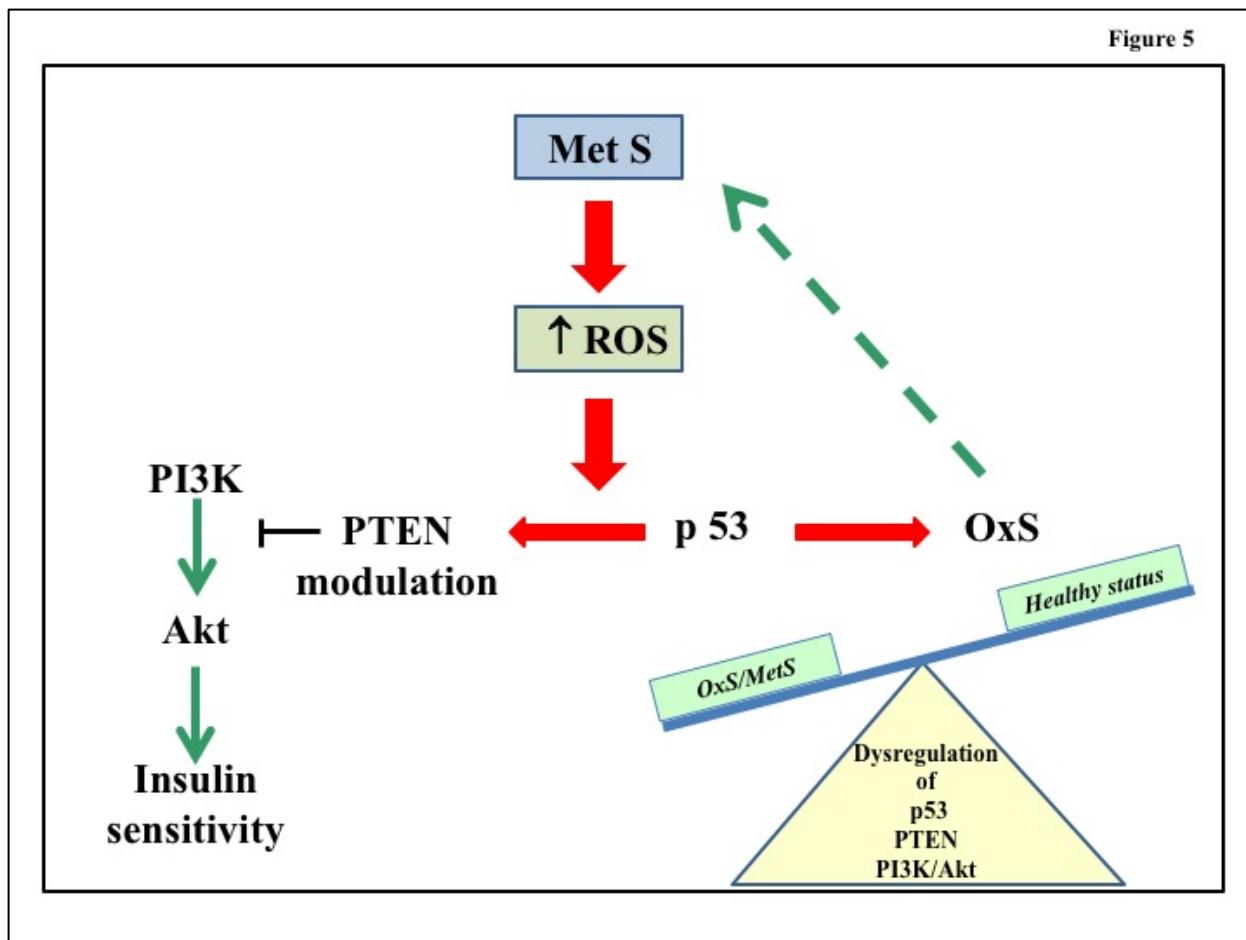
COPD: chronic obstructive pulmonary disease; ARDS: acute respiratory distress syndrome; ADHD: Attention deficit hyperactivity disorder; IBD: inflammatory bowel diseases; MS: multiple sclerosis.

Figure 4

**Figure 4. p53 and redox homeostasis.**

p53 has broad effects on health and disease, since its expression is regulated by alterations in transcription, translation and mRNA splicing events, as well as by posttranslational modifications such as phosphorylation, acetylation, methylation, glycosylation, farnesylation, hydroxylation and ADP-ribosylation. These posttranslational modifications, together with the interaction of p53 with various protein-binding partners, help modulate subcellular localization, stability and conformation of p53. Depending on these changes, p53 can lower or heighten oxidative stress and, thereby, impact on the control or progression of obesity, metabolic syndrome (MetS) and diabetes. Importantly, p53 can function as a sensitizer and an activator of oxidative stress (OxS). In this context, ROS can **induce** p53, which promotes antioxidant activity under normal conditions and pro-oxidant activity under intense OxS.

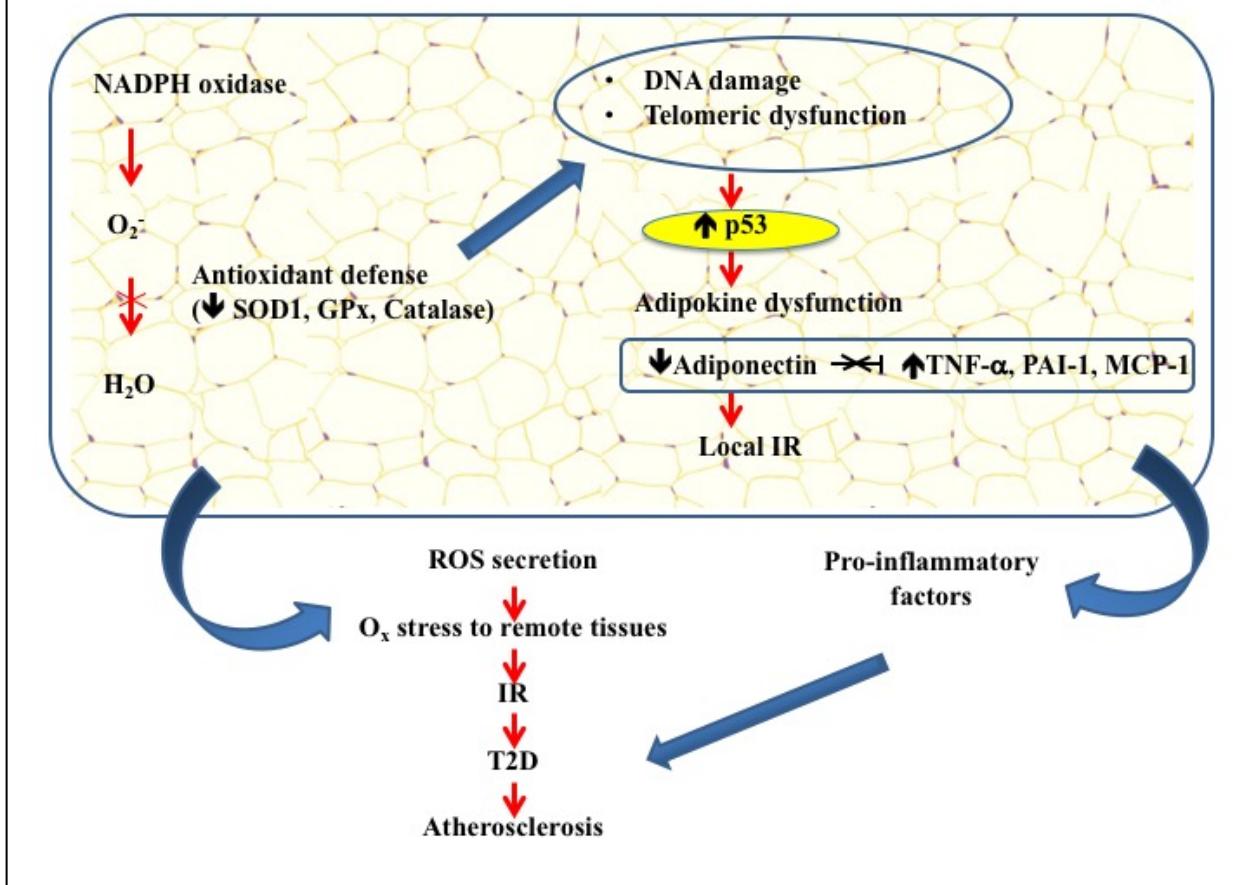
Figure 5



**Figure 5. Vicious cycle among metabolic syndrome, p53/PTEN and insulin resistance.**

Induction of p53 in Metabolic Syndrome (MetS) may activate PTEN and, thereby, impact on PI3K/Akt signaling and insulin sensitivity. Simultaneously, p53 induction enhances OxS, which amplifies MetS.

Figure 6



**Figure 6. Mechanistic role of oxidative stress in adipose tissue insulin resistance and cardiometabolic disorders.**

Fat accumulation induces reactive oxygen species (ROS) production, which affects genomic integrity and alters p53 expression, leading to adipose tissue inflammation, local insulin resistance and cardiometabolic disorders.

## **Revue 2**

### **« Oxidative Stress as a Critical Factor in Non-alcoholic Fatty Liver Disease Pathogenesis»**

**Spahis S**, Delvin E, Borys JM, Levy E. *Antioxid Redox Signal.* 2017 Apr 1;26(10):519-541. doi: 10.1089/ars.2016.6776.

#### **CONTRIBUTION DES AUTEURS**

**Spahis S** a effectué la revue critique de la littérature, a compilé les données et crée les Figures et Tables qui accompagnent la revue. Elle a contribué à la rédaction, soumission et révision de la revue, sous la supervision du Directeur de recherche, Dr Levy E.

**Delvin E et Borys JM** ont participé à la révision du manuscrit.

**Levy E** a contribué à la rédaction et la révision du manuscrit.

## **Forum Review Article**

# **OXIDATIVE STRESS AS A CRITICAL FACTOR IN NONALCOHOLIC FATTY LIVER DISEASE PATHOGENESIS**

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**Running Title:** Oxidative stress and NAFLD/NASH

**Keywords:** Oxidative stress, Liver steatosis, Cardiometabolic disorders, Atherosclerosis, Mitochondria, Antioxidants, and Reactive oxygen species

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## **ABSTRACT**

**Significance:** Nonalcoholic fatty liver disease (NAFLD), characterized by liver triacylglycerol build-up, has been growing in the global world in concert with the raised prevalence of cardiometabolic disorders, including obesity, diabetes and hyperlipemia. Redox imbalance has been suggested to be highly relevant to NAFLD pathogenesis. **Recent advances:** As a major health problem, NAFLD progresses to the more severe non-alcoholic steatohepatitis (NASH) condition and predisposes susceptible individuals to liver and cardiovascular disease. Although NAFLD represents the predominant cause of chronic liver disorders, the mechanisms of its development and progression remain incompletely understood, even if various scientific groups ascribed them to the occurrence of insulin resistance, dyslipidemia, inflammation and apoptosis. Nevertheless, oxidative stress (OxS) more and more appears as the most important pathological events during NAFLD development and the hallmark between simple steatosis and NASH manifestation. **Critical issues:** The purpose of this review is to summarize recent developments in the understanding of NAFLD, essentially focusing on OxS as a major pathogenetic mechanism. Various attempts to translate reactive oxygen species (ROS) scavenging by antioxidants into experimental and clinical studies have yielded mostly encouraging results. **Future directions:** Although augmented concentrations of ROS and faulty antioxidant defense have been associated to NAFLD and related complications, mechanisms of action and proofs of principle should be highlighted in order to support the causative role of OxS and to translate its concept into the clinic.

## 1. INTRODUCTION

Nonalcoholic fatty liver disease (NAFLD) is a complex progressive liver disease controlled by multiple factors and still remains a major public health issue (18,25). Its prevalence continues to progress worldwide in parallel with the epidemic of obesity (57), reaching 20%-30% in general population, 80-90% of obese adults, 30-50% subjects with type 2 diabetes (T2D) and up to 90% in subjects with lipid disorders (25,107). In childhood, the estimated prevalence of NAFLD in obese children is rising to 40-70% (18,188). NAFLD presents a wide diversity of symptoms ranging from a simple steatosis to the concurrent histological appearance of inflammation and ballooning, which defines nonalcoholic steatohepatitis (NASH) with a prevalence of 10% to 56% in the obese population (246). Up to 20% of the patients who develop NASH can progress to fibrosis, cirrhosis and hepatocellular carcinoma (10). NAFLD and NASH are considered by the scientific community as hepatic expressions of the metabolic syndrome (MetS) since they are associated to obesity, insulin resistance (IR) and dyslipidaemia (24) along with chronic systemic oxidative stress (OxS) (48) and inflammation especially in NASH (124). Whereas the mechanisms for their pathogenesis are still enigmatic, the “two hit hypothesis” is the widespread theory for the development of NAFLD (44). The first hit leading to steatosis results from IR, which leads to hepatic *de novo* lipogenesis and impaired fatty acid (FA) export. The “second hit” consists of elevated output of reactive oxygen species (ROS) that increase OxS capable of mediating inflammation and cytotoxicity, thereby culminating in NASH and fibrosis (8). A “third hit” has also been suggested, based on the fact that exaggerated OxS produces gradual hepatocyte death, lessens replication of mature hepatocytes and favors progenitor cell expansion, ensuing in liver cirrhosis and hepatocellular carcinoma (55,90). Overall, fat accumulation in the liver (1<sup>st</sup> hit) augments vulnerability to OxS (2<sup>nd</sup> hit), which triggers inflammation, endoplasmic reticulum (ER) stress, mitochondrial dysfunction and the incapacity of hepatocytes to synthesize endogenous antioxidants (209) (**Fig. 1**). Hence, even if NAFLD and NASH are multifactorial diseases, OxS appears to be nearly the most significant mechanisms that convey liver injury in NAFLD (43,222) with a branching of several intracellular events (e.g. mitochondrial dysfunction and ER stress) in combination with extracellular factors such iron accretion and gut flora. Therefore, delineation of the OxS mechanisms is fundamental for understanding NAFLD development (174) and represents the main goal of this review. The interconnection with the dynamics of antioxidant actions will be discussed largely in order to glimpse the effective nutritional therapeutic avenues for NAFLD.

## **2. OXIDATIVE STRESS IN NAFLD AND NASH**

As aforementioned, OxS plays a key role in the initiation and progression of NAFLD from simple steatosis to NASH even if the cause-effect relationship between OxS and the pathogenesis has not yet been established robustly (201). OxS occurs via elevated formation of ROS, which initiates lipid peroxidation by targeting the double bonds of polyunsaturated Fas (PUFA). The subsequent formation of extremely reactive aldehyde components, namely 4-hydroxy-2-nonenal (4-HNE) and malondialdehyde (MDA), causes an intracellular damage. Many circulating biomarkers of lipid peroxidation were observed in NAFLD/NASH patients (86,148,165) (**Table 1**) and their high concentrations are associated with the intensity of liver disease (111). Concomitantly, a decline was noticed in antioxidant compounds such as catalase, glutathione (GSH), GSH S-transferase, superoxide dismutase (SOD), coenzyme Q (CoQ) and Cu-Zn SOD (60,223) (**Table 1**). Other investigators emphasized the increase in urinary 8-iso-prostaglandin F<sub>2α</sub> and serum NOX2-derived peptide, a NADPH oxidase isoform (49,50,148). Besides, the expression of hemeoxygenase-1, acting as a cellular protector against OxS in normal conditions, augments in NASH patients probably as an adapting response (120).

Various mechanisms have been reported to cause lipid peroxidation. Pro-oxidant systems such as cytochrome P450, lipoxygenase and cyclooxygenase along with free radical products have been alone or synergistically implicated in the emergence of OxS in NAFLD (201). Similarly, raised hepatic expression of CYP2E (isoform of cytochrome P450) appears to be behind ROS production in NASH (13,153). PUFA metabolic pathways are also singled out since their derived eicosanoids, including hydroxy-eicosatetraenoic, hydroxy-octadecadenoic and oxo-octadecadenoic acids have been found augmented in patients with NASH (67). Conversely, their inhibition contributes to the improvement of the disease (248). On the other hand, intensification of hepatic levels of 8-hydroxy-2-deoxyguanosine (8-OHdG), a reliable marker of mitochondrial oxidized DNA, is observed in NAFLD and NASH patients (68), which suggests an overproduction of oxidative DNA damage-targetting mitochondria dysfunction. Intra-hepatocyte mechanisms for the development of OxS will be documented in the following paragraphs.

## **3. MITOCHONDRIA DYSFUNCTION, OXIDATIVE STRESS AND LIVER STEATOSIS**

According to some groups, OxS is not only a primary cause of liver fat accumulation (161), but it is also implicated in fibrosis development (149,193) in patients with NAFLD. The mitochondrion is a critical player in ROS overproduction (214), which results in abnormal respiration and

dysfunction of mitochondria, culminating in NAFLD development and expansion (97,189,211). Some groups of researchers even say that mitochondrial ROS and dysfunction in patients with NASH are directly implicated in NAFLD and NASH pathogenesis (140). It is quite understandable to detect mitochondrial dysfunctions in patients with NAFLD since inflated ROS may damage lipids, mitochondrial proteins, and DNA. In addition to the reported impairment of mitochondrial respiratory chain, ultrastructural mitochondrial alterations (29,104), hepatic ATP synthesis deficiency (19,41) and apoptosis (6,202) are reported in NAFLD probably as a consequence of massive OxS and inefficient antioxidant defense (79). The mechanisms for redox imbalance-mediated mitochondrial dysfunctions can be summarized as follows (**Fig. 2**): i) Excessive mitochondrial ROS are produced with enhanced electron leakage, which in turn elevates OxS and oxidative damage to mitochondria, thereby favoring a vicious cycle that leads to impairment of various defective mitochondrial (mt)DNA-encoded protein subunits and abnormal mitochondrial functions; ii) increased MDA and 4-HNE levels, emanating from lipid oxidation, inhibit cytochrome c oxidase and induce uncoupling protein 2 leading to mitochondrial membrane damage (102,160); iii) Increased ROS production and reduced hepatic ATP synthesis correlate positively with ultrastructural irregularities in hepatic subcellular mitochondria (e.g. distended and rounded, with deficiency of cristae and presence of paracrystalline inclusions), thereby affecting mitochondrial functions (29,171,186); (iv) OxS is associated to the reduced expression of the transcription coactivator peroxisome proliferator-activated receptor- $\gamma$  coactivator-1 $\alpha$  (PGC-1 $\alpha$ ), the most important regulator of mitochondrial biogenesis, in NAFLD/NASH with an obvious negative impact on mitochondrial biogenesis process (1); (v) As PGC-1 $\alpha$  coordinates numerous genes needed for mitochondrion production by mastering the activity of several nuclear transcription factors, its low expression in NAFLD/NASH disadvantages its interaction with both nuclear factor erythroid 1 and 2 (Nrf1 and Nrf2) to induce genes connected to the respiratory chain and mitochondrial import machinery, and transcription factors of mtDNA (TFAM, TFB1M, TFB2M), which govern mitochondrial functions (1,133,229); (vi) the down-regulation of PGC-1 $\alpha$  in NAFLD/NASH may lead to the inactivation of antioxidant defenses, including SOD, catalase and glutathione peroxidase (197). All together, mtOxS is able to raise lipid peroxides, alter mitochondrial morphology, impair mitochondrial bioenergetics, and affect mitochondrial functions. These changes damage hepatocytes, trigger inflammation, and contribute to IR. However, efforts should be deployed to deepen the mechanisms of action using cellular and

molecular sophisticated tools.

#### **4. OXIDIZED LDL, NAFLD/NASH AND ATHEROSCLEROSIS**

OxS is able to raise cardiovascular risks in patients with NAFLD via significant contribution to atherosclerosis risk factors and the induction of endothelial dysfunction (123). Elevated levels of ROS may lead to low-density lipoprotein oxidation (oxLDL) and macrophages transformation into foam cells, which represent the key stage in the development of the atherosclerotic damage (123). Interestingly, the postprandial increase in oxLDL independently predicted the severity of liver histology in subjects with NAFLD, thus providing a biological mechanism for the epidemiological association between liver disease and atherosclerosis in NASH (132,207). Validation was obtained in high fat diet (HFD)-fed mice that were administrated oxLDL (244). This experimental model could mimic human NASH, in which inflammatory events occur, including hepatocellular damage and inflammatory cell penetration (244).

OxLDL, as a product of ROS, is not only able to initiate intracellular OxS in Kupffer cells but also to cause hepatic inflammation through the process of oxLDL uptake (21,69). To examine whether oxLDL constitutes the basis for NASH pathogenesis, the recognition of oxLDL by Kupfer cells was inhibited by immunization of *ldlr<sup>-/-</sup>* (20). Consequently, a significant decline in hepatic inflammation was recorded as reflected by reduced macrophage, neutrophil and T-cell infiltration, and reduced gene expression of tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), interleukin (IL)-6, IL-1 $\beta$ , monocyte chemoattractant protein-1 and fibrosis related genes. In an elegant study, oxLDL enhances lectin-like oxLDL receptor 1 expression and induces injury through ROS formation, nuclear factor kappaB (NF- $\kappa$ B) activation and eNOS downregulation, endothelin 1 and caveolin 1 upregulation and defenestration in human liver sinusoidal endothelial cells (251). Despite the relationship between oxLDL and liver steatosis, further studies are clearly needed to assess the clinical adequacy of plasma oxLDL as a non-invasive biomarker for NASH and to provide a basis for therapeutic strategies to improve NASH and related metabolic risk factors that conduct to T2D, cardiovascular diseases and liver deterioration (225).

#### **5. MYELOPEROXIDASE, NAFLD/NASH AND ATHEROSCLEROSIS**

Various groups investigated the role of neutrophils in NAFLD since they are essential responders to infections and release the enzyme myeloperoxidase (MPO) endowed with substantial oxidizing potential in the liver (95). Increased MPO-positive Kupffer cells were observed in the liver of obese NASH patients in close link with raised plasma MPO levels (170). In fact, MPO activity may

catalyze nitration of protein tyrosyl groups and may stimulate lipid peroxidation during hepatic steatosis (85) via accrement of HOCl-modified proteins and nitrated proteins in association with increased hepatic chemokine expression in NASH conditions (170). Conversely, MPO deficiency attenuates the development of NASH supporting an important role for neutrophil MPO-mediated OxS in its pathogenesis (169).

## **6. CROSS TALK BETWEEN OXIDATIVE STRESS AND ENDOPLASMIC RETICULUM STRESS**

Concretely, OxS and ER stress are two processes linked together. For example, protein folding in ER is connected to ROS since after accepting electrons from protein disulphide isomerase, ER oxidoreductase-1 transfers them to molecular oxygen and produces H<sub>2</sub>O<sub>2</sub> to folding, modification and trafficking of proteins (181). Additionally, RNA-dependent protein kinase-like ER eukaryotic initiation factor-2  $\alpha$  kinase phosphorylates Nrf2, which contributes to the dissociation of Nrf2–Keap 1 complex and promotes the gene expression containing antioxidant response element, reducing OxS by inducing the expression of antioxidant genes such as hemeoxygenase-1 (42). Conversely, the disturbance in GSH/ GSSH ratio in ER lumen leads to misfolding of proteins and increased ROS generation (12,31,121). In fact, Ashraf's review summarises the evidences and suggests that ER stress and OxS form a vicious cycle in the pathogenesis of NASH (12).

## **7. MICRO RNA REGULATION IN NAFLD/NASH**

microRNAs (miRNA) have been revealed to be differentially expressed in NASH patients. Their modulation seems to be beneficial to NAFLD. It has been described that the inhibition of the transcriptional activity of p53 via down-regulation of miRNA34a in the liver of mice fed with HFD diminished OxS, apoptosis and hepatic steatosis (53). An imbalance in nitric oxide levels potentiates a dysregulation in ROS metabolism resulting in OxS. The enzyme nitric oxide synthases (NOS) is a key player in cardiometabolic disorders and cardiovascular diseases and its expression is downregulated at the post-transcriptional level by miRNAs, including miR-222/221 and miR-92a (235) whereas miR-21 upregulates eNOS activity (230). Currently, beneficial effect of miRNAs is proposed as an acceptable tool to lower OxS related disorders, including NAFLD and MetS (11).

## **8. GENETIC MUTATIONS AND OXIDATIVE STRESS IN NAFLD/NASH**

To assess the role of cellular OxS in the development of NAFLD and NASH, numerous studies have focused on genetic variants (**Table 2**) that might reduce the ability of hepatocytes to protect against OxS. When the rs4880 polymorphism of *SOD2* gene, coding for the mitochondrion-resident

enzyme manganese superoxide dismutase (MnSOD) was assessed, ineffective MnSOD mitochondrial targeting and reduced activity were recorded, suggesting that this variant is a good candidate modifier of NAFLD severity (4). In fact, a steady connection was observed between the functional SNP of *SOD2* and fibrosis severity in NAFLD, which evidences that mitochondria-derived OxS is significant in the pathogenesis of advanced NAFLD. Similarly, moderate to severe steatosis and inflammation resulted from the -55CT variant of uncoupling protein (*UCP*)3 coding for the mitochondrial proton carrier uncoupling 3, a protein considered to be protective against OxS from β-oxidation of FA (7). A clear association was noted between NASH and the 129C/T polymorphism of glutamate-cysteine ligase, the first and limiting enzyme in the formation of GSH (152). On the other hand, there was a protection against NAFLD by the 211G>A genotype of *uridine-5'-diphosphoglucuronosyltransferase 1A1* coding for the enzyme synthesizing bilirubin (110), that exhibits potent antioxidant properties (233).

## **9. FUNCTIONAL EVIDENCE ILLUSTRATING THE CAUSAL LINK BETWEEN OXIDATIVE STRESS AND NAFLD/NASH**

In addition to environmental influences, the onset, incidence and severity of the NAFLD pathological state is significantly influenced by different interacting genetic factors as noted above. As NAFLD is inextricably connected with OxS, unsurprisingly various researchers have first addressed the functional role of specific genes related to cellular redox activity. Over the last years, genetic engineering technology in animals was used in order to improve molecular events, diagnostics and therapeutics related to NAFLD and NASH.

For example, the development of *SOD1* knockout (KO) mice shows a hepatic increase in FA synthesis (227) and a decrease in apolipoprotein B-100 levels (216), thereby leading to a lipid accumulation in the liver. In addition, *SOD1* KO mice display an increment of iron in hepatocytes (187,198), which raises OxS via Fenton reaction and the risk of liver disease progression, including fibrosis and NASH (177).

As Nrf2 empowers cells to tackle OxS by inducing cytoprotective genes, down-regulates the expression of genes involved in FA synthesis (94,234,242) and counteracts inflammation (168), it is highly interesting to determine its connection to NAFLD/NASH. Global KO of *Nrf2* strongly enhances the sensitivity of mice to develop NASH when they are administered a methionine- and choline-deficient regimen (38,200). In contrast, genetic activation of *Nrf2* by knockdown of Keap1 decreases their susceptibility to NASH caused by the choline-deficient diet (253). To approach the

mechanisms, some researchers used mice with loss of Nrf2 and on high-fat diets. These animals develop a significant induction of lipogenic genes, a reduced expression of β-oxidation genes, a decline of AMP-activated protein kinase concentrations, and a low activity of acetyl coenzyme A carboxylase compared to the wild-type livers, which is compatible with a higher FA biogenesis and fat accumulation characterizing NAFLD (127).

The KO of the senescence marker protein-30 (*SMP30*) gene, located in the p11.3-q11.2 segment of the X chromosome, results in aberrantly broadened mitochondria with blurred cristae and unusual accumulations of neutral lipids and phospholipids (87). Similarly, SMP30 decline in response to aging, extreme lipid alimentation or genetic deficiency, leads to a failure of Ca(2+) pumping activity, which prejudices acute insulin discharge by pancreatic β-cells and exacerbates inflammation, OxS and ER stress in NASH (99). The repercussion of SMP30 reduction on OxS and liver steatosis is largely explained by the function of SMP30 as an active enzyme in the antioxidant vitamin C biosynthesis (98). SMP30 may therefore represent a new target to restore glucose metabolism and ameliorate NAFLD.

The renin-angiotensin system (angiotensin (Ang)-converting enzyme (ACE)/Ang II/ AT1) has been identified as an important pathway that contributes to the development of NAFLD (239). Indeed, Ang II is able to cause the expansion of NAFLD in the transgenic Ren2 rat model (with elevated tissue Ang II) by increasing hepatic ROS (231).

Other investigators have stressed the role of alcohol dehydrogenase 5 (Class III), chi polypeptide (ADH3) in the production of OxS and liver steatosis. This enzyme is also known as glutathione-dependent formaldehyde dehydrogenase, thereby contributing to the neutralization of exogenous formaldehyde (51) and protecting cells from the genotoxicity elicited by endogenous formaldehyde (175). In animals, the absence of ADH3 provoked the fast advancement of NASH, which illustrates that ADH3 favors a strong reaction against lipid accumulation by cooperating with the KEAP1-NRF2 system (73).

In the setting of NAFLD in mice with whole-body PEPCK knockdown (while being placed on a standard diet with 10% calories from fat), there is a constitutive oxidative metabolism capable to lead to collateral OxS and inflammation processes that intensify IR and hepatocellular injury (185). On the other hand and contrary to expectations, mice with the deletion of glutamate cysteine ligase, the rate-limiting enzyme for *de novo* synthesis of GSH, did not exhibit an enhancement of the progression of NAFLD to NASH with severe hepatic injury (78). Instead, the authors found that

despite their very low GSH levels, Gclm null mice were to a great extent protected against the development of NASH induced by the choline-deficient diet given their ability to develop compensatory mechanisms that protect the hepatocyte against metabolic abnormalities.

Although the identity of certain genes and impact on OxS certainly lead to significant pieces of information, functional studies are still required to delineate the mechanisms by which these risk factors cause the onset and worsening of NAFLD. Mouse models certainly constitute important tools for delivering novel understanding of the biology and genetics of NAFLD, which may clarify the mechanisms and means to develop and improve diagnostics and therapeutics.

limiting enzyme in the formation of glutathione (GSH), an important endogenous antioxidant.

## **10. NUTRITIONAL ANTI-OXIDANT INTERVENTIONS**

### **10.1 Vitamin E**

OxS has now been recognized as a central mechanism contributing to the improvement of liver lesions as it accelerates the transition from simple steatosis to NASH (79). Therefore, different groups targeted OxS in animal and human studies using vitamin E (**Table 3**), a free radical scavenger, a chain-breaking antioxidant in lipid peroxidation chain, and an anti-inflammatory agent (84). To examine the hepatoprotective activities of vitamin E, *ob/ob* mice were fed diets containing  $\alpha$ - or  $\gamma$ -tocopherol before being injected lipopolysaccharides (LPS) to produce hepatic damage and NASH. The 5-week dietary supplementation of  $\alpha$ - or  $\gamma$ -tocopherol significantly lowered hepatic LPS-elicited lipid peroxidation (MDA), inflammation (TNF- $\alpha$ ), and liver injury as noted by decreases in serum alanine aminotransferase (ALT) activity and serum lipids [free FA and triglycerides (TG)] without affecting body mass. Therefore, vitamin E preserved from pathogenic processes conducting to liver impairment and NASH in virtue of its antioxidant and anti-inflammatory efficiency, but not to any anti-obesity effects. The authors also concluded that vitamin E actions were directed against the “second-hit” of NASH that is characterized by worsened hepatic injury, inflammation and lipid peroxidation (45). This study with genetic *ob/ob* mice confirmed the data in rats and mice fed a methionine-choline deficient diet to provoke NASH, in which  $\alpha$ -tocopherol supplementation reduced histologic signs of liver steatosis, inflammation and OxS (138,164). Likewise, subcutaneous administration of  $\alpha$ -tocopherol to Zucker rats concomitantly raised  $\alpha$ -tocopherol and lowered lipid peroxidation in mitochondria while improving liver injury (194).

Data from numerous human clinical trials are consistent with animal observations. In a study with 10 subjects with NAFLD and 10 patients with NASH, a one year of  $\alpha$ -tocopherol treatment at a dose of 300 IU/day improved serum transaminases [ALT, aspartate aminotransferase (AST), and gamma glutamyltransferase (GGT)] levels, hepatic inflammation histological grade and fibrosis of only NASH patients (82). Confirmation was obtained employing a more elevated dose (400 IU/day) of  $\alpha$ -tocopherol in 11 subjects with NASH, suggesting that  $\alpha$ -tocopherol was safe as no side effects were noted (182). Vitamin E (400-1200 IU/day during 2-4 months) could reduce transaminase levels in the serum of children with NAFLD regardless of changes in body mass index or the echogenicity of the liver observed by dual energy X-ray absorptiometry or ultrasound (103). In the TONIC trial, vitamin E resulted in histologic improvement and NASH resolution along with amelioration in non-high-density lipoprotein (HDL)-cholesterol, LDL and total cholesterol levels in 56 children among the total 173 participants, suggesting an alleviation in cardiovascular risks in children with NASH (40). The PIVENS trial with 247 adults with NASH and without T2D showed that vitamin E at dose 800 IU daily during 96 weeks led to marked improvement in histologic features (43%) and significant resolution of NASH (47%) (182). Vitamin E with 1000 IU was more effective when combined with vitamin C (1000 mg/day) in improving fibrosis without changes in liver inflammatory factors over a period of 6 months (81). In contrast, a randomized, double-blind placebo-controlled clinical trial reported that vitamin E (400 IU/day) combined with metformin (800 mg/day) was more effective in achieving the main objectif consisting in lessening ALT level and IR in pediatric NAFLD patients (3). Overall, findings of human clinical trials have so far been inconsistent since most of them are retrospective studies, with insufficient sample size, and without control groups and liver biopsy documenting histological improvement. Even if current guidelines recommend vitamin E (at a dose of 800 IU/day) as the first-line pharmacotherapy for NASH (33), attention must be paid to the harmful concentrations of vitamin E reported in various studies (5). Therefore, the vitamin E therapeutic option in liver steatosis should be investigated in rigorously performed, randomized, controlled trials especially since (i) vitamin E does not act against the oxidation elicited by endogenous enzymes and non-radical oxidants such as hypochlorite (143); and (ii) the benefits of vitamin E have not been validated in patients with T2D as well as those with cirrhosis (184); and (iii) a meta-analysis revealed increased mortality with vitamin E administration (129).

## 10.2 Vitamin D

Growing evidence indicates the anti-inflammatory, immunomodulatory and antifibrotic properties of vitamin D (93), as well as its inverse association with MetS components such as body mass index, body fat content, hypertension, IR and T2D (37,77,199). These observations encouraged investigators to study the impact of vitamin D on fatty liver, especially given that i) the liver is a major organ in vitamin D production; ii) vitamin D deficiency is frequently reported in chronic liver disease (101); and iii) vitamin D levels are associated to NAFLD in adults and children (208). Earlier data in rats underlined the effectiveness of lipid transfer, metabolic proteins and vitamin D<sub>3</sub> levels as a biomarkers for non-invasive diagnosis of steatosis progress and the usefulness of phototherapy as an appropriate supplementary treatment for NASH (135). Subsequently, it was reported that the development and progression of NAFLD by HFD and high fructose corn syrup diet (following 10 weeks of exposure) was exacerbated by vitamin D deficiency due to the induction of inflammation (IL-1 $\beta$ , IL-6 mRNA in the liver; IL-1 $\beta$  and IL-6 in the serum) and elevated OxS (HO-1 mRNA in the liver) (176). The authors proposed the activation of toll-like receptors (TLR2 and TLR4) as the mechanism of action by way of CD14/LPS-binding protein with the induction of downstream inflammatory signaling molecules exaggerating steatosis and inflammation. Other investigators found that vitamin D may exhibit anti-proliferative and anti-fibrotic effects in hepatic stellate cells, thereby contributing to liver fibrosis (15). They reached this conclusion in view of actions of vitamin D on: i) inhibition of cyclin D1 expression and stellate cell proliferation; ii) inhibition of collagen I $\alpha$ 1 promoter activity, mRNA and protein expression along with an antifibrotic effect; iii) induction of matrix metalloproteinase enzymatic activity, which degraded extracellular matrix proteins; and iv) inhibition of tissue inhibitor of metalloproteinase 1 mRNA expression levels, whereas vitamin D receptor (VDR) silencing impaired the effect of vitamin D on cyclin D1 and collagen I $\alpha$ 1 expression.

In a human cross-sectional design, scientists evaluated the VDR expression in the liver of patients with NASH (15,163). VDR expression was negatively related to the significant worsening of liver histology and, once again, vitamin D/VDR system seemed to play a role in the progression of metabolic chronic liver injury in NASH. In other human studies, low serum 25(OH)D<sub>3</sub> concentrations were recorded in subjects affected by the NAFLD (59), which probably promotes endotoxemia and thus activation of the innate immune system (122). Altogether, these observations provided a basis for designing clinical trials. When 27 patients with NAFLD in a parallel, double-blind, placebo-controlled study received either oral pearl consisting of 50,000 IU vitamin D<sub>3</sub> every

14 day for 120 days, they displayed beneficial effects on serum MDA and hs-C reactive protein (CRP) levels without any changes in the serum levels of HOMA-IR index, liver enzymes and grades of hepatic lesions (192). Therefore, the authors suggested that supplementation with vitamin D might be considered as an adjunctive therapy to reduce inflammation and lipid peroxidation alongside other treatments for NAFLD patients. Currently, thorough planned trials are needed to determine whether vitamin D supplementation may constitute a new therapeutic alternative in NASH care.

### **10.3 Coenzyme Q**

CoQ is an endogenous lipid-soluble benzoquinone compound that exists in a reduced (ubiquinol, CoQ10H<sub>2</sub>) as well as in an oxidized (ubiquinone, CoQ10) form. The redox activity enables CoQ10 to behave as an antioxidant capable of preventing lipid peroxidation and peroxidative damage to membrane phospholipids (130). It acts as an essential cofactor in oxidative phosphorylation in mitochondria because it operates in the mitochondrial inner membrane to transfer electrons from complexes I (NADH coenzyme Q reductase) and II (succinate dehydrogenase) to complex III (cytochrome bc<sub>1</sub> complex), which are closely involved in OXPHOS (80). It also functions as an inductor of ATP formation in tissues with high-energy turnover (heart, liver and muscle) (23). It also serves as a cofactor and as a requisite cofactor of mitochondrial uncoupling proteins whose activation lessens free radical formation from mitochondria (17,91). As OxS is closely linked to hepatic lipid accumulation and since IR impoverishes intracellular amounts of natural cellular antioxidants (74), CoQ10-mediated antioxidant activity is able to alleviate ROS concentrations, thereby allowing NAFLD alleviation. In HFD-induced rat model of NAFLD, CoQ administration led to an increase in both apolipoprotein (apo) B synthesis, favoring the rise of the number and size of very low-density lipoprotein (VLDL) particles, which ultimately caused the secretion of larger VLDL and lessened hepatic steatosis (30). However, the changes in apo B48 concentrations elicited by dietary CoQ may also be due to diminished degradation of large VLDL that may represent atherogenic particles. Similarly, diet containing 57% of energy from fat induces the characteristic features of NAFLD in rats, including IR, hypertriglyceridemia, hepatic steatosis and liver damage, combined with altered CoQ10 metabolism (23). Besides, when 44 NAFLD patients were included in a randomized double-blind placebo controlled trial and supplemented with 100 mg/day CoQ10 capsules, they improved various anthropometric and biochemical parameters in NAFLD, including serum AST and various adipose-tissue derived cytokines (64). Similarly, a randomized, double-

blind, placebo-controlled trial showed that NAFLD participants receiving CoQ10 capsules (100 mg/day) exhibited a significant decrease in liver aminotransferases (AST, GGT, hs-CRP), inflammation (TNF- $\alpha$ ) and the grades of liver steatosis, as well as raised levels of adiponectin (65).

#### 10.4 Polyphenols

Since epidemiological investigations revealed that diets rich in vegetables and fruits could diminish the incidence of cardiometabolic disorders, their bioactive components are gaining more interest in improving health risks in view of their low toxicity and few side effects. Currently, polyphenol-rich plants and fruits are not only used to improve human health and promote quality life, but also to fight diseases, including obesity, T2D, cardiovascular disease and cancer (156). For food experts and nutritionists, discovering appropriate functional nutrients and developing a dietary therapy are important strategies in avoiding NAFLD (**Table 3**). For example, blueberries highly recommended in view of the phenolic potential health benefits of their compounds, have high anthocyanin content (112) that is beneficial in reducing the risk of MetS conditions, such as obesity, hyperglycemia, hyperinsulinemia, and hyperlipidemia (89,190). Phenolic content of wild Chinese blueberries displays good efficacy in impeding steatosis in HepG2 cells (113). In db/db mice, feeding a polyphenolic extract of lotus root for 3 weeks protects them from hepatic steatosis via the decline of lipogenic enzymes, such as fatty acid synthase and malic enzyme (213). Red wine comprises several polyphenols with likely biological actions (108), particularly resveratrol and catechins (14), but only few other components from red wine have been tested. Similarly, ellagitannin blend extracted from European oak bark utilized in red wine maturation exhibits both cardiac and liver protection and markedly improves metabolic profile in high-carbohydrate, HFD-fed Wistar rats (155). Attenuation is observed in hepatic steatosis and fibrosis with infiltration of inflammatory cells in liver, as well as in plasma activities of ALT and AST. As various investigations worldwide propose that the valuable actions of green tea are due to their capacity to regulate cell signaling and cell cycle (28), their epigallocatechin gallate is being used to treat diseases that are related to chronic inflammation. In Sprague–Dawley rats fed with HFD for 8 weeks to develop NAFLD, treatment with (-)-epigallocatechin-3-galate improves hepatic histology (given the decrease of fatty liver score as well as necrotic and inflammatory foci), reduces liver injury (e.g. ALT/AST ratio) and attenuates fibrosis (sirius red and synaptophysin-positive stain) along with downregulation in the expressions of key pathological oxidative (e.g. nitrotyrosine formation) and pro-inflammatory markers (e.g. iNOS, Cyclooxygenase-2, and TNF- $\alpha$ ) via transforming growth factors/SMAD,

PI3K/Akt/ forkhead box O1 and NF- $\kappa$ B pathways (236). In human studies, the consumption of bayberries (250 mL twice daily for 4 weeks) containing high levels of polyphenols beneficially alters the levels of oxidative (protein carbonyl groups), inflammatory (TNF- $\alpha$ , IL-8, hs-CRP), apoptotic biomarkers (tissue polypeptide-specific antigen, cytokeratin-18 fragment M3) and liver enzymes (ALT, AST) in young individuals with features of NAFLD. Other researchers turn towards resveratrol because of its protection against OxS and inflammation that are involved in NAFLD pathogenesis. The design of placebo-controlled, double blind randomized clinical trial has permitted to document improvement of conditions (ALT, liver steatosis, markers of inflammation, and hepatocellular apoptosis) in patients with NAFLD (63) or NASH (224) pathogenesis.

Interestingly, flavonoids are often employed in NAFLD models given their beneficial effects and especially their antioxidant power (173). The advantage of flavonoids may be related to their activation of peroxisome proliferator-activated receptor (PPAR) $\gamma$  without serious side effects seen with the use of full agonists (34,105,128,203). More clinical are required to explore whether the antioxidant and antiinflammatory actions displayed by polyphenols are likely to make a significant contribution to the amelioration of NAFLD.

#### **10.4.1 Berberine**

Berberine is an isoquinoline alkaloid, which is present in the bark, roots and stems, as well as plants of the genus *Berberis*. It displays various pharmacological activities and has been investigated for treatment of cancer, obesity, T2D, inflammation, atherosclerosis, Alzheimer's disease, rheumatoid arthritis and cardiovascular diseases (2,154,228). This compound is able to fight MetS components such as abnormal glucose metabolism, dyslipidemia and hypertension (100,252). Since it has been able to suppress ROS production and to reduce inflammation (56,144), it appeared reasonable to test its effectiveness in NAFLD. Using both *in vitro* and *in vivo* models, it has been shown that berberine is capable of inhibiting cholesterol and TG biogenesis by down-regulating acetyl-CoA carboxylase via the activation of AMP-activated protein kinase (AMPK), thereby resulting in lower liver fat content (26). Berberine is also capable to prevent liver fibrosis through the regulation of antioxidants (SOD) and lipid peroxidation (MDA and HNE) (249). In diabetic rats, berberine alleviates the pathological evolution of liver and reverts the raised hepatic glycogen and TG to close the control levels by modulating PPAR $\alpha/\delta$  expression in the liver (255). Similarly, berberine exhibits the capacity to improve lipid dysregulation and fatty liver in db/db and ob/ob mice via peripheral AMPK activation and neural signalling (92). Another action on experimental NAFLD

is the down-regulation of liver UCP2 (mRNA and protein expression) in NAFLD rats. It can lessen hepatocyte fat accumulation while improving lipid metabolism disorder in NAFLD rat model (241). Using berberine-containing Chinese medicine, Zucker rats display sustained glucose-lowering effects, attenuated IR, lowered fatty degeneration in association with activation of AMPK, Akt, and insulin-like growth factor-binding protein pathways, with downregulation of miR29-b and induction of a gene network implicated in cell cycle and NADPH metabolism (254). Importantly, mitochondrial dysfunctions (membrane potential, oxygen consumption and ATP production) are improved in the liver in link with an increased activity of the mitochondrial sirtuin 3 in HFD-fed rats by berberin (210). Confirmation is obtained by demethyleneberberine, a natural mitochondria-targeted antioxidant, which exerts significant inhibition of mitochondrial dysfunction, OxS, and steatosis in fatty liver disease mouse model (250).

A double blind, crossover designed trial versus placebo shows that 8 week-treatment of a combined nutraceutical containing berberine, chlorogenic acid and tocotrienols significantly improves anthropometric and biochemical parameters (39). In particular, total cholesterol, LDL-cholesterol, TGs, non-HDL cholesterol, fasting insulin, HOMA-IR and steatosis are decreased in the nutraceutical group. In a randomized, parallel controlled, open-label clinical trial, life style intervention in combination with berberine (0.5g tid) has ameliorated NAFLD and related metabolic disorders (240). Given the limited number of human investigations, additional studies are needed to prove the effectiveness of berberine in preventing and treating NAFLD.

#### **10.4.2 Resveratrol**

Emerging evidence has pointed out the advantageous physiological actions of resveratrol in promoting health and treating diseases, including NAFLD (35,106,114,247). This 3,5,4'-trihydroxy-*trans*-stilbene, derived mainly from plants, grapes and berries, is a robust antioxidant and anti-inflammatory compound (158,159). It is also capable of negatively modulating IR, glucose intolerance and dyslipidemia (16). Through anti-lipidogenic effects, resveratrol may reverse steatosis by fighting *de novo* lipogenesis in various cell lines (72,191,226). In animal models, the administration of resveratrol resulted in preventive and therapeutic effects on hepatic steatosis (**Table 3**) via mechanisms leading to OxS reduction (27,191). Despite the early promise of experimental studies with resveratrol, to date very limited effort was made to uncover the impact of this natural polyphenolic compound on humans. In a small cohort of healthy obese males, resveratrol was able to decrease plasma ALT concentration and intrahepatic lipid content (212).

However, additional thorough studies in human subjects are still needed over long time periods to determine the efficiency of resveratrol in alleviating fatty liver diseases.

#### **10.4.3 Silybin/Sylimarin**

Silymarin and silibinin are other natural polyphenolic flavonoids contained in the herb milk thistle (*Silybum marianum*). Silymarin represents the crude extract of milk thistle seeds and is a complex of biological compounds that include silibinin or silybin, which is endowed with potent antioxidant, immunomodulatory, antifibrotic, antiproliferative, and antiviral activities (70,166). Silibinin is most commonly employed for gastrointestinal clinics to treat liver disorders such as NASH and cirrhosis (115). When a four-week daily dose (20 mg/kg i.p.) of silibinin is administrated to db/db mice fed a methionine-choline deficient diet, a model combining the features of the MetS with the histological pattern of NASH (**Table 3**), there is a marked antisteatotic effect accompanied by an antioxidant action of silybin, which is confirmed by decreased levels of hepatic OxS (isoprostanes, 8-OHdG and nitrites/nitrate) as well as inflammation (TNF- $\alpha$  and IL-6 mRNA) via reduced JNK phosphorylation (179). In the same animal model, silibinin treatment counteracts the evolution of liver damage by controlling lipid homeostasis and overturning OxS-mediated lipotoxicity and NF- $\kappa$ B activation in experimental NASH (178). A clinical trial of 179 NAFLD patients reveals that 12 months-treatment with silibinin, combined with phosphatidylcholine and vitamin E, is associated with amelioration of IR, liver enzymes and liver histology compared to placebo (115). Despite the huge number of findings collected from experimental models, one needs large human trials since the current translation of the evidence in clinical setting is far to be conclusive.

#### **10.5 Polyunsaturated fatty acids**

Scientific evidence showed that saturated lipids and fructose are more prospective to stimulate hepatic fat accumulation and progression of NAFLD, whereas unsaturated lipids seem to have a more preventive effect (46,224). Especially n-3 PUFA are capable of significantly reducing plasma and liver TG accumulation, which hampers NAFLD expansion via various metabolic pathways (126). Growing evidence, essentially based on cardioprotective properties (54,71), suggests the effectiveness of n-3 PUFA to prevent of treat NAFLD. Eicosapentaenoic (EPA), docohexaenoique (DHA) and  $\alpha$ -linolenic acids are the three main long-chain n-3 PUFAs. Given their sluggish biogenesis in humans, EPA and DHA are considered essential PUFA and must therefore be obtained from diet (22), mainly from fatty fish. Their number of double bonds, the length of the

chain and the presence of the first double bond provide n-3 PUFA with unique properties, which distinguish them from other unsaturated fats (47).

The n-3 PUFA affect several steps of the pathophysiological cascade and can prevent or inhibit the progression of NAFLD (**Table 3**). The n-3 PUFA lead to i) decreased IR resulting from excessive caloric intake and lipid accumulation in adipose tissue; ii) increased circulating adiponectin levels in response to obesity, which in turn diminishes fat accumulation in the liver; iii) reduced adipose tissue-mediated exaggerated FA release, a condition contributing to dyslipidemia; iv) lowering of blood lipid levels and raising n-3/n-6 ratio in cell membrane phospholipids; v) enhancing the number of insulin receptors on hepatic cell membrane, a process improving insulin sensitivity; vi) altering the transcription of liver genes involved in lipid metabolism regulation via PPAR $\alpha$ , a major nuclear factor that controls enzymes involved in mitochondrial  $\beta$ -oxidation, promotes degradation of free FAs through stimulation of  $\beta$ -oxidation preventing hepatic TG accumulation; vii) down-regulating expression of sterol regulatory element binding protein-1 (SREBP1c), a key transcription factor involved in TG synthesis suppress its activity, thereby averting liver TG accretion; viii) impeding mitochondrial ROS production and OxS occurrence; ix) limiting ER stress, which lessens hepatic lipotoxicity; x) thwarting the synthesis of pro-inflammatory eicosanoids and cytokines in hepatocytes or downregulation of transcription of proinflammatory cytokines via their n-3 anti-inflammatory derivatives (protectins, resolvins); xi) reducing hepatic fibrosis and NAFLD progression towards NASH and cirrhosis "second hits" (22); and xii) controlling glucose homeostasis and impeding IR development, thereby slowing down NAFLD progression (131,220) by negatively regulating carbohydrate-responsive element-binding protein expression (52).

The decrease in hepatic n-3 PUFA concentrations may contribute to exacerbating hepatic lesions. This hypothesis has first been tested in NAFLD animal models in which n-3 PUFA improves histological lesions, restores adiponectin secretion, induces PPAR $\alpha$  expression, and hampers TNF- $\alpha$  and SREBP1c production (22,205,237). In this context, n-3 PUFA is able to decrease inflammation (36) via probably inactivation of the NF- $\kappa$ B pathway (36), resulting from inhibition of I $\kappa$ B- $\alpha$  phosphorylation/degradation and preventing NF- $\kappa$ B translocation to the nucleus for the induction of pro-inflammatory cytokines. Further evidence also shows that n-3 PUFA may attenuate the generation of free radicals (220) and lipid peroxidation process (66).

In obese mice fed a HFD, the n-3 PUFA supplementation improves insulin sensitivity, while reducing OxS and inflammation associated with NAFLD (220). In a recent trial, the daily supplementation of NAFLD subjects with fish oil for 6 months ameliorates lipid profiles, decreases the discharge of pro-inflammatory cytokines from the liver, while inhibiting the redox imbalance characterized by increased lipid peroxidation and free radical activity (220). In a study with young patients with NAFLD, the administration of different doses (250 mg and 500 mg/day) of DHA markedly decrease hepatic lipid content without apparent side effects, suggesting that n-3 PUFA doses were safe and effective (145,146). Moreover, the increase of EPA to a dose of 2700 mg/day significantly improves levels of liver transaminases, free FAs and cholesterol in patients with NAFLD (206). Although n-3 PUFA supplementation is a promising approach in the treatment of NAFLD patients, more randomized studies with large cohorts are necessary to validate their effectiveness and safety.

### **10.6 Pre-Probiotics**

Probiotic may restrain the role of bacterial pathogens in NAFLD in many animal models of HFD-induced NAFLD/NASH as is largely shown with the most characterized probiotic VSL#3 mixture, (62,109,119). For example, treatment with the probiotic mixture VSL#3 displays a reduction of antioxidative and anti-inflammatory effects in NAFLD ob/ob mice (109), a model of NAFLD induced by an HFD (134) and a model of hepatic natural killer T-cell depletion in HFD-fed animals (119). Administration of VSL#3 to an experimental model of NAFLD/NASH attenuates fibrosis (**Table 3**) by reducing transforming growth factor- $\beta$ , and collagen,  $\alpha$ - smooth muscle actin and matrix metalloproteinase-1 expression (221). Despite the numerous preclinical investigations carried out to evaluate the use of probiotics in the treatment of fatty liver disease, there are only a limited number of trials concerning their efficacy in human NAFLD. By testing a mixture of probiotics associated with prebiotics and vitamins in 10 patients with biopsy-proven NASH during 2 months of treatment, one can observe a substantial amelioration of hepatic injury and functional tests, as well as a partial sustained effect after the end of treatment (118). Another study carried out to evaluate the effects of VSL#3 probiotic therapy for 3 months in 22 NAFLD patients shows an amelioration of OxS and inflammation (116). Although these promising data are strongly indicative of a great potential for the use of probiotics in the prevention and treatment of NAFLD, there is a need of further clinical studies to better define this innovative strategy.

## **11. CONCLUSIONS**

The prevalence of NAFLD raised rapidly in association with obesity and IR. As a metabolic stress-involved in liver disease, NAFLD has become a worldwide health problem with increased risks of T2D, cardiovascular events and mortality. Although the mechanisms remain poorly understood, OxS appears to play a major role in its pathogenesis and complications. The high production of ROS causes lipid peroxidation, followed by inflammation, activation of hepatic stellate cells leading to fibrogenesis, necrosis, cirrhosis and carcinoma. This review summarizes the plausible evidences documenting the contribution of OxS originating from mitochondria to the progression of NAFLD and its aggravation to NASH. Various natural compounds represent potential therapeutic candidates essentially due to their anti-oxidant, anti-inflammatory and anti-fibrotic properties. In spite of the various data obtained in experimental models, further breakthrough related to OxS signalling and mechanisms are still warranted to understand the NAFLD pathogenesis. In addition, despite the available clinical studies, there is clearly a significant requirement for robust and well-controlled trials using large cohorts to translate the evidence in clinical setting and to prove intervention efficacy.

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## **AUTHOR DISCLOSURE STATEMENT**

The authors declare that they have no competing financial interests.

## LIST OF ABBREVIATIONS

4-HNE,	4-hydroxy-2-nonenal
8-OHdG,	8-hydroxy-2-deoxyguanosine
ALT,	Alanine aminotransferase
AMPK,	AMP-activated protein kinase
Apo,	Apolipoprotein
AST,	Aspartate aminotransferase
CoQ,	Coenzyme Q
CRP,	C reactive protein
DHA,	Docosahexaenoique
EPA,	Eicosapentaenoic
ER,	Endoplasmic reticulum
FA,	Fatty acid
GGT,	Gamma glutamyltransferase
GSH,	Glutathione
HDL,	High-density lipoprotein
HFD,	High fat diet
IR	Insulin resistance
LPS,	Lipopolysaccharides
MDA,	Malondialdehyde
miRNA,	MicroRNA
MnSOD,	Manganese-dependent superoxide dismutase
MPO,	Myeloperoxidase
NAFLD,	Nonalcoholic fatty liver disease
NASH,	Non-alcoholic steatohepatitis
NF-KB,	Nuclear factor kappaB
NOS,	Nitric oxide synthases
Nrf2,	Nuclear factor erythroid 2
oxLDL,	Oxidized low-density lipoprotein
OxS,	Oxidative stress
PGC-1 $\alpha$ ,	Peroxisome proliferator-activated receptor- $\gamma$ coactivator-1 $\alpha$

PPAR,	Peroxisome proliferator-activated receptor
PUFA,	Polyunsaturated FA
ROS,	Reactive oxygen species
SOD,	Superoxide dismutase
SREBP1c	Sterol regulatory element binding protein-1
T2D,	Type 2 diabetes
TLR,	Toll-like receptors
TNF- $\alpha$ ,	Tumor necrosis factor- $\alpha$
UCP,	Uncoupling protein
VDR,	Vitamin D receptor
VLDL,	Very low-density lipoprotein

**Table 1: Oxidative stress markers in NAFLD/NASH**

OxS marker	NAFLD/NASH	Animals\Humans	Localization	Clinico-pathological features	Mechanisms	Ref
↑HNE, 8-OHdG	NAFLD+NASH	Human	Liver biopsies	Necro-inflammation, fibrosis	↑ Grade of necro-inflammation	(186)
↓ CoQ9/CoQ10 ratio	HFD-induced NAFLD	Rat	Plasma	↑ ALT, AST/ALT ↑ IR	Adaptive response to OxS	(23)
↑ CoQ9				↓ Plasma protein thiols		
↑ CoQ9	HFD-induced/ HFHF-induced NASH	Rat	Liver tissue, Plasma	↑ ALT, ↑ Hepatic TG, ↑Liver weight	↑ Hepatic fibrosis	(96)
↑ oxLDL	NASH	Human	Serum	↓ Vit E ↑ IR	↑ CVD	(32)
↑ TBARS						
↑ MDA	NAFLD/NASH	Human	Plasma, liver biopsy	↑ LDL ↑Necroinflammatory activity	↑ BMI and lipid metabolism = OxS	(243)
↓ CoQ10						
↓ SOD					NAFLD	
↓ Catalase				↑ Fibrosis ↑ TG ↑ IR	↑ IR= ↓antioxidants in NAFLD	
↑ TBARS	NAFLD	Human	Ultrasound of the liver	↓ GSH/GSSG	Adaptive response to OxS in NAFLD	(139)
↑ PCC						

					with or without T2D	
↑ MDA-HSA ↓ Vit D	Pediatric NAFLD	Human	Biopsy, Plasma	↑ Protein carbonyls ↑ Hepatic 8-OHG	↑Lobular inflammation ↑NAFLD activity score ↑ NASH	(147,148)
↑ 8-OHdG	NASH	Human	Liver Biopsy, Serum	↑ GGT	Adaptive response to OxS	(86)
↑ OSI	NAFLD	Human	Plasma	↑ TOS/TAS ratio	↑ IR	(165)
↑ sNOX2-dp ↑ Urinary 8-iso-	NAFLD	Human	Plasma	↑ CK-18	↑ NAFLD Fibrosis score	(49,50)
PGF2α					↑ MetS score	
↑ CYP2E1 (Protein/activity)	MCD	Rat	Liver	↑ ALT	↑ Macrovesicular steatosis ↑Inflammatory infiltration	(232)
↑ CYP2E1	Obese NASH	Human	Liver biopsy after, Gastroplasty	↑ Drug chlorzoxazone	↑ Severity of steatosis	(58)
↑ GSH	Male Sprague- Dawley NASH	Rat	Serum, Liver tissue	↓ N-acetylcysteine	↑ Macrovesicular steatosis, ↑ Hepatocyte	(180)

<b>↑ Hydrogen peroxide</b>	Choline-deficient diet-induced NAFLD	Rat	Liver tissue, Liver mitochondria	↓ Mitochondrial complex I activity ↓ Respiratory control ratio ↓ Cardiolipin	Mitochondrial dysfunction	(162)
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CK-18, cytokeratin-18 tertiles; GGT,  $\gamma$ -glutamyltranspeptidase; GSH, glutathione; HAS, human serum albumin; IR, insulin resistance; MDA, malondialdehyde; PCC, protein carbonyl; oxLDL, oxidized LDL; OSI, oxidative stress index; sNOX-dp, NOX2-derived peptide; TAS, total antioxidant status; TBARS, thiobarbituric acid-reacting substances; TOS, total oxidant status; 8OHDG, 8-hydroxy-2-deoxyguanosine; 8-iso-PGF2 $\alpha$ , 8-iso-prostaglandin F2 $\alpha$

**Table 2: Genetic variants influencing redox status and the stress response in NAFLD/NASH**

<b>Oxidative stress</b>					
<b>Gene</b>	<b>Name</b>	<b>Cytogenetic location</b>	<b>Mutations</b>	<b>Susceptibility</b>	<b>REF</b>
<i>SOD2</i>	Superoxide dismutase 2, mitochondrial	6q25.3	C47T, rs4880	Advanced fibrosis	(4)
				Type 2 diabetes mellitus Histological severity of NASH Glutathione and catalase could impair hepatocytes function.	(151)
<i>UCP3</i>	Uncoupling protein 3	11q13.4	−55C/T	MnSOD T/T NASH progression	(137)
				Insulin resistance NASH progression.	(7)
<i>GCLC</i>	Glutamate-cysteine ligase, catalytic subunit	6p12.1	−129C/T	rs11235972 GG	Prevalence of NAFLD (238)
				NASH progression	(152)
<i>GST</i>	Glutathione-S-transferase		GSTM1 GSTP1	NAFLD progression	(83)
<i>UGT1A1</i>	Uridine-5'-diphosphoglucuronosyltransferase 1A1		UGT1A1*6	Risk factor for pediatric NAFLD.	(110)

## Hepatic iron accumulation

<b><i>HFE</i></b>	Haemochromatosis	6p22.2	C282Y	Increased steatosis grade in NAFLD	(142,218)
			C282Y	NAFLD progression and insulin deficiency	(141,217)
				NASH progression	
		H63D		Increase total cholesterol Increase low-density lipoprotein Increased steatosis grade in NAFLD	(167) (142,218)
		A736V		Hepatic iron accumulation in patients with NAFLD	(219)

**Table 3: Preclinical and clinical intervention studies targeting NAFLD**

Compound	Dose	Duration	Animals or humans	Species/number r	Hepatic outcomes	Mechanisms	Ref
<b>Vitamin E</b>	150 IU/kg	10 W	HFD induced NAFLD	Rat 10/GR	↓ Steatosis score ↓ Grading	↓ Plasma cholesterol	(215)
<b>Polyphenols</b> <b>Resveratrol</b>	500 mg/d	12 W	Randomized double-blind placebo-controlled clinical trial	Human 25/GR	↓ Liver steatosis ↓ ALT ↓ Hepatocellular apoptosis	↓ Inflammation ↓ hs-CRP ↓ Serum TNFA ↓ Serum IL-6 ↓ Cytokeratin-18 ↓ NF-KB in mononuclear cells	(63)
<b>Polyphenols</b> <b>Resveratrol</b>	30 mg/kg/d	60 d	HFD-induced NAFLD	Mice 6/GR	↓ Liver weight ↓ ALT, AST	↓ ACC ↓ SREBP1c ↓ PPAR $\gamma$ ↓ Inflammation (hepatic TNFA)	(9)

						mRNA, IL-6 mRNA, NF-KB) ↑ SIRT1	
<b>Polyphenols</b>	1-2% of diet	8 W	HFD-induced NASH	Rats 15-16/GR	↓ Hepatic steatosis ↓ ALT, AST	↓ Inflammation (mRNA and protein of TNFA, MCP-1) ↓ NF-KB ↑ GSH	(157)
<b>Green tea extract</b>							
<b>Polyphenols</b>	150 mg/kg	2-4 W	MCD-deficient diet-induced NASH	Mice 16/GR	↓ Steatosis ↓ Ballooning	↓ Inflammation (liver TLR4, HMGB1, protein of TNFA, IL-6) ↓ TBARS ↓ α-SMA and collagen deposition ↓ SMAD7 ↓ NF-KB	(125)
<b>Quercetin</b>							

<b>Polyphenols</b>	100 mg/kg/BW/d	15 d	HFRD-induced NAFLD	Mice 6/GR	↓ Steatosis ↓ Inflammatory infiltrate n	↓ SREBP1c mRNA ↓ HMG-COAR mRNA ↑ PPARα ↓ Perilipin ↓ Adipophilin ↓ TIP47 ↓ FSP27	(245)
<b>Herbal medicine</b>	45, 90, 180 mg/kg/BW	6 W	HFD-induced NAFLD	Rats 6/GR	↓ ALT ↓ Micro-and macrovesicular steatosis ↓ Ballooning ↓ Inflammatory infiltrate ↓ Hepatomegaly	↓ OxS	(183)
<b>Tamarindus Indica Linn</b>							
<b>Herbal medicine</b>	20 mg/kg <b>Osthol</b>	2 W 5 times/W	HFD-induced NAFLD	Rats 10/GR	↓ Steatosis	↓ OxS (HNE) ↓ FAS mRNA ↓ SCD1 mRNA	(136)

<b>(cnidium monnieri extract)</b>					↓ SREB1c mRNA	
					↓ PPAR $\gamma$	
					↑ Carnitine	
					octanoyltransf erase	
					e	
<b>Silibin</b>	20 mg/kg/day	4 W	Db/db MCD	Mice 8/GR	↓ Serum TG, serum ALT, IR ↓ Liver ALT ↓ Liver TBARS. iNOS, ROS ↑ L-FABP mRNA	↓ NAFLD activity score, NASH ↓ NF-KB ↓ OxS
<b>Silibin Realsil ®</b>	200 mg/kd/day	5 W	HFD induced NASH	Rats 6/GR	↓ MDA ↓ IR	↓ Macrovesicular steatosis ↓ Inflammatory cell infiltration ↓ TNF-a ↓ Hepatic PPAR $\alpha$
<b>Sylimarin</b>	140 mg/day	4 W	Randomized double-blind placebo-	Human 22/GR	↓ Lipid profile,	↓ Steatosis
						(76)

			controlled clinical trial (NAFLD)		↓ ALT, AST ↓ IR		
<b>Silibin</b>	94 mgx4/day	26-52 W	Retrospective study (NAFLD)	Human 20- 39/GR	↓ ALT, GGT ↓ IR ↓ TNF-a	↓ Liver damage ↓ Inflammation	(117)
<b>Realsil ® +</b>							
<b>Vitamin E</b>							
<b>PUFA</b>	5% EPA-diet	40 W	Hepatocyte <i>Pten</i> deficient mice	Mice 16/GR	↓ Liver weight ↓ Hepatic TG, ALT ↓SREBP-1c	↓ Plasma ROS level ↓ Inflammatory cell infiltration ↓ Steatohepatitis	(88)
<b>PUFA</b>	98% EPA-diet	20 W	MCD diet-induced NASH	Rats 10/GR	↓ Liver weight ↓ AST, ALT ↓ Hepatic TGF-β1	↓ Hepatic ROS ↓ Serum oxidative markers	
<b>PUFA</b>	1.0 g/kg/ day of 46% DHA p.o.	6 W	choline-deficient HFD-induced NASH	Rats 3/GR	↓ Liver n-6/n-3 ratio ↓ Liver weight	↑ Antioxidants (SOD)	(204)

						↓ Liver fibrosis	
						↓ NASH	
						progression	
<b>PUFA</b>	DHA (250 mg/day)	24 W	Randomized double-blind placebo-controlled clinical trial (NAFLD)	Children 20/GR	↑ Insulin sensitivity index ↓ Serum TG	↓ Liver fat content. (by ultrasonography)	(145,146)
<b>PUFA</b>	520 mg n-3 PUFA (EPA 175 mg, DPA 235 mg, DHA 110 mg)	24 W	Randomized double-blind placebo-controlled clinical trial (NAFLD)	Human 72/GR	↓ ALT, AST, GGT	↓ Hepatic steatosis ↓ NAFLD symptom score,	(256)
<b>PUFA</b>	1 g fish oil × 2/day (orally)=(EPA +DHA: >85% of PUFAs, ratio: 0.9–1.5)	24 W	Randomized single-blind-controlled clinical trial (NAFLD)	Human 18/GR	↓ ALT, AST, GGT ↓ TNF-a ↓ IR ↑ HDL	↓ Hepatic steatosis ↓ Liver fat content. (by ultrasonography)	(196)

<b>PUFA</b>	0.83 g n-3 PUFAs (0.47 g EPA + 0.24 g DHA)	52 W	Randomized, placebo-controlled, dietary intervention trial.	Human 6/GR	↓ AST, ALT and GGT ↑ Serum adiponectin, HDL	↓ Liver fat content. (by ultrasonography)	(195)
<b>Prebiotics</b>	2 capsules/day	4 W	Randomized double-blind placebo-	Human 52/GR	↓ fibrosis score ↓ ALT, AST, GGT	↓ Inflammation ↓ hs-CRP	(61)
<b>Synbiotic capsule (Protexin) with 7 strains &amp; fructooligosaccharides</b>	(containing 200 millions of strains)		controlled clinical trial (NAFLD)			↓ Serum TNFA ↓ NF-KB in mononuclear cells	
<b>Probiotics VSL#3</b>	1.5x10 <sup>9</sup> colonies/mouse /d	4 W	Ob/ob	Mice/16/GR	↓ Fatty liver ↓ Inflammation (histology) ↓ Serum ALT ↓ SCD1 ↓ UCP2	↓ JNK ↓ NF-KB	(109)

<b>Probiotics</b>	1.5x10 <sup>9</sup>	4 W	HFD induced steatosis	Mice/8/GR	↑ NKT ↓ IR ↓ Steatosis	↓ Hepatic inflammation ↓ Hepatic NF-KB	(119)
<b>VSL#3</b>	colonies/mouse /d						
<b>Probiotics</b>	5x10 <sup>7</sup>	8 W	HFRD-induced NAFLD	Mice/6/GR	↓ Steatosis ↓ Hepatocellular ballooning cells ↓ Inflammation (TNFA, IL-1B)	↓ ACC1 mRNA ↓ ChREB mRNA ↓ FAS ↑ Markers of intestine barrier ↓ Plasma LPS ↓ SREBP1c mRNA ↓ NF-KB	(172)
<b>Lactobacillus rhamnosus GG</b>	Cfu/BW/d						
<b>Probiotics</b>	10 <sup>9</sup> colony-forming units/mouse/d	6 W	MCD-induced NASH	Mice/12/GR	↓ Steatosis ↓ Inflammatory cell infiltration ↓ Hepatocellular ballooning cells ↓ Serum ALT	↓ FAS mRNA ↓ SREBP1c mRNA ↓ NF-KB ↓ Plasma LPS	(150)
<b>Lactobacillus casei strain shirota</b>							

↓ Hepatic inflammation  
of stellate cells involved  
in collagen deposition and  
fibrosis

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ACC1, acetyl-CoA carboxylase 1 ; ALT, alanine-aminotransferase; AST, aspartate aminotransferase ; BD, body weight; day; ChREBP, carbohydrate-responsive element-binding protein ; CRP, C-reactive protein ; HFD, high fat diet; FAS, fatty acid synthase ; FSP27, fat-specific protein 27; GGT, gamma-glutamyltransferase ; GR, group; HFRD, high fructose diet; HMGB1, high-mobility group box 1; IR, insulin resistance; JNK, Jun N-terminal kinase; LPS, Lipopolysaccharide ; MCD, methionine-choline-deficient; MCP-1, monocyte chemoattractant protein-1; NF-KB, nuclear factor kappaB; NKT, Natural Killer T cells; SREBP1c, sterol regulatory element binding protein 1c ; TGF- $\beta$ 1, transforming growth

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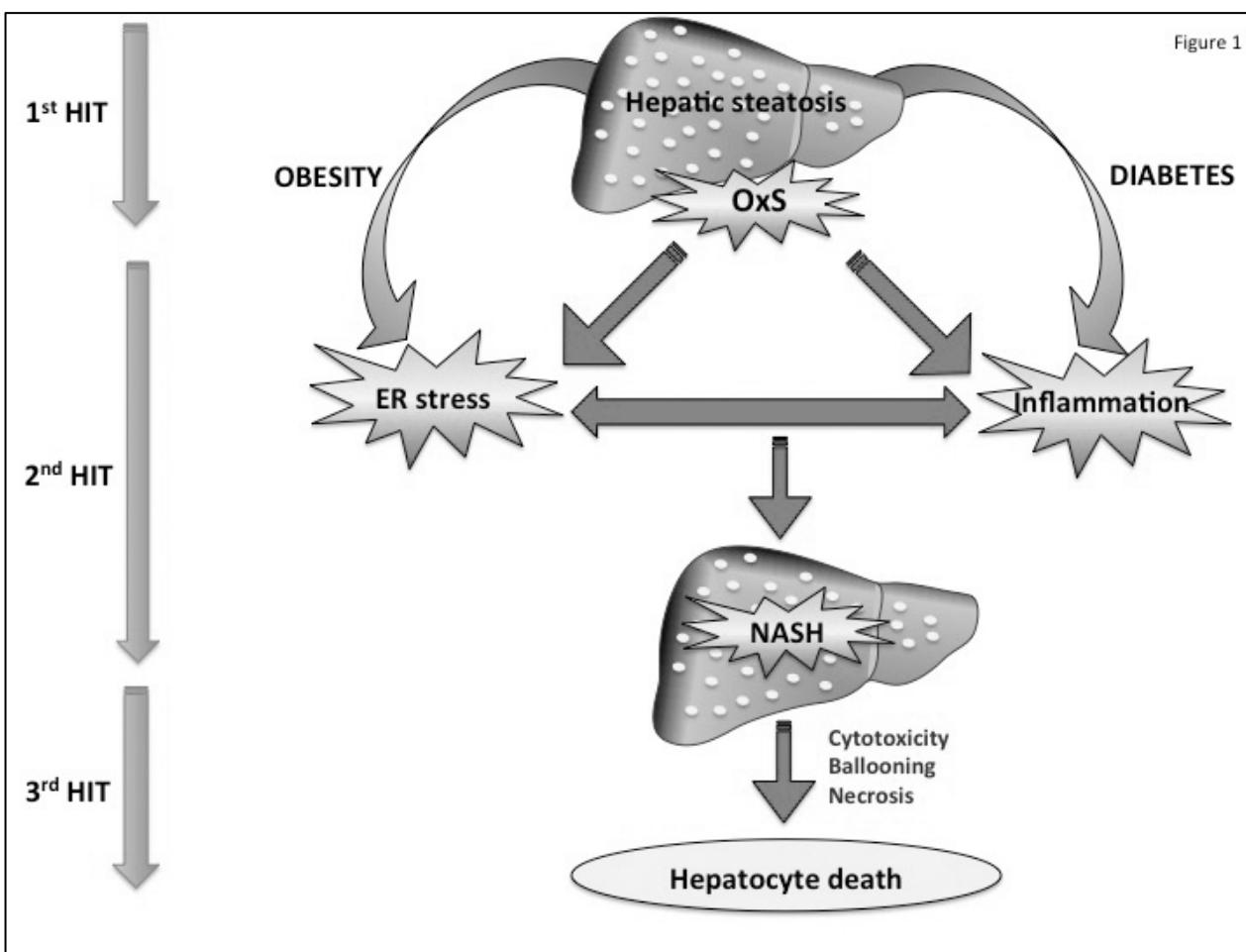
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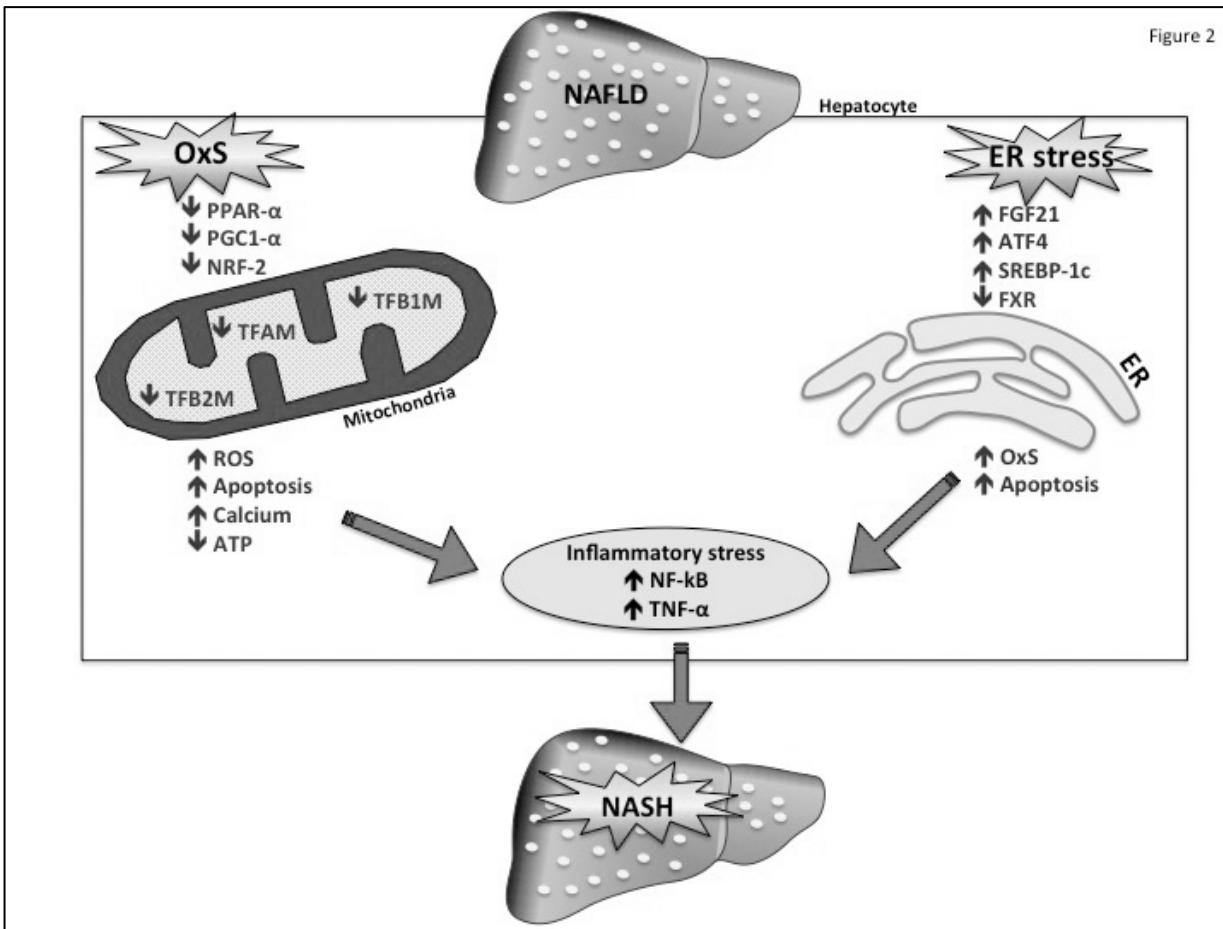
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Figure 1

**Figure 1. Progression of NAFLD to NASH.**

Abundant triglyceride accumulation in the liver in response to fatty acid mobilization from the adipose tissue causes reactive oxygen species (ROS) production (1<sup>st</sup> Hit). Hepatocytes, overwhelmed by ROS, develop oxidative stress (OxS) that induces endoplasmic reticulum (ER) stress and inflammation (2<sup>nd</sup> Hit). Such aggravation leads to NASH, which may in turn progress to hepatic fibrosis and cirrhosis due to marked cytotoxicity, ballooning, apoptosis and necrosis (3<sup>rd</sup> Hit).

Figure 2



**Figure 2. Combined action of mitochondria and endoplasmic reticulum on the progression of NAFLD to NASH.**

Several intracellular processes related to NAFLD, including mitochondrial oxidative stress (OxS) and dysfunctions as well as endoplasmic reticulum (ER) stress, trigger inflammation via activation of NF-KB. Additional transcription factors are implicated in the molecular mechanisms resulting in the development of steatohepatitis (NASH).

## **Revue 3**

### **«The Epigenetic Machinery in Vascular Dysfunction and Hypertension»**

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#### **CONTRIBUTION DES AUTEURS**

**Spahis S** a effectué la revue critique de la littérature, a compilé les données et crée les Figures et Tables qui accompagnent la revue. Elle a contribué à la rédaction, soumission et révision de la revue, sous la supervision du Directeur de recherche, Dr Levy E.

**Bigras JL, Delvin E et Borys JM** ont participé à la révision du manuscrit.

**Levy E** a contribué à la rédaction et la révision du manuscrit.

**THE EPIGENETIC MACHINERY IN VASCULAR DYSFUNCTION AND  
HYPERTENSION**

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## **Abstract**

Hypertension (HT) is among the major components of the metabolic syndrome, i.e., obesity, dyslipidemia and hyperglycemia/insulin resistance. It represents a significant health problem with foremost risks for chronic cardiovascular disease and a significant cause of morbidity and mortality worldwide. Therefore, it is not surprising that this disorder constitutes a serious public health concern. Although multiple studies have stressed the multifactorial nature of HT, the pathogenesis remains largely unknown. However, if we want to reduce the global prevalence of HT, restrain the number of deaths (currently 9.4 million/year in the world), and alleviate the socio-economic burden, a deeper insight into the mechanisms is urgently needed in order to define new meaningful therapeutic targets. Recently, the role of epigenetics in the development of various complex diseases has attracted much attention. In the present review, we provide a critical update on the available literature and ongoing research regarding the epigenetic modifications of genes involved in several pathways of elevated blood pressure, especially those linked to the vascular epithelium. This review also focuses on the role of microRNA (miRNA) in the regulation of gene expression associated with HT and of fetal programming mediating susceptibility to HT in adulthood.

## **ABBREVIATION LIST**

<i>ACE</i> ;	angiotensin-converting enzyme
<i>AGTR1</i> ;	angiotensin II receptor type 1
Ang;	angiotensin
CMV;	cytomegalovirus
CNS;	central nervous system
Dot-1;	Disruptor of telomere silencing 1
ENaC;	epithelial of sodium channel
eNOS;	endothelial nitric oxide synthase
H3K4;	histone H3 lysine 4
HT;	hypertension
miRNA;	microRNA
NET;	noradrenaline transporter
OxS;	oxidative stress
RAAS;	Renin-Angiotensin-Aldosterone System
ROS;	reactive oxygen species
SMC;	smooth muscle cells
SNP;	single nucleotide polymorphism
SOD;	superoxide dismutase

## I. INTRODUCTION

Metabolic syndrome is a clinical entity characterized by the co-presence of multiple dysmetabolic processes such as obesity, insulin-resistance, hypertension (HT) and dyslipidemia. One of its key components, arterial

HT, impacts a large proportion of the population and represents a major public health concern. It is estimated that approximately one third of adult individuals will be hypertensive in the world by 2025, with an increased risk of developing stroke, myocardial infarction, heart failure, and end-stage renal disease (1). Many mechanisms have been proposed to explain HT pathogenesis, but the dynamic interactions between genetics and environmental factors constitute the primary triggers (2). The increasing prevalence worldwide is accompanied by disability and premature death, therefore requiring the elucidation of the precise etiology in order to develop appropriate strategies for prevention and treatment. Except for rare familial forms, HT is a polygenic trait and single nucleotide polymorphisms (SNPs) of the Renin-Angiotensin-Aldosterone System (RAAS) genes [e.g. angiotensinogen, renin, angiotensin II type I receptor (*AGTR1*), angiotensin (Ang) converting enzyme and aldosterone synthase] display the ability to dysregulate RAAS and influence HT pathophysiology (3). Additional genetic variants related to lipid metabolism, sodium regulating system, and sympathetic nervous system may play a role in the development of HT by altering the function and/or the expression of the encoded proteins, thereby creating phenotypes that predispose to HT (4). Importantly, despite the weighty progress in discovering genetic biomarkers, this can only inform a small part of the phenotypic variance of blood pressure. On the other hand, due to the role of development and environmental stressors that influence blood pressure, there is a need to examine other mechanisms. Therefore, this review will critically discuss the associative relationship between endothelial dysfunction, HT, and epigenetic modifications.

## II. EPIGENETICS

Multiple pathways are regulated by epigenetic phenomena like DNA methylation, histone modification, chromatin remodelling, and microRNA (miRNA) mediated targeting of various genes. Epigenetics delivers a valuable stratum of information on top of the DNA sequence and is critical for establishing gene expression profiles. Indeed, hypo- and hyper-methylation of bases in DNA, as well as loss of imprinting, dictate the outcome of cell fate without modifications of the DNA sequences. Methylation is site-specific and is performed by DNA

methyltransferases that transfer a methyl group from S-adenosyl methionine to cytosine bases of CpG dinucleotides (concentrating in short CpG-rich regions known commonly as “CpG islands”) at gene promoters and regulatory regions (**FIG. 1**). Although transcriptional silencing results from DNA methylation, it remains uncertain whether this reflects repression or a lack of activation (5), but what is sure is the induction of pathological processes by atypical patterns of DNA methylation.

Histone modification is another powerful epigenetic mechanism that controls gene expression via transcription, replication, and repair (6). As histones are complexed to DNA, which leads to its compaction and assembly into the basic unit of chromatin the nucleosome, modifications (acetylation and methylation) in different histone proteins (H2A, H2B, H3, and H4) cause the DNA to be more easily freed from the nucleosome and accessed by proteins involved in transcription, repair, and other genetic functions (**FIG. 2**). For example, hyperacetylated histones lead to uncompressed chromatin with increased DNA accessibility to the transcription machinery resulting in gene activation, whereas histone deacetylation leads to condensed chromatin and gene inactivation.

miRNAs are a class of small, noncoding RNA transcripts (~22 nucleotides). Mature miRNAs are generally located in intergenic regions or in antisense orientation to protein-coding genes and negatively control gene expression through either repression of translation or by increasing mRNA degradation (7). They elicit their functions by binding primarily to the 3' untranslated region of target mRNAs through complementary base pairing mechanisms and subsequently preventing the translation of the bound mRNA transcript. Individual miRNA families can target many different mRNAs and, in this way, fine-tune gene expression post-transcriptionally to modulate crucial cellular and molecular activity in response to external and internal stressors. Expressed in all cell types, miRNAs are essential master regulators of many processes (8).

### **III. EPIGENETICS AND ETIOLOGICAL FACTORS OF HYPERTENSION: VASCULAR ENDOTHELIUM**

#### *a) Smooth muscle cell plasticity and epigenetics*

The main function of smooth muscle cells (SMC), the major cell type in blood vessels, is to finely modulate blood flow and blood pressure through vessel wall contraction and relaxation. With their extraordinary plasticity, SMC can promptly switch between two phenotypes, i.e. a differentiated and quiescent “contractile” condition and a vastly proliferative and migratory

“synthetic” status. This efficient remodelling is deployed to cope with various cues such as growth factors and signals (9). Dysfunction of SMC results in atherosclerosis, HT, aneurysm, asthma and cancer (10). Many groups have addressed the issue relating to SMC regulation to better target specific molecules/pathways facilitating the beneficial prevention or efficient treatment of these disorders. Their efforts have been rewarded by the discovery of epigenome modification as the critical regulator of SMC (11). The pioneering work of Manabe et al. points out that the critical serum response factor for SMC-specific transcription is unable to connect to the target sites of endogenous marker genes in chromatin in undifferentiated SMC cells, but it has this potential in differentiated cells following its association with hyperacetylation of histones H3 and H4 (12). Clearly, these studies reveal the involvement of chromatin-remodelling along with selective recruitment of serum response factor to CArG (CC[A/T]TATA[A/T]GG) box elements in the induction of cell-selective marker genes during SMC differentiation. Subsequently, miRNAs have been recognized as important modulators of SMC phenotype via the modulation of the smooth muscle- and cardiomyocyte-specific transcriptional co-activator myocardin (13). DNA methylation is the third mechanism that controls SMC phenotype and the development of vascular disease. In view of the relationship between vascular wall remodelling and human essential HT or genetic HT of the rat (14), epigenetic alterations may not only affect SMC gene expression and phenotype, but also blood pressure. Therefore, regulatory epigenetic mechanisms warrant careful examination in the future.

**b) Endothelial dysregulation and blood flow**

Endothelial cells of the tunica intima, the innermost layer of blood vessels, are in close contact with blood flow. They experience mechanical stresses able to impact their morphology and function. For example, the surface of endothelial cells is continuously exposed to various hemodynamic forces related to blood flow, which include shear stress (friction of the blood flow with the endothelial layer), tensile stress (due to pulsatile nature of blood pumped from the heart), and normal stress (the pressure differential across the vessel wall). Therefore, it is not surprising that arteries may alter shape under blood fluid dynamics, which in turn can alter blood flow. Growing evidence suggests that endothelial responses to blood flow are modulated through epigenetic mechanisms such as histone modification, chromatin remodelling, and miRNA. In particular, miRNA mediates the adaptation of endothelial cells

subjected to shear stress, thereby transforming the mechanical stimuli into intracellular signals. The importance of this concept may be illustrated through miR-19a that, in response to shear stress, induces an anti-proliferative effect through inhibition of cyclin D1, thereby preventing excessive cellular proliferation, loose intercellular junctions, and raised permeability to lipoproteins (15). Similarly, in response to shear stress, miR-143/145 increases and contributes to the lowering of Ang-converting enzyme that generates Ang II (16).

DNA methylation represents another route by which disturbed local arterial flow modifies gene expression in the arterial endothelium (17). For instance, hyper- and hypo-methylation can result in suppression and stimulation of transcription in human arterial endothelial cells in response to disturbed flow (17). Thus, it is essential to understand epigenetic regulation of vascular endothelium given the reciprocal influences among arterial biomechanics, blood flow and HT.

### **c) Obesity**

Although many epidemiological investigations have stressed the relationship between weight gain and HT, the precise pathogenic mechanisms remain complex and inadequately defined. At the moment, this association is mainly attributable to multifactorial mechanisms, including genetics, insulin resistance, inflammation, oxidative stress (OxS), and environmental stressors (**Fig. 3**). Growing evidence suggests that epigenetic modifications also play a role in this complex relationship. For example, *ADRB3* DNA hypermethylation is detected in human visceral adipose tissue in conjunction with obesity and HT (18). In fact, these epigenetic alterations might be involved in the development of obesity and its related metabolic complications. Similarly, epigenetic events in the adipose tissue have the potential to influence the rise of blood pressure. Cortisol-induced recruitment of corticosteroid receptors can trigger DNA demethylation at two putative corticosteroid response elements and activate the expression of the angiotensinogen gene in visceral adipose tissue via interactions between corticosteroid receptors and CCAAT/enhancer binding protein, which contribute to the development of HT (19). Obesity-associated adipose tissue expansion is accompanied by a proinflammatory state and an increase in adipokines such as leptin and tumor necrosis factor- $\alpha$ , and these signaling molecules are regulated by promoter DNA methylation (20). Further studies are warranted to define the mechanisms by which obesity influences HT.

### **d) Oxidative stress**

Elevated levels of OxS have been reported in hypertensive rats and patients. In these conditions, reactive oxygen species (ROS)-mediated OxS contribute significantly to organ damage in patients with HT (21). The OxS in HT vascular remodelling has been associated with endothelial dysfunction, inflammation, cell migration, apoptosis, and angiogenesis (**Fig. 3**). Similarly, the activity of the epithelial Na<sup>(+)</sup> channel (ENaC), which plays a critical role in the regulation of Na<sup>(+)</sup> reabsorption and contributes to HT, is enhanced by ROS (22).

There is a strong link between OxS and epigenetic changes via chemical DNA modifications. In fact, ROS directly modify cytosine with oxidative conversion of 5-methylcytosine to 5-hydroxymethylcytosine, which blocks the binding of Dnmt1 to DNA (23). Furthermore, peroxides cause nucleobase modification and induce improper Dnmt1 methylation within CpG sequences, prompting gene silencing. Therefore, OxS may be strongly implicated in HT pathogenesis via changes in methylation pattern. A good example is superoxide dismutase (SOD) deficiency in pulmonary arterial HT, which is caused by hypermethylation and silencing of the gene with resultant increase in H<sub>2</sub>O<sub>2</sub> production. Treatment with 5-azacytidine, a methyltransferase inhibitor, reverses the hypermethylation and raises SOD expression. Such an increase in SOD in the animal model reduces pulmonary arterial pressure and thickness of the arterial media (24). Histone deacetylation (specifically via class I HDAC3) is another epigenetic mechanism that lowers extracellular SOD3 expression, whereas HDAC inhibitors protect against human idiopathic pulmonary arterial HT in part by increasing SOD3 expression (25).

#### e) *Central nervous system control of hypertension*

Ample evidence supports the role of the central nervous system (CNS) in the pathogenesis and maintenance of HT (**Fig. 3**). In particular, the hypothalamus plays a crucial role in co-ordinating and integrating the activity of neural networks that control central blood pressure (26). The mechanisms include: (i) the baroreceptors that sense acute variations in blood vessel pressure and lower or raise CNS activity; (ii) the renin–Ang system; (iii) adrenergic receptors (or adrenoceptors) that bind catecholamines to increase heart rate, myocardial contractility, and to regulate vascular tone; (iv) factors produced by endothelial cells, which lead to vasodilation (e.g. nitric oxide) or vasoconstriction (e.g. endothelin); (v) natriuretic peptides that are secreted in response to increased pressure; and (vi) the kinin–kallikrein system, which influences vascular tone and renal salt handling (26). Epigenetic regulation of these systems may influence the development or sustainment of HT. For example, the noradrenaline transporter (NET) gene,

rich in CpG dinucleotides and potentially rendering it susceptible to methylation and silencing (27), displays increased methylation in its promoter region in patients with HT (27). Similarly, the inhibitory transcription factor, MeCP2, which causes gene silencing primarily by binding to heavily methylated promoter region DNA, is clearly enriched on the promoter region of the NET gene in essential HT (28). Additionally, miR-155 targets mRNA belonging to the renin-Ang system by binding to AGTR1 mRNA, which may impact blood pressure. Renin mRNA is overexpressed in response to miR-181a and miR-663 in kidneys of patients with HT (29). Thus, epigenetic modifications may represent the mechanisms of neurogenic HT.

#### **f) Renal disease-related hypertension**

Renal disease represents both a cause and consequence of HT, forming a vicious circle whereby HT causes kidney damage, which then exacerbates the high blood pressure (**Fig. 3**). Some animal models of high blood pressure (spontaneously hypertensive and salt-loaded stroke-prone rats) mimic humans in terms of pathological progression of hypertensive renal injury (30). These models have been very instrumental for the identification of multiple genes implicated in HT and kidney damage. For example, increase in the levels of renin, a key component of the renin-Ang aldosterone system, results in raised plasma concentrations of Ang II and aldosterone, provoking systemic vasoconstriction and Na(+) resorption in the kidney to favor blood pressure elevation (31). Disruptor of telomere silencing 1 (Dot-1) is a member of the histone methyltransferase family, which methylates Lys-79 in histones and suppresses gene transcription. In addition, Dot1 $\alpha$ -AF9 complex facilitates histone hypermethylation. Under basal circumstances, epithelial Na (+) channel (ENAC) gene transcription is constrained. It can be induced by aldosterone and serum glucocorticoid regulated kinase 1 (SGK1). Aldosterone diminishes Dot1 $\alpha$  and AF9 expression and leads to histone hypomethylation and increased ENAC gene transcription. SGK1 phosphorylates AF9 at Ser435 and disrupts Dot1 $\alpha$ -AF9 complex, thus increasing ENac synthesis (32).  $\beta_2$ -adrenergic stimulation in response to enhanced renal sympathetic activity in salt-induced HT suppresses HDAC8 activity (via cAMP/PKA signaling), which favors the accessibility of glucocorticoid receptors to the negative glucocorticoid receptor response element in the *WNK4* (the  $\beta_2$ -adrenergic stimulant-glucocorticoid receptor-with-no-lysine kinase) promoter, thereby resulting in the suppression of *WNK4* transcription, activation of NaCL cotransporters in the nephron and elevated Na(+) retention and blood pressure upon salt loading (33). Moreover, through interaction with miRNA,

the expression of the human antigen R, which links and modulates the expression of soluble guanylate cyclase transcripts  $\alpha_1$  and  $\beta_1$ , is reduced in older hypertensive rats, thereby promoting the decline of soluble guanylate cyclase levels and worsening HT. Overall, these findings show that epigenetic markers may offer valuable new insight into the relationship between renal pathophysiology and HT.

#### ***g) Inflammation***

Accumulating evidence suggests that inflammation contributes to HT (**Fig. 3**). Consistent with this point, phosphoinositide 3-kinase  $\gamma$  is implicated in HT (34) by modulating vascular function and particularly the recruitment of immune cells to inflammatory sites, including kidney and bone marrow (35). In the last decade, hypothalamic inflammation was emphasized as a potential driver of pathogenic HT. In addition, obesity-associated HT is associated with the activation of pro-inflammatory signalling pathways. The augmented expression of Ang II can lead to endothelial damage, while promoting inflammation, mesangial cell proliferation, and vasoconstriction (36). Recently, downregulation of miR-129 and DNMT3a in mice, as well as IL-17A upregulation mediated by changes in methylation in kidneys has been related to inflammation and HT (37). Furthermore, the adherence to Mediterranean diet by subjects with cardiovascular risk factors, including HT, has been reported to be associated with the methylation of genes related to inflammation (*EEF2, COL18A1, IL4II, LEPR, PLAGL1, IFRD1, MAPKAPK2, PPARGC1B*) (38). Therefore, the compliance to Mediterranean may uphold a healthful impact via anti-inflammatory effects mediated by epigenetic modifications.

#### ***h) Angiogenesis***

Angiogenesis represents the formation of new blood vessels from existing vasculature and involves endothelial cell proliferation, migration, tube formation, and extracellular matrix degradation (39). Angiogenesis is both an essential adaptive response to physiological stress and an endogenous repair mechanism after ischemic injury. This is a highly regulated process, mainly through synchronised expression of chemokines and growth factors together with their receptors (40). In fact, the neovessel growth process is critical for vascular homeostasis after injury, and its impairment results in the development of various disorders such as HT (**Fig. 3**). In this context, hypertensive patients and animals displayed loss of pre-capillary arterioles and capillaries, known as microvascular/capillary rarefaction, and are characterized by imbalance of pro- and anti-angiogenic factors (41).

The importance of epigenetic control has been highlighted with the induction of Let-7 and miR-103/107 in endothelial cells and angiogenesis stimulation (**Table 1**). In link with HT, blood flow patterns play a significant role in sprouting angiogenesis. MiR-126 facilitates integration of a physiological stimulus with growth factor signaling in endothelial cells to guide angiogenesis (42). In addition, miR-18a and miR-19 reduce the secreted angiogenesis inhibitors connective tissue growth factor and thrombospondin-1, thereby influencing angiogenesis, which is critically dependent on the balance of pro- versus anti-angiogenic cytokines. Similarly, the combination of miR-17 and let-7b is able to rescue the limited angiogenesis in the corpus luteum of Dicer deficient mice (43). Conversely, overexpression of miR-92a impairs *in vitro* angiogenesis in zebra fish (44). In summary, epigenetics play a role in angiogenesis homeostasis, which may directly impact blood pressure.

#### **IV. METHYLATION PATTERN AND HYPERTENSION**

The overall outcome of the genome-wide association and replication study carried out by Kato et al is largely supportive of blood pressure regulation by methylation modification (45). In fact, the strong correlation between the genetic polymorphisms and blood pressure in 320,251 individuals identifies DNA methylation as the modulatory process linking sequence variation and blood pressure. Interestingly, the 12 newly identified SNP loci include those involved with vascular smooth muscle (*IGFBP3*, *KCNK3*, *PDE3A*, *PRDM6*) and renal function (*ARHGAP24*, *OSR1*, *SLC22A7*, *TBX2*). Previous observations had already emphasized the relationship between DNA methylation extent and essential HT characteristics, e.g. onset, timing, and severity. There are also alterations in the methylation of gene sequences influencing arterial pressure such as hydroxysteroid dehydrogenase-11 $\beta$ 2, the enzyme converting cortisol to cortisone, that has a large role in Na(+) reabsorption by the kidneys and consequently plays a significant role in fluid-related arterial pressure (46). Subsequent to the hypermethylation of the hydroxysteroid dehydrogenase-11 $\beta$ 2 promoter, the conversion of cortisol to cortisone is inhibited, thereby favouring essential HT (47).

Methylation changes in genes of the renin-Ang system, which highly influence the profile of blood pressure, Na(+) retention, and vasoconstriction, can trigger the development of HT (48). Importantly, the renin-Ang system displays CpG islands in the promoter region of some enzyme components such as ATPase, H<sup>+</sup> transporting, lysosomal accessory protein 2 (*ATP6AP2*) encoding (P)RR), angiotensin-converting enzyme (*ACE*) and *ATIR*. In spontaneously

hypertensive rats, hypomethylation of AT1aR and Na-K-Cl cotransporter 1 promoters leads to raised expression of AT1aR expression with augmented blood pressure (49). The administration of ACE inhibitors concomitantly lowers global DNA methylation and blood pressure (50). Similarly, exposure of mice to intermittent hypoxia causes hypomethylation of CpG islands associated with the transcription start site of the *ACE1* and *AGT* genes in mesenteric artery cells, which may explain higher systolic blood pressure, impaired baroreflex responses, and decreased heart rate (51). The *in vitro* and animal studies are also valid in humans given the reduced 5-methylcytosine levels in peripheral blood mononuclear cells from patients affected by essential HT (52). The hypoxia process, in particular, suggests that major epigenetic modifications in gene pathways are involved in endothelial cell biology and function, which may lead to long-lasting changes in renin–angiotensin system modulation and endothelial function, and ultimately promoting hypertension. All together, these observations indicate that epigenetics is involved in blood pressure regulation. However, additional efforts are clearly necessary to increase causal and direct evidence, especially in humans, whereby methylation modification induces HT. It is basically the transgenerational inheritance that has mostly benefited from various groups' insight to highlight the role of DNA methylation patterns in the development of HT.

## **V. HISTONE MODIFICATIONS AND HYPERTENSION**

Only limited data are available concerning the contribution of post-translational histone modifications to chromatin modifications and to arterial HT. Studies have demonstrated so far that the activation of histone deacetylase 8 lowers the binding of the acetylated histones 3 and 4 to the promoter of the beta-adrenergic receptor–GR-WNK4 pathway, which decreases the transcriptional activity of *WNK4* and salt-sensitive HT (53). Furthermore, feeding mice with a high-salt diet leads to deficiency of lysine-specific demethylase-1, which induces demethylation of histone H3 lysine 4 (H3K4) or H3K9, alters gene transcription of endothelial nitric oxide synthase (eNOS), guanylate cyclase, and raises arterial HT (54).

## **VI. miRNA AND HYPERTENSION**

As mentioned before, miRNA deregulation has the ability to lead to impaired cellular function and disease development. miRNAs may be important in the pathogenesis of endothelial dysfunction. In this context, miR-126 seems indispensable for the integrity of vascular endothelium, which generally contributes to HT in conditions of homeostasis perturbation (55).

The basic importance of miR-126 has been confirmed with the observed demonstration that suppression of miR-126 results in leaky vessels and haemorrhage (55). On another side, miR-217 causes endothelial senescence by down-regulating silent information regulator 1 and subsequently to its targets Forkhead Box Protein O1 and endothelial eNOS (56). Furthermore, miR-21 induces cellular dysfunction in coronary artery disease (57). As kidney function is closely associated with blood pressure, particularly with regard to the control of sodium homeostasis, blood volume, and arteriolar resistance via the renin-Ang aldosterone system, several investigators attempted to determine miRNA profile in renal diseases. Indeed, the deletion of Dicer, the enzyme processing pre-miRNAs into mature miRNAs, results in total suppression of miRNAs combined with mouse embryonic lethality. The Dicer ablation in the renin-secreting juxtaglomerular cells causes a significant decline in plasma renin and blood pressure (58). In other words, the development of kidney abnormalities provokes changes in miRNA targets in link with HT pathogenesis.

Different miRNAs seem to contribute to the pathogenesis of HT in view of their capacity to regulate the expression of key molecules related to vascular tone. To mention just a few examples: (i) miR-125a-5p and miR-125b-5p down-regulate endothelin-1 expression in endothelial cells (59) in line with their lessened level in hypertensive rats; (ii) miR-155 alters the expression of endothelial eNOS and *AGTR1*, the two main players in vascular homeostasis (60); and (iii) miR-Let-7g displays the capacity to maintain endothelial function and suppress inflammation (61).

Intriguingly, no alterations were reported in the expression of 118 miRNAs in the Dahl salt-sensitive animal model of HT, yet other animal studies have succeeded in delivering functional insights on the role of miRNAs (62). Supression of miR-143/145 leads to a lessening of blood pressure in mice (62). On the contrary, reduced levels of miR-181 have been associated with high renin mRNA and HT in the Schlager BHP/2J mouse in the active circadian period (63), which is in line with the down-regulation of miR-181 in in kidneys of hypertensive patients (64). Further investigation on Dahl salt-sensitive hypertensive animals shows that five miRNAs are differentially expressed when compared to consomic SS-13BN controls (65). In particular, miR-29b is down-regulated in the hypertensive animals.

In humans, a direct association has been reported between various miRNAs and HT as shown in **Table 1**. Additionally, using Omics tools, a group of investigators could evaluate the

expression of miRNAs on a large scale. Relying on a microarray analysis, miR-210, miR-425 and miR-505 are upregulated in a Chinese population, which is consistent with its inhibitory effects on epithelial cell migration and tube formation through the modulation of fibroblast growth factor 18 (66). Genome-wide assay, carried out to document the differential miRNA expression in hypertensive patients and healthy controls, points out the up-regulation of 9 miRNAs and down-regulation of 18 miRNAs in subjects with HT (67). Interestingly, in keeping with the high titres of cytomegalovirus (CMV) in hypertensive patients, this investigation has detected hcmv-miR-UL112, a human CMV-encoded miRNA, which is able to interact with interferon regulatory factor-1 involved in infectious and inflammatory responses. The question that begs asking is whether CMV could contribute to HT by modulating miRNA pathways. Another interesting issue is the high levels of miR-122 and miR-637 in the plasma of subjects with white coat HT (higher blood pressure in a medical setting than at home), whereas hypertensive subjects exhibit up-regulation of miR-21, miR-122, miR-637, and MiR-let-7e compared to healthy controls (68). The most noticeable point is the antagonism between the low levels of miR-296-5p in hypertensive patients and the high levels in white-coat hypertensives, which allows the distinction between white-coat and non-white-coat hypertensive individuals. Comparative studies between healthy subjects and patients with various disorders (metabolic syndrome, type 2 diabetes, hypercholesterolemia or HT) disclose the down-regulation of plasma miR-150, miR-192 and miR-27a in subjects with hypercholesterolemia or HT, and up-regulation of miR-130a, miR-195 and miR-92a in subjects with HT and metabolic syndrome (69). The raised concentrations of plasma miR-130a, miR-195 and miR-92a are positively correlated with blood pressure values (69). In this context, it is relevant to mention that the dysregulation of miR-27a in subjects with HT is in line with the low levels of miR-27a in aortas of spontaneously hypertensive rat (70).

## VII. FETAL PROGRAMMING AND HYPERTENSION

The developmental origin of HT has been related to maternal and perinatal conditions, as is the case for various cardiovascular disorders (71). In fact, the pioneering observations were proposed by Barker and colleagues who put forward the idea that persons born with a low birth weight are likely to exhibit raised cardiometabolic and cardiovascular mortality in adulthood (72). The central hypothesis is that changes occurring during critical time windows can become permanent, thereby allowing a variety of phenotypes to be generated. This developmental

plasticity has provided an organism with the ability to modify structure and functions because of environmental cues, which may explain how adult-onset hypertension results from nutritional insults in early life. Renal programming, characterized by a reduced number of nephrons due to undernutrition, has been associated with essential HT (73). Furthermore, the relationship between maternal undernutrition, placental insufficiency, reduced nephron number, and HT is confirmed in experimental animal models with an emphasis on nitric oxide-ROS imbalance (74). Apparently, epigenomic modifications play a significant role in the development of HT. For example, glucocorticoid-driven effects on blood pressure were mediated by an aberrant increase in methylation of glucocorticoid receptor promoter region (75). Moreover, the consumption of an imbalanced diet (high protein and very low carbohydrate foods) led to elevated methylation of 11 $\beta$ -HSD2 promoter that promoted blood pressure (76). Further studies evidenced the various epigenetic changes, including decreased CpG methylation of the p53 promoter in the kidney following uteroplacental insufficiency, which was associated to a reduced glomerular number (77).

### **VIII. THERAPEUTIC POTENTIAL OF miRNAs TO TREAT HYPERTENSION**

miRNAs target various genes, control signaling pathways, and regulate numerous biologic processes, and their deregulation affects cellular functions that promote multiple disorders. Thus, it is reasonable to put forward that miRNAs may represent efficient tools in a therapeutic arsenal. miRNA-related therapeutic strategies have been designed to produce chemically modified oligonucleotides known as antagonists, or anti-miRs, in order to silence specific endogenous miRNA. Quite recently, miR-155 restoration in mice lacking mineralocorticoid receptors in SMC rescues the aging phenotype, resulting in improved Ang II-mediated vasoconstriction, vascular Oxs and blood pressure (78). As low levels of miR-21 are strongly associated with an improvement in arterial stiffness in patients with well-controlled essential HT (79), miR-21 may become a potential diagnostic/prognostic marker and a future therapeutic target not only in hypertensive subjects, but also in heart failure since its overexpression in animal models induces fibrosis and cardiac dysfunction. However, these data should be confirmed by further work, since, paradoxically, the administration of exogenous miR-21 by recombinant adeno-associated virus is sufficient to lower blood pressure in the spontaneously hypertensive rat model (80). In rats with other forms of HT, including pulmonary artery HT, epigenetic treatment has been applied. When miR-204 is supplied by nebulization, there is a

lowering of the pressure in the pulmonary artery along with a decline in thickness of the medium and small pulmonary arteries (81). Similarly, the administration of miR-140-5p mimic prevents the development of experimental pulmonary artery HT in rat models (82). Noteworthy, not all miRNA treatments with antagonists or specific miRNA provide beneficial effects, as miR-223 is not able to attenuate pulmonary artery HT after administration in the monocrotaline rat model (83). So far, only a limited number of miRNAs have undergone clinical trials, as many miRNAs have pleiotropic effects. Therefore, inhibition or overexpression of these small non-coding RNA molecules could have off-target effects. For now, only the miRNA's with organ or tissue specificity have been employed in clinical trials. Moreover, issues related to delivery, pharmacokinetics, and targeting the specific organ and gene specificity still need to be addressed.

## **IX. CONCLUSIONS**

In this review, we could note that changes in the epigenetic regulation of genes contribute to the induction the metabolic syndrome components as exemplified by artery hypertension. We have particularly attempted to break down the pathophysiology of arterial hypertension into a number of its etiological factors, each in conjunction with specific epigenetic modifications. Additional studies are evidently needed to look more closely at this subject in order to clarify the regulatory mechanisms involving DNA methylation, histone modification, chromatin remodelling and miRNA mediated targeting. Furthermore, it is mandatory to address arterial hypertension as a whole clinical entity with the combination of all the epigenetic mechanisms. Only then can we gain a better overview of the interactions among the etiological factors of hypertension, which dictate the initiation and evolution of the disease and allow the possibility to develop a unified theory for this multifactorial disease. In meeting this challenge, we will open new avenues for successful, targeted anti-hypertensive drug therapy.

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**Table 1. Regulation of miRNAs in humans during hypertension**

<b>miRNAs downregulated in hypertension</b>	
Systemic circulation	miR-9 (84), miR-18b (67), miR-26b (85), miR-133 (86), miR-133a (85), miR-143/145 (86), miR-150 (87), miR-296-5p (67)
Kidney	miR-181 (29), miR-663 (29)
Vascular smooth muscle cell	miR-204 (81)
In vitro (HUVEC, artery	miR-221/222 (88)
Endothelial cells	miR-132 (89), miR-212 (89)
Heart	miR-31 (90), miR-126 (91), miR-146 (92) miR-155-5p (93)
<b>miRNAs upregulated in hypertension</b>	
Systemic circulation	Hcmv-miR-UL122 (67), let-7e (67), miR-1(86), miR-17 (69), miR-21 (86), miR-23a (69), miR-23b (94), miR-27a (69), miR-65 (69), miR-92a (69), miR-103 (69), miR-122 (68), miR-126 (95), miR-130a (94), miR-155 (95), miR-181a (96), miR-183 (69), miR-191 (94), miR-192 (69), miR-195 (69), miR-197 (69), miR-208b (85), miR-210 (95), miR-221/222 (97), miR-320a (69), miR-425 (66), miR-499 (85), miR-505 (66), miR-509-5p (69), miR-516b (67), miR-584 (69), miR-600 (67), miR-605 (67), miR-623 (67), miR-637 (68)
In vitro (HUVEC,	miR-24 (98), miR-24 (99), miR-27 (98), miR-155 (100), miR-200 (101)
Endothelial cells	miR-1 (102), miR-29b (89), miR-129-3p (89), miR-143/145 (16), miR-212 (89), miR-217 (103), miR-221/222 (104)
Artery	miR-145 (105)
Heart	miR-182 (106)

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- \*\* **of outstanding interest**

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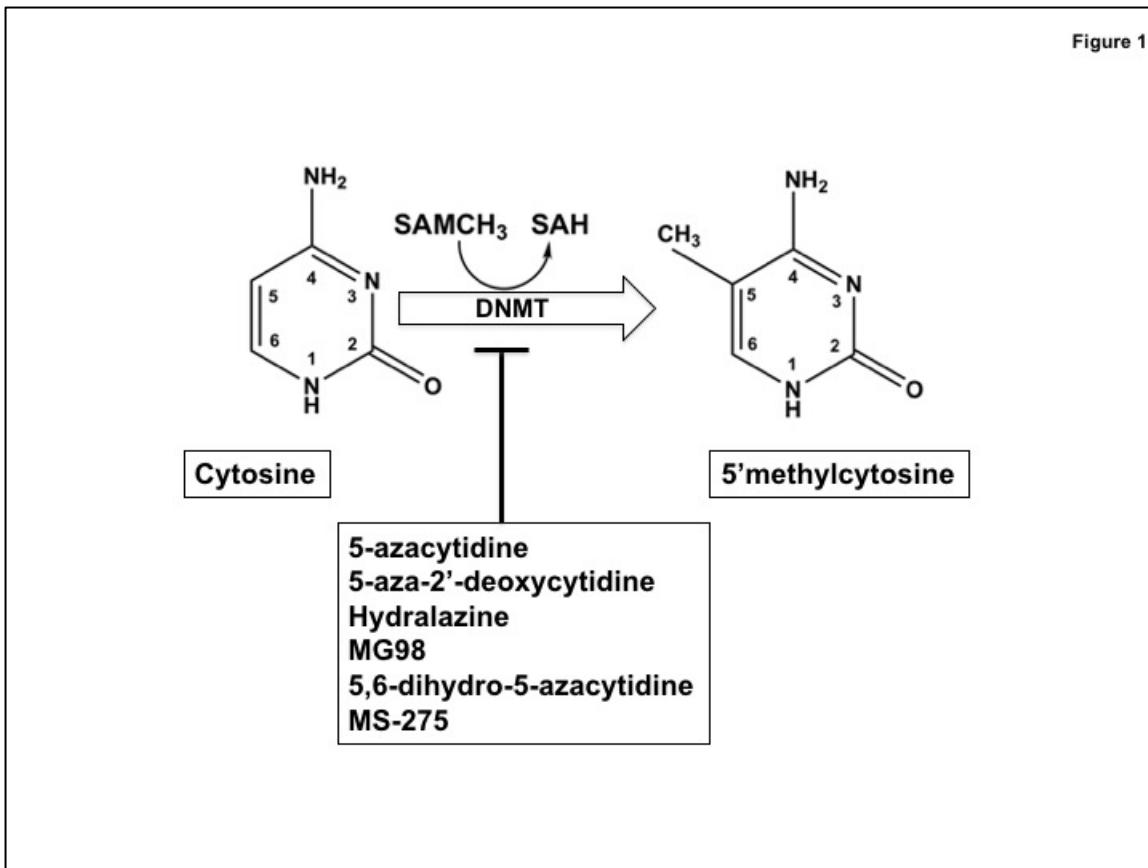
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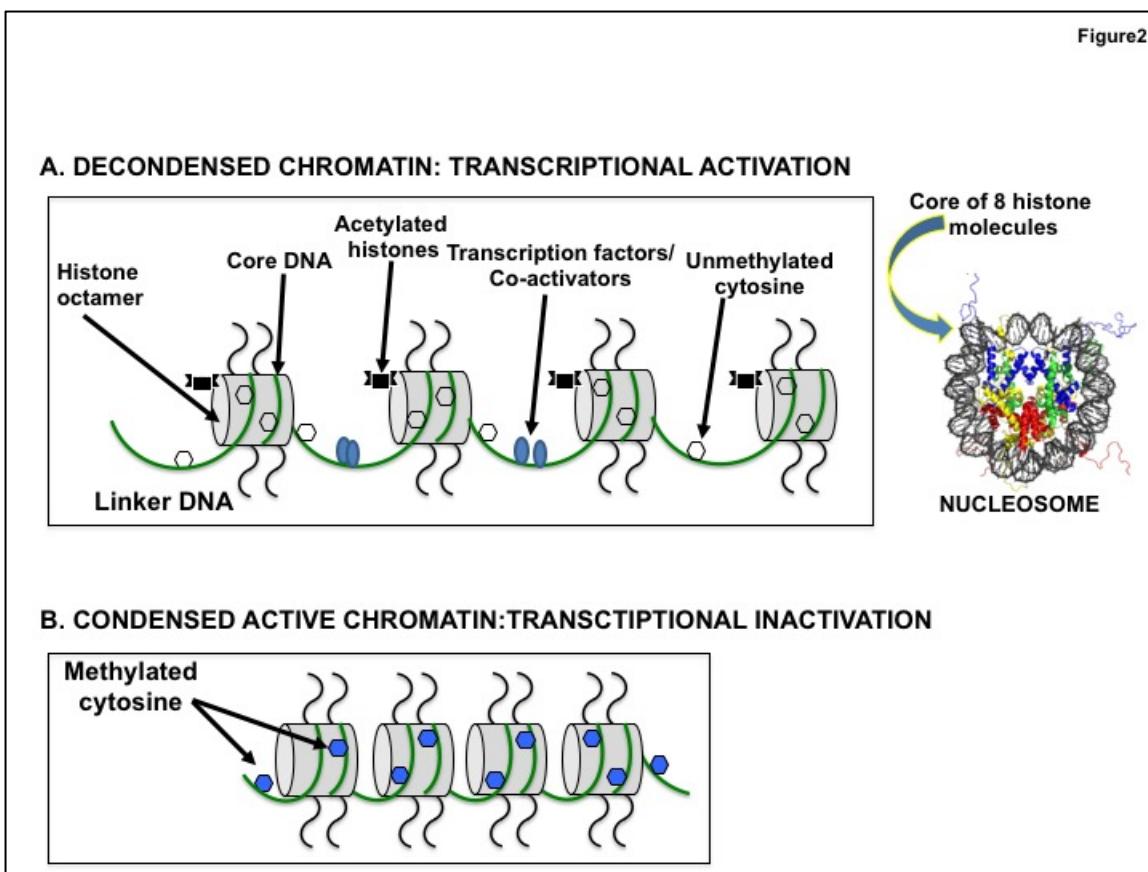
Figure 1



### **FIGURE 1. DNA methylation process for the regulation of gene expression.**

DNA methylation predominantly occurs at the 5-carbon of cytosine base ring. The methyl deposition is catalyzed by DNA methyltransferase proteins (DNMTs) to produce 5-methylcytosine. DNMTs use S-adenosyl-methionine (SAM) as a primary methyl group donor and is converted to S-adenosine homocysteine (SAH) during the process. 5- methylcytosine is frequently located in clusters of CpG dinucleotides, called CpG islands, which are frequently found in gene promoter regions. 5- methylcytosine is generally a repressive mark since it acts by blocking gene transcription. Three conserved DNMT enzymes are responsible for the methyl mark: DNMT3A and DNMT3B catalyse *de novo* DNA methylation, whereas DNMT1 represents the maintenance enzyme, which restores the fully methylated state of DNA after replication. DNMT inhibitors can modulate aberrant DNA methylation pattern in a reversible way, which may be efficiently exploited for epigenetic therapy.

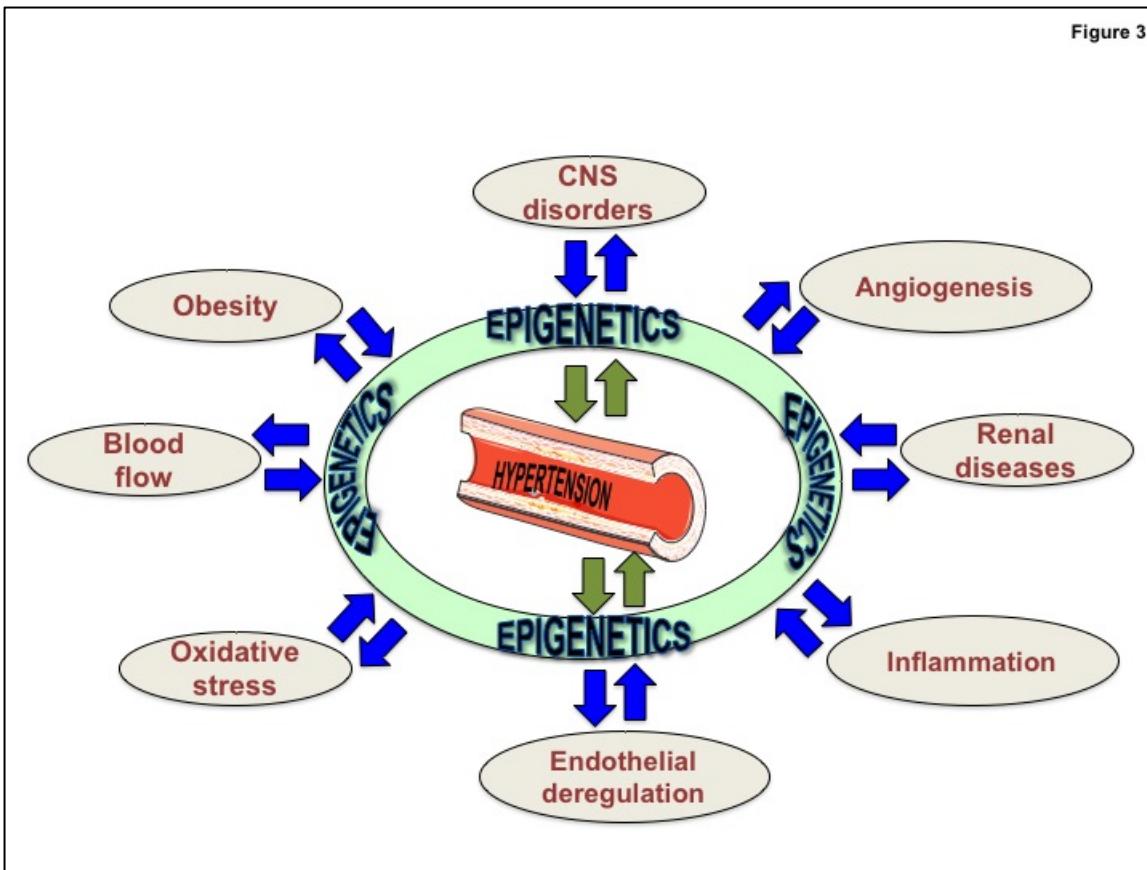
Figure 2



### FIGURE 2. Chromatin remodelling as a function of histone modification.

The basic unit of chromatin is the nucleosome, which comprises DNA wrapped around a core of histone proteins. Changes in histones through methylation or acetylation, occurring at N-terminal histone tails, impact gene expression through chromatin remodelling. Such modifications disturb the binding affinity between histones and DNA depending on their degree of loosening or lightening. Histone acetylation allows the access of transcription factors to DNA via the conversion of condensed chromatin (heterochromatin) into a more relaxed structure (euchromatin), which favours gene expression. In contrast, methylation of specific lysine residues in H3 and H4 mediates gene silencing and is able to directly interfere with the binding of transcription factors.

Figure 3



**FIGURE 3. Epigenetic modifications as the potential link between hypertension and its related disorders.**

Hypertension is recognized as a major risk factor for the development of various disorders, which in turn can contribute to its development, progression and damaging effects. Oxidative stress, inflammation, angiogenesis, blood flow, endothelial deregulation, obesity, central nervous system (CSN) and renal diseases are among the different mechanisms influencing blood pressure via epigenetic modifications. Conversely, epigenetic alterations elicited by hypertension may predispose or exacerbate the outlined disorders.

## DISCUSSION/CONCLUSION

Plusieurs travaux de recherche ont été réalisés en préparation de cette thèse de doctorat. L'ensemble des projets sont reliés entre eux et ont un lien commun avec les désordres cardiométaboliques, notamment l'obésité, l'insulinorésistance et autres composantes culminant avec le développement du SyM. Avant de discuter minutieusement l'ensemble de nos résultats, une préface de notre programme scientifique a été élaborée pour faciliter la compréhension aux différents lecteurs.

Des protéines clés jouent un rôle essentiel dans le transport des graisses et formation des CM. Leurs défauts génétiques engendrent la malabsorption intestinale des lipides, mais leur surexpression comme c'est le cas de la protéine Sar1b GTPase, en réponse à des polymorphismes, à une alimentation sous optimale et à un style de vie inadéquat (sédentaire) transforment l'intestin en un organe insulino-résistant, ce qui déclenche une « hyperabsorption » des lipides via la formation exagérée des CM, qui finit par produire une dyslipidémie postprandiale (**Articles 1-2**).

Les conséquences de la dyslipidémie favorisent également une insulinorésistance systémique qui, avec la formation des résidus de CM et des AG, va affecter le foie, entre autres pour accentuer le dépôt lipidique et contribuer au développement de la NAFLD (**Article 1-2**).

À cette fin, plusieurs mécanismes pathophysiologiques sont alors initiés au niveau hépatique et incluent le SOx, l'inflammation, les dysfonctions mitochondrielles, la lipogenèse, la gluconéogenèse et la dérégulation de plusieurs facteurs de transcription (**Article 3**).

Des aliments exhibant des propriétés hypolipidémiantes, antioxydantes et anti-inflammatoires, tels que les AGPI n-3, peuvent tendre à normaliser les conditions cardiométaboliques et pathophysiologiques, en freinant par exemple, la progression de la NAFLD vers le NASH (**Article 4-5**).

En support de ce programme de recherche, des revues de littérature critiques sont venues étayer et élargir ces concepts (**Revues 1-3**).

La compréhension des fonctions assumées par l'intestin et particulièrement, l'axe foie-intestin a marqué un tournant extraordinaire durant la dernière décennie. Non seulement, l'intestin est actif dans la digestion et l'absorption des aliments, permettant ainsi leur passage de la lumière de l'intestin vers le milieu intérieur, mais il est équipé de systèmes coriaces à la fois nerveux «deuxième cerveau», immunitaire (rempart de défense) et métagénomique (microbiote) (662, 663). Évidemment, les différentes actions de ces systèmes agissent en symbiose. Notre laboratoire a contribué substantiellement au dévoilement de la majorité de ces rôles en soulignant également les désordres sous-jacents (664-670). En particulier, notre laboratoire a mis en évidence la double nature des protéines clés engendrant des troubles intestinaux relatifs au transport des lipides. Si d'un côté, des défauts génétiques dans les étapes clés produisent une malabsorption sévère des graisses, l'hyperabsorption, causée par exemple par un environnement nutritionnel riche en lipides, résulte en une surproduction de CM, une dyslipidémie postprandiale et l'athérosclérose.

Un des objectifs de mon travail doctoral était de participer activement à la consolidation de ce concept et de démontrer en outre l'existence d'un axe « intestin-foie ». En prenant comme exemple le Sar1b GTPase, une protéine essentielle au transport des CM du réticulum endoplasmique à l'appareil de Golgi (179, 667, 671-676), nous devons confronter les questions cardinales suivantes : *(i) Si la déficience de Sar1b empêche les vésicules du complexe COPII à se former et à transporter le cargo de CMs, résultant en une malabsorption lipidique sévère, est-ce qu'à l'opposé la surexpression de Sar1b engendre une surproduction des CM édifiant une dyslipidémie?; (ii) Quelle est la répercussion de la surexpression de Sar1b sur les fonctions cardiométaboliques comme l'insulinorésistance?; (iii) Comment la surexpression de Sar1b influence la condition hépatique?; et (iv) quelle est la distribution tissulaire de la Sar1b suite à sa surexpression?*

Pour apporter les réponses à ces interrogations, le modèle de souris transgénique Sar1b<sup>+/+</sup> a été créé. L'exposition des animaux à une diète riche en lipides a engendré: une augmentation

du poids corporel, de l'adiposité, de l'insulinorésistance, de la dyslipidémie et de la stéatose hépatique, ainsi qu'une altération des AGPI n-3 et une surproduction des CM suite à un gavage lipidique (172).

Donc, en plus de son rôle bien établi dans la mobilisation de COPII intracellulaire et le trafic des CM, le Sar1b GTPase peut réguler certaines fonctions liées à l'homéostasie du glucose et des lipides. Effectivement, même si la consommation de diète riche en lipides est généralement accompagnée de troubles cardiométaboliques (677-679), la présence de Sar1b GTPase en grande quantité stimulait encore davantage les désordres énoncés. Pour la première fois, nos expériences *in vivo* ont découvert l'implication directe de Sar1b GTPase dans l'accélération du transport des lipides intestinaux (172).

Alors que les mécanismes régulant son activité et son expression demeurent inconnues, nous proposons que, dans les situations où le flux CM-TG est stimulé par la charge graisseuse alimentaire, le Sar1b GTPase peut induire un tableau clinique plus préjudiciable. Cet effet nuisible est probablement occasionné par la disponibilité excessive des AG, produits par la lipolyse des CM, qui interfèrent avec la captation et la combustion du glucose, suscitant ainsi une insulinorésistance (680). D'ailleurs, en analysant la distribution de *SAR1B* dans les tissus périphériques, l'organe ayant l'expression génique la plus élevée est le muscle squelettique (suivi par le cœur et le foie) (178), muni de la plus grande capacité de captation des AG et de leur oxydation (681). En outre, la relation intestin-foie se trouve renforcée par la surexpression de Sar1b GTPase, qui a résulté en une stéatose hépatique, probablement à cause de la captation exagérée des CM en relation avec la dyslipidémie causée par l'intestin (172). Cependant, des efforts additionnels sont requis pour découvrir les mécanismes d'action décisifs.

La NAFLD est devenue la condition la plus fréquente parmi les pathologies affligeant le foie (584, 682). Cette accumulation de graisses, asymptomatique à son initiation mais lourde de conséquences par la suite, accompagne généralement l'obésité et le SyM. Sa progression vers le NASH, la fibrose, la cirrhose et le carcinome, constitue une menace redoutable (683). Même si la NAFLD devient un problème de santé publique majeur et inquiétant dans le monde entier, les causes sont assez méconnues. Des études pointent les interactions entre la prédisposition

génétique (371, 684) et les facteurs environnementaux (185, 584, 685-688) comme agents étiologiques, mais ce prédicat peut émaner essentiellement de l'obésité et du SyM escortant la NAFLD (185, 584). Les mécanismes d'action ne sont pas beaucoup plus élaborés étant donné les ambiguïtés apparentes dans la littérature scientifique proposant surtout l'abondance des AG mobilisés par le tissu adipeux et la RI (689), mais ces propositions peuvent être également attribuables aux désordres cardio-métaboliques convoyant la NAFLD (185, 584).

En outre, les travaux expérimentaux, bien qu'extrêmement profitables, sont généralement menés dans des modèles animaux et ne peuvent donc être extrapolés en toute quiétude à l'humain. De surcroît, l'investigation de la NAFLD a priorisé l'adulte quoique les enfants et adolescents en sont de plus en plus atteints puisque la stéatose hépatique touche près de 10% des enfants et 20 à 40% (1/4) des enfants obèses (690). Plus saisissant encore est le fait qu'il n'existe à ce jour aucun consensus de traitement officiel et aucun médicament éprouvé pour la prise en charge efficace des patients avec la NAFLD. Finalement, aucune attention n'a été donnée à la population Canadienne-Française, qui est principalement et historiquement connue pour ses risques excessifs athérosclérotiques.

Pour l'ensemble de ces raisons, une grande portion de cet ouvrage de doctorat a été consacrée à (*i*) *l'approfondissement des mécanismes étiologiques de la NAFLD en optant pour la stratégie d'œuvrer directement sur les foies humains obtenus suite à la chirurgie bariatrique;* (*ii*) *la compréhension de la physiopathologie de la NAFLD chez les enfants/adolescents; et* (*iii*) *la détermination de la réponse biochimique et clinique à une intervention nutritionnelle employant les AGPI n-3 auprès de sujets pédiatriques Canadiens-Français.*

De ce fait, la NAFLD est cliniquement difficile à diagnostiquer et la plupart des patients sont asymptomatiques, ce qui retarde la prise en charge rapide de la maladie. En outre, une pharmacothérapie efficace contre la NAFLD est limitée, en particulier chez les enfants et les adolescents (691). Par conséquent, il existe un besoin pressant d'identifier et de contrôler les voies moléculaires responsables qui peuvent donner de nouveaux outils thérapeutiques. À l'heure actuelle, même si des efforts intensifs ont produit des progrès considérables dans notre compréhension de la NAFLD (584), les mécanismes précis restent fragmentaires. De plus, très

peu d'études ont utilisé des spécimens hépatiques humains pour mettre en évidence les troubles métaboliques hépatiques et donc les mécanismes latents, mais ils se sont surtout concentrés sur des modèles animaux certes instructifs mais pouvant présenter des difficultés d'extrapolation à l'humain.

La possibilité d'obtenir des échantillons de foie de patients atteints d'obésité morbide pendant la chirurgie bariatrique nous a permis de traiter des questions suivantes : les individus en surpoids sains et en mauvaise santé/non-sains peuvent-ils être différenciés sur le plan métabolique en termes de stéatose et de complications hépatiques ? Quelles sont les anomalies lipidiques qui caractérisent les obèses métaboliquement anormaux ? Existe-t-il une association entre les deux compartiments hépatique et systémique ? Quels sont les mécanismes dans le foie derrière la NAFLD ? Ces problématiques sont de grand intérêt car ce ne sont pas tous les individus présentant un excès de poids qui développent le SyM et progressent vers une complication hépatique, et les termes «obésité métaboliquement saine et non saine avec stéatose modérée ou sévère» ont été utilisés pour désigner ces individus. De plus, en ayant recours à l'analyse protéomique, est-il possible de découvrir une signature spécifique, qui mettra davantage l'accent sur les mécanismes de la NAFLD et pourrait identifier des cibles de traitement ou des marqueurs prédictifs ?

Le présent travail montre d'abord que la NAFLD coexistait seulement chez les patients adultes obèses présentant des caractéristiques du SyM et une stéatose variant de minimale, modérée à sévère. 1) Nous les avons définis (Mi-NAFLD), comme des sujets insulinotolérants (sans RI) et présentant une stéatose minime de grade 1 (~8%); des patients avec une stéatose modérée (Mo-NAFLD) et sévère (Se-NAFLD), présentant une obésité morbide, une RI, un grade et un % de stéatose allant, respectivement, de (2, ~16%) à (>3, ~60%). 2). Leur tissu hépatique a révélé une teneur élevée en TG, ce qui confirme les résultats histologiques et les transaminases hépatiques élevées définies biochimiquement, mais les sujets Se-NAFLD avaient, en plus, une chute des PL, due essentiellement à une diminution de la PC, et un faible ratio PC/PE. 3) Leur tissu hépatique subissait le SOx et l'inflammation. 4) Leur composition en AG hépatiques a été perturbée par la diminution des AGPI, l'augmentation des AG saturés et l'accumulation des lipides dans le foie. 5) Cette observation a été validée par l'augmentation

des SP, l'index de lipogenèse (16:00/18:2n-6) et SCD1 (18:1n-9/18:0) hépatiques; des biomarqueurs clés dans la régulation de la lipogenèse hépatique et l'oxydation des lipides dans la NAFLD (692, 693). 6) Cette observation a aussi été validée dans leurs échantillons de foie qui ont montré une régulation à la hausse de la lipogenèse et une régulation négative de la  $\beta$ -oxydation, comme le montrent les expressions protéiques des biomarqueurs spécifiques de ACC phosphorylé/FAS et de CPT-1/ACADL, respectivement. 7) Finalement, conformément à ces résultats, des altérations dans de puissants facteurs de transcription ont été notées (SREBP1c/PPARg).

Le foie est un organe cible du SyM, entraînant une NAFLD, au point que cette dernière est considérée comme une composante du SyM (694). À l'exception de l'hypertension, toutes les autres composantes du SyM (obésité, RI et dyslipidémie) étaient présentes dans le groupe Mo-NAFLD et Se-NAFLD. En particulier, l'insulinorésistance, qui représente le mécanisme pivot dans la NAFLD primaire, était significativement plus élevée dans le groupe de sujets avec stéatose sévère, comme le reflète l'indice HOMA élevé. Dans ces conditions, il semble raisonnable de s'attendre à ce que l'insulinorésistance induise SREBP-1c, qui peut conduire à une régulation positive des gènes impliqués dans la lipogenèse *de novo*, tels que FAS et ACC, favorisant ainsi la synthèse hépatique des AG et des TG (695). Cependant, seule l'expression de la protéine FAS a été stimulée alors que la protéine ACC, l'enzyme clé de la synthèse *de novo* d'AG, n'a pas répondu au facteur de transcription SREBP-1c. Par contre, l'activité de l'ACC a pu être stimulée par le peu de sa phosphorylation en Ser, probablement une conséquence du déficit en p-AMPK, connu pour phosphoryler et inactiver l'ACC (181). Ce stratagème explique comment la lipogenèse est régie par deux mécanismes à la fois, et dépend des facteurs de transcription SREBP-1c et de l'AMPK, respectivement.

Le foie est muni d'une capacité énorme pour la  $\beta$ -oxydation mitochondriale des AG. Comme la stéatose dans la NAFLD peut résulter non seulement de la lipogenèse, mais aussi de la diminution de la  $\beta$ -oxydation des AG, nous avons évalué les deux biomarqueurs importants de cette dernière soit la CPT-1 (considérée à la fois comme la passerelle mitochondriale dictant l'entrée des AG dans la matrice et comme le modulateur hépatique principal du flux oxydatif des AG (696)), et ACADL, l'enzyme qui catalyse la première étape de la  $\beta$ -oxydation

mitochondriale des esters d'acyl-CoA gras (697). Les deux enzymes de dégradation ont été régulées à la baisse dans le foie des patients Se-NAFLD. Puisque le PPAR $\alpha$  est exprimé principalement dans les tissus riches en mitochondries, comme le foie, et qu'il est un facteur important régulant la  $\beta$ -oxydation des AG (698-700), nous avons également déterminé son expression protéique. L'analyse par immunobuvardage indiquait une chute de la masse protéique de PPAR $\alpha$ . Il est possible que le déclin de PPAR $\alpha$  affecte sa liaison avec le PPAR $\gamma$  response element (PPRE) situé sur le promoteur de CPT1 (701) ou via la surexpression de PPAR $\gamma$  qui stimule également l'activité de SCD1 via PPRE sur le promoteur de SCD1 (702). D'autres mécanismes potentiels pouvant affecter la dégradation des AG dans le foie des patients Se-NAFLD incluent l'hypersinsulinémie/l'insulinorésistance (703) et la faible régulation de l'ACC par phosphorylation, conduisant à l'activation de l'ACC et à la surproduction du malonyl-CoA, un inhibiteur du CPT-1.

Chez la population pédiatrique d'origine Canadienne-Française, comparativement aux sujets sains, les patients diagnostiqués NAFLD sur la base de l'élévation de transaminases sanguines, de score d'activité NAFLD (NAS) et d'indice de foie gras (FLI), présentaient des anomalies évidentes d'IMC, de sensibilité à l'insuline, de lipides, d'adipokines et de facteurs inflammatoires. Leur composition d'AG dans les erythrocytes affichait des taux moindres de DHA et EPA, entraînant la diminution de l'indice oméga-3, constituant ainsi un risque élevé de maladies cardiovasculaires. Bien entendu, le diagnostic de NAFLD a été formulé en absence de consommation d'alcool, de traitement hépatotoxique et d'affection hépatique. À première vue, quatre éléments apparaissent évidents dans le tableau clinique de nos jeunes patients avec l'accumulation intrahépatique lipidique: (i) le lien direct avec l'IMC confirmant que l'obésité favorise généralement le développement de NAFLD; (ii) l'étroite association avec l'indice élevé de HOMA corroborant que l'insulinorésistance joue un rôle dominant dans la survenue de NAFLD; (iii) la coexistence avec plusieurs composantes du SyM validant l'allégation que ce syndrome prédispose au développement de la NAFLD; et (iv) la prédominance du genre mâle dans notre clinique consolidant la conception que le genre masculin est plus à risque de manifester la NAFLD, et expliquant le choix d'intégrer uniquement les mâles dans le présent travail. Dans ce dernier contexte, il est pertinent de souligner que la population du Québec, en

particulier les hommes, est plus apte à développer des troubles cardiométaboliques (704, 705) et qu'il y a une prédominance de garçons et d'hommes souffrant d'obésité, de SyM et de NAFLD selon diverses cliniques et études de méta-analyse, NHANES III et Statistiques Canada (301, 706-709).

La supplémentation en AGPI n-3 pendant 6 mois a entraîné une augmentation significative des concentrations de EPA et de DHA dans les globules rouges ainsi qu'une atténuation de la stéatose hépatique, reflétée par la réduction du rapport FLI, ALT et ALT/AST. De plus, la supplémentation a amélioré le profil lipidique et l'épaisseur de l'intima-média carotidienne, tout en augmentant l'adiponectine et le SOx. Nous concluons que dans l'absence actuelle de moyens thérapeutiques, les mesures diététiques et spécialement les AGPI n-3 demeurent l'avenue la plus appropriée pour traiter la NAFLD. Par ailleurs, comme la NAFLD survient fréquemment dans le contexte d'obésité, d'insulinorésistance et de DT2, une prise en charge agressive de tous les facteurs cardiométaboliques s'impose de façon primordiale afin d'éviter la progression de la NAFLD et les complications cardiovasculaires. Les résultats issus de cette intervention, nous ont permis de cibler des biomarqueurs biochimiques susceptibles de prédire l'avènement de la NAFLD chez les jeunes adolescents.

De plus, les interventions nutritionnelles sont encore peu nombreuses et demandent plus de conciliation avec les secteurs de l'agroalimentaire (agriculture, transformation et distribution des aliments, recherche et développement, « marketing » des produits alimentaires, etc.), de l'environnement bâti (aménagement du territoire, organisation du transport, planification urbaine, code du bâtiment, etc.), et du socioculturel (médias, publicités, mode, industries « du corps », conciliation travail-famille, politique familiale, lutte à la discrimination à l'égard du poids). La grande compréhension de ces modifications sociétales nous aidera à affaiblir l'escalade de l'obésité infantile dans toutes les parties du monde, qui en fait va de pair avec la multiplication des offres de restauration rapide, la consommation croissante de boissons sucrées, l'approche publicitaire intensive et agressive de l'industrie agroalimentaire ou encore la prolifération des jeux vidéo; ces dernières étant le plus souvent incriminées.

NAFLD et NASH sont des maladies multifactorielles impliquant une ramifications de plusieurs événements intracellulaires. Étant donné que le SOx semble être parmi les processus les plus significatifs à transmettre des lésions hépatiques, nous avons effectué une analyse critique de la littérature pour mettre en relief les mécanismes conduisant à son développement. Notre revue a d'abord bien établi le lien de la NAFLD/NASH avec d'un côté le SOx amplifié et d'un autre côté la déficience de la défense antioxydante endogène (584). Parmi les mécanismes rapportés, on retrouve (i) les systèmes pro-oxydants tels que le cytochrome P450, la lipo-oxygénase, la cyclo-oxygénase et les produits radicalaires (347); (ii) la dysfonction de la mitochondrie, une source primaire des radicaux libres (710), culminant dans le développement et la progression de NAFLD (711-713); (iii) l'induction de la peroxydation des LDL dont les concentrations sanguines peuvent même prédire la sévérité de l'histologie hépatique chez les sujets avec NAFLD (714, 715); (iv) la libération de la myéloperoxydase par les neutrophiles, une enzyme dotée d'un potentiel oxydant puissant dans le foie (716); (v) les interactions entre le SOx et le stress du RE amplifiant considérablement le SOx (717); (vi) la dérégulation des miRNAs contribuant aux dérangements métaboliques (718), au SOx et par conséquent à la NAFLD (719-721); et (vii) les mutations génétiques et les polymorphismes provoquant des anomalies dans le système antioxydant (p. ex. la SOD2) (378, 722).

Si le SOx est actuellement reconnu comme un mécanisme central contribuant à la NAFLD et à ses complications (p. ex. NASH), plusieurs groupes de chercheurs ont raisonnablement entrepris des essais expérimentaux et cliniques avec des agents naturels antioxydants ou connus pour moduler le SOx (p. ex. la vitamine E, la vitamine D, le coenzyme Q, les polyphénols, les AGPI n-3, et les pré-probiotiques). Néanmoins, malgré les diverses données obtenues, il y a encore un besoin important d'essais robustes et bien contrôlés, faisant appel à de grandes cohortes, pour traduire les données probantes en contexte clinique et pour prouver l'efficacité de l'intervention, ce qui constitue une tâche ardue pour les chercheurs biomédicaux.

Comme le SOx est pivot dans la genèse du SyM qui, lui-même, promeut la NAFLD, nous avons également scruté la littérature afin d'en déduire les mécanismes sous-jacents (185). La compréhension détaillée des mécanismes du SyM est cruciale pour avancer à la fois le développement de stratégies de prévention efficaces et des outils d'intervention adéquats qui

pourraient freiner sa prévalence croissante et limiter ses comorbidités, en particulier la NAFLD, le DT2 et les MCV. En collectant les données de la littérature, il nous est apparu que le SOx est la cause première de l'initiation et l'évolution de SyM tout en constituant le mécanisme unificateur de ses composantes : l'obésité, la dyslipidémie, la RI, et l'hypertension. Très rapidement, la mitochondrie s'est dessinée comme la source du SOx et de ce fait la cible thérapeutique de choix. Les antioxydants, ciblant son SOx et son dysfonctionnement intrinsèque, peuvent non seulement éliminer les désordres mitochondriaux, mais ils ont également la capacité d'amoindrir l'accumulation de lipides ectopiques et les troubles du microbiote intestinal, améliorant ainsi le SyM et ses co-morbidités. Il est à noter que même si le SOx ne constitue pas le seul mécanisme suscitant les désordres cardiométaboliques, sa présence constitue un "générateur" d'inflammation, de troubles lipidiques, d'aberrations moléculaires et autres phénomènes qui finissent par l'amplifier et créer un cercle vicieux.

De plus, nous avions résumé et commenté sur les modifications intervenant dans la régulation épigénétique de gènes contrôlant les composantes du SyM, en particulier l'hypertension artérielle (718). Nous avons d'abord décomposé la physiopathologie de l'hypertension artérielle en ses facteurs étiologiques, et par la suite chacun en conjonction avec les changements épigénétiques qui lui sont propres. Nous avons relevé les lacunes et souligné le besoin d'effectuer des études supplémentaires afin de combler les déficiences et élucider de plus près les mécanismes de régulation impliquant la méthylation de l'ADN, les modifications d'histone, le remodelage de la chromatine et l'identité des miRNA. De plus, nous avons insisté sur la nécessité de considérer l'hypertension artérielle comme une entité clinique assez centrale regroupant une combinaison de mécanismes épigénétiques. Une meilleure vue de ses interactions pourrait nous aider à trouver des cibles thérapeutiques pour combattre les troubles cardiométaboliques et cardiovasculaires.

## PERSPECTIVES ET LIMITES

L'atteinte des objectifs de cette présente thèse a permis : (i) de démontrer que l'élévation de protéines clés intra-entérocytaires peut être associée à un déclenchement de la dyslipidémie postprandiale et à la progression du SyM; (ii) d'identifier des biomarqueurs susceptibles d'améliorer la prise en charge de l'obésité; et (iii) d'identifier la population à risque de développer la NAFLD, et d'intervenir avec des approches thérapeutiques nutritionnelles.

Les perspectives découlant de notre travail sont tout à fait attrayantes et pertinentes aux trois aspects mentionnés ci-dessus :

- (i) Il serait fascinant d'examiner si les polymorphismes de gain de fonction de la Sar1b GTPase chez l'humain sont associés à la dyslipidémie postprandiale et aux risques cardiométraboliques. Par ailleurs, nous avons mis l'emphase spécifiquement sur la Sar1b GTPase, mais d'autres facteurs cruciaux (ex. phospholipase D1) participant activement à la formation/sécrétion des CMs peuvent constituer des candidats extrêmement intéressants à investiguer.
- (ii) La NAFLD survient fréquemment dans le contexte d'obésité, d'insulinorésistance et de DT2. Cependant, des études élégantes ont suggéré que la NAFLD peut être en amont des risques cardiométraboliques. Cette éventualité peut être testée dans un modèle animal approprié, ne présentant que la NAFLD afin de comprendre les mécanismes favorisant l'élosion des risques cardiométraboliques. En outre, il serait captivant d'explorer si des nutriments fonctionnels peuvent inhiber le transit vers le SyM, le DT2 et les MCV.
- (iii) En outre, nos travaux sur la NAFLD employant l'approche protéomique a révélé certains biomarqueurs métaboliques. Il serait fascinant de vérifier leur rôle dans des modèles cellulaires et animaux avec des modifications génétiques conduisant à leur surexpression ou à leur invalidation.
- (iv) Finalement, comme on est à l'ère de la médecine de précision, il serait séduisant de déterminer si la nutrition personnalisée ciblant distincts biomarqueurs biochimiques de la NAFLD améliorait efficacement l'état métabolique. Cette perspective pourrait être examinée dans un modèle animal avant de la tester chez l'humain.

- (v) Une autre voie à tester serait de dévoiler si le profil des dérivés des acides gras et les différents ratios et enzymes impliqués seraient des prédicteurs diagnostiques et thérapeutiques de la NAFLD.

Parmi les limites de nos études, notons le nombre restreint de patients suivis avec NAFLD, souvent le peu de disponibilité des échantillons biologiques, ce qui nous a empêché d'aller scruter à fond les mécanismes d'action, et finalement, le manque de l'opportunité de tester les résultats obtenus dans le modèle animal chez l'humain.

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